



# 1 Enhanced internal tidal mixing in the Philippine Sea

## 2 mesoscale environment

- 3 Jia You<sup>1, 3, 4</sup>, Zhenhua Xu<sup>\*1, 2, 3, 4</sup>, Qun Li<sup>5</sup>, Robin Robertson<sup>6</sup>, Peiwen Zhang<sup>1, 3, 4</sup>,
- 4 Baoshu Yin<sup>1, 2, 3, 4</sup>
- 5 <sup>1</sup>CAS Key Laboratory of Ocean Circulation and Waves, Institute of Oceanology, Chinese Academy of
- 6 Sciences, Qingdao, China
- 7 Pilot National Laboratory for Marine Science and Technology, Qingdao, China
- 8 <sup>3</sup>Center for Ocean Mega-Science, Chinese Academy of Sciences, Qingdao, China
- 9 <sup>4</sup>College of Earth and Planetary Sciences, University of Chinese Academy of Sciences, Beijing, China
- 10 <sup>5</sup>Polar Research Institute of China, Shanghai, China
- 11 <sup>6</sup>China-Asean College of Marine Science, Xiamen University Malaysia, Sepang, Malaysia
- 12 \*Corresponding author: Zhenhua Xu, Institute of Oceanology, Chinese Academy of Sciences; Email:
- xuzhenhua@qdio.ac.cn.

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## 15 Abstract.

- Turbulent mixing in the ocean interior is mainly contributed by internal wave breaking; however, the
- 17 mixing properties and the modulation effects of mesoscale environmental factors are not well-known.
- 18 Here, the spatially inhomogeneous and seasonally variable diapycnal diffusivities in the upper
- 19 Philippine Sea were estimated from ARGO float data using a strain-based finescale parameterization.
- 20 Based on a coordinated analysis of multi-source data, we found that the driving processes for diapycnal
- 21 diffusivities mainly included the near-inertial waves and internal tides. Mesoscale features were
- 22 important in intensifying the mixing and modulating its spatial pattern. One interesting finding was that,
- 23 besides near-inertial waves, internal tides also contributed significant diapycnal mixing for the upper
- 24 Philippine Sea. The seasonal cycles of diapycnal diffusivities and their contributors differed zonally. In
- 25 the mid-latitudes, wind-mixing dominated and was strongest in winter and weakest in summer. In
- 26 contrast, tidal-mixing was more predominant in the lower-latitudes and had no apparent seasonal
- 27 variability. Furthermore, we provide evidence that the mesoscale environment in the Philippine Sea
- 28 played a significant role in regulating the intensity and shaping the spatial inhomogeneity of the
- 29 internal tidal mixing. The magnitudes of internal tidal mixing was greatly elevated in regions of
- 30 energetic mesoscale processes. The anticyclonic mesoscale features were found to enhance diapycnal
- 31 mixing more significantly than did cyclonic ones.
- 32 Keywords: Mixing, Internal tides, Mesoscale, the Philippine Sea

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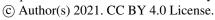
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#### 1. Introduction

Turbulent mixing can alter both the horizontal and vertical distributions of temperature and salinity gradients. These then modulate the ocean circulation variability, both globally and regionally. Many studies have shown the existence of a complicated spatiotemporal pattern of diapycnal mixing in the ocean interior. Such mixing inhomogeneity can influence the hydrological characteristics, ocean circulation variability and climate change. The breaking of internal waves is believed to be the main contributor to the ocean's diapycnal mixing (eg. Liu et al., 2013, Robertson R., 2001). Thus, clear understanding the spatial patterns and dissipation processes of broad-band internal waves is necessary to clarify and depict the global ocean mixing climatology. The long-wavelength internal waves in the ocean are mainly in the form of near-inertial internal waves (NIWs) and internal tides (eg. Alford and Gregg, 2001; Cao et al., 2018; Klymak et al., 2006), and the internal solitary waves evolved from them also can trigger mixing (eg. Deepwell et al., 2017; Grimshaw, et al., 2010; Shen et al., 2020). The wind-input NIW energy to the mixing layer is about 0.3-1.4 TW (eg. Alford, 2003; Liu et al., 2017; Rimac et al., 2013; Watanabe and Hibiya, 2002). The NIW energy propagate downward, mainly dissipate and drive energetic mixing within the upper ocean (Wunsch and Ferrari, 2004). Barotropic tidal currents flowing over rough topographic features can generate internal tides (eg. Robertson R., 2001), with the global energy of 1.0 TW (Egbert and Ray, 2001; Jayne and St. Laurent, 2001; Song and Chen, 2020). Near the sources, the internal tidal mixing intensify above the bathymetries, meanwhile, in the remote area, the tidal mixing is distributed throughout the water column due to the multiple reflection and refraction processes. Therefore, the relative contributions to the upper-layer diapycnal diffusivities by NIWs and internal tides should differ regionally, which deserves further investigation. In mid-latitudes, NIWs dominated the upper ocean mixing, as a result of the presence of westerlies and frequent storms (eg. Alford et al., 2016; Jing et al., 2011; Whalen et al., 2018). However, from the global view, the upper ocean mixing geography is inconsistent with the global wind field distribution. For example, in low-latitudes, upper ocean mixing hotspots are located nearer to rough topographic features, regardless of the wind conditions. This indicates that upper ocean mixing might be attributed to non-wind-driven internal waves, such as internal tides. In order to better understand the ocean mixing patterns and modulation mechanisms, we need to clarify the relative contributions between the https://doi.org/10.5194/npg-2021-1 Preprint. Discussion started: 15 January 2021



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Internal tides are generally considered to be important to ocean mixing in the deep ocean, beyond the

wind and tidal energy.

influence of winds (Ferrari and Wunsch, 2009; Munk et al., 1998; MacKinnon et al., 2017). Many factors influence the spatial pattern and energy transfer of internal tides. Higher-mode internal tides break more easily near their sources, while the low-mode internal tides propagate long distances, even thousands of kilometers. Propagating internal tides will be limited by several factors, such as topography, stratification and turning latitude (eg. Vlasenko et al., 2013; Song and Chen, 2020; Hazewinkel & Winters, 2011). Wave-wave interaction in the ocean also influences the spatiotemporal variability of internal tides. For example, PSI (parametric subharmonic instability) is a potential avenue to transfer internal tidal energy to other frequencies (Ansong et al., 2018). Moreover, stratification and background flows also contribute to internal tidal spatial and temporal variability (eg. Karry et al., 2016; Huang et al., 2018; Chang et al., 2019). Due to the complicated multi-scales of the background flows, it is still unclear about how the background flow modulates the internal tides, their energy dissipation and ocean mixing. Recent research suggests that the mesoscale environment is a key factor influencing ocean mixing. There is evidence that mesoscale eddies can enhance wind-driven mixing and internal tidal dissipation. This enhancement will be more significant in the presence of an anticyclonic eddy (eg. Jing et al., 2011; Whalen et al., 2018). Likewise, regional studies indicate that mesoscale features modulate the generation and propagation of internal tides. Mesoscale currents can also broaden the range undergoing internal tide critical latitude effects and enhance the energy transfer from diurnal frequencies to semidiurnal or high frequencies (Dong et al., 2019). Mesoscale eddies are found to modulate internal tide propagation (Rainville and Pinkel, 2006; Park and Watts, 2006; Zhao et al., 2010) and enable the internal tide to lose its coherence (Nash et al., 2012; Kerry et al., 2016; Ponte and Klein, 2015). Numerical simulation results support these observations (Kerry et al. 2014), indicating that the patterns of internal tides is largely modulated by the position of eddies. An idealized numerical experiment shows that the energy of internal tides shows bundled beams after passing through an eddy (Dunphy and Lamb, 2014). And the mode-1 internal tides interactions with eddies will trigger higher-mode signals. Up to now, research about mesoscale-internal tide interactions has been primarily focused on the propagation pattern or 3-D structure of internal tides and has ignored their energy dissipation and





91 mixing effects. The latter is more important for altering the ocean circulation variability and climate 92 change. 93 The Philippine Sea, located in the Northwestern Pacific Ocean, is one of the most energetic internal 94 tidal regimes in the world. In this region, powerful internal tides significantly enhance ocean mixing, as 95 shown by numerical simulations (Wang et al., 2018). The importance of sub-inertial shear to ocean 96 mixing has been hypothesized from observations (Zhang et al., 2019), and the importance of internal 97 tides to mixing is supported through parameterization techniques (Qiu et al., 2012). On the other hand, 98 the Philippine Sea is an area with frequent typhoons, which make significant contributions to ocean 99 mixing. Multiple factors and mechanisms impact the turbulent mixing distribution in the Philippine Sea 100 (Wang et al., 2018). To date, it is unclear what the dominant factors are and how these factors modulate 101 the ocean mixing properties. Moreover, the role of mesoscale environment in regulating ocean mixing 102 is still not well understood. 103 At present, coupled numerical models are basically able to accurately simulate the generation and 104 propagation of internal tides. The internal tide dissipation and induced mixing are found to be 105 important for the determination of correct mixing parameterizations in numerical models (Robertson 106 and Dong, 2019). Some existing studies focus on the simulations of internal tidal breaking and tidally 107 induced mixing (Kerry et al., 2013; Kerry et al., 2014; Muller, 2013; Wang et al., 2018). It is difficult to 108 provide a complete spatial and temporal picture from direct observations of turbulence. This is due to 109 the scarcity of observations and their patchy distribution in time and space. Multisource data covering 110 multiple tidal cycles or preferably a spring-neap cycle, as well as a broad domain, are necessary to 111 acquire the spatiotemporal distribution and few of these have been collected. The development and 112 application of parameterization methods provide greater possibility of characterizing a broad-regional 113 mixing distribution and variability. A global pattern of ocean mixing has been provided using these 114 parameterization methods (Whalen et al. 2012; Kunze 2017). Furthermore, sensitivity studies have been performed investigating the dependence of several factors to global mixing, such as bottom 115 roughness, internal tides, wind and background flows (eg. Whalen et al. 2012; Waterhouse. 2014; 116 117 Kunze and Eric. 2017; Whalen et al. 2018; Zhang et al. 2019). At present, parameterization is the most 118 effective method to investigate the modulation of tidal mixing by mesoscale background flows. The spatial pattern and temporal variability of diapycnal diffusivities in the Philippine Sea are 119 120 examined in this paper. We provide evidence to verify the importance of tidal mixing in the upper layer





121 of this region. Moreover, we illustrate the modulation of mesoscale environment in tidal mixing

122 properties and distributions. Our data and methods are detailed in Section 2. Results and analysis,

including the spatial patterns and seasonal cycle of mixing, contributions of influencing factors and 123

124 internal tide-mesoscale interrelationships, are shown in Section 3. Finally the summary and discussion

125 are given in Section 4.

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## 2. Method and Data

#### 2.1 ARGO and Fine-scale parameterization method

128 The ARGO Program is a joint international effort involving more than 30 countries and

129 organizations and having deployed over 15,000 freely drifting floats since 2000. The accumulated total

130 collected profiles exceeds 2 million profiles of conductivity, temperature, depth (CTD) along with other

131 geobiochemical parameters. The ARGO program has become the main data source for many research

and operational predictions of oceanography and atmospheric science (http://www.ARGO.ucsd.edu). 132

We screened the profiles from the Philippines Sea with quality control and estimated diapycnal 133

134 diffusivity and dissipation rate from them using a finescale parameterization.

135 The diapycnal diffusivity and turbulent kinetic energy dissipation rate can be estimated from a

fine-scale strain structure. This is based on a hypothesis that the energy can be transported from large to 136

137 small scales. In such scales, waves break due to shear or convective instabilities by weakly nonlinear

138 interactions between internal waves (Kunze et al., 2006). Presently, this method has been widely used

139 for the global ocean (eg. Wu et al., 2011; Kunze et al., 2017; Whalen et al., 2012; Fer et al., 2010;

140 Waterhouse et al., 2014). The dissipation rate  $\epsilon$  can be expressed as

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$$\varepsilon = \varepsilon_0 \frac{\overline{N^2}}{N_0^2} \frac{\langle \xi_Z^2 \rangle^2}{\langle \xi_Z^2 G_M \rangle^2} h(R_\omega) L(f, \overline{N})$$
 (1)

where  $\varepsilon_0 = 6.73 \times 10^{-10} W/kg$  and  $N_0 = 5.24 \times 10^{-3}/s$ , and  $\overline{N^2}$  represents the averaged 142

buoyancy frequency of the segment.  $\langle \xi_{z\,GM}^2 \rangle$  and  $\langle \xi_{z}^2 \rangle$  are strain variance from the Garrett-Munk (GM) 143

144 spectrum (Gregg and Kunze, 1991) and the observed strain variance, respectively. The angle brackets

145 indicate integration over a specified range of vertical internal wavenumbers (see equations 4 and 5).

The function  $h(R_{\omega})$  accounts for the frequency content of the internal wave field and  $R_{\omega}$  represents 146

147 shear/strain variance ratio.  $R_{\omega}$  is fixed at 7, which is a global mean value (Kunze et al., 2006).

$$h(R_{\omega}) = \frac{1}{6\sqrt{2}} \frac{R_{\omega}(R_{\omega}+1)}{\sqrt{R_{\omega}-1}}$$
 (2)





- The function  $L(f, \overline{N})$  corrects for a latitudinal dependence, here f is the local Coriolis frequency,
- and  $f_{30}$  is the Coriolis frequency at  $30^{\circ}$ , and  $\overline{N}$  is the vertically averaged buoyancy frequency of the
- 151 segment.

$$L(f, \overline{N}) = \frac{facrcosh(\frac{\overline{N}}{f})}{f_{30}acrcosh(\frac{\overline{N}}{f_{30}})}$$
(3)

153 strain  $\xi_z$  was calculated from each segment,

$$\xi_Z = \frac{N^2 - N_{ref}^2}{\overline{N^2}} \tag{4}$$

$$\langle \xi_z^2 \rangle = \int_{k_{min}}^{k_{max}} S_{str}(k_z) dk_z \le 0.2$$
 (5)

- We derived N from 2 to 10 dbar-processed temperature, salinity, and pressure data according to the
- ARGO float resolution.  $N_{ref}$ , as a smooth piece-wise quadratic fit to the observed N profile, is fitted to
- 158 24 m. Here we remove segments that vary in the range of  $\langle N^2 \rangle > 5 \times 10^{-4} s^{-2}$  or  $\langle N^2 \rangle < 1 \times 10^{-4} s^{-2}$
- $159 10^{-9} s^{-2}$  since the strain signal at these levels is dominated by noise (Whalen et al., 2018). By
- applying a fast Fourier transform (FFT) on half-overlapping 256 m segments along each vertical  $\xi_z$
- profile, we computed the spectra  $S_{str}(k_z)$  and integrated them to determine the strain variance. We
- 162 integrated these spectra between the vertical wavenumbers  $k_{min} = 0.003 \, cmp$  and  $k_{max} =$
- 163 0.02 cmp according to global internal tide typical scales and equation 5, respectively. Substituting
- 164  $\langle \xi_z^2 \rangle$  into equation (1) ultimately yields 32 m resolved vertical profiles of each observed profiles. The
- dissipation rate  $\varepsilon$  is related to the diapycnal diffusivity  $K_z$  by the Osborn relation

$$K_{z} = \Gamma \frac{\varepsilon}{N^{2}} \tag{6}$$

where the flux coefficient  $\Gamma$  is fixed at 0.2 generally.

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#### 2.2 ERA-Interim and Slab-model

- The near-inertial energy flux for each observation profile was calculated using the 10 m wind speed
- 171 product from ERA-Interim (https://www.ecmwf.int/en /forecasts/datasets), which is 6-hourly wind
- speed on a grid of  $0.75^{\circ} \times 0.75^{\circ}$  . We selected the mean near-inertial flux of 30-50 days before the
- 173 time of each diapycnal diffusivity estimation as our measure of the near-inertial flux, with the
- 174 consideration of the propagation of NIWs.
- 175 The wind-drive NIW energy flux can be directly estimated using a slab model, which assumes that





- 176 the inertial oscillations in the mixed layer do not interact with the background fields. The mixed layer
- 177 current velocity can be described by

$$\frac{dZ}{dt} + (r + if)Z = \frac{T}{\rho H}$$
 (7)

- 179 where Z = u + iv is the mixed layer oscillating component of full current, and i is an imaginary
- number to indicate the latitudinal component.  $T = (\tau_x + i\tau_y)$  is the wind stress on the sea surface, f
- 181 is the local Coriolis parameter, r is the frequency-dependent damping parameter, which was fixed
- 182 at 0.15 f for these calculations.  $\rho$  is sea water density and fixed at  $1024 \text{ kg/m}^3$ . H is the mixed-layer
- depth and was set to a constant 25 m. We can calculate the oscillating component of full velocity from
- equation 7 and obtain the near-inertial component through a bandpass filter of [0.85, 1.25] f. The
- 185 near-inertial energy flux is calculated as

$$H(\Pi) = Re(Z \cdot T^*) \tag{8}$$

the asterisk (\*) indicates the complex conjugate of a variable.

## 188 2.3 AVISO and Eddy kinetic energy

The eddy kinetic energy is estimated based on geostrophic calculation as:

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$$EKE = \frac{1}{2} \left( U_g^{\prime 2} + V_g^{\prime 2} \right) \tag{9}$$

$$U'_{g} = -\frac{g}{f} \frac{\Delta \eta'}{\Delta y} \qquad V'_{g} = -\frac{g}{f} \frac{\Delta \eta'}{\Delta x}$$
 (10)

- where  $U'_q$  and  $V'_q$  are the geostrophic velocities in the east-west and north-south directions,
- 193 respectively. They are taken from the AVISO (http://www.aviso.altimetry.fr/duacs/) geostrophic
- velocity product.  $\eta'$  indicates sea level anomaly (SLA).

#### 195 2.4 Internal tidal conversion rates

- The internal tidal conversion rate was provided by SEANOE (https://www.seanoe.org/data/, C.de
- 197 Lavergne et al., 2019), including 8 main tidal constituents. We used the mode-summed internal tidal
- conversion rates of  $M_2$  and  $K_1$ , and integrated 8 main tidal constituents in present study.

## 199 **3. Results**

## 200 3.1 Spatial pattern of diapycnal mixing in the upper Philippine Sea

- The diapycnal diffusivities were used as indicators of ocean diapycnal mixing. The pattern averaged
- within 250-500 m is shown in Fig.1a. The  $K_z$  was estimated from the ARGO profiles, with an average





on each cell of  $0.5 \,^{\circ}\!\!\times\!\!0.5 \,^{\circ}\!\!$ . The magnitude of diapycnal diffusivities increased with latitude, reaching  $10^4 \, m^2 s^{-1}$  in the northern part of this area (30 N-36 N). The mean value of  $K_z$  was about O(-6)-O(-5) in lower latitude. While, it was remarkable that the magnitude of  $K_z$  also increased significantly in some low-latitude regions, reaching O(-4) or higher. These regions include Izu Ridge (Nagasawa et al., 2005; Tanaka et al., 2018) and Luzon Strait. Reviewing the influence of topography, wind and internal tide (Fig.1b-d) on ocean mixing, it was found that the zonal variability of  $K_z$  was consistent with the wind intensity distribution. Upper ocean mixing was significantly enhanced at mid-latitudes due to the presence of westerlies. In addition,  $K_z$  was also enhanced near several key internal tide sources, such as the Luzon Strait, Bonin Ridge, Izu Ridge, Dadong Ridge, etc. At these sites, the magnitude of  $K_z$  was obviously larger than other areas at the same latitude, indicating a significant role of internal tides. Additionally, the enhancement of deep ocean mixing at these sites was even more obvious (not shown).

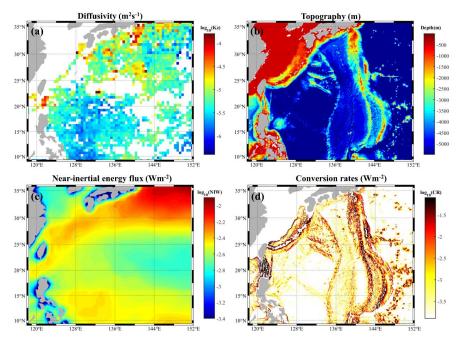


Figure 1 Maps of (a) log-scale averaged diapycnal diffusivities  $K_z$  (m<sup>2</sup>s<sup>-1</sup>) estimated from ARGO profiles, (b) topography, (c) log-scale long-term averaged near-inertial energy flux from wind (Wm<sup>-2</sup>), and (d) log-scale M2 internal tide conversion rates (Wm<sup>-2</sup>).

It can be noted that the pattern of diapycnal diffusivities was not completely consistent with those of either internal tides or winds. This suggests that the ocean mixing was modulated by other factors than



tides and winds. The magnitudes of  $K_z$  also vary for internal tide source sites. Considering that the Philippine Sea is a region with energetic mesoscale motions (Fig.2), the influences of mesoscale features in turbulent mixing should be taken into account. The existence of mesoscale features can alter the propagation and dissipation of internal tides. Therefore, the Philippine Sea is an ideal region to study the modulation of background flows on turbulent mixing associated with strong internal tides.

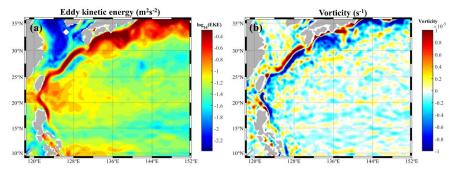


Figure 2 Maps of (a) log-scale long-term averaged eddy kinetic energy and (b) long-term averaged vorticity.

## 3.2 Seasonal variability of mixing at different latitudes

The seasonal cycle for diapycnal diffusivities also differs zonally. Here, we divided the Philippine Sea into two portions: low-latitude ( $10 \, \text{N}\text{-}25 \, \text{N}$ ) and mid-latitude ( $25 \, \text{N}\text{-}35 \, \text{N}$ ). The diapycnal diffusivities  $K_z$  were averaged in each latitude band (Fig.3). At the depth of 250-500 m in the mid-latitude, the diapycnal diffusivities had a significant seasonal trend as strong in winter and weak in summer. This is consistent with the seasonal fluctuation of near-inertial energy from wind. Such a seasonal cycle could also be found at 500-1000 m and 1000-1500 m in the mid-latitudes, but it was relatively weaker, especially after 2016. In the lower latitudes, the NIW energy was still strong in winter and weak in summer, but a seasonal dependence of turbulent mixing was not obvious, even in the upper ocean. Consequently, the wind was found to play a significant role in driving turbulent mixing at mid-latitude, but was insignificant at low latitudes. Other factors drove and modulated turbulent mixing in low latitudes.





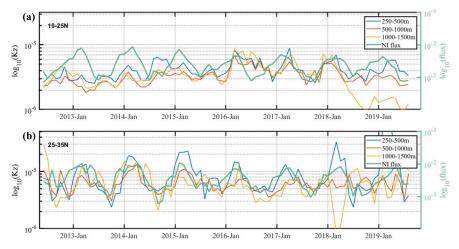


Figure 3 Seasonal cycles in diapycnal diffusivities (colorful line) and near-inertial energy flux from wind (green) extents to 250-500 m, 500-1000 m and 1000-1500 m in (a)  $10\,\mathrm{N}$  -25  $\mathrm{N}$  and (b)  $10\,\mathrm{N}$ -25  $\mathrm{N}$ , which is averaged in each month and all water column.

## 3.3 Impact factors

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## 3.3.1 Relative contributions

The turbulent mixing of the Philippine Sea displayed an obvious zonal dependence, so the latitudinal influence was examined for several factors: internal tides, wind and eddy kinetic energy (Fig.4). The rates of diapycnal diffusivities in regions of weak/strong internal tides, weak/strong NIW energy, and high/low eddy kinetic energy were calculated in each 1 °latitude, respectively. If the rate was close to 1, the influence of this factor was insignificant, while a larger rate indicated a greater contribution.





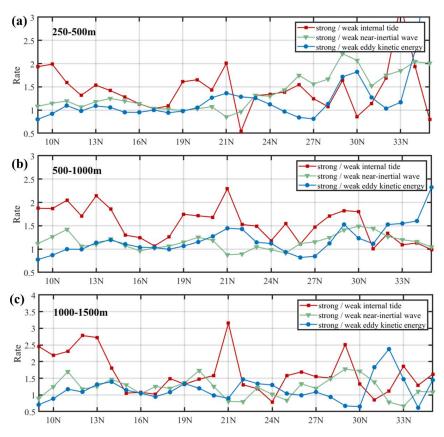


Figure 4 Rates of diapycnal diffusivities between areas over strong (greater than median) and weak internal tide (red lines), strong (greater than median) and weak near-inertial wave (green lines) and strong (greater than median) and weak eddy kinetic energy (blue lines) for each  $1^{\circ}$  latitude bands in the depth range of (a) 250-500 m, (b) 500-1000 m and (c) 1000-1500 m, Which averages for each bands containing more than 10 estimates.

At depths of 250-500 m, the rate associated with internal tide increased significantly at 10 %, 21 % and 33 %. These latitudes correspond to Guap seamount, Luzon Strait and Izu Ridge, which are main internal tide source sites. It reached 2 near these three latitudes, indicating that strong internal tides triggered the enhancement of  $K_z$  twice as much compared to the regions of weak internal tides. In addition, north of 23 %, the rate in related to NIW in the upper ocean increased with latitude. And it exceeded the internal tidal contribution north of 25 %, which indicated that the wind plays a more important role in mixing at this latitude band. Taking the wind as the driving factor better explains the seasonal cycle of diapycnal diffusivities in Fig.3, since the winds have an apparent seasonal





268 dependence. The obvious seasonal trend of  $K_z$  due to the important contribution of wind occurs 269 between 25 N-35 N. In contrast, the rate for wind is ~1 at lower latitudes, indicating that the 270 wind-driven mixing is insignificant here with the absence of wind-driven seasonal cycle. 271 The contribution of wind to turbulent mixing is significantly reduced in the depth ranges of 272 500-1000 m and 1000-1500 m (Fig.4 b and c). The rate only increased slightly at mid-latitudes, less 273 than 2 anywhere. In contrast, the enhancement of mixing triggered by internal tides at these depth 274 ranges was more significant, with the rates exceeding 3.5 at some latitudes. This suggested that internal 275 tides played a more important role in deep ocean mixing. Furthermore, internal tides significantly 276 enhanced  $K_z$  around 13 N, 21 N, and 29 N, corresponding to the sources of Mariana Trench, Luzon 277 Strait and Bonin Ridge, respectively. Such enhancement was not obvious at the Izu Ridge possibly due 278 to the shallower depth and paucity of deep data, or the turning latitude effects in this area. 279 Combined with the analysis of relative contributions of different factors in different layers, it was 280 concluded that the contribution of internal tides in turbulent mixing is more important in low latitudes 281 of the Philippine Sea. In this area, the wind and mesoscale features did not significantly enhance  $K_z$ . At 282 mid-latitudes, internal tides still played an important role, but the wind contribution was more 283 significant in the upper ocean. The wind drove turbulent mixing even at the depths of 500-1000 m and 284 1000-1500 m. The mid-latitude region not only corresponds to westerlies, but also features energetic 285 mesoscale motions. Therefore, the mesoscale features might be a potential factor for the enhanced 286 turbulent mixing. 287 In the low latitudes, Kz did not increase in the regions of high eddy kinetic energy or strong 288 near-inertial energy, whereas, it increased significantly in the regions of strong internal tides. This 289 enhancement was more obvious below 400 m (Fig.5 a). And in the mid-latitudes,  $K_z$  in the upper 290 ocean increased significantly corresponding to strong winds with compared to weak winds (Fig.5 b). 291 Meanwhile,  $K_z$  was also larger in the regions of strong internal tides and high EKE in the upper ocean. 292 The enhancement of wind or EKE to turbulent mixing significantly weakened below 600 m, while the 293 enhancement of internal tides increased with depth. This indicates that internal tides played a 294 significant role in turbulent mixing not only in the low latitudes, but also in the mid latitudes with their 295 strong winds.



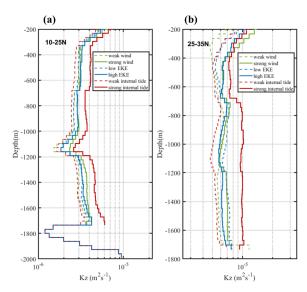


Figure 5 Vertical structures of geometric averaged diapycnal diffusivities  $K_z$  with weak and strong wind (green), low and high EKE (blue) and weak and strong internal tide (red) in the (a) low-latitude and (b) middle latitudes.

3.3.2 Wind

The least squares linear fit slopes of  $K_z$  to NIW energy from wind represent the mixing response to wind. Here, the Philippine Sea is divided into  $10~\rm N$  - $15~\rm N$ ,  $15~\rm N$ - $25~\rm N$  and  $25~\rm N$ - $35~\rm N$  (Fig.6). At the depth of 250-500 m, the slope is the largest in  $25~\rm N$ - $35~\rm N$  ( $\sim$ 0.305), followed by that in  $10~\rm N$  - $15~\rm N$  ( $\sim$ 0.133), and the smallest in  $15~\rm N$ - $25~\rm N$  ( $\sim$ 0.013). The wind driven turbulent mixing was most significant between  $25~\rm N$ - $35~\rm N$ , but was insignificant between  $15~\rm N$ - $25~\rm N$ . At the depth of 500-1000 m, the wind influence on turbulent mixing was weakened in the mid-latitudes. This was consistent with the results of Fig.3 and Fig.4. It proved that the contribution of wind has a zonal dependence, which was significant at the mid-latitudes, but insignificant at low latitudes. In addition, the response of turbulent mixing to wind weakened quickly with depth, indicating that the dominant factor of mixing in the deeper water column was not wind. Accordingly, it was difficult for wind to drive mixing below  $1000~\rm m$ , so we do not show the results at the depth of 1000- $1500~\rm m$  (Fig.4).



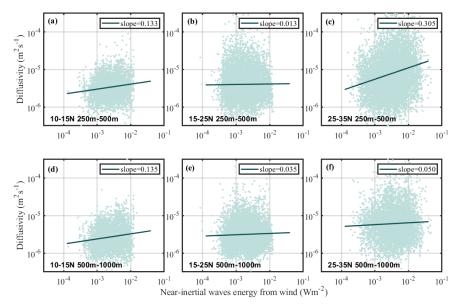


Figure 6 Scatter of log-scale Kz versus log-scale near-inertial energy flux from wind in 250-500 m between (a) 10 % -15 %, (b) 15 %-25 % and (c) 25 %-35 %, and in 500-1000 m between (d) 10 %-15 %, (e) 15 %-25 % and (f) 25 %-35 % The best-fit slopes are denoted by the solid line.

3.3.3 Tide

The slopes of  $K_z$  to internal tides conversion rates represent the mixing response to internal tides. As discussed above, the mixing significantly responded to the internal tides over the entire Philippine Sea (Fig.7). The relationship was depth dependent. The slopes did not reach 0.1 at the depth of 250-500 m, but increased significantly at 500-1000 m and 1000-1500 m. and exceed 0.13 for the deepest depth band. The response of mixing to internal tides was more significant in the deeper ocean. Focusing on different latitude bands, the slopes of  $K_z$  to internal tides is smaller at mid-latitudes. This is because the wind contribution increased in this region, which led to a weakening relative contribution of internal tides. Compared with the internal tide conversion rates, the pattern of  $K_z$  was inconsistent with internal tides, even at lower latitudes. It can be inferred that the turbulent mixing was not only affected by the internal tides, but also by other factors. There is a strong western boundary flow, Kuroshio, and an active mesoscale environment in this region. Some researchers have shown that the existence of mesoscale environment will alter the internal tide features, so we reasonably infer that the tidal induced turbulent mixing in this area was modulated by the mesoscale features.



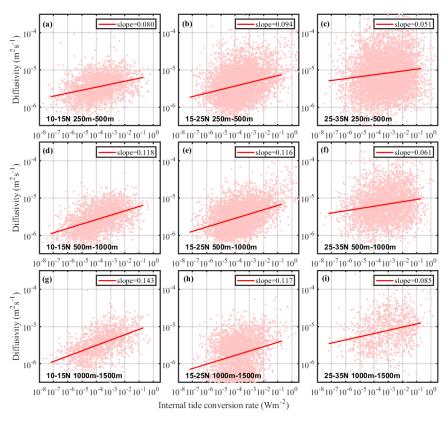


Figure 7 Scatter of log-scale  $K_z$  versus log-scale internal tide conversion rate in 250-500 m (row 1), 500-1000 m (row 2), 1000-15000 m (row 3) and the best-fit slopes are denoted by the red line. Columns 1,2,3 are 10 % 1.15 % 1.25 % and 25 % -35 % latitude bands, respectively.

### 3.4 Role of Mesoscale features in tidal mixing

Focusing on the low latitudes, where tidal mixing is dominated, the diapycnal diffusivities,  $K_z$ , related to internal tides and eddy kinetic energy are shown (Fig.8). The combined influences of mesoscale features and internal tides on mixing are indicated. The increasing internal tide conversion rates significantly enhanced turbulent mixing. When the conversion rate was  $10^{-3}Wm^{-2}$ , the magnitudes of  $K_z$  were about  $3 \times 10^{-6} m^2 s^{-1}$ ,  $3 \times 10^{-6} m^2 s^{-1}$ ,  $1 \times 10^{-6} m^2 s^{-1}$  at the depth of 250-500 m, 500-1000 m and 1000-1500 m, respectively. When the internal tide conversion rates reached O(-1)-O(0),  $K_z$  reached  $10^{-5} m^2 s^{-1}$  at both depths of 250-500 m and 500-1000 m, even exceed  $10^{-4} m^2 s^{-1}$  at some internal tide source sites. In addition, there was a positive correlation between eddy kinetic energy and





diapycnal diffusivites. A higher eddy kinetic energy can further increase  $K_z$  under the same magnitude of internal tide conversion rate. Such enhancement was more significant with strong internal tide conversion rates greater than  $10^{-3} Wm^{-2}$ .

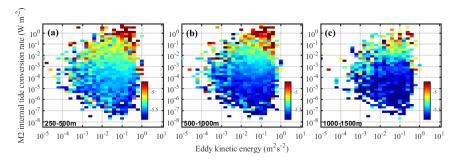


Figure 8 Averaged diapycnal diffusivities as a function of EKE and internal tide conversion rates between (a) 250-500 m, (b) 350-500 m and (c) 500-1000 m.

 $M_2$  and  $K_1$ 

 $M_2$  and  $K_1$  tidal constituents were analyzed to clarify the response of  $K_Z$  to internal tides in the regions of high eddy kinetic energy (EKE is larger than the regional average value) and low eddy kinetic energy (Fig.9). The results integrating 8 main tidal constituents (Fig.9 a, b and c) showed that the slopes in a weak (strong) mesoscale field were smaller (larger), 0.081 (0.105), 0.103(0.134) and 0.103 (0.142) at the depth of 250-500 m, 500-1000 m, 1000-1500 m, respectively. The turbulent mixing was more sensitive to the internal tide magnitude in the presence of an energetic mesoscale field. Moreover, such response was more obvious in the region with strong internal tides (such as  $>10^2 Wm^2$  conversion rate). In some regions with weak internal tides, such as with internal tide conversion rates less than  $10^{-3} Wm^{-2}$ , the modulation of mesoscale eddies was less significant.



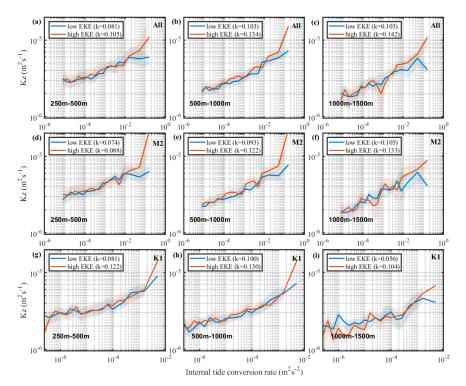


Figure 9 The averaged diffusivity between depths of (a, d, g) 250 m-500 m, (b, e, h) 500 m-1000 m and (c, f, i) 1000 m-1500 m in high (greater than the median) and low (less than the median) eddy kinetic energy. The shade indicate the 1 deviation. Rows 1,2 and 3 are related to 8 main tidal constituents,  $M_2$  internal tide and  $K_1$  internal tide, respectively.

A similar conclusion can be drawn only considering  $M_2$  or  $K_1$ . In regions of high eddy kinetic energy, the change in diffusivities in response to internal tides was significant. And the increase was more sensitive to  $M_2$  internal tide. The enhancement related to  $M_2$  internal tide was more significant below 500 m (Fig.9 d and e), while enhancement of the  $K_1$  internal tide was similar at all depths. This may be due to different features and structures of  $M_2$  and  $K_1$  internal tides. In this area, the modal structure and propagation path of  $M_2$  internal tide are more complicated and more prone to breaking, but those of  $K_1$  were relatively stable. And this area includes the  $K_1$  critical latitude range, which can be broadened by mesoscale currents (Robertson and Dong, 2019).

The modulation of cyclonic and anticyclonic eddies on tidal mixing also differ. The increase of  $K_z$  by internal tides in regions with cyclonic eddies (vorticity> $3 \times 10^{-6} s^{-1}$ ) and anticyclonic eddies (vorticity< $-3 \times 10^{-6} s^{-1}$ ) are both shown (Fig.10 and Fig.11). Under the same magnitude of internal tides,





the  $K_z$  increase more significantly in the presence of anticyclonic eddies, which is obvious in 250-500 m, and can also be seen in 500-1000 m. Below 1000 m, there is no significant differences between the regions with cyclones and anticyclones.

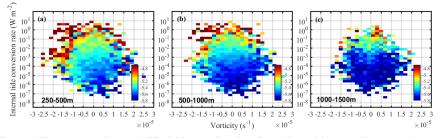


Figure 10 The averaged diapycnal diffusivities as a function of vorticity and internal tides conversion rate between (a) 250-500 m, (b) 500-1000 m and (c) 1000-1500 m

Considering mixing driven by eddies is relatively significant in regions where the tidal mixing is very weak, we only analyze the cases of internal tides conversion rates larger than  $10^{-3}Wm^{-2}$ . When the conversion rates become larger than this value, the diapycnal diffusivities at the presence of high eddy kinetic energy increase faster with internal tides (Fig. 9). It was found that the response of turbulent mixing to internal tides was more sensitive in the presence of anticyclones above 1000 m. While below 1000 m, the influence of cyclones is slightly higher than that of anticyclones.

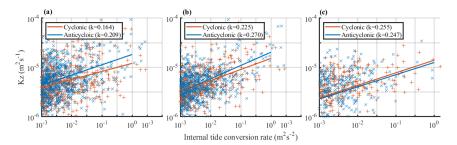


Figure 11 scatter of log-scale  $K_z$  versus log-scale internal tide conversion rate with Cyclone (red) and anticyclone (blue) in (a) 250-500 m, (b) 500-1000 m, and (c) 1000-1500 m. The best-fit slopes are denoted by the red and blue solid line.

## 4. Summary and Discussion

The spatial pattern and seasonal variability of the diapycnal diffusivities in the Philippine Sea were estimated using a fine scale parameterization. The main conclusions are as follows.

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strong in winter and weak in summer at mid-latitudes, with the seasonal fluctuations more obvious in the upper ocean. This was attributed to the Westerlies, and the wind plays a more significant role in turbulent mixing here. However, the seasonal cycle of mixing in the low latitudes was not obvious, indicating that the wind-driven mixing was not dominant here. As opposed to wind-driven mixing, tidal mixing was more significant in the deeper ocean. Evidence that the mixing was modulated by internal tides was seen in regions of both high and low eddy kinetic energy, and it was more significant with high eddy kinetic energy. The presence of high eddy kinetic energy enhanced the response of  $K_z$  to internal tides, especially for the  $M_2$  internal tide. The increased rate of  $K_z$  with internal tides in the high EKE field was higher than that in the weak EKE field. The existence of mesoscale features changed the vertical structure of internal tides, and transferred the internal tides energy from low modes to higher modes. It was more likely to cause internal tide breaking (Dunphy and Lamb 2014). The enhancement by mesoscale motions to tidal mixing was more significant for M2 internal tides. Anticyclonic eddies were more likely to increase tidal mixing in the upper ocean. While the influence of cyclonic eddies to tidal mixing was slightly higher than that of anticyclonic ones in the deep ocean. There are several mechanisms that might explain the elevated tidal mixing in the present of energetic mesoscale environment. The vertical scales of internal tide can be reduced and the energy of internal tide can be amplified near the surface in the presence of energetic mesoscale features. When internal tide passes through mesoscale eddy, the energy of mode-1 internal tide can be refracted and transmitted to higher-mode waves (eg. Farrari and Wunsch, 2008, Henning and Vallis, 2004). The eddy flows can also directly increase vertical shear and subsequently internal tide energy dissipation rate (eg. Chavanne et al., 2010, Dunphy, 2014). The anticyclones induce higher tidal mixing than do cyclones probably because of the Chimney effects associated with distinct vorticities (Jing and Wu, 2011). This paper explores the modulation of the mesoscale environments on tide-induced mixing statistically by some observed datasets. Theoretical clarification of the driving mechanisms is needed. Some previous numerical studies can explain our conclusion to some extent. However, how and to which extent the vorticity alter internal tide evolution and induced mixing have not been clearly explained in theory. Moreover, the latitude range from 9 N to 36 N are discussed in this work due to the limitation of fine scale parameterization method in equatorial areas. The influence of the equatorial

The seasonal fluctuations of mixing in this area were zonally dependent. Seasonal variability was





430 background flows on ocean mixing remains to be solved. 431 Code and data availability. The ARGO data (ftp://ftp.argo.org.cn/pub/ARGO/global/) set were 432 433 made available by China Argo Real-time Data Center (Li Zhaoqin et al., 2019). The near surface 10 m 434 wind speed was product by ERA-Interim dataset (https://www.ecmwf.int/en /forecasts/datasets). The 435 geostrophic velocity were taken from the AVISO (http://www.aviso.altimetry.fr/duacs/). The internal 436 tidal conversion rate was provided by SEANOE (https://www.seanoe.org/data/, C.de Lavergne et al., 437 2019). The corresponding data and codes are available on request to Zhenhua Xu by email. 438 439 Author contribution. The concept of this study was developed by Zhenhua Xu and extended upon 440 by all involved. Jia You implemented the study and performed the analysis with guidance from Zhenhua Xu, Qun Li and Robin Robertson. Peiwen Zhang and Baoshu Yin collaborated in discussing 441 442 the results and composing the manuscript. 443 444 Competing interests. The authors declare that they have no conflict of interest. 445 446 Acknowledgment. Funding for this study was provided by the Strategic Priority Research Program 447 of Chinese Academy of Sciences (No.XDB42000000, XDA11010204), the National Key Research and 448 Development Program of China (No. 2016YFC1402705, 2017YFA0604102), the National Natural 449 Science Foundation of China (91858103, 41676006), Key Research Program of Frontier Sciences, 450 CAS. 451 References 452 Alford, M. H., and Gregg, M. C.: Near-inertial mixing: Modulation of shear, strain and microstructure 453 at low latitude, J. Geophys. Res., 106(C8), 16947-16968, 2001. 454 Alford, M. H.: Improved global maps and 54-year history of wind-work on ocean inertial motions. 455 Geophys. Res. Lett., 30,1424, 2003. 456 Alford, M. H., MacKinnon, J. A., Simmons, H. L. & Nash, J. D.: Near-inertial internal gravity waves in 457 the ocean. Annu. Rev. Mar. Sci. 8, 95-123, 2016. Ansong, J.K., B.K. Arbic, H.L. Simmons, M.H. Alford, M.C. Buijsman, P.G. Timko, J.G. Richman, J.F. 458 459 Shriver, and A.J. Wallcraft: Geographical Distribution of Diurnal and Semidiurnal Parametric 460 Subharmonic Instability in a Global Ocean Circulation Model. J. Phys. Oceanogr., 48, 1409-1431, 461 2018.





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