Nonlinear Wavefield Characteristics of Seismic Translation and Rotation in Small-Strain Deformation: ~~Insights~~ from Moment Tensor Simulations

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Abstract Seismic rotational motions recorded in near-field and strong-~~magnitude~~ motion observations show ~~exhibit~~ discrepancies with theoretical predictions derived from linear elastodynamic theory~~principles~~. To investigate ~~explore~~ potential nonlinear contributions ~~to the phenomenon~~, this study incorporates geometric nonlinear ~~strain~~ effects into wave propagation theory using the ~~through~~ Green-Lagrange strain tensor ~~formulations~~. A staggered-grid finite-difference method is used to simulate~~s~~ six-component ~~wavefields~~ (translational and rotational) wavefields generated by three fundamental seismic sources: isotropic (ISO), double-couple (DC), and compensated linear vector dipole (CLVD). The r~~R~~esults demonstrate that nonlinear effects, as a secondary source, have a universal intensity pattern adhering to the physics of wave

23 motion~~nonlinear effects strongly depend on source characteristics and energy intensity~~.

24 However, the excitation efficiency and modulation of wavefield attributes (such as

25 P-wave polarization) depend strongly on source type ~~ISO sources exhibit uniform~~

26 ~~nonlinear anomalies from volumetric-shear coupling, CLVD sources amplify~~

27 ~~directional strain-axis effects, and DC sources amplify localized nonlinearity along~~

28 ~~faulting directions~~. Simulations show that rotational components exhibit higher

29 sensitivity to nonlinear effects driven by S-waves. Reference simulations of two

30 moderate-to-strong earthquakes suggest that surface waves are the primary carriers of

31 nonlinear features. ~~Rotational components show higher sensitivity to nonlinearity than~~

32 ~~translational components, which are also contingent on source-receiver geometry.~~

33 ~~Simulations of two moderate-strong earthquakes highlight surface waves as~~

34 ~~preferential carriers of nonlinear signatures, though path effects and site amplification~~

35 ~~require systematic exploration. These results establish a framework for advancing~~

36 ~~nonlinearity study in ground motion analysis while emphasizing the need for~~

37 ~~instrumentally resolved rotational measurements and complex media modeling.~~

38

1 Introduction

Seismic rotational motions is a significant part of ground shaking, particularly recordable in strong-motion events~~can be recorded in ground shaking, especially when caused by strong earthquakes~~ (Graizer, 1991; 2010; Zhou et al., 2019). Under near-field and shallow-source conditions, t~~T~~hese rotational motions exhibit pronounced characteristics ~~in shallow focal depths and near-field conditions~~ (Kozak, 2009; Sun et al., 2017). ~~Within the domain of structural engineering, the incorporation of rotational analysis has gained increasing recognition for its~~ and play a critical role in the seismic design and stability assessment of structures~~assessing ground motion stability and building design~~ (Li, 1991; ~~Li and Sun, 2001;~~ Yan, 2017; Huras et al., 2021). Progress in research indicates~~Studies advancements suggest~~ that incorporating ~~seismic~~ rotational motion data, which contains information on the ~~captures~~ spatial gradients of the wavefield, can improve ~~enhance~~ the accuracy and stability of ~~earthquake~~ seismic source ~~characterization and moment tensor~~ inversion (Bernauer et al., 2014; Donner, 2016; Ichinose et al., 2021~~;), as supported by simulations conducted by~~ Hua and Zhang, ~~(~~2022).

In a review of advances in rotational seismology,~~The work of~~ Lee et al. (2009~~7~~) ~~comprehensively reviewed the applications of seismic rotation observations in seismic engineering, postulating~~suggested ~~–~~that the measured rotational components in strong ground motion may ~~predominantly~~ originate from ~~the~~ nonlinear elasticity and site effects. This suggestion ~~conclusion~~ is based on ~~drawn from~~ empirical evidence from observations in Japan and Taiwan, where ~~showing that actual~~ rotational measurements

61 are typically one to two orders of magnitude larger than those expected from classical

62 linear elasticity theory or derived from translational data, indicating the limitations of

63 linear theory~~exceed derived rotational components from translational data by 1-2~~

64 ~~orders of magnitude~~. In geophysics, nonlinearity often refers to constitutive

65 nonlinearity related to material behavior, such as the hysteretic and elastoplastic

66 responses of soils and rocks under large strains (Bonilla et al., 2005; Bonilla et al.,

67 2011; Frankel et al., 2002; Régnier et al., 2018).~~addressing the complex geophysical~~

68 ~~phenomena stemming from Earth's heterogeneities, progress has been made in~~

69 ~~developing analytical solutions for nonlinear wave equations through iterative~~

70 ~~techniques in Green's function (McCall, 1994).~~ This type of nonlinearity involves

71 intrinsic energy dissipation and plastic deformation, resulting in nonlinear site

72 amplification in strong-motion records (Régnier et al., 2018)~~Notable methodological~~

73 ~~developments include the flux-corrected transport method (Yang et al., 2002; Zheng et~~

74 ~~al., 2006) and perturbation approaches (Bataille and Contreras, 2009; Jia et al., 2020),~~

75 ~~which have been instrumental in investigating nonlinear effects on elastic wave~~

76 ~~propagation~~.

77   On the other hand, nonlinearity can also arise from the geometric description of

78 an object's deformation itself, known as geometric nonlinearity. Even if a material

79 adheres to Hooke's law, the mathematical relationship between strain and

80 displacement can still contain higher-order nonlinear terms. This geometric

81 nonlinearity is significant when describing seismic wave propagation in pre-stressed

82 media (Tromp and Trampert, 2018). ~~—~~However, current research ~~studies~~ has

83 predominantly ~~primarily~~ focused on material ~~nonlinear~~ constitutive nonlinearity

84 ~~relations between stress and strain under small strain~~ and its linearized~~ation~~

85 approximation~~s~~ (Renaud et al., 2012; 2013; TenCate et al., 2016; Feng et al., 2018),

86 with a~~,~~ systematic investigation into the strain nonlinearity introduced by the measure

87 of deformation, and its expression in seismic wave propagation, is still lacking.~~leaving~~

88 ~~a gap in understanding the strain nonlinearity.~~ This neglected aspect may ~~hold~~

89 contribute~~the key~~ to understanding the complex~~more accurate representations of~~

90 rotational motions observed in ~~strong earthquakes and~~the near- field of strong

91 earthquakes~~conditions~~.

92 Therefore, ~~In the seismically active region of Taiwan, broadband seismic~~

93 ~~observations and physical source studies have revealed significant rotational~~

94 ~~components in Taiwan's seismic events, demonstrating distinct strike-slip rotation~~

95 ~~characteristics between the southern and northern regions of the island (Yu et al.,~~

96 ~~1999; Wang and Lv, 2006). Oliveira and Bolt (1989) estimated rotational components~~

97 ~~of strong motions, confirming their non-negligible impact in near-field observations~~

98 ~~across Taiwan. Through analysis of six-component ground motion data from 52~~

99 ~~earthquakes recorded at the HGSD station in eastern Taiwan during 2007-2008, Chen~~

100 ~~et al. (2014) identified substantial vertical rotational motions in proximal seismic~~

101 ~~locations and notable differences in energy and spectral characteristics between~~

102 ~~horizontal and vertical rotational motions. These studies show the importance of~~

103 ~~seismic rotation analysis in elucidating Taiwan's subsurface structures and~~

104 ~~geodynamic processes.~~

105   this study aims to systematically characterize geometric nonlinear effects within

106   the framework of the small-strain assumption by incorporating the Green-Lagrange

107   strain tensor. ~~In this research, we develop a theoretical and numerical framework for~~

108   ~~analyzing nonlinear seismic wave propagation through Green strain tensor~~

109   ~~formulations.~~ We ~~derive velocity-stress equations incorporating nonlinear strain~~

110   ~~coupling terms,~~ employ a staggered-grid finite-difference method to simulate

111   ~~six-component wavefields, and examine nonlinear six-component (C) wavefield~~

112   ~~characteristics through numerical simulations of~~ three fundamental ~~seismic~~ moment

113   tensor sources. ~~.~~, exploring how geometric nonlinearity leads to body-wave coupling

114   and energy redistribution, and its intrinsic dependence on the source type.

115   Furthermore~~Additionally~~, using two moderate-to-strong earthquakes in the Taiwan

116   region as examples, we explore the manifestation of nonlinear effects in a more

117   complex scenario. ~~we conduct theoretical simulations of focal mechanisms of~~

118   ~~near-field and strong earthquakes along the Taiwan coast, analyzing source-dependent~~

119   ~~nonlinear responses to establish foundational insights for guiding future observational~~

120   ~~data studies.~~

121   **2 Theory and method**

122   2.1 Elastodynamic theory

123   Consider a~~n~~ three-dimensional (3D) elastic medium in ~~three-dimensional space~~

124   ~~under~~an orthogonal Cartesian coordinate system (Fig. 1). ~~Let~~ An infinitesimal line

125   element connecting a particle A at position **x** and~~within the medium, with~~ an adjacent

126   particle B at **x**+d**x**~~. The infinitesimal line element connecting these particles~~ has an

127   initial length <u>of</u> ds. When subjected to external force, th<u>is</u>e ~~material~~ element ~~AB~~

128   undergoes <u>a</u> displacement **u**(**x**, t), <u>moving</u> ~~transitioning~~ to new positions A' and B' at **x'**

129   and **x'**+d**x'**, respectively, with a deformed length <u>of</u> ds'. This <u>process</u>

130   <u>includes</u>~~deformation comprises~~ both rigid-body displacement and strain-induced

131   distortion. ~~The work performed by the external force manifests as kinetic energy from~~

132   ~~particle motion and potential energy stored through elastic deformation.~~ The strain

133   energy density can be <u>characterized</u> ~~quantified~~ by the ~~differential~~ quadratic form of

134   <u>the change in</u> the ~~line~~ element's length<u>, as shown</u> ~~variation given~~ in Eq. (1).
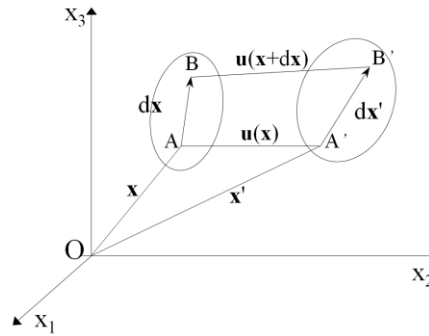

135

136   **Figure 1.** Schematic diagram of displacement and deformation of an <u>infinitesimal line</u>

137   <u>element in an</u> elastic medium (<u>a</u>~~A~~dapted from Aki and Richards (2002))

138 $$(ds')^2 \text{-} (ds)^2 = 2E_{ij}dx_idx_j, \ i,j \in \{1,2,3\} \tag{1}$$

139   where E_{ij} <u>is</u> ~~denotes~~ the Green-Lagrange strain tensor ~~components. All tensor~~

140   ~~equations adhere to the Einstein summation convention with dummy index notation.~~

141   ~~The displacement field u_i in Cartesian coordinate x_j defines the Green strain tensor~~

142   ~~(Eq. (2))~~, which provides an objective measure of deformation ~~before and after~~

143   ~~external force application.~~ <u>In Cartesian coordinates, E_{ij} is defined by the displacement</u>

144   <u>field as:</u>

145 $$E_{ij} = \frac{1}{2}\left(\frac{\partial u_j}{\partial x_i} + \frac{\partial u_i}{\partial x_j} + \frac{\partial u_k}{\partial x_i} \cdot \frac{\partial u_k}{\partial x_j}\right), \ i,j,k \in \{1,2,3\} \tag{2}$$

146   The displacement gradient tensor can be decomposeds into a symmetric strain

147   tensor (e$_{ij}$) and an antisymmetric rotation tensor (r$_{ij}$) components:

$$\frac{\partial u_j}{\partial x_i} = e_{ij} + r_{ij} \tag{3}$$

149   where,

$$e_{ij} = \frac{1}{2}(\frac{\partial u_j}{\partial x_i} + \frac{\partial u_i}{\partial x_j}), \quad r_{ij} = \frac{1}{2}(\frac{\partial u_i}{\partial x_j} - \frac{\partial u_j}{\partial x_i}) \tag{4}$$

151   Traditional   linear   elasticityConventional   elastodynamic   simplifies   the

152   problemtheory linearizes the Green strain tensor by neglecting the second-order

153   product of displacement gradients in Eq. (2)terms ($\partial u_k/x_i\cdot\partial u_k/x_j$), thus reducing it to the

154   Green-Lagrange strain tensor to the strain tensor e$_{ij}$:infinitesimal strain approximation:

155

$$E_{ij} \approx e_{ij} = \frac{1}{2}(\frac{\partial u_j}{\partial x_i} + \frac{\partial u_i}{\partial x_j}) \qquad \underline{\qquad} \tag{5}$$

156   For an isotropic elastic mediummaterials, the stress-strain constitutivestrain-stress

157   relationship is linear (generalized Hooke's law), given by Eq. (6), where λ and μ are

158   the Lamé constants.given by:

$$\sigma_{ij} = \lambda \delta_{ij} e_{kk} + 2\mu e_{ij} \tag{6}$$

160   The theoretical framework of this study consistently employs the linear

161   constitutive relationship described by Eq. (6). However, the complete Green-Lagrange

162   strain tensor E$_{ij}$ (rather than its linear approximation e$_{ij}$) is substituted into it, yielding

163   a stress expression that reflects geometric nonlinearity: where λ and μ are Lamé

164   coefficients, and δ$_{ij}$ is the Kronecker delta. Incorporating nonlinearity through the

165   complete Green strain tensor yields:

$$\sigma_{ij} = \lambda\delta_{ij}e_{kk} + 2\mu e_{ij} + \underbrace{\frac{1}{2}\lambda\delta_{ij}\left(\frac{\partial u_k}{\partial x_m}\cdot\frac{\partial u_k}{\partial x_m}\right) + \mu\frac{\partial u_k}{\partial x_i}\cdot\frac{\partial u_k}{\partial x_j}}_{\text{additional terms}}, \quad i,j,k,m \in \{1,2,3\} \qquad (7)$$

166

Substituting this stress expression~~the nonlinear constitutive relation (Eq. (7))~~ into

the momentum conservation law (Eq. (8)), ~~where ρ is the material density.~~

$$\rho\frac{\partial^2 u_i}{\partial t^2} = \frac{\partial\sigma_{ij}}{\partial x_j} \qquad (8)$$

169

where ρ is the medium density, we finally obtain the nonlinear wave

equation:~~Yields the nonlinear wave equation:~~

$$\rho\frac{\partial^2 u_i}{\partial t^2} = \underbrace{\left(\lambda+\mu\right)\frac{\partial^2 u_j}{\partial x_i\partial x_j} + \mu\frac{\partial^2 u_i}{\partial x_j\partial x_j}}_{\text{original terms}} + \underbrace{\lambda\frac{\partial u_k}{\partial x_i}\frac{\partial^2 u_k}{\partial x_j x_j} + \mu\left(\frac{\partial^2 u_k}{\partial x_i x_j}\cdot\frac{\partial u_k}{\partial x_j} + \frac{\partial^2 u_k}{\partial x_j x_j}\cdot\frac{\partial u_k}{\partial x_i}\right)}_{\text{additional terms}}, \quad i,j,k \in \{1,2,3\} \quad (9)$$

173

In Eq. (9), under the small-strain assumption, the original terms constitute

~~correspond to~~ the classical ~~linear~~ wave equation, while the subsequent additional

terms arise from the geometric nonlinearity of strain~~nonlinear strain contributions~~.

T~~The~~ese additional terms mathematically act as endogenous, secondary body force

sources that depend on the wavefield itself.~~reveals two fundamental nonlinear effects:~~

In linear theory, P-waves (driven by the divergence field, representing volume change)

and S-waves (driven by the curl field, representing shape change) are strictly

decoupled. However, the existence of these nonlinear terms disrupts this decoupling.

Specifically, the term $\partial u_k/\partial x_i \cdot \partial u_k/\partial x_i$ is a product of displacement gradients (which

include both strain and rotation). When the P-wave (dominated by compressional

strain) propagates, its non-uniform strain field generates spatial gradients. Through

these higher-order terms, the gradient field of the P-wave can generate an equivalent

186 body force source with a curl component within the medium, which in turn radiates

187 S-waves. The reverse is also true. This is the fundamental physical mechanism of P-S

188 mode conversion: energy is transferred between the compressional and shear modes

189 through the self-interaction of the wavefield.(i) Volumetric nonlinearity (associated

190 with λ): Coupling between shear deformation and volumetric strain. (ii) Shear

191 nonlinearity (associated with μ): Interdependence of shear stress and principal strains.

192 These additional third-order terms introduce complex interactions between

193 deformation modes that are absent in linear theory. Their seismic manifestations

194 depend critically on material properties and source characteristics, necessitating

195 targeted numerical simulations to quantify nonlinear effects on wave propagation.

196 2.2 Staggered-grid finite-difference method

197 To solve the nonlinear wave equation (9), we employ a high-accuracy

198 Staggered-Grid Finite-Difference (SGFD) methodThe staggered-grid finite-difference

199 (SGFD) technique has proven effective for simulating seismic wave propagation. This

200 method employs dual grid systems to discretize velocity-stress formulations, enabling

201 stable computation of wavefield evolution in discrete spatial-temporal domains

202 (Virieux, 1986;Madariaga, 1976; Sun et al., 2018). First, by taking the time derivative

203 of the nonlinear constitutive relation (7) and combining it with the momentum

204 conservation equation (8), we convert the second-order wave equation into a system

205 of first-order velocity-stress partial differential equations. This system includes

206 additional stress-rate terms generated by the second-order products of displacement

207 gradients. These terms update the displacement field through time integration of the

208 velocity field, thereby implementing the nonlinear coupling. The resulting

209 velocity-stress equations are:

210
$$\begin{cases} \rho \dfrac{\partial v_i}{\partial t} = \dfrac{\partial \sigma_{ij}}{\partial x_j} \\[2mm] \dfrac{\partial \sigma_{ij}}{\partial t} = \lambda \delta_{ij} \dfrac{\partial E_{kk}}{\partial t} + 2\mu \dfrac{\partial E_{ij}}{\partial t} \\[2mm] \qquad = \lambda \delta_{ij} \dfrac{\partial v_k}{\partial x_k} + \mu(\dfrac{\partial v_i}{\partial x_j} + \dfrac{\partial v_j}{\partial x_i}) + \underbrace{\lambda \delta_{ij}(\dfrac{\partial v_k}{\partial x_m} \cdot \dfrac{\partial u_k}{\partial x_m}) + 2\mu \dfrac{\partial v_k}{\partial x_i} \cdot \dfrac{\partial u_k}{\partial x_j}}_{\text{additional terms}} \end{cases}, \; i,j,k,m \in \{x,y,z\} \qquad (10)$$

211

212 where $v_i = \partial u_i / \partial t$. In the SGFD scheme, different physical quantities are staggered

213 on the spatial grid cells. This arrangement naturally satisfies the central-difference

214 approximation for differential operators, effectively suppressing numerical dispersion

215 and enhancing computational stability and accuracy, aAs illustrated in Fig. 2, stress

216 and velocity components are distributed across offset grid points to optimize
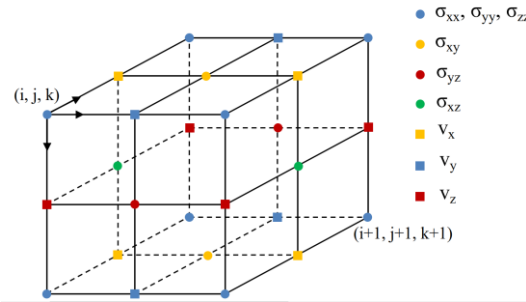
217 numerical accuracy.

218

219 **Figure 2.** 3D staggered-grid configuration for velocity-stress formulations,

220 showing the placement of velocity componentsand stress components.

221 To handle the model's truncated boundaries, For 3D elastic isotropic media, we

222 extend conventional linear strain formulations (Pei, 2005) by incorporating the

223 nonlinear strain tensor E_{ij}. Temporal differentiation of the constitutive relation(Eq.(7))

224 ~~yields velocity-stress relationships when combined with Eq. (8).~~

225

$$\begin{cases} \rho\dfrac{\partial v_i}{\partial t} = \dfrac{\partial \sigma_{ij}}{\partial x_j} \\[2mm] \dfrac{\partial \sigma_{ij}}{\partial t} = \lambda\delta_{ij}\dfrac{\partial E_{kk}}{\partial t} + 2\mu\dfrac{\partial E_{ij}}{\partial t} \\[2mm] \qquad = \lambda\delta_{ij}\dfrac{\partial v_k}{\partial x_k} + \mu(\dfrac{\partial v_i}{\partial x_j} + \dfrac{\partial v_j}{\partial x_i}) + \underbrace{\lambda\delta_{ij}(\dfrac{\partial v_k}{\partial x_m}\cdot\dfrac{\partial u_k}{\partial x_m}) + 2\mu\dfrac{\partial v_k}{\partial x_i}\cdot\dfrac{\partial u_k}{\partial x_j}}_{\text{additional terms}} \end{cases}, \; i,j,k,m\in\{1,2,3\} \quad (10)$$

226 ~~where $v_i = \partial u_i/\partial t$ ($i\in\{x, y, z\}$). Nonlinear contributions emerge through~~

227 ~~velocity-displacement coupling, whereupon take the displacement preserving terms~~

228 ~~(u_i) as products of velocity components v_i and time dt (serve as time step in~~

229 ~~simulations) in the equations. In addition, rotation rates around Cartesian axes are~~

230 ~~derived from the antisymmetric rotation tensor (Eq. (4)).~~

231 ~~Based on the equations, we implement these formulations through C/C++ code~~

232 ~~to numerically simulate seismic wave propagation. It contains~~we employ the ~~perfectly~~

233 Perfectly ~~matched~~ Matched ~~layer~~ Layer (PML) absorbing boundary ~~to suppress~~

234 ~~boundary reflections~~condition (~~Dong and Ma, 2000~~Li et al., 2015). For simulations

235 involving a free surface, ~~and acoustic boundary replacement (Eq. (13)) for~~the

236 free ~~surface~~ boundary is implement~~edation~~ at the top of the model by setting the

237 acoustic boundary replacement (Xu et al., 2007; Wang et al., 2012). The numerical

238 code used in this study has been benchmarked against international standard programs,

239 including SPICE/SISMOWINE and SPECFEM2D. The comparison is provided in the

240 supplementary material.

241 ~~3~~2.3~~1~~ Source implementation and simulation ~~Forward modelling~~ parameters

242 The ~~s~~Seismic moment tensors M_{ij} ~~provide~~is the ~~most~~ complete ~~mathematical~~

representation of ~~an~~ equivalent body-force system for a point ~~sources~~ (Gilbert, 1970).

~~when the seismic wavelength exceeds the source dimension (Gilbert, 1970). As~~

~~defined in Eq. (12), the symmetric second-order moment tensor **M** quantifies the~~

~~equivalent force system acting at the hypocenter:~~

~~$M_{ij} = \mu A(v_i n_j + v_j n_i), \; i, j \in \{1, 2, 3\}$ (12)~~

~~Where μ is the shear modulus, A the fault area, v_i the slip vector, and n_i the fault~~

~~normal vector. The tensor~~It can be decomposed into three fundamental components:

isotropy (ISO) ~~component~~, double couple (DC) ~~component~~, and compensated linear

vector dipole (CLVD) ~~component~~ (Knopoff and Randall, 1970; Jost and Hermann,

1989). ~~Specifically, the ISO component represents volumetric change with non-zero~~

~~trace and uniform force along principal axes. The DC component signifies pure shear~~

~~dislocation without volumetric change without volume variation. The CLVD~~

~~component describes axial contraction/expansion with a dipole magnitude ratio~~

~~2:-1:-1.~~ These moment tensor expressions can be written as Eq. (11~~3~~). ~~These~~

~~components govern distinct radiation patterns critical for understanding nonlinear~~

~~seismic wave propagation effects.~~

$$\boldsymbol{M}^{ISO} = \begin{pmatrix} M_{xx} & 0 & 0 \\ 0 & M_{yy} & 0 \\ 0 & 0 & M_{zz} \end{pmatrix}, \boldsymbol{M}^{DC} = \begin{pmatrix} 0 & M_{xy} & 0 \\ M_{yx} & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \boldsymbol{M}^{CLVD} = \begin{pmatrix} M_{xx} & 0 & 0 \\ 0 & M_{yy} & 0 \\ 0 & 0 & -2M_{zz} \end{pmatrix} \quad (13\text{1})$$

In our SGFD framework, we follow the method proposed by Graves (1996) to

implement the moment tensor source by converting the moment rate tensor into

equivalent body forces. The equivalent velocity increment Δv^n added on the grid can

be expressed as:~~Following Graves(1996), we implement moment tensor sources in the~~

~~staggered-grid finite-difference scheme by converting body force to equivalent~~

265 velocity sources. The loading equations for the three moment sources are shown in Eq.

266 (14).

$$
\begin{aligned}
\boldsymbol{M}^{ISO} &: \Delta v_i^n = \frac{M_{ij} \cdot dt \cdot f^n}{\rho V} \cdot \frac{\partial}{\partial x_j} \\
\boldsymbol{M}^{DC} &: \Delta v_i^n = \frac{M_{jk} \cdot dt \cdot f^n}{\rho V} \cdot (\delta_{ij} \frac{\partial}{\partial x_k} + \delta_{ik} \frac{\partial}{\partial x_j}) \\
\boldsymbol{M}^{CLVD} &: \Delta v_i^n = \frac{M_{kl} \cdot dt \cdot f^n}{\rho V} \cdot (\delta_{ik} \frac{\partial}{\partial x_l} + \delta_{il} \frac{\partial}{\partial x_k} - \frac{2}{3} \delta_{kl} \frac{\partial}{\partial x_i})
\end{aligned}
\tag{124}
$$

268 where $i, j, k, l \in \{1x, 2y, 3z\}$. Δv ~~denotes~~is the velocity increment, n is the time-~~-~~step

269 index, dt is the time step~~interval~~, ρ is the ~~material~~ density, ~~and~~ V is the grid cell

270 volume. ~~The source-time function~~, and f^n ~~uses~~is the value of the source-time function

271 at time n·dt.~~a Ricker wavelet with amplitude at~~ $n \cdot dt$.

272 W~~To exclude effects on nonlinear wave propagation from complex medium~~

273 ~~characteristics, we~~ e~~conduct~~~~urrently focus exclusively on~~ simulations in a ~~3D~~

274 homogeneous, isotropic, 3D full-space model to isolate the effects of nonlinearity

275 from those of complex media. The model parameters are: P-wave velocity v_p=4400

276 m/s, S-wave velocity v_s=3000 m/s, and density ρ=2600 kg/m³. ~~numerical~~

277 ~~implementation employs the Ricker wavelet with a 0.5 Hz dominant frequency.~~ The

278 model ~~spans~~space is 80~~14~~ km ~~(x)~~ × 80~~14~~ km ~~(y)~~× 80~~14~~ km~~(z)~~ with a uniform grid

279 spacing of $\Delta x = \Delta y = \Delta z = 50$ m~~500 meters in X, Y, and Z directions. Material~~

280 ~~properties are: P-wave velocity~~ v_p~~=4400 m/s, S-wave velocity~~ v_s~~=3000 m/s, and~~

281 ~~density~~ ρ~~=2600 kg/m³.~~ The source ~~resides~~is located at the model center ~~(40 km, 40 km,~~

282 ~~40 km).~~ It uses a Ricker wavelet with a dominant frequency of 4 Hz as the

283 source-time function. Receivers are arranged on a spherical surface with a radius of 5

284 km centered at the source to sample wavefields at various azimuths. The time step

285 is~~Temporal discretization uses $\Delta t = 15$ m~~0.0015s~~., with second-order differential~~

286 ~~accuracy in time and sixth-order in space.~~

287 ~~For Numerical stability, based on the simulation parameters, the spatial~~

288 ~~discretization achieves 10.4 ($v_s/\Delta/f_{dominant}$) points per wavelength for the dominant~~

289 ~~frequency, which exceeds the 8-10 PPW threshold for sixth-order schemes to~~

290 ~~suppress numerical dispersion artifacts (Virieux, 1986). Follows the temporal stability~~

291 ~~of 3D Courant-Friedrichs-Lewy (CFL) criterion ($\Delta t \cdot v_{max} \cdot sqrt(1/\Delta x^2 + 1/\Delta y^2 + 1/\Delta z^2)$), it~~

292 ~~reaches about 0.16. The resultant CFL number is a conservative value relative to the~~

293 ~~empirical 3D stability limit of 0.5 (Moczo et al., 2007), ensuring waveform fidelity~~

294 ~~while accommodating potential nonlinear term amplification~~

295 The shortest wavelength for the 4 Hz dominant frequency is the S-wavelength

296 ($\lambda_S = 750$ m). Based on the 50 m grid spacing, there are 15 grid points per wavelength,

297 which is well above the threshold required for high-order finite-difference schemes

298 (Virieux, 1986). The Courant-Friedrichs-Lewy (CFL) number is approximately 0.23,

299 which is well below the stability limit for 3D finite-difference methods (Moczo et al.,

300 2007), ensuring the stability and accuracy of the numerical computation.~~.~~

301 ~~3.2 Simulation results~~

302

$$
\begin{cases}
\sigma^0_{zz} = 0 \\
\rho = 0.5\rho_0 \\
\lambda = 0 \\
\mu = \mu_0
\end{cases}
\tag{11}
$$

303

304 ~~where σ^0_{zz}, ρ, λ, and μ denote normal stress, medium density, and Lamé coefficients at~~

305 ~~and above the free surface, while ρ_0 and μ_0 represent density and Lamé coefficients~~

306 ~~below the free surface, respectively.~~

3 <ins>Comparison of s</ins>Simulation~~s of basic seismic moment sources~~ <ins>results</ins>

308 ~~3.1 Forward modelling parameters~~

309 ~~Seismic moment tensors provide the most complete mathematical representation~~

310 ~~of point sources when the seismic wavelength exceeds the source dimension (Gilbert,~~

311 ~~1970). As defined in Eq. (12), the symmetric second-order moment tensor **M**~~

312 ~~quantifies the equivalent force system acting at the hypocenter:~~

313
$$M_{ij} = \mu A(v_i n_j + v_j n_i),\ i,j \in \{1,2,3\}$$
~~(12)~~

314 ~~Where μ is the shear modulus, A the fault area, v_i the slip vector, and n_j the fault~~

315 ~~normal vector. The tensor can be decomposed into three fundamental components:~~

316 ~~isotropy (ISO) component, double couple (DC) component, and compensated linear~~

317 ~~vector dipole (CLVD) component (Knopoff and Randall, 1970; Jost and Hermann,~~

318 ~~1989). Specifically, the ISO component represents volumetric change with non-zero~~

319 ~~trace and uniform force along principal axes. The DC component signifies pure shear~~

320 ~~dislocation without volumetric change without volume variation. The CLVD~~

321 ~~component describes axial contraction/expansion with a dipole magnitude ratio~~

322 ~~2:–1:–1. These moment tensor expressions can be written as Eq. (13). These~~

323 ~~components govern distinct radiation patterns critical for understanding nonlinear~~

324 ~~seismic wave propagation effects.~~

325
$$\boldsymbol{M}^{ISO} = \begin{pmatrix} M_{11} & 0 & 0 \\ 0 & M_{22} & 0 \\ 0 & 0 & M_{33} \end{pmatrix},\ \boldsymbol{M}^{DC} = \begin{pmatrix} 0 & M_{12} & 0 \\ M_{21} & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix},\ \boldsymbol{M}^{CLVD} = \begin{pmatrix} M_{11} & 0 & 0 \\ 0 & M_{22} & 0 \\ 0 & 0 & -2M_{33} \end{pmatrix}$$
~~(13)~~

326 ~~Following Graves(1996), we implement moment tensor sources in the~~

327 staggered-grid finite-difference scheme by converting body force to equivalent

328 velocity sources. The loading equations for the three moment sources are shown in Eq.

329 (14).

$$\boldsymbol{M}^{ISO} : \Delta v_i^n = \frac{M_{ij} \cdot dt \cdot f^n}{\rho V} \cdot \frac{\partial}{\partial x_j}$$

$$\boldsymbol{M}^{DC} : \Delta v_i^n = \frac{M_{jk} \cdot dt \cdot f^n}{\rho V} \cdot (\delta_{ij} \frac{\partial}{\partial x_k} + \delta_{ik} \frac{\partial}{\partial x_j}) \qquad\qquad (14)$$

$$\boldsymbol{M}^{CLVD} : \Delta v_i^n = \frac{M_{kl} \cdot dt \cdot f^n}{\rho V} \cdot (\delta_{ik} \frac{\partial}{\partial x_l} + \delta_{il} \frac{\partial}{\partial x_k} - \frac{2}{3} \delta_{kl} \frac{\partial}{\partial x_i})$$

331 where $i, j, k, l \in \{1,2,3\}$. Δv denotes velocity increment, n the time step index, dt the

332 time interval, ρ material density, and V grid cell volume. The source-time function f^n

333 uses a Ricker wavelet with amplitude at $n \cdot dt$.

334 To exclude effects on nonlinear wave propagation from complex medium

335 characteristics, we currently focus exclusively on simulations in a 3D homogeneous

336 isotropic full-space model. The numerical implementation employs the Ricker

337 wavelet with a 0.5 Hz dominant frequency. The model spans 80 km (x) × 80 km (y) ×

338 80 km (z) with a uniform grid spacing of 500 meters in X, Y, and Z directions.

339 Material properties are: P-wave velocity v_p=4400 m/s, S-wave velocity v_s=3000 m/s,

340 and density ρ=2600 kg/m³. The source resides at the model center (40 km, 40 km, 40

341 km). Temporal discretization uses $\Delta t = 15$ ms, with second-order differential accuracy

342 in time and sixth-order in space.

343 For Numerical stability, based on the simulation parameters, the spatial

344 discretization achieves 10.4 ($v_s / \Delta / f_{dominant}$) points per wavelength for the dominant

345 frequency, which exceeds the 8–10 PPW threshold for sixth-order schemes to

346 suppress numerical dispersion artifacts (Virieux, 1986). Follows the temporal stability

347   of 3D Courant-Friedrichs-Lewy (CFL) criterion ($\Delta t \cdot v_{max} \cdot sqrt(1/\Delta x^2 + 1/\Delta y^2 + 1/\Delta z^2)$), it

348   reaches about 0.16. The resultant CFL number is a conservative value relative to the

349   empirical 3D stability limit of 0.5 (Moczo et al., 2007), ensuring waveform fidelity

350   while accommodating potential nonlinear term amplification.

351   3.2 Simulation results

352   3.1 Basic characteristics of nonlinear seismic wavefields

353   3.2.1 ISO source

354   Fig. 3(a) displays the 6C wavefield snapshots at 8 seconds for the ISO source at

355   t=1.5s under nonlinear deformation condition. Translational components exhibit

356   uniform P-wave amplitudes, while Fig. 3(b) compares the linear and nonlinear

357   waveforms recorded at a specific receiver rotational components show near absence of

358   P-wave energy. Within the framework of linear The wavefield differences between

359   linear and nonlinear simulations (Fig.3b) reveal emergent S-wave signatures,

360   contrasting with classical elastodynamic theory, an where ISO sources exclusively

361   only generates P-waves in homogeneous elastic isotropic media through pure

362   compressional/expansional volume change. However, a faint signal is still recorded in

363   the P-wave window of the rotational components in the linear simulation (black line).

364   This signal originates from the spatial gradients produced by the wavefront curvature

365   of the near-field P-wave. In the far field, P-wavea can be approximated as an

366   irrotational plane wave with no curl in its displacement field. In the near field,

367   however, the spatial gradients of its displacement field can generate weak rotation.

368   This signal is very weak compared to the rotational signals generated by S-waves, and

369   is therefore treated in this study as the baseline background for assessing the nonlinear

370   contributions.

371   The waveform comparison shows that in the S-wave window, the nonlinear

372   simulation exhibits a distinct response with obvious amplitude, whereas the linear

373   simulation is near zero in the ISO results. This vibration is attributed to the P-to-S

374   mode conversion of energy, caused by geometric nonlinearity. Analysis of the

375   waveform characteristics in Fig. 3(b) reveals that the EM caused by nonlinearity is

376   larger in the rotational components than in the translational ones, while the PM is

377   nearly zero. This indicates that the primary effect of geometric nonlinearity is to

378   modulate the energy distribution and envelope shape of the waves, rather than

379   perturbing their propagation velocity or arrival time. This effect originates from the

380   higher-order nonlinear terms in the strain-displacement relationship. These terms act

381   as new, endogenous body force terms in the wave equation, equivalent to producing

382   minor secondary perturbations in the wave's propagation. These perturbations interact

383   with the primary wavefield, thereby reallocating energy and ultimately altering the

384   wave's amplitude and shape. For instance, as a P-wave from an ISO source

385   propagates, its non-uniform strain field generates spatial gradients. Through the

386   self-interaction of these gradients via the nonlinear terms, an equivalent body force

387   source with a curl component is generated within the medium, which then radiates

388   S-waves.

389   ~~The anomalous P-S coupling phenomenon arises from nonlinear~~

390   ~~volumetric shear strain interactions governed by the constitutive relationship (Eq. (7)),~~

391   ~~where the higher-order terms enable energy transfer between compressional and shear~~

392   ~~deformation modes.~~

393   ~~Fig. 4 quantified the relative change between linear and nonlinear simulations at~~

394   ~~each grid cell volume. We applied a stability threshold to the relative change~~

395   ~~calculations to mitigate the influence of unrealistic wavefields (value$_{linear}$→0). It can~~

396   ~~be seen from Fig.4 that (i) the spatial distribution exhibits general symmetry and~~

397   ~~homogeneity with alternating positive/negative anomalies (Fig. 4a), where negative~~

398   ~~values (<0) indicate overestimation by linear theory and positive values (>0) suggest~~

399   ~~underestimation; (ii) rotational components have different and more complex~~

400   ~~azimuthal distribution and larger relative changes than translational components, also~~

401   ~~evidenced by probability density function (PDF) distributions in (Fig. 4b).~~
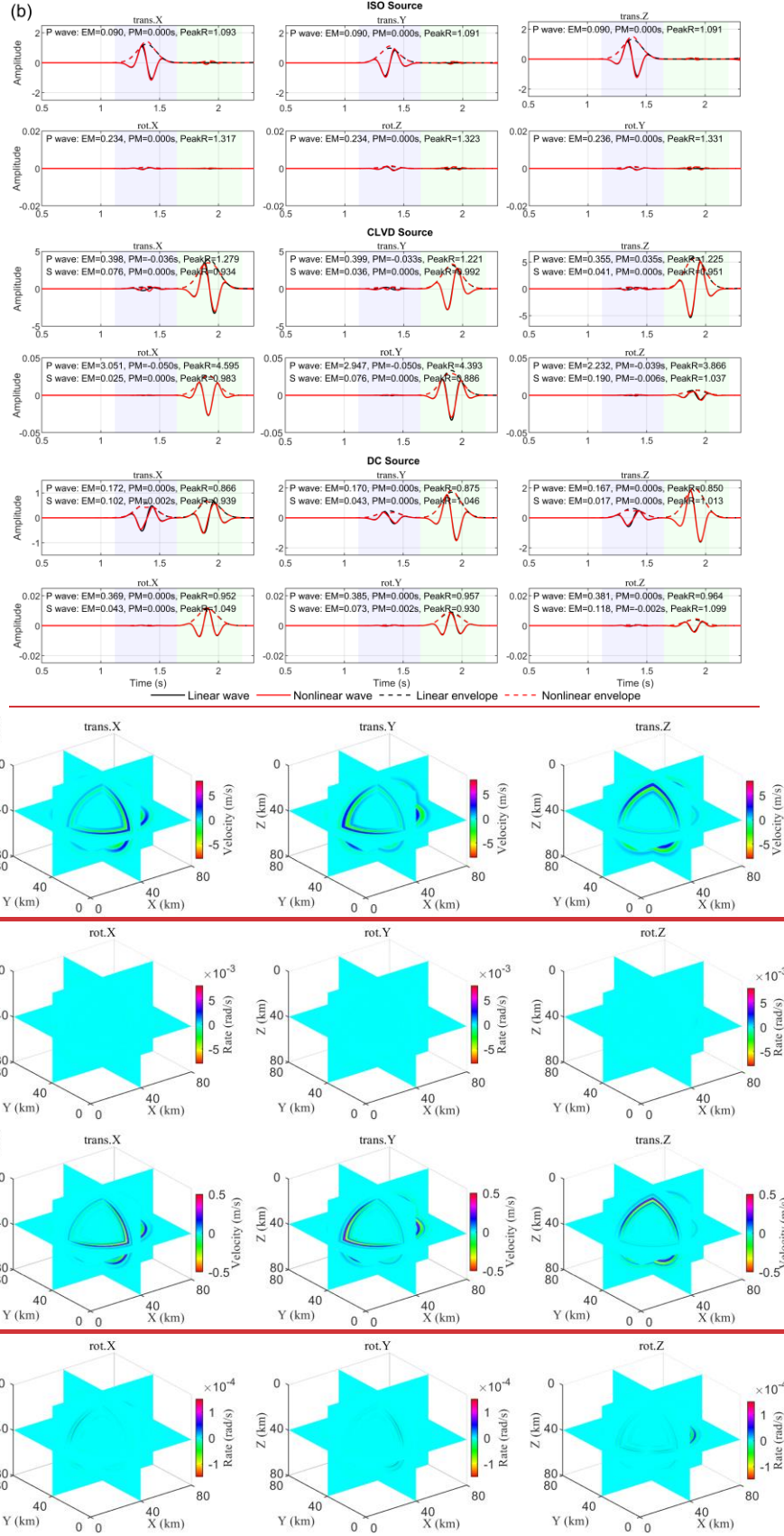

402
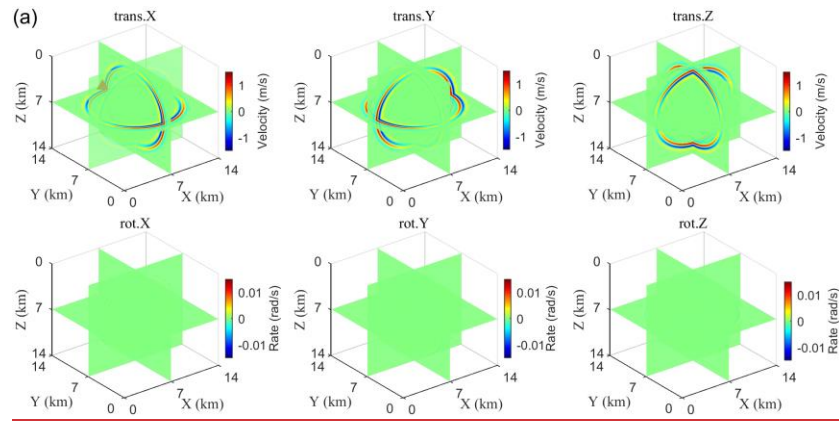
Figure 3. (a) Snapshot of the 6C wavefield from the linear ISO source simulation and

the location of the example receiver (indicated by the red triangle on the trans.X

408   component). (b) Comparison of the waveforms and envelope characteristics of the

409   linear and nonlinear simulations at this receiver. The P-wave and S-wave analysis

410   windows are marked. EM: envelope misfit; PM: phase misfit; PeakR: Peak ratio.~~(a)~~

411   ~~Nonlinear 6C wavefield and (b) linear-nonlinear discrepancy for Mw7 ISO source at~~
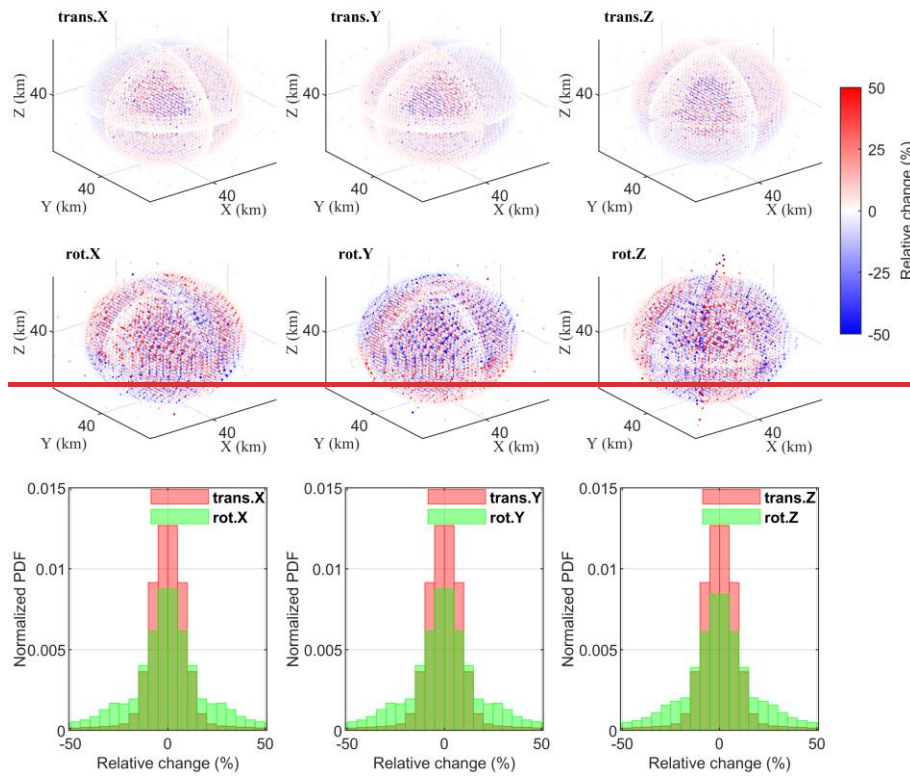
412   ~~t=8s.~~

413

414

415   **~~Figure 4.~~** ~~ISO source linear-nonlinear relative change: (a) 3D spatial distribution (b)~~

416   ~~Probability density function.~~

417   ~~3.2.2 DC source~~

418   We next calculated the energy of the residual waveforms between the linear and

419   nonlinear simulations. Fig. 4 shows the projection of this metric in the P-wave and

420   S-wave windows onto three principal planes.
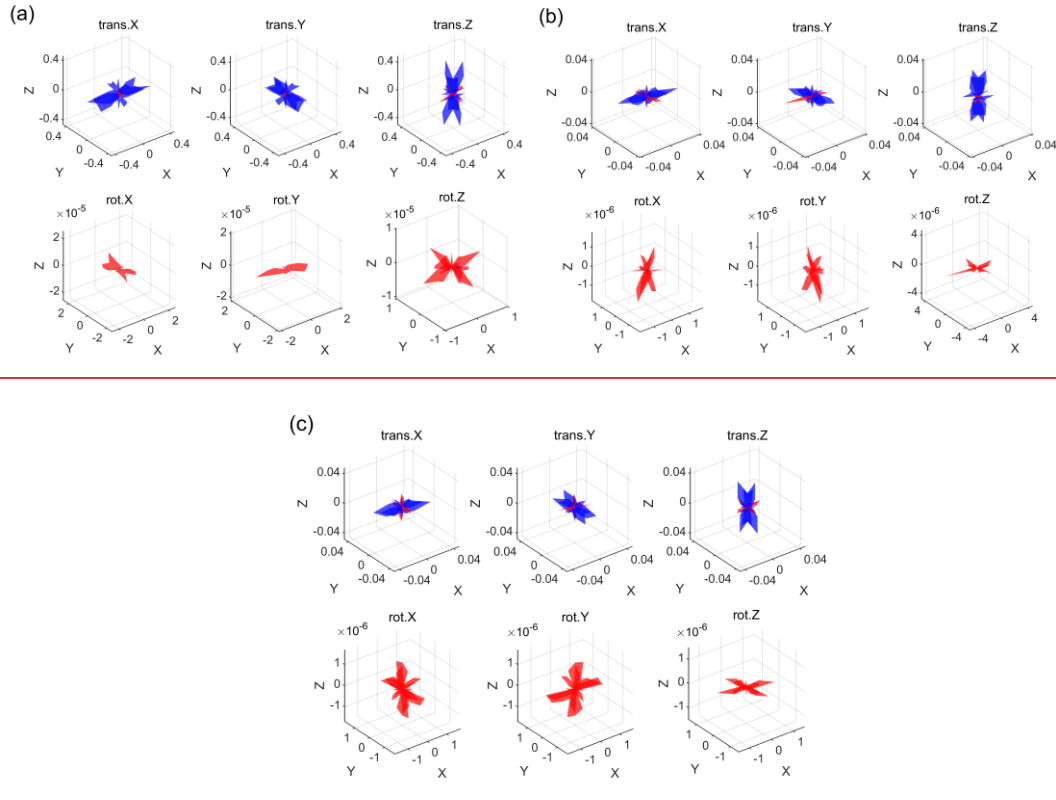
421

422

423   **Figure 4.** 3D spatial projection of the intensity of waveform perturbations

424   generated by nonlinearity. (a)-(c) are the results for CLVD, DC, and ISO sources,

425   respectively. Each subplot shows the projection of the nonlinear effect intensity of the

426   P-wave (blue) and the S-wave (red).

427   The results indicate that the radiation of the nonlinear effect follows fundamental

428   wave physics principles. In the translational components, the nonlinear effect of the

429   P-wave is much stronger than that of the S-wave, and its dominant direction is

430   consistent with the corresponding coordinate axes, reflecting the direction of the

431   strongest strain gradient in the linear P-wave field. Consequently, the nonlinear effect

432   is strongest in the direction where the theoretical linear P-wave amplitude projects

433   most strongly onto the corresponding Cartesian component (e.g., the X-axis direction

434   for the trans.X component). In the rotational components, the nonlinear perturbation

435 in the S-wave is dominant. This is because rotational motion is generated by shear

436 strain gradients, and the S-wave, carrying substantial shear energy, is most effective at

437 producing the shear strain gradients required to drive rotation.

438   Building on the time-domain analysis, spectral analysis was conducted on

439 waveforms in the P- and S-wave windows of the median and interquartile range (IQR)

440 of the Power Spectral Density (PSD), as shown in Fig. 5. For the CLVD and DC

441 sources, the median nonlinear spectrum is systematically higher than the median

442 linear spectrum in the mid-to-high frequency band (approx. 5-20 Hz) in both P- and

443 S-waves, and this phenomenon is more pronounced in the rotational components. The

444 translational component spectra are nearly identical in the ISO source simulation. In

445 the S-wave comparison for the ISO source, the linear translational and rotational

446 energies are extremely low, essentially at the level of numerical simulation noise. In

447 contrast, the nonlinear spectrum is several orders of magnitude higher, providing

448 frequency-domain evidence for the nonlinear P-S mode conversion. The IQR of the

449 PSD indicates that the nonlinear effects exhibit strong spatial variability, being

450 enhanced in some azimuths while potentially being weakened in others, thus showing

451 significant azimuthal dependence. The spectral comparison reveals a key feature of

452 the nonlinear effect: energy transfer to higher frequencies. The energy injected by the

453 source, primarily concentrated at lower frequencies, is efficiently pumped by the

454 nonlinear secondary source and re-radiated as higher-frequency energy. This

455 redistribution of high-frequency energy, accompanied by the mode conversion of P-

456 and S-waves, collectively causes the nonlinear spectral lines to be systematically

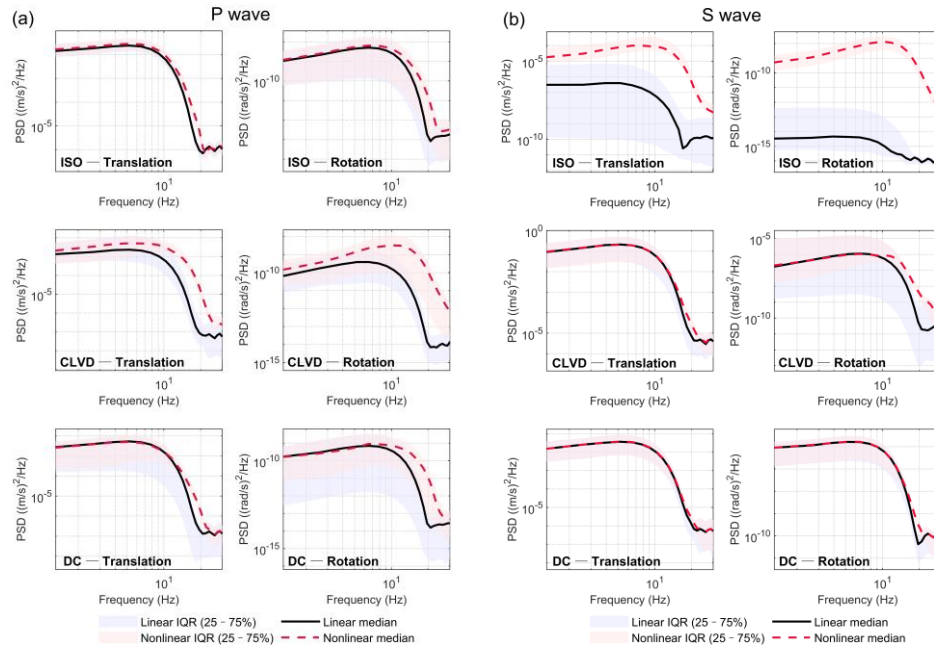457 <u>higher than the linear ones in the mid-to-high frequency range.</u>

459 **Figure 5.** <u>Comparison of the (a) P-wave and (b) S-wave PSD of translational and</u>

460 <u>rotational components. The black solid line is the median PSD from the linear</u>

461 <u>simulations, the red dashed line is from the nonlinear simulations, and the shaded area</u>

462 <u>represents the 25%-75% IQR.</u>~~Fig. 5a presents 6C wavefield snapshots for the DC~~

463 ~~source under nonlinear conditions. The wavefield difference between nonlinear and~~

464 ~~linear wavefields in Fig. 5b demonstrates a different wavefront energy distribution~~

465 ~~from the original wavefront distribution in Fig. 5a. This energy redistribution caused~~

466 ~~by nonlinearity indicates that shear-dominated sources induce more complex~~

467 ~~nonlinear interactions.~~

468 ~~The relative changes of nonlinear effects (Fig. 6) reveal not only zone variation~~

469 ~~but also localized strong nonlinearities at axial positions related to the distribution of~~

470 ~~the force couples tied to the DC source of fault displacement directions. At the same~~

471 ~~time, rotational components show a similar spatial distribution of underestimated and~~

472   ~~overestimated areas and localized strong nonlinearities, and rot.X and rot.Y~~

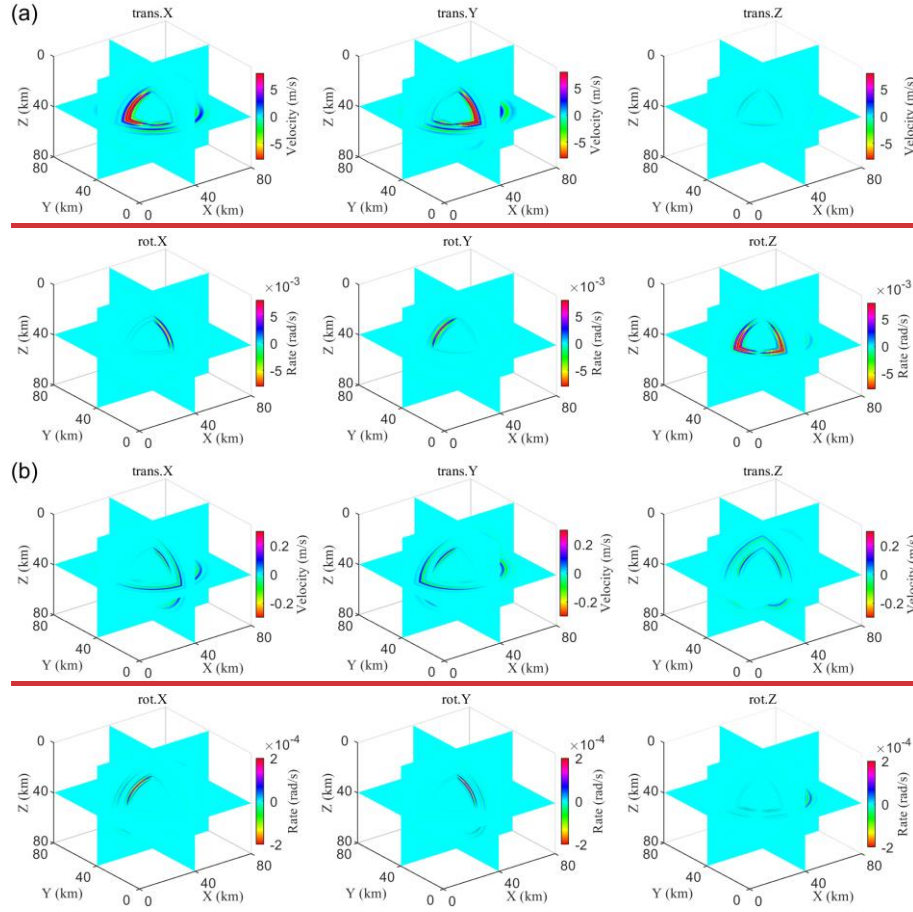473   ~~components show larger change values, as seen from the PDF results.~~

476   ~~**Figure 5.** (a) Nonlinear 6C wavefield and (b) linear-nonlinear discrepancy for~~
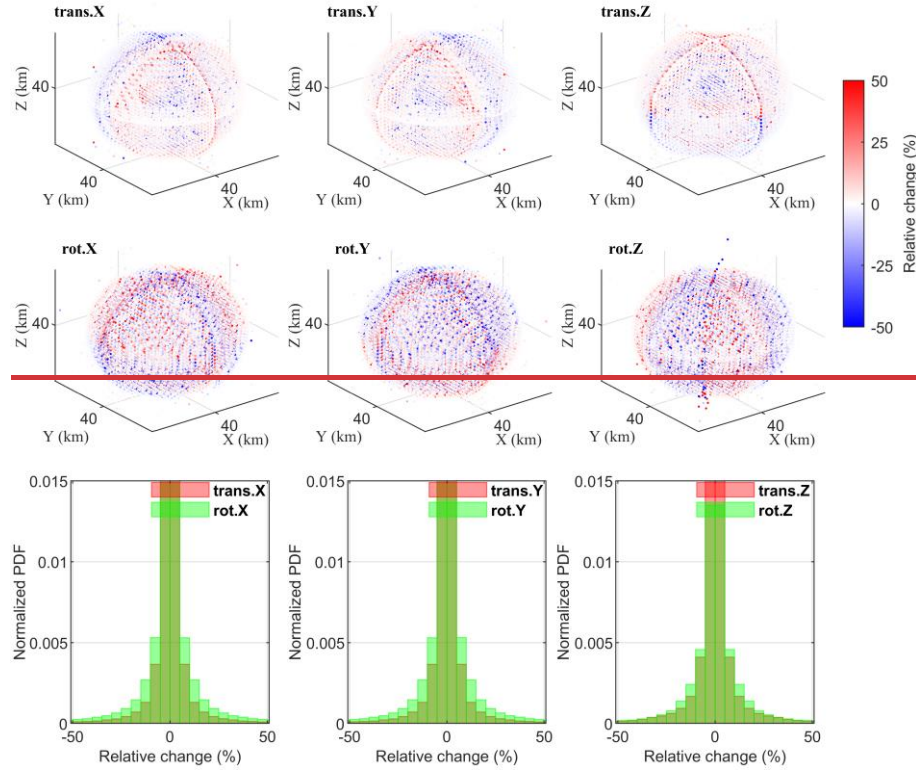
477   ~~Mw7 DC source at t=8s.~~

~~**Figure 6.** DC source linear-nonlinear relative change: (a) 3D spatial distribution (b)~~

~~Probability density function.~~

3.1 Source-type dependency of nonlinear effects

~~3.2.3 CLVD source~~

Fig. 6 presents the log-ratio of nonlinear to linear energy ($\log(E_{nl} / E_{lin})$) for the

tangential energy in the P-wave (E^P) and the radial energy in the S-wave (E^S). The

energy E is obtained by time-integrating the square of the wavefield amplitude. Fig. 6,

through scatter plots and statistics, shows the distribution of the logarithmic energy

ratios for the three source types, quantitatively assessing the overall impact of

nonlinear effects on the energy of specific wave types.

Regarding the tangential energy in the P-wave (Fig. 6(a)), the nonlinear

491   enhancement is most significant for the CLVD source, followed by the DC source,

492   while the effect of the ISO source is minimal. This indicates that the CLVD source is

493   the most effective mechanism for exciting the nonlinear growth of P-wave tangential

494   energy. For the radial energy in the S-wave (Fig. 6(b)), the net effect of nonlinearity

495   for the CLVD and DC sources is not statistically significant, possibly due to the

496   cancellation of azimuthal enhancement and reduction.
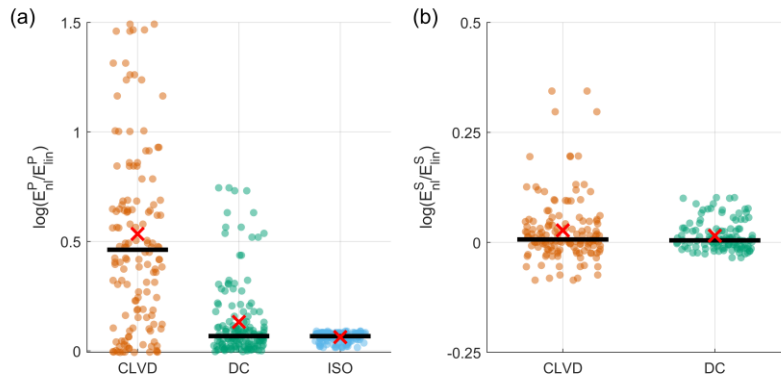
497

498   **Figure 6.** Distribution of the nonlinear/linear log-ratio for (a) tangential energy

499   in the P-wave and (b) radial energy in the S-wave. The dots represent the values for

500   each independent receiver; the black bar indicates the median of the data; the red

501   cross indicates the arithmetic mean.

502   To further investigate the impact of nonlinearity on the micro-properties of the

503   wavefield, we introduce two quantitative metrics to analyze the waveform attribute

504   changes within the P-wave window. First, we use rectilinearity (rect) to measure the

505   deviation of P-wave particle motion from a straight line. This metric is calculated

506   based on the eigenvalues of the covariance matrix. The sign of the change, $\Delta\text{rect} =$

507   rect_{nl} - rect_{lin}, reflects whether the nonlinearity promotes or suppresses the leakage of

508   P-wave energy into the tangential direction. Second, to explore the coupling

509 relationship between different motion modes, we introduce the rotation-translation

510 correlation (corr). This metric calculates the Spearman's rank correlation coefficient

511 between the time series of the tangential velocity magnitude and the rotation rate

512 magnitude, quantifying the synchrony of the time-varying intensity envelopes of these

513 two physical motions.

514   Fig. 7(a) shows the statistical distribution of the differences in these two metrics.

515 For all three sources, Δcorr is generally positive, indicating that geometric

516 nonlinearity may be a common physical mechanism that enhances the coupling

517 between rotation and translation. In contrast, the distribution of Δrect shows a

518 pronounced source dependence: the ISO source causes weak changes, the DC source

519 induces significant negative changes, while the CLVD source primarily causes

520 positive changes. The spatial distribution of Δrect in Fig. 7(b) further reveals its

521 essential connection to the source's strain state. For the ISO source, characterized by

522 pure volumetric strain, the pure volumetric strain excites a very weak shear effect

523 through nonlinearity, leading to a slight decrease in P-wave rectilinearity, but the

524 efficiency of this conversion is extremely low. For the pure-shear DC source, the

525 significant decrease in rectilinearity is concentrated near the P-wave radiation nodes,

526 which are the regions with the strongest linear shear strain gradients. This indicates

527 that in these regions, the efficiency of the nonlinear P-S mode conversion is highest,

528 leading to a noticeable decrease in rectilinearity. For the CLVD source, which

529 combines strong uniaxial compression and axisymmetric shear, the increase in

530 rectilinearity is also concentrated in regions with strong strain gradients. The result

531   reveals that, in a complex stress state with coexisting tension and compression, the

532   nonlinear effect may instead constrain energy to propagate in the radial direction,

533   suppressing leakage into the tangential direction, thereby purifying the P-wave
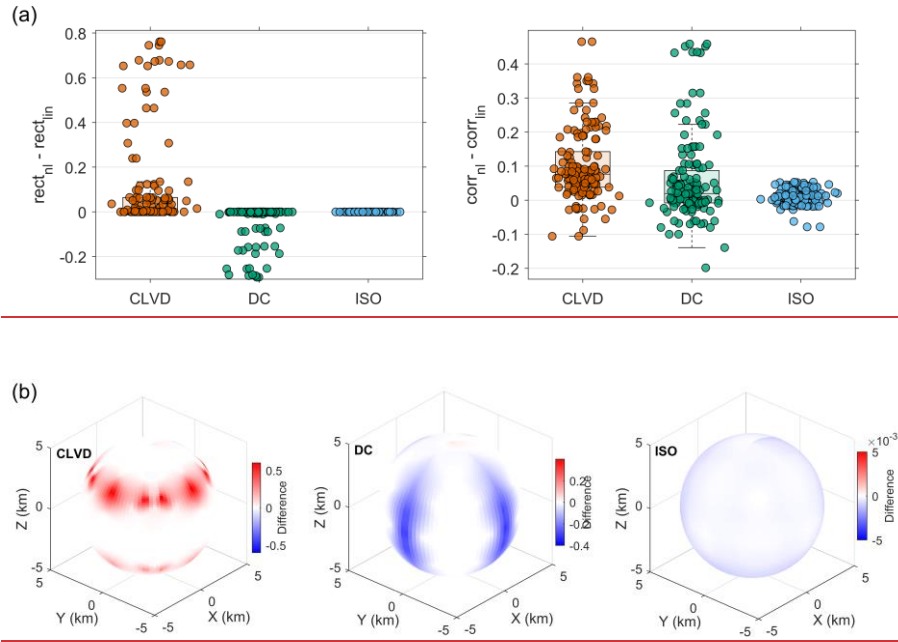
534   motion.

535

536

537   **Figure 7.** (a) Statistical distribution of the change in P-wave rectilinearity and

538   rotation-translation correlation changes, and (b) spherical spatial distribution of Δrect

539   in different receivers.

540   In summary, the analysis of the wavefield properties demonstrates that the

541   manifestation of nonlinear effects is fundamentally controlled by the type of strain

542   field initialized by the source and the geometry of its spatial gradients. Different

543   source mechanisms, by initializing different strain fields, thus modulate the mode,

544   intensity, and even the effect of the nonlinear response.

545   **4 Simulation of nonlinear effects for referenced earthquake events**~~Earthquakes~~

546   ~~simulations~~

547   To extend the understanding established in the idealized full-space model to

548 more realistic scenarios involving complex propagation effects~~Building upon the~~

549 ~~theoretical framework for fundamental source types~~, we further ~~extend our~~

550 simulat~~cions~~ two moderate-to-strong referenced earthquakes that occurred in the

551 coastal region of Taiwan: event~~more complex scenarios incorporating realistic source~~

552 ~~mechanisms and layered media. Analyzing two moderate-magnitude earthquakes~~ (E1~~:~~

553 (Mw_5.4) and event E2~~:~~ (Mw6.1) ~~along the Taiwan coast aims to validate theoretical~~

554 ~~predictions of nonlinear wave propagation and establish baseline understanding for~~

555 ~~future observational comparisons~~. The epicenters and ~~events were respectively~~

556 ~~recorded at~~ station locations of these two referenced events are ~~s~~ NA01 (E1) ~~and~~

557 ~~QS01 (E2) (Chen et al., 2023), as~~ shown in Fig. S~~4~~10~~, depicted by GMT (Wessel et~~

558 ~~al., 2019). Moment tensor solutions derived from the U.S. Geological Survey (USGS)~~

559 ~~are defined in Eq. (16), with synthetic 6C seismograms generated under both linear~~

560 ~~and nonlinear constitutive relations.~~. Unlike the preceding basic source simulations,

561 the models in this section employ a layered velocity structure based on the CRUST1.0

562 model (Laske et al., 2013) to approximate the real Earth's structure (see Table S5).

563 The source mechanisms for both events (Eq. (13)) are mixed sources. Other detailed

564 simulation parameters for the two referenced earthquake simulations are provided in

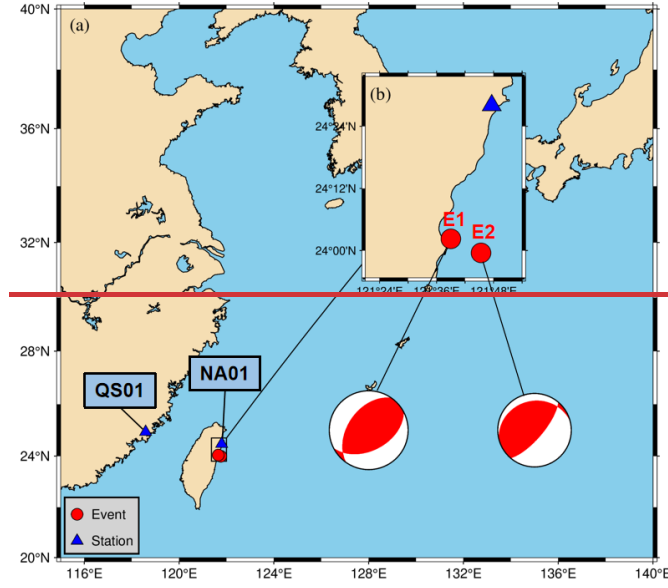565 Table S6.

566

567   ~~**Figure 10.**~~ Epicenters and observation sites of E1 and E2

$$E1:\begin{bmatrix} M_{xx} = -7.569\times10^{16}, M_{yy} = -2.373\times10^{16}, M_{zz} = 9.942\times10^{16} \\ M_{xz} = 7.372\times10^{16}, M_{yz} = -1.0965\times10^{17}, M_{xy} = 4.156\times10^{16} \end{bmatrix}$$

$$E2:\begin{bmatrix} M_{xx} = -1.064\times10^{18}, M_{yy} = -7.607\times10^{17}, M_{zz} = 1.8247\times10^{18} \\ M_{xz} = 3.141\times10^{17}, M_{yz} = 3.155\times10^{17}, M_{xy} = 1.114\times10^{18} \end{bmatrix}$$

568   (13~~6~~)

569   Fig. 8 shows the results for the E1. Compare the waveforms and the

570 time-frequency energy difference in Fig. 8(b). In the translational components, the

571 energy changes induced by nonlinearity are relatively dispersed in different types of

572 waves. However, most are concentrated during the arrival of S-wave (approx. 15-20 s)

573 and the subsequent surface waves, and faint but persistent nonlinear perturbations are

574 also observed during the P-wave and its subsequent multiple reflections/conversions

575 (approx. 5-15 s). In the rotational components, the nonlinear response energy is more

576 concentrated than in the translational components. Energy perturbations can be

577 observed on the rot.T component before the direct S-wave arrival, which may

578 correspond to nonlinear effects excited by S-waves converted from P-waves or

579 S-waves reflected/transmitted within the layered medium. For the rot.R and rot.Z

580   components, the nonlinear effects are primarily associated with the arrival of the

581   direct S-wave. Different components showing different extents of nonlinearity may

582   stem from the azimuthal location of this receiver To isolate source-related nonlinearity,

583   we simulate both earthquakes adopting the a simplified laterally homogeneous crustal

584   model based on CRUST1.0 (Laske et al., 2013), with physical properties and

585   simulation parameters listed in Tables 2 and 3. Free-surface condition is used at the

586   top, and perfectly matched layer (PML) condition is used on other boundaries, with

587   10-order differential accuracy in space.

588   **Table 2.** Physical properties of layered media.

Layer	Thickness (km)	vp (km/s)	vs (km/s)	ρ (kg/m³)
1	0.50	2.50	1.07	2.11
2	10.12	5.80	3.40	2.63
3	9.81	6.30	3.62	2.74
4	9.82	6.90	3.94	2.92
5	-	7.70	4.29	3.17

589   **Table 3** Simulation parameters

Iterm	Paremeter (E1, E2)
Dominant frequency	1 Hz, 0.5 Hz
Moment magnitude	Mw5.4, Mw6.1
Depth	15 km, 30 km
Grid spacing	1 km, 2 km
Time step	5 ms, 2 ms
Source mechanisms	Eqs. (16)
Spatial differential accuracy	10th order

590

591   Fig. 11a presents the simulated 6C seismic records for E1 in radial (R),

592   transverse (T), and vertical (Z) coordinates, demonstrating prominent amplitude

593   predominance in the trans.Z and rot.T components. Nonlinear effects manifest as

594   subtle RMS amplitude changes (<1%), with rotational anomalies weaker than

595 ~~translational counterparts (Fig. 11b). Normalized time-frequency spectral differences~~

596 ~~further highlight distinct nonlinear patterns across components and wave phases:~~

597 ~~direct and reflected waves show larger RMS amplitude changes in trans.R and Z~~

598 ~~components, while surface waves in trans.T component display the strongest~~

599 ~~nonlinear sensitivity. S-waves in rot.R and T components and surface waves in rot.Z~~

600 ~~component show enhanced nonlinear effects, and Love-wave nonlinear perturbations~~

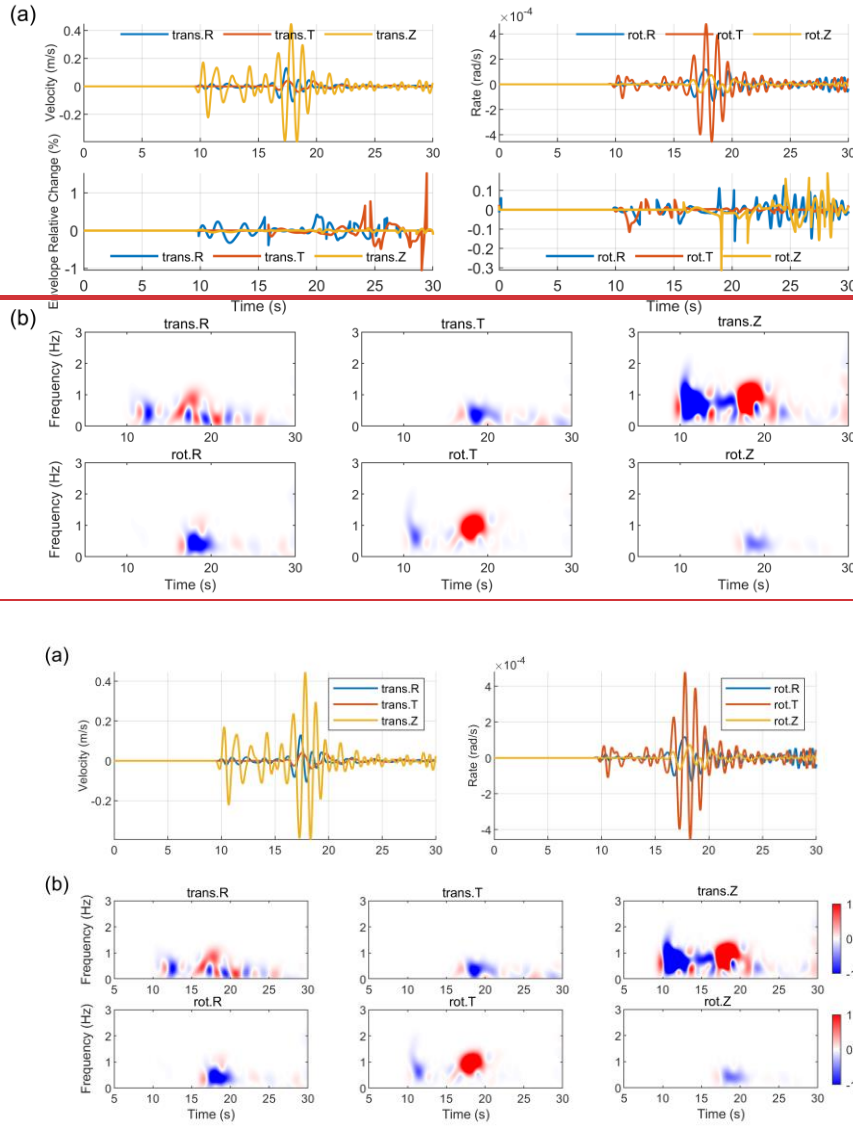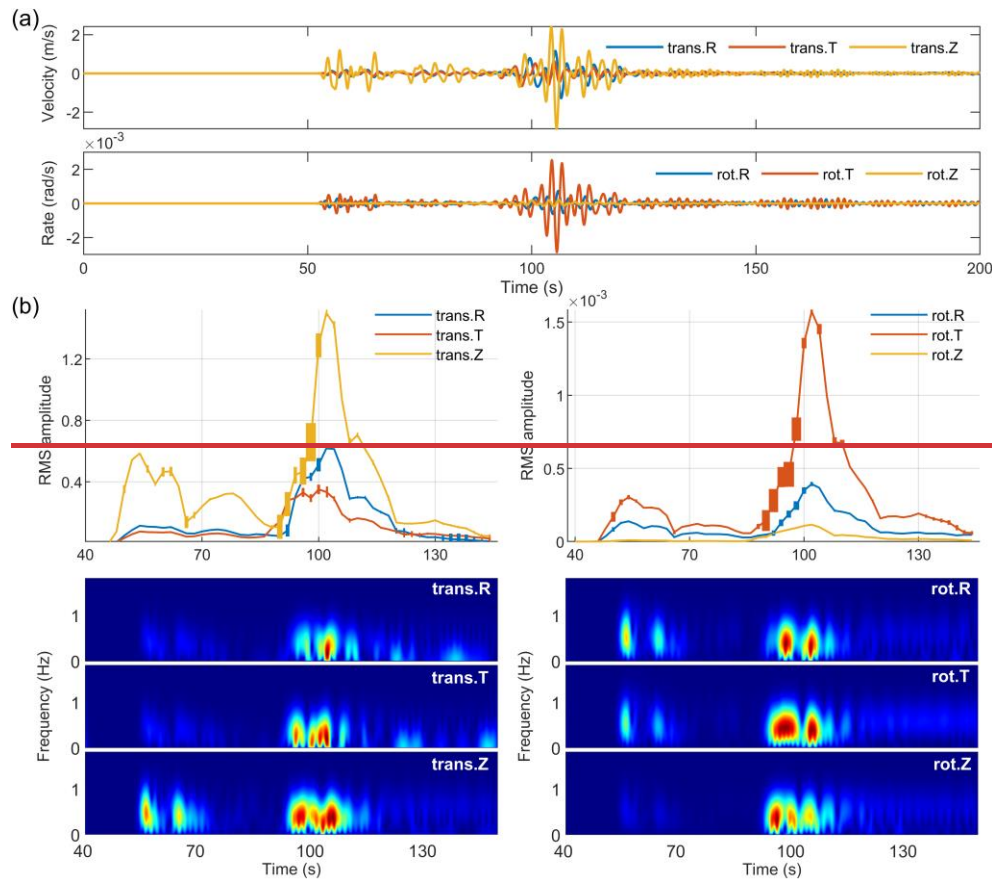601 ~~in rot.Z component remain relatively weak.~~
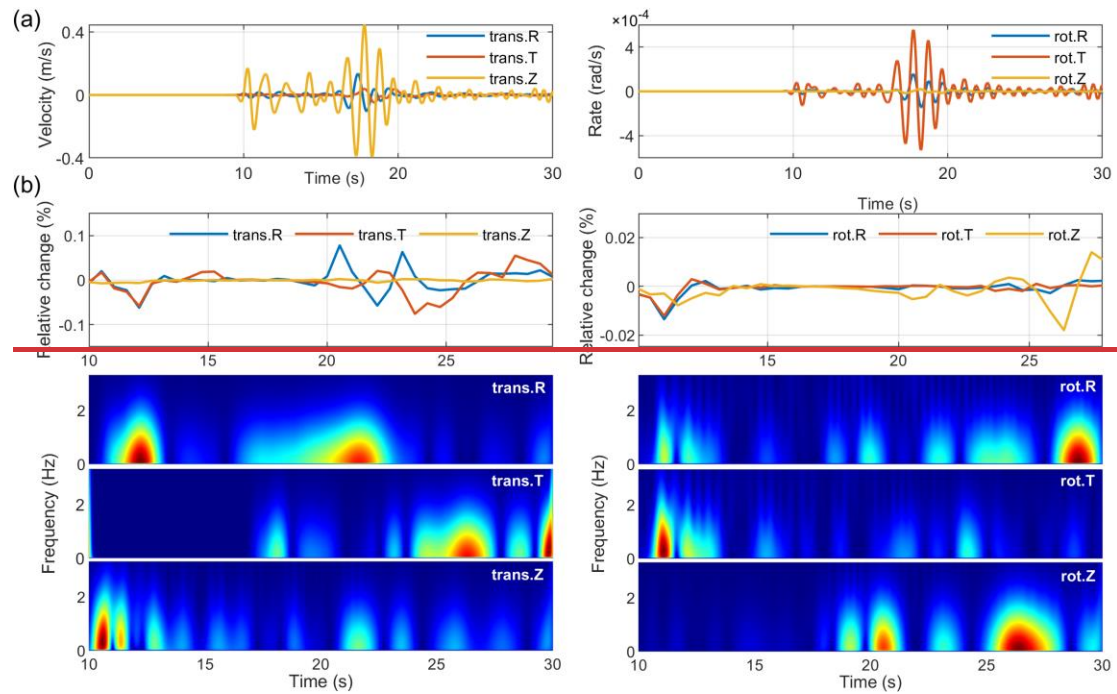
602

Figure ~~8~~11. (a) Synthetic linear 6C waveforms and (b) normalized time-frequency energy differences (the nonlinear minus the linear) for event E1.

Numerical simulations of the E2 (Fig.9) also show nonlinear effects. Combining the time-domain waveforms (Fig. 9(a)) and the time-frequency energy difference plots (Fig. 9(b)), it can be seen that significant energy changes occur in all components during the arrival of the direct S-wave (approx. 90 s) and the strong surface waves. A long-duration, very-low-frequency (<0.2 Hz) energy enhancement band appears in the

613 translational components, starting from the surface waves and continuing. This may

614 correspond to long-period perturbations induced by strong surface waves through

615 nonlinear mechanisms, potentially involving P-S coupling in layered media, while the

616 rotational components, as displacement gradients, are not sensitive to it. ~~The~~

617 ~~larger magnitude E2 simulation demonstrates stronger nonlinear effects, particularly~~

618 ~~in trans.Z and rot.T components (Fig. 12). Rayleigh waves show pronounced~~

619 ~~nonlinear distortions, while P-waves in trans.Z and surface waves in trans.R and T~~

620 ~~components exhibit moderate changes. S-waves and surface waves in the rot.R~~

621 ~~component are also affected, though rot.Z waveforms display minimal nonlinear~~
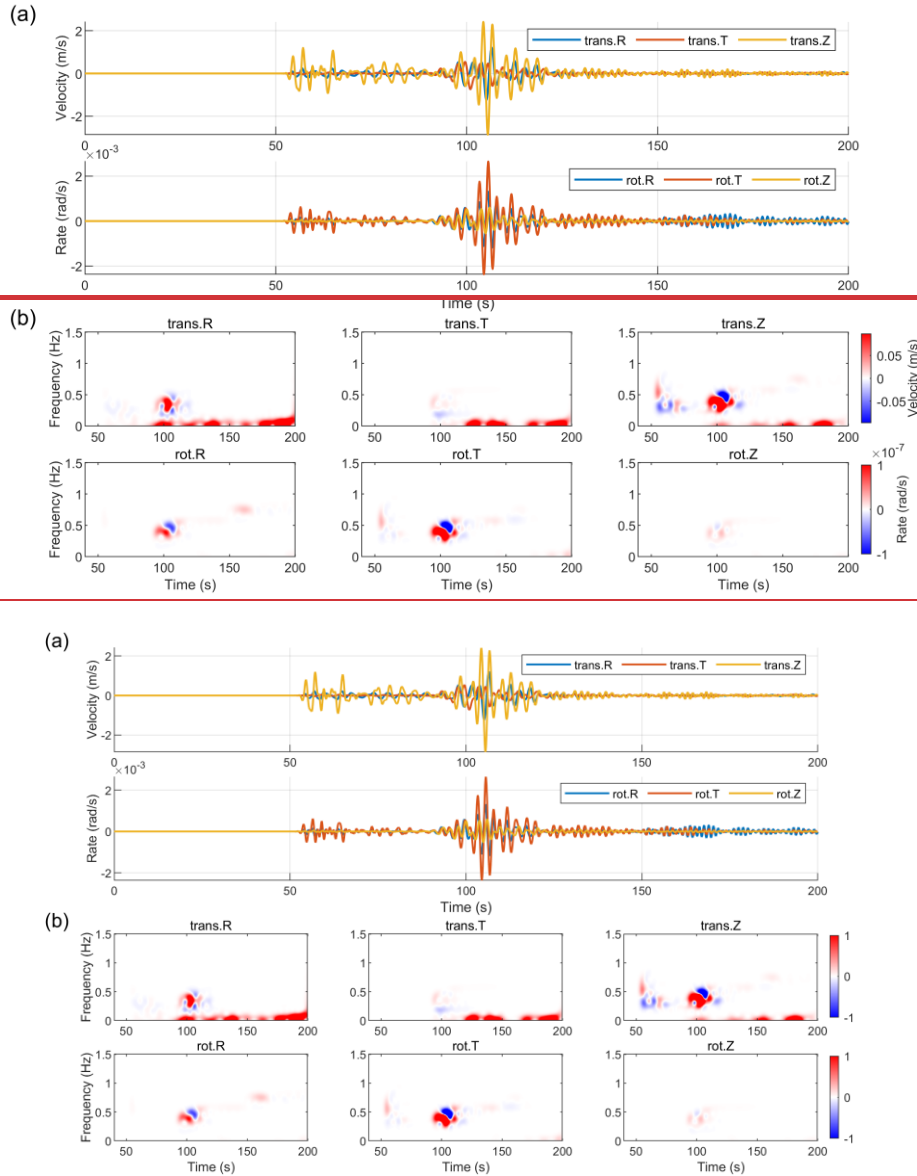
622 ~~alterations.~~

623

Figure 9~~12~~. (a) Synthetic linear 6C waveforms and (b) normalized time-frequency energy differences (the nonlinear minus the linear) for event E2.~~(a) Synthetic 6C seismic records under linear condition, and (b) RMS amplitude and nonlinear-induced time-frequency spectral difference for E2.~~

By comparing the simulations of the two events, we find that the surface waves are the primary phases that accumulate and carry nonlinear information. At the same time, the E2 event, with its different source mechanism and further propagation path,

exhibits a long-period perturbation not seen in the E1 simulation. This suggests that in more realistic scenarios, the physical mechanisms of nonlinear effects may be more complex and can be influenced by many factors. The different nonlinear characteristics displayed by the two event simulations may also be influenced by the combined effects of their complex, mixed source mechanisms and the specific source-station azimuth. The simulations of E1 and E2 reveal some observational implications. For E1, the weak nonlinear effects (<1% amplitude changes) suggest that its receiver location may lie in a region of suppressed nonlinear coupling, likely due to unfavorable source-receiver geometry. In contrast, E2 exhibits stronger nonlinear signatures, particularly in surface waves. This enhanced nonlinear sensitivity in surface waves arises from their inherent P-S interference characteristics, making it a more viable candidate for studying nonlinear effects.

While rotational Z-component Love waves show minor nonlinear alterations, the translational R/T and rotational R/T components demonstrate more significant changes, particularly in Rayleigh waves. These findings emphasize the need to prioritize specific wave phases and components in future observational data studies. For practical applications, wavefield separation techniques may be necessary to isolate S-waves and surface waves in translational and rotational components, where nonlinear effects are most pronounced.

5 Discussion

This study, through systematic simulations of fundamental seismic sources and referenced earthquake events, has demonstrated several characteristics of geometric

656 nonlinearity in seismic wavefields. First, it demonstrates that the excitation and

657 manifestation of nonlinear effects are controlled by the strain state of the linear

658 wavefield and its spatial gradients. As predicted by nonlinear elastodynamic theory,

659 geometric nonlinearity acts as an equivalent secondary source term in the wave

660 equation, with its strength being proportional to the quadratic terms of the linear strain

661 field. The radiation of nonlinear effects strictly follows the physical nature of

662 longitudinal and transverse waves. For instance, the nonlinear perturbation generated

663 by the strain gradients of a linear P-wave radiates most strongly along the principal

664 direction of the P-wave, whereas the nonlinear rotational effect generated by the

665 shear-strain gradients of an S-wave is most pronounced in regions of maximum

666 S-wave energy. In addition, different source mechanisms (volumetric, pure shear,

667 axisymmetric shear) modulate the mode, strength, and even the effect of the nonlinear

668 response by initializing different geometries of the strain field.

669 Second, rotational motion is a sensitive indicator for detecting near-field

670 nonlinear effects driven by S-waves. This stems from the physical nature of

671 rotation—it is the spatial gradient of the displacement field and is therefore more

672 sensitive to the secondary source terms driven by strain gradients. This suggests that

673 the deployment and analysis of six-component seismic data are of irreplaceable value

674 for identifying and quantifying nonlinear geophysical processes in future

675 observational studies.

676 Finally, the simulations of referenced earthquake events show that surface waves,

677 which are more concentrated in energy and have longer propagation paths, are the

678   primary carriers for accumulating and preserving nonlinear information, thus pointing

679   to a key focus for future observational data analysis. More importantly, in the stronger

680   E2 event, we observed a long-period perturbation not seen in the idealized models.

681   This phenomenon suggests that under realistic strong ground motion conditions, the

682   physical mechanisms of nonlinear effects may be more complex and diverse,

683   potentially involving deeper physical processes such as stress-induced anisotropy.

684   Limitations of this study, such as the use of idealized models, highlight the need for

685   validation with real seismic records. These indicate a direction for future research:

686   how to reliably separate and identify these unique nonlinear signals from collected

687   real seismic records, which is a mixture of complex source effects, 3D heterogeneous

688   propagation effects, and local site responses~~The incorporation of Green strain~~

689   ~~tensor-based nonlinearity into classical elastodynamic theory introduces higher-order~~

690   ~~displacement gradient terms (Eqs. (7) and (9)), fundamentally altering seismic wave~~

691   ~~dynamics by coupling volumetric and shear deformation modes. In linear elasticity~~

692   ~~theory, volumetric (principal) and shear strains are completely decoupled, and P-wave~~

693   ~~and S-wave are driven by normal stresses and shear stresses, respectively. While ISO~~

694   ~~source simulation reveals P-S wave conversion through nonlinear dilatational-shear~~

695   ~~interactions, the real-world manifestation of such phenomena is constrained by~~

696   ~~multi-factor geological complexities absent in our idealized models. Future work~~

697   ~~should prioritize simulations incorporating velocity gradients and attenuation profiles~~

698   ~~to quantify how propagation paths modulate nonlinear effects, particularly for surface~~

699   ~~waves where site amplification may enhance nonlinear coupling.~~

700 The differences between E1 and E2 simulations further highlight the need to

701 explore complex source characteristics. While E2's larger magnitude produced clearer

702 nonlinear signatures, most natural earthquakes involve composite rupture dynamics

703 and asymmetric moment tensors. Expanding simulations to include finite-fault

704 sources and spatially varying rupture kinematics could reveal how source complexity

705 interacts with nonlinear strain accumulation.

706 Finally, while rotational components show theoretical sensitivity to nonlinear

707 effects, their practical utility remains constrained by observational challenges. Field

708 rotational motions are inherently weaker than translations, and current instruments

709 struggle to resolve most nonlinear changes. Addressing these limitations will require

710 coordinated advances in sensor technology, wavefield separation methods, and

711 targeted field observations focusing on moderate-strong earthquakes where nonlinear

712 effects may cross detection thresholds.

713 **6 Conclusions**

714 By incorporating geometric nonlinearity based on the Green-Lagrange strain

715 tensor, this study has conducted 6C seismic wavefield simulations for three

716 fundamental moment tensor sources and two referenced moderate-to-strong

717 earthquakes. The research aims to investigate the characteristics of near-field

718 nonlinear effects and their dependence on source mechanisms. The main conclusions

719 are as follows:This work establishes a theoretical and numerical framework for

720 analyzing nonlinear seismic wave propagation through Green strain tensor strain

721 tensor formulations. Numerical simulations of three fundamental seismic moment

722 ~~tensor sources (ISO, CLVD, DC) and two moderate-to-strong magnitude earthquakes~~

723 ~~yield the following key conclusions.~~

724 (i) Universal radiation pattern of the nonlinear secondary source and

725 source-dependent excitation efficiency. On one hand, the radiation pattern of

726 nonlinearity is universal, strictly adhering to the physics of wave motion: the

727 nonlinear perturbation generated by P-wave strain gradients radiates primarily along

728 the axial direction (a longitudinal characteristic), while the perturbation from S-wave

729 shear-strain gradients radiates mainly in the orthogonal plane directions (a transverse

730 characteristic). This pattern is independent of the initial force source type. On the

731 other hand, the overall efficiency of exciting these nonlinear secondary sources

732 exhibits a strong source-type dependency. Simulation results show that sources with

733 significant shear components, such as CLVD and DC sources, are far more efficient in

734 exciting nonlinear effects than the purely volumetric ISO source.~~Force-source type~~

735 ~~dependency: The spatial distribution is intrinsically tied to source kinematics. ISO~~

736 ~~sources generate overall uniform nonlinear anomalies through volumetric-shear~~

737 ~~coupling, CLVD sources amplify directional anomalies along principal strain axes of~~

738 ~~compression/expansion, and DC sources restrict localized nonlinearity to fault-aligned~~

739 ~~force couple orientations. These patterns arise from how each force source geometry~~

740 ~~interacts with the nonlinear strain tensor.~~

741 (ii) High sensitivity of rotational motion to S-wave-driven nonlinearity. This is

742 primarily because rotational motion directly responds to shear-strain gradients, and

743 S-waves are the primary carriers of shear energy. Consequently, rotational motion

744 becomes an ideal window for detecting the secondary sources excited by S-waves,

745 with its nonlinear response being more pronounced than that of translational

746 components.~~Magnitude-energy relationship: Nonlinear effects scale exponentially~~

747 ~~with seismic moment, becoming observationally significant for magnitudes above~~

748 ~~Mw5. At Mw7, rotational components exhibit over 20% relative changes compared to~~

749 ~~linear predictions, whereas changes remain negligible for Mw <4 events. This~~

750 ~~underscores the importance of strain amplitude in triggering detectable nonlinear~~

751 ~~coupling.~~

752 (iii) Effects of wave type and strain level. The strength of nonlinear effects is

753 correlated with the strain level (which can be approximately related to the moment

754 magnitude). More importantly, surface waves, which have more concentrated

755 energy and longer propagation paths, are more effective carriers of nonlinear

756 information than body waves. In the strong-motion event simulation, long-period

757 perturbations, possibly induced by nonlinearity, were also observed. This may

758 correspond to long-period perturbations induced by strong surface waves through

759 nonlinear mechanisms, potentially involving P-S coupling in layered

760 media.~~Rotational motion sensitivity: Rotational components generally demonstrate~~

761 ~~higher nonlinear sensitivity than translational components. Their practical~~

762 ~~detectability depends on source-receiver azimuth.~~

763

764 ~~(iiii) Wave-type specificity: Surface waves exhibit stronger nonlinear signatures than~~

765 ~~body waves in both earthquake simulations, likely due to their inherent P-S~~

766 ~~interference during propagation. However, current models inadequately address~~

767 ~~surface wave nonlinearity, suggesting unresolved interactions between nonlinear~~

768 ~~effects and site amplification.~~

769

770 **Author contributions.** WL: conceptualization, methodology, investigation, formal

771 analysis, writing - original draft. YW: conceptualization, writing - original draft and

772 revised draft. CC: investigation, formal analysis. LS: methodology.

773

774 **Data availability.** All data is simulated and available upon reasonable request.

775

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778

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