

Enhanced Internal Tidal Mixing in the Philippine Sea Mesoscale Environment

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Abstract.

Turbulent mixing in the ocean interior is mainly attributed to internal wave breaking; however, the mixing properties and the modulation effects of mesoscale environmental factors are not well-known. Here, the spatially inhomogeneous and seasonally variable diapycnal diffusivities in the upper Philippine Sea were estimated from ARGO float data using a strain-based finescale parameterization. Based on a coordinated analysis of multi-source data, we found that the driving processes for diapycnal diffusivities mainly included the near-inertial waves and internal tides. Mesoscale features were important in intensifying the mixing and modulating its spatial pattern. One interesting finding was that, besides near-inertial waves, internal tides also contributed significant diapycnal mixing in the upper Philippine Sea. The seasonal cycles of diapycnal diffusivities and their contributors differed zonally. In the mid-latitudes, wind-mixing dominated and was strongest in winter and weakest in summer. In contrast, tidal-mixing was more predominant in the lower-latitudes and had no apparent seasonal variability. Furthermore, we provide evidence that the mesoscale environment in the Philippine Sea played a significant role in regulating the intensity and shaping the spatial inhomogeneity of the internal tidal mixing. The

29 magnitudes of internal tidal mixing were greatly elevated in regions of energetic mesoscale processes.
30 Anticyclonic mesoscale features were found to enhance diapycnal mixing more significantly than
31 cyclonic ones.

32 **Keywords:** Mixing, Internal tides, Mesoscale, the Philippine Sea

33 **1. Introduction**

34 Turbulent mixing can alter both the horizontal and vertical distributions of temperature and salinity
35 gradients. These then modulate the ocean circulation variability, both globally and regionally. Many
36 studies have shown the existence of a complicated spatiotemporal pattern of diapycnal mixing in the
37 ocean interior. Such mixing inhomogeneity can influence the hydrological characteristics, ocean
38 circulation variability and climate change. The breaking of internal waves is believed to be the main
39 contributor to the ocean's diapycnal mixing (eg. Liu et al., 2013, Robertson R., 2001). Thus, clear
40 understanding of the spatial patterns and dissipation processes of broad-band internal waves is necessary
41 to clarify and depict the global ocean mixing climatology.

42 The long-wavelength internal waves in the ocean occur mainly in the form of near-inertial internal
43 waves (NIWs) and internal tides (eg. Alford and Gregg, 2001; Cao et al., 2018; Klymak et al., 2006; Xu
44 et al., 2013), and the internal solitary waves evolved from them also can trigger mixing (eg. Deepwell et
45 al., 2017; Grimshaw, et al., 2010; Shen et al., 2020). The wind-input NIW energy to the mixing layer is
46 about 0.3-1.4 TW (eg. Alford, 2003; Liu et al., 2017; Rimac et al., 2013; Watanabe and Hibiya, 2002).
47 The NIW energy propagate downward, mainly dissipate and drive energetic mixing within the upper
48 ocean (Wunsch and Ferrari, 2004). Barotropic tidal currents flowing over rough topographic features can
49 generate internal tides (eg. Robertson R., 2001; Xu et al., 2016), with the global energy of 1.0 TW (Egbert
50 and Ray, 2001; Jayne and St. Laurent, 2001; Song and Chen, 2020). Near the generation sources, internal
51 tidal mixing intensifies above the bathymetries; meanwhile, in the remote area, the tidal mixing becomes
52 distributed throughout the water column due to the multiple reflection and refraction processes. Therefore,
53 the relative contributions to the upper-layer diapycnal diffusivities by NIWs and the spatial variability of
54 internal tides deserve further investigation.

55 In mid-latitudes, NIWs dominate the upper ocean mixing, as a result of the presence of westerlies

56 and frequent storms (eg. Alford et al., 2016; Jing et al., 2011; Whalen et al., 2018). However, from the
57 global view, the upper ocean mixing geography is inconsistent with the global wind field distribution.
58 For example, in low-latitudes, upper ocean mixing hotspots are located nearer to rough topographic
59 features, regardless of the wind conditions. This indicates that upper ocean mixing might be attributed
60 to non-wind-driven internal waves, such as internal tides. In order to better understand the ocean mixing
61 patterns and modulation mechanisms, we need to clarify the relative contributions between the wind
62 and tidal energy.

63 Internal tides are generally considered to be important to ocean mixing in the deep ocean, below the
64 influence of winds (Ferrari and Wunsch, 2009; Munk et al., 1998; MacKinnon et al., 2017). Many factors
65 influence the spatial pattern and energy transfer of internal tides. Higher-mode internal tides break more
66 easily near their sources, while the low-mode internal tides propagate long distances, even thousands of
67 kilometers. Propagating internal tides will be limited by several factors, such as topography, stratification
68 and turning latitude (eg. Vlasenko et al., 2013; Song and Chen, 2020; Hazewinkel & Winters, 2011).
69 Wave-wave interaction in the ocean also influences the spatiotemporal variability of internal tides. For
70 example, PSI (parametric subharmonic instability) is a potential avenue to transfer internal tidal energy
71 to other frequencies (Ansong et al., 2018). Moreover, stratification and background flows also contribute
72 to internal tidal spatial and temporal variability (eg. Karry et al., 2016; Huang et al., 2018; Tanaka et al.,
73 2018; Chang et al., 2019). Due to the complicated multi-scales of the background flows, it is still unclear
74 about how the background flow modulates the internal tides, their energy dissipation and ocean mixing.

75 Recent research suggests that the mesoscale environment is a key factor influencing ocean mixing.
76 There is evidence that mesoscale eddies can enhance wind-driven mixing and internal tidal dissipation.
77 This enhancement will be more significant in the presence of an anticyclonic eddy (eg. Jing et al., 2011;
78 Whalen et al., 2018). Likewise, regional studies indicate that mesoscale features modulate the generation
79 and propagation of internal tides. Mesoscale currents can also broaden the range undergoing internal tide
80 critical latitude effects and enhance the energy transfer from diurnal frequencies to semidiurnal or high
81 frequencies (Dong et al., 2019). Mesoscale eddies are found to modulate internal tide propagation
82 (Rainville and Pinkel, 2006; Park and Watts, 2006; Zhao et al., 2010) and enable the internal tide to lose
83 its coherence (Nash et al., 2012; Kerry et al., 2016; Ponte and Klein, 2015). Numerical simulation results

84 support these observations (Kerry et al. 2014), indicating that the patterns of internal tides are largely
85 modulated by the position of eddies. An idealized numerical experiment shows that the energy of internal
86 tides shows bundled beams after passing through an eddy (Dunphy and Lamb, 2014). And the mode-1
87 internal tidal interactions with eddies will trigger higher-mode signals. Up to now, research on
88 mesoscale–internal tide interactions has been primarily focused on the propagation pattern or 3-D
89 structure of internal tides and has ignored their energy dissipation and mixing effects. The latter are more
90 important for impacts on the ocean circulation variability and climate change.

91 The Philippine Sea, located in the Northwestern Pacific Ocean, is one of the most energetic internal
92 tidal regimes in the world. In this region, powerful internal tides significantly enhance ocean mixing, as
93 shown by numerical simulations (Wang et al., 2018). The importance of sub-inertial shear to ocean
94 mixing has been hypothesized from observations (Zhang et al., 2019), and the importance of internal
95 tides to mixing is supported through parameterization techniques (Qiu et al., 2012). On the other hand,
96 the Philippine Sea is an area with frequent typhoons, which make significant contributions to ocean
97 mixing. Consequently, multiple factors and mechanisms impact the turbulent mixing distribution in the
98 Philippine Sea (Wang et al., 2018). To date, it is unclear what the dominant factors are and how these
99 factors modulate the ocean mixing properties. Moreover, the role of mesoscale environment in regulating
100 ocean mixing is still not well understood.

101 Presently, coupled numerical models are basically able to accurately simulate the generation and
102 propagation of internal tides. The internal tide dissipation and induced mixing are found to be important
103 for the determination of correct mixing parameterizations in numerical models (Robertson and Dong,
104 2019). Some existing studies focus on the simulations of internal tidal breaking and tidally induced
105 mixing (Kerry et al., 2013; Kerry et al., 2014; Muller, 2013; Wang et al., 2018). It is difficult to provide
106 a complete spatial and temporal picture from direct observations of turbulence. This is due to the scarcity
107 of observations and their patchy distribution in time and space. Multisource data covering multiple tidal
108 cycles or preferably a spring-neap cycle, as well as a broad domain, are necessary to acquire the
109 spatiotemporal distribution and few of these have been collected. The development and application of
110 parameterization methods provide greater possibility of characterizing a broad-regional mixing
111 distribution and variability. A global pattern of ocean mixing has been provided using these

112 parameterization methods (Whalen et al. 2012; Kunze 2017). Furthermore, sensitivity studies have been
113 performed investigating the dependence of several factors to global mixing, such as bottom roughness,
114 internal tides, wind and background flows (eg. Whalen et al. 2012; Waterhouse. 2014; Kunze and Eric.
115 2017; Whalen et al. 2018; Zhang et al. 2019). At present, parameterization is the most effective method
116 to investigate the modulation of tidal mixing by mesoscale background flows.

117 The spatial pattern and temporal variability of diapycnal diffusivities in the Philippine Sea are
118 examined in this paper. We provide evidence to verify the importance of tidal mixing in the upper layer
119 of this region. Moreover, we illustrate the modulation of mesoscale environment in tidal mixing
120 properties and distributions. Our data and methods are detailed in Section 2. Results and analysis,
121 including the spatial patterns and seasonal cycle of mixing, contributions of influencing factors and
122 internal tide-mesoscale interrelationships, are shown in Section 3. Finally the summary and discussion
123 are given in Section 4.

124 **2. Method and Data**

125 **2.1 ARGO and Fine-scale parameterization method**

126 The ARGO Program is a joint international effort involving more than 30 countries and organizations
127 and having deployed over 15,000 freely drifting floats since 2000. The accumulated total of collected
128 profiles exceeds 2 million profiles of Conductivity, Temperature, Depth (CTD) along with other
129 geobiochemical parameters. The ARGO program has become the main data source for many research
130 and operational predictions of oceanography and atmospheric science (<http://www.ARGU.ucsd.edu>). We
131 screened the profiles from the Philippines Sea with quality control and estimated diapycnal diffusivity
132 and dissipation rate from them using a finescale parameterization.

133 The diapycnal diffusivity and turbulent kinetic energy dissipation rate can be estimated from a fine-
134 scale strain structure. This is based on a hypothesis that the energy can be transported from large to small
135 scales. In such scales, waves break due to shear or convective instabilities by weakly nonlinear
136 interactions between internal waves (Kunze et al., 2006). Presently, this method has been widely used
137 for the global ocean (eg. Wu et al., 2011; Kunze et al., 2017; Whalen et al., 2012; Fer et al., 2010;
138 Waterhouse et al., 2014). The dissipation rate ϵ can be expressed as

139
$$\varepsilon = \varepsilon_0 \frac{\overline{N^2} \langle \xi_z^2 \rangle}{N_0^2 \langle \xi_{zGM}^2 \rangle} h(R_\omega) L(f, \overline{N}) \quad (1)$$

140 where $\varepsilon_0 = 6.73 \times 10^{-10} W/kg$ and $N_0 = 5.24 \times 10^{-3}/s$, and $\overline{N^2}$ represents the averaged buoyancy
 141 frequency of the segment. $\langle \xi_{zGM}^2 \rangle$ and $\langle \xi_z^2 \rangle$ are strain variance from the Garrett-Munk (GM) spectrum
 142 (Gregg and Kunze, 1991) and the observed strain variance, respectively. The angle brackets indicate
 143 integration over a specified range of vertical internal wavenumbers (see equations 4 and 5). The function
 144 $h(R_\omega)$ accounts for the frequency content of the internal wave field and R_ω represents shear/strain
 145 variance ratio. R_ω is fixed at 7, which is a global mean value (Kunze et al., 2006).

146
$$h(R_\omega) = \frac{1}{6\sqrt{2}} \frac{R_\omega(R_\omega+1)}{\sqrt{R_\omega-1}} \quad (2)$$

147 The function $L(f, \overline{N})$ corrects for a latitudinal dependence, here f is the local Coriolis frequency,
 148 and f_{30} is the Coriolis frequency at 30° , and \overline{N} is the vertically averaged buoyancy frequency of the
 149 segment.

150
$$L(f, \overline{N}) = \frac{f \operatorname{arccosh}(\frac{\overline{N}}{f})}{f_{30} \operatorname{arccosh}(\frac{\overline{N}}{f_{30}})} \quad (3)$$

151 strain ξ_z was calculated from each segment,

152
$$\xi_z = \frac{N^2 - N_{ref}^2}{N^2} \quad (4)$$

153
$$\langle \xi_z^2 \rangle = \int_{k_{min}}^{k_{max}} S_{str}(k_z) dk_z \leq 0.2 \quad (5)$$

154 We derived N from 2 to 10 dbar-processed temperature, salinity, and pressure data according to the
 155 ARGO float resolution. N_{ref} , as a smooth piece-wise quadratic fit to the observed N profile, is fitted to
 156 24 m. Here we remove segments that vary in the range of $\langle N^2 \rangle > 5 \times 10^{-4} s^{-2}$ or $\langle N^2 \rangle < 1 \times$
 157 $10^{-9} s^{-2}$ since the strain signal at these levels is dominated by noise (Whalen et al., 2018). By applying
 158 a fast Fourier transform (FFT) on half-overlapping 256 m segments along each vertical ξ_z profile, we
 159 computed the spectra $S_{str}(k_z)$ and integrated them to determine the strain variance. We integrated these
 160 spectra between the vertical wavenumbers $k_{min} = 0.003 \text{ cmp}$ and $k_{max} = 0.02 \text{ cmp}$ according to
 161 typical global internal tidal scales and equation 5, respectively. Substituting $\langle \xi_z^2 \rangle$ into equation (1)
 162 ultimately yields 32 m resolved vertical sections of each observed profile. The dissipation rate ε is
 163 related to the diapycnal diffusivity K_z by the Osborn relation

164
$$K_z = \Gamma \frac{\varepsilon}{N^2} \quad (6)$$

165 where the flux coefficient Γ is fixed at 0.2 generally.

166

167 **2.2 ERA-Interim and Slab-model**

168 The near-inertial energy flux for each observation profile was calculated using the 10 m wind speed
 169 product from ERA-Interim (<https://www.ecmwf.int/en/forecasts/datasets>), which is 6-hourly wind speed
 170 on a grid of $0.75^\circ \times 0.75^\circ$. We selected the mean near-inertial flux of 30-50 days before the time of
 171 each diapycnal diffusivity estimation as our measure of the near-inertial flux, with the consideration of
 172 the propagation of NIWs.

173 The wind-drive NIW energy flux can be directly estimated using a slab model, which assumes that the
 174 inertial oscillations in the mixed layer do not interact with the background fields. The mixed layer current
 175 velocity can be described by

176
$$\frac{dZ}{dt} + (r + if)Z = \frac{T}{\rho H} \quad (7)$$

177 where $Z = u + iv$ is the mixed layer oscillating component of full current, and i is an imaginary
 178 number to indicate the latitudinal component. $T = (\tau_x + i\tau_y)$ is the wind stress on the sea surface, f
 179 is the local Coriolis parameter, r is the frequency-dependent damping parameter, which was fixed
 180 at $0.15 f$ for these calculations. ρ is sea water density and fixed at 1024 kg/m^3 . H is the mixed-layer
 181 depth and was set to a constant 25 m. We can calculate the oscillating component of full velocity from
 182 equation 7 and obtain the near-inertial component through a bandpass filter of $[0.85, 1.25] f$. The near-
 183 inertial energy flux is calculated as

184
$$E(\Pi) = \text{Re}(Z \cdot T^*) \quad (8)$$

185 the asterisk (*) indicates the complex conjugate of a variable.

186 **2.3 AVISO and Eddy kinetic energy**

187 The eddy kinetic energy is estimated based on geostrophic calculation as:

188
$$EKE = \frac{1}{2}(U_g'^2 + V_g'^2) \quad (9)$$

189
$$U_g' = -\frac{g}{f} \frac{\Delta\eta'}{\Delta y} \quad V_g' = -\frac{g}{f} \frac{\Delta\eta'}{\Delta x} \quad (10)$$

190 where U_g' and V_g' are the geostrophic velocities in the east-west and north-south directions,
 191 respectively. They are taken from the AVISO (<http://www.aviso.altimetry.fr/duacs/>) geostrophic velocity
 192 product. η' indicates sea level anomaly (SLA).

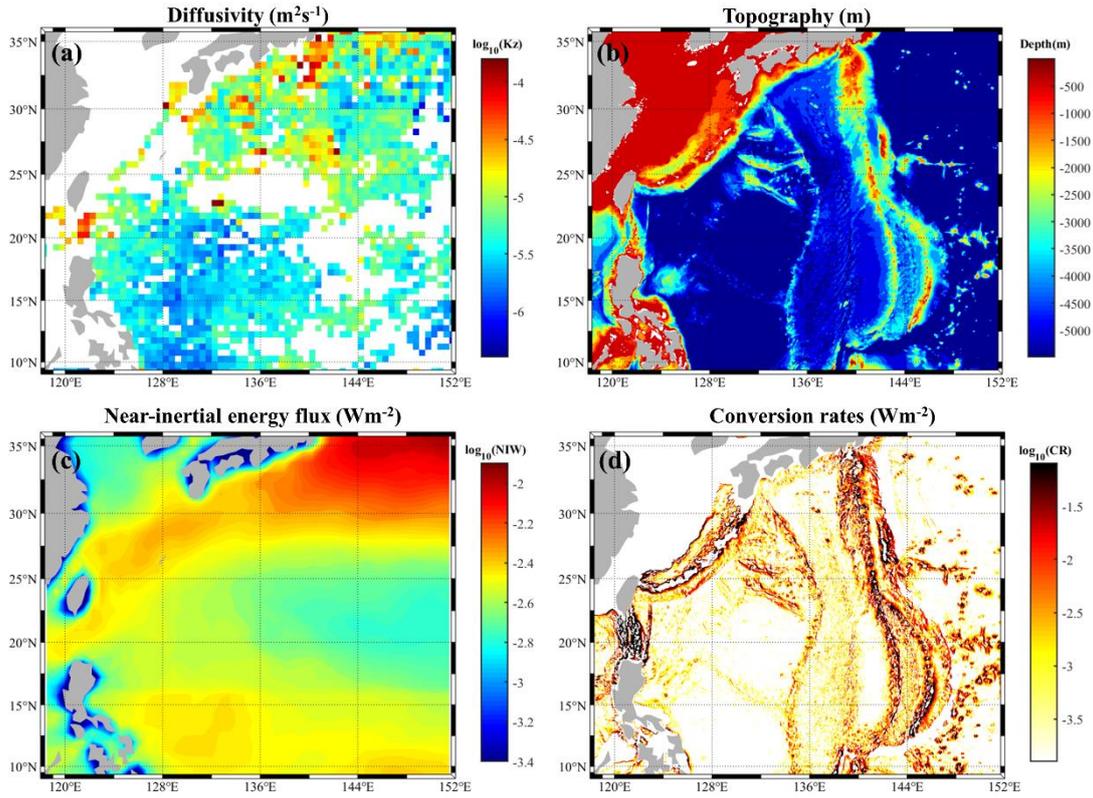
193 **2.4 Internal tidal conversion rates**

194 The internal tidal conversion rate was provided by SEANOE (<https://www.seanoe.org/data/>, C.de
 195 Lavergne et al., 2019), including 8 main tidal constituents. We used the mode-summed internal tidal
 196 conversion rates of M_2 and K_1 , and integrated 8 main tidal constituents in present study.

197 **3. Results**

198 **3.1 Spatial pattern of diapycnal mixing in the upper Philippine Sea**

199 The diapycnal diffusivities were used as indicators of ocean diapycnal mixing. The pattern averaged
 200 within 250-500 m is shown in Fig.1a. The K_z was estimated from the ARGO profiles, with an average
 201 on each cell of $0.5^\circ \times 0.5^\circ$. The magnitude of diapycnal diffusivities increased with latitude, reaching 10^{-4}
 202 $m^2 s^{-1}$ in the northern part of this area ($30^\circ N$ - $36^\circ N$). The mean value of K_z was about $O(-6)$ - $O(-5)$ at
 203 lower latitudes. While, it was remarkable that the magnitude of K_z also increased significantly in some
 204 low-latitude regions, reaching $O(-4)$ or higher, such as Luzon Strait (Xu et al., 2014). Reviewing the
 205 influence of topography, wind and internal tide (Fig.1b-d) on ocean mixing, it was found that the
 206 latitudinal variability of K_z was consistent with the wind intensity distribution. Upper ocean mixing was
 207 significantly enhanced at mid-latitudes due to the presence of westerlies. In addition, K_z was also
 208 enhanced near several key internal tide sources, such as the Luzon Strait, Bonin Ridge, Izu Ridge,
 209 Dadong Ridge, etc. At these sites, the magnitude of K_z was obviously larger than other areas at the same
 210 latitude, indicating a significant role of internal tides. Additionally, the enhancement of deep ocean
 211 mixing at these sites was even more obvious (not shown).

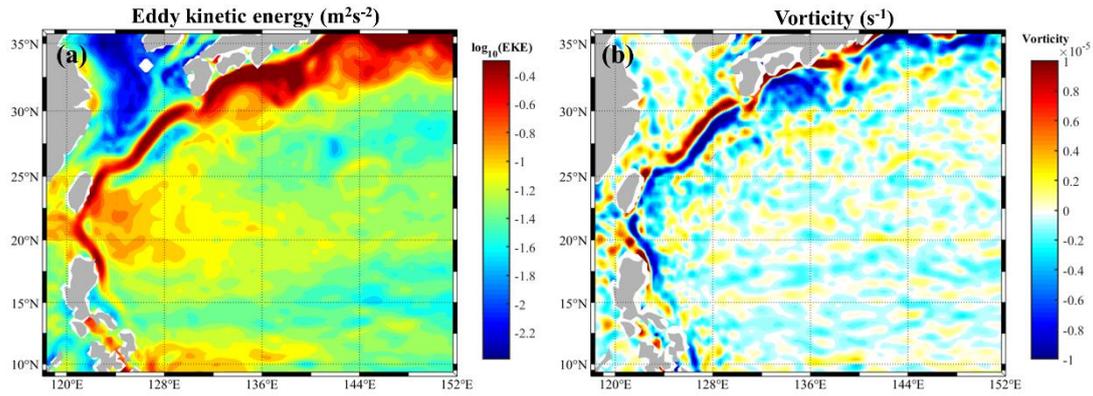


212

213 **Figure 1** Maps of (a) log-scale averaged diapycnal diffusivities K_z (m^2s^{-1}) estimated from ARGO profiles,
 214 (b) topography, (c) log-scale long-term averaged near-inertial energy flux from wind (Wm^{-2}), and (d) log-scale
 215 M2 internal tide conversion rates (Wm^{-2}).

216

217 It can be noted that the pattern of diapycnal diffusivities was not completely consistent with those of
 218 either internal tides or winds. This suggests that the ocean mixing was modulated by other factors than
 219 tides and winds. The magnitudes of K_z also vary for internal tide source sites. Considering that the
 220 Philippine Sea is a region with energetic mesoscale motions (Fig.2), the influences of mesoscale features
 221 in turbulent mixing should be taken into account. The existence of mesoscale features can alter the
 222 propagation and dissipation of internal tides. Therefore, the Philippine Sea is an ideal region to study the
 223 modulation of background flows on turbulent mixing associated with strong internal tides.



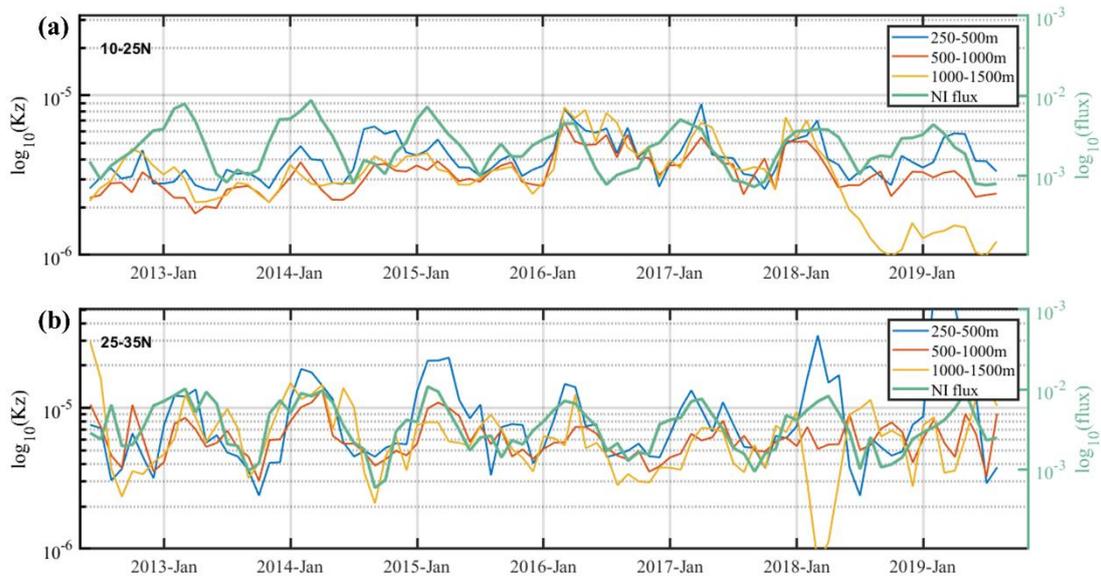
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225 **Figure 2** Maps of (a) log-scale long-term averaged eddy kinetic energy and (b) long-term averaged vorticity.

226

227 **3.2 Seasonal variability of mixing at different latitudes**

228 The seasonal cycle for diapycnal diffusivities also differs zonally. Here, we divided the Philippine Sea
 229 into two portions: low-latitude (10°N-25°N) and mid-latitude (25°N-35°N). The diapycnal diffusivities
 230 K_z were averaged in each latitude band (Fig.3). At the depth of 250-500 m in the mid-latitude, the
 231 diapycnal diffusivities had a significant seasonal trend as strong in winter and weak in summer. This is
 232 consistent with the seasonal fluctuation of near-inertial energy from wind. Such a seasonal cycle could
 233 also be found at 500-1000 m and 1000-1500 m in the mid-latitudes, but it was relatively weaker,
 234 especially after 2016. In the lower latitudes, the NIW energy was still strong in winter and weak in
 235 summer, but a seasonal dependence of turbulent mixing was not obvious, even in the upper ocean.
 236 Consequently, the wind was found to play a significant role in driving turbulent mixing at mid-latitude,
 237 but was insignificant at low latitudes. Other factors drove and modulated turbulent mixing in low
 238 latitudes.



239

240 **Figure 3** Seasonal cycles in diapycnal diffusivities (colorful line) and near-inertial energy flux from wind
 241 (green) extends to 250-500 m, 500-1000 m and 1000-1500 m in (a) 10°N -25°N and (b) 25°N-35°N, which is
 242 averaged in each month and all water column.

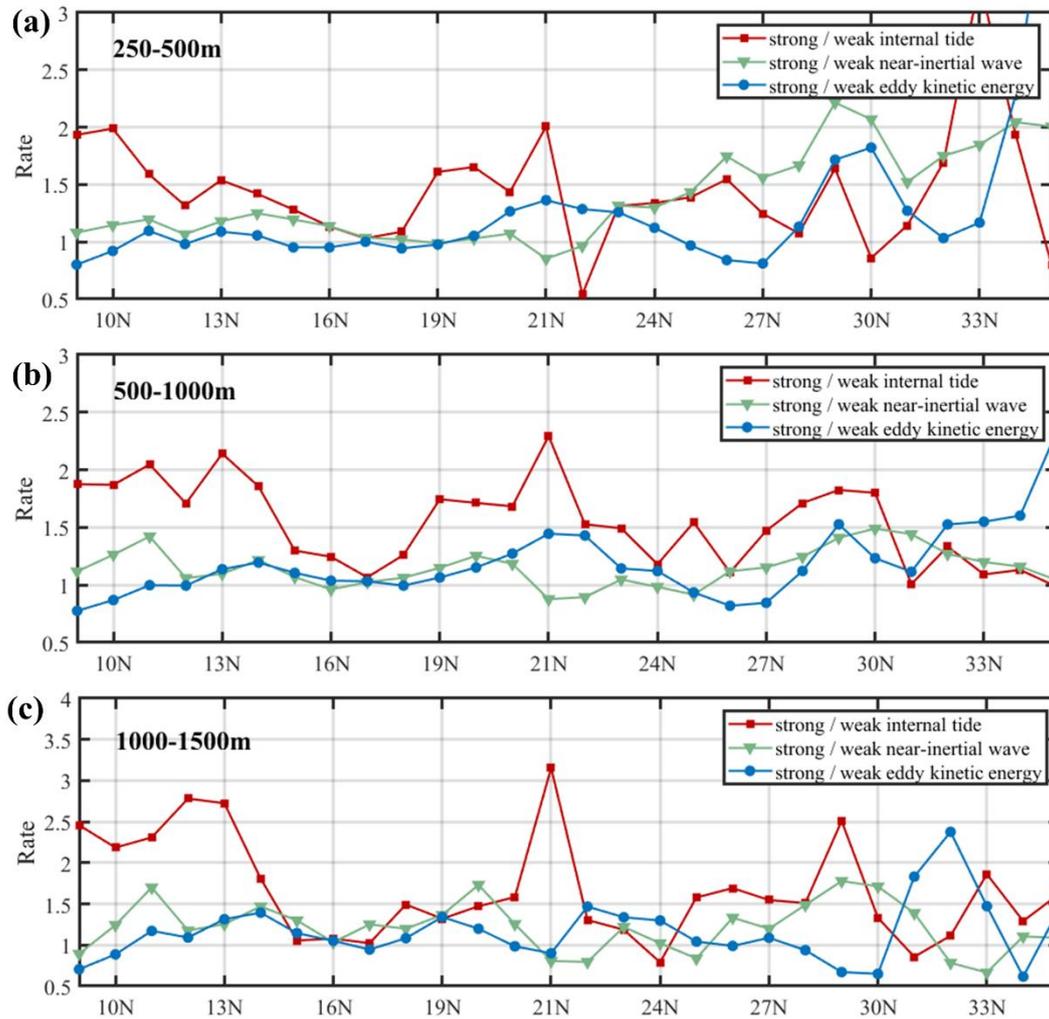
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244 3.3 Impact factors

245 3.3.1 Relative contributions

246 The turbulent mixing of the Philippine Sea displayed an obvious latitudinal dependence, so the
 247 latitudinal influence was examined for several factors: internal tides, wind and eddy kinetic energy
 248 (Fig.4). Each 1°latitude band was separated into two regions with weak or strong internal tides (or other
 249 factors). Here we define the strong or weak internal tides as the internal tide conversion rate larger or
 250 smaller than the median of the Philippine Sea. The diapycnal diffusivities in these two kinds of regions
 251 were then averaged. The similar method has been used to analyze the effect of topography and different
 252 frequency-bands internal waves on ocean interior shear and mixing (eg. Whalen et al., 2012; Zhang et
 253 al., 2019). For a more direct representation, the ratios of diapycnal diffusivities above the strong internal
 254 tides to weak internal tides were shown. The ratio larger than 1 means that the diapycnal diffusivities are
 255 significantly higher in the regions of strong internal tides. The larger this ratio is, the more important the
 256 internal tidal induced mixing. Similarly, the contributions of near-inertial wave and eddy kinetic energy
 257 were analyzed by this statistical method. The strong near-inertial wave or eddy kinetic energy is defined

258 as the locations where this parameter exceeds the regional median.



259

260 **Figure 4 Ratios of diapycnal diffusivities between areas over strong (greater than median) and weak internal**
 261 **tide (red lines), strong (greater than median) and weak near-inertial wave (green lines) and strong (greater**
 262 **than median) and weak eddy kinetic energy (blue lines) for each 1° latitude bands in the depth range of (a)**
 263 **250-500 m, (b) 500-1000 m and (c) 1000-1500 m, Which averages for each bands containing more than 10**
 264 **estimates.**

265

266 At depths of 250-500 m, the ratio associated with internal tides increased significantly at 10°N, 21°N
 267 and 33°N. These latitudes correspond to Guap seamount, Luzon Strait and Izu Ridge, which are main
 268 internal tidal source sites. It reached 2 near these three latitudes, indicating that strong internal tides
 269 triggered the enhancement of K_z twice as much compared to the regions of weak internal tides. In
 270 addition, north of 23°N, the ratio in related to NIW in the upper ocean increased significantly with

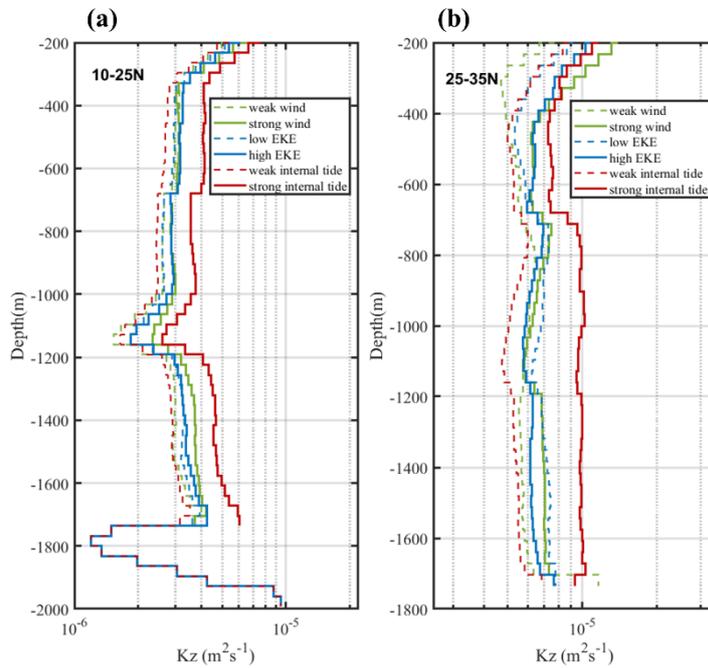
271 latitude, which indicated that the wind plays a more important role in mixing at this latitude band. This
272 result is basically consistent with previous studies (Whalen et al., 2018), which suggested that the mixing
273 is dominated by wind in the mid-latitude. Taking the wind as the driving factor better explains the
274 seasonal cycle of diapycnal diffusivities in Fig.3, since the winds have an apparent seasonal dependence.
275 The obvious seasonal trend of K_z due to the important contribution of wind occurs between 25°N-35°N.
276 In contrast, the ratio for wind is ~1 at lower latitudes, indicating that the wind-driven mixing is
277 insignificant here with the absence of wind-driven seasonal cycle.

278 The wind contribution to turbulent mixing is significantly reduced in the depth ranges of 500-1000 m
279 and 1000-1500 m (Fig.4 b and c). The ratio only increased slightly at mid-latitudes, less than 2 anywhere.
280 In contrast, the enhancement of mixing triggered by internal tides at these depth ranges was more
281 significant, with the ratios exceeding 3.5 at some latitudes. This suggested that internal tides played a
282 more important role in deep ocean mixing. Furthermore, internal tides significantly enhanced K_z around
283 13°N, 21°N, and 29°N, corresponding to the sources of Mariana Trench, Luzon Strait and Bonin Ridge,
284 respectively. Such enhancement was not obvious at the Izu Ridge possibly due to the shallower depth
285 and paucity of deep data, or the turning latitude effects in this area.

286 Combined with the analysis of relative contributions of different factors in different layers, it was
287 concluded that the contribution of internal tides in turbulent mixing is more important in low latitudes of
288 the Philippine Sea. In this area, the wind and mesoscale features did not significantly enhance K_z . At
289 mid-latitudes, internal tides still played an important role, but the wind contribution was more significant
290 in the upper ocean. The wind drove turbulent mixing even at the depths of 500-1000 m and 1000-1500
291 m. The mid-latitude region not only corresponds to westerlies, but also features energetic mesoscale
292 motions. Therefore, the mesoscale features might be a potential factor for the enhanced turbulent mixing.
293 The modulation of mesoscale environment in the wind-induced mixing are discussed by some previous
294 studies (eg. Jing et al., 2011; Whalen et al., 2018), while the impact of mesoscale features in tide-induced
295 mixing and in lower latitudes were not be considered.

296 The Philippine Sea was separated into two latitude bands. The vertical structures of diapycnal
297 diffusivities in the regions with strong or weak internal tides were compared (Fig.5). This result can
298 directly reveal the enhancement of internal tide on mixing at different depths. A similar analysis was

299 used for wind and EKE. In the low latitudes, K_z did not increase in the regions of high eddy kinetic
 300 energy or strong near-inertial energy, whereas, it increased significantly in the regions of strong internal
 301 tides. This enhancement was more obvious below 400 m (Fig.5 a). And in the mid-latitudes, K_z in the
 302 upper ocean increased significantly corresponding to strong winds with compared to weak winds (Fig.5
 303 b). Meanwhile, K_z was also larger in the regions of strong internal tides and high EKE in the upper ocean.
 304 The enhancement of wind or EKE to turbulent mixing significantly weakened below 600 m, while the
 305 enhancement of internal tides increased with depth. Here, these results convey several information: 1.
 306 Wind and EKE play important roles on mixing in the upper ocean in the middle latitudes; 2. Strong
 307 internal tide facilitate and enhance mixing in the deeper ocean. These two conclusions are consistent with
 308 previous researchers (eg. Jing et al., 2011; Whalen et al., 2012; Waterhouse et al., 2014; Mackinnon et
 309 al., 2017; Whalen et al., 2018). In addition, our results indicate that, in the Philippine Sea, internal tides
 310 play a significant role in turbulent mixing not only in the low latitudes, but also in the mid latitudes, and
 311 not only in the deeper ocean, but also in the upper ocean.



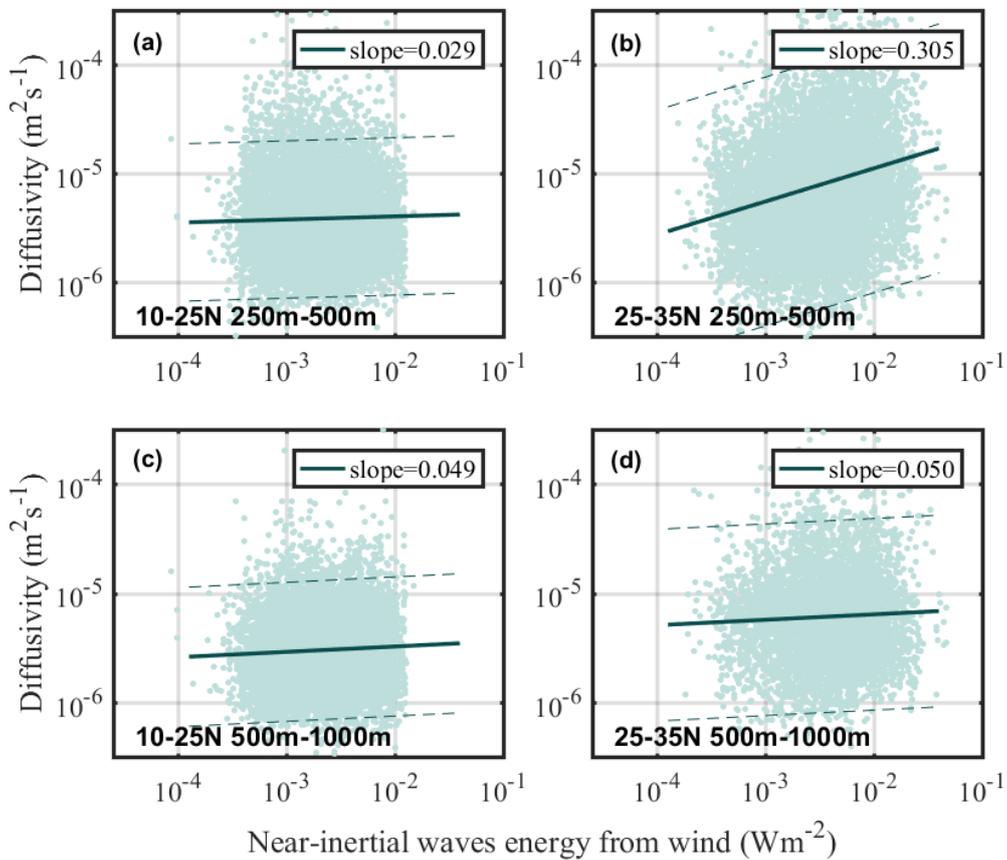
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313 **Figure 5 Vertical structures of geometric averaged diapycnal diffusivities K_z with weak and strong wind**
 314 **(green), low and high EKE (blue) and weak and strong internal tide (red) in the (a) low-latitude and (b)**
 315 **middle latitudes.**

316

317 **3.3.2 Wind**

318 We adopted the linear regression approach and obtained the correlation between diapycnal diffusivities
 319 and wind. This approach is generally used to statistics the correlation between two factors (eg. Wu et al.,
 320 2011; Jing et al., 2014; Jeon et al., 2018; Zhao et al., 2019). The regression coefficient is able to represent
 321 the mixing response to wind (eg. Qiu et al., 2012). Here, the Philippine Sea is divided into 10°-25°N and
 322 25°N-35°N (Fig.6). At the depth of 250-500 m, the slope is significant larger in 25°N-35°N (~0.305),
 323 and smaller in 10°N-25°N (~0.029). The wind driven turbulent mixing was most significant between
 324 25°N-35°N, but was insignificant between 10°N-25°N. At the depth of 500-1000 m, the wind influence
 325 on turbulent mixing was weakened in the mid-latitudes. This was consistent with the results of Fig.3 and
 326 Fig.4. It proved that the contribution of wind has a latitudinal dependence, which was significant at the
 327 mid-latitudes, but insignificant at low latitudes. In addition, the response of turbulent mixing to wind
 328 weakened quickly with depth, indicating that the dominant factor of mixing in the deeper water column
 329 was not wind. Accordingly, it was difficult for wind to drive mixing below 1000 m, so we do not show
 330 the results at the depth of 1000-1500 m (Fig.4).



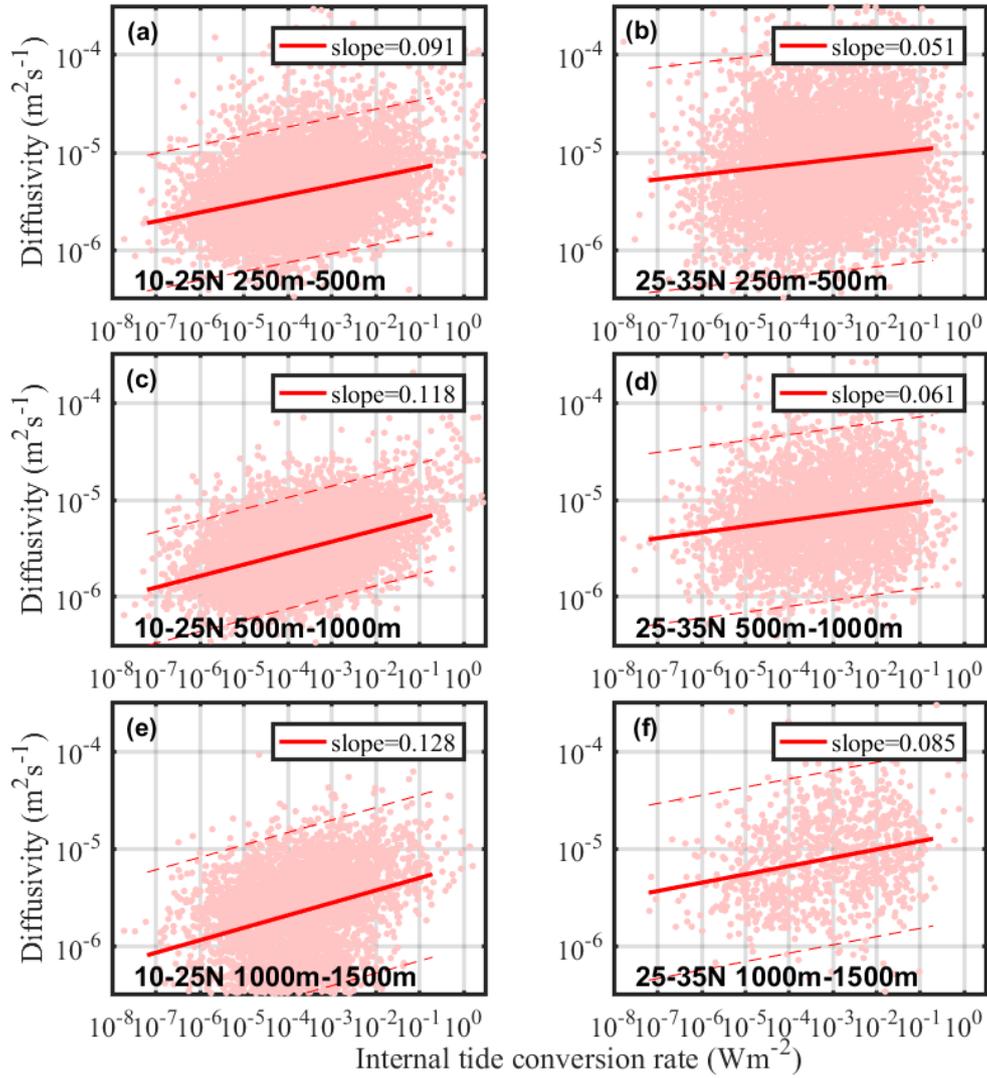
331

332 **Figure 6 Scatter of log-scale K_z versus log-scale near-inertial energy flux from wind in 250-500 m between (a)**
333 **10°N -25°N and (b) 25°N-35°N, and in 500-1000 m between (c) 10°N-25°N and (d) 25°N-35°N The best-fit**
334 **slopes are denoted by the solid line, the 95% confidence interval is indicated by dash lines.**

335

336 **3.3.3 Tide**

337 The slopes of K_z to internal tide conversion rates represent the mixing response to internal tides. As
338 discussed above, the mixing significantly responded to the internal tides over the entire Philippine Sea
339 (Fig.7). The relationship was depth dependent. The slopes did not reach 0.1 at the depth of 250-500 m,
340 but increased significantly at 500-1000 m and 1000-1500 m. and reach 0.128 for the deepest depth band.
341 The response of mixing to internal tides was more significant in the deeper ocean. Focusing on different
342 latitude bands, the slopes of K_z to internal tides is smaller at mid-latitudes. This is because the wind
343 contribution increased in this region, which led to a weakening relative contribution of internal tides.
344 Compared with the internal tide conversion rates, the pattern of K_z was inconsistent with internal tides,
345 even at lower latitudes. It can be inferred that the turbulent mixing was not only affected by the internal
346 tides, but also by other factors. There is a strong western boundary flow, Kuroshio, and an active
347 mesoscale environment in this region. Some researchers have shown that the existence of mesoscale
348 environment will alter the internal tide features, so we reasonably infer that the tidal induced turbulent
349 mixing in this area was modulated by the mesoscale features.



350

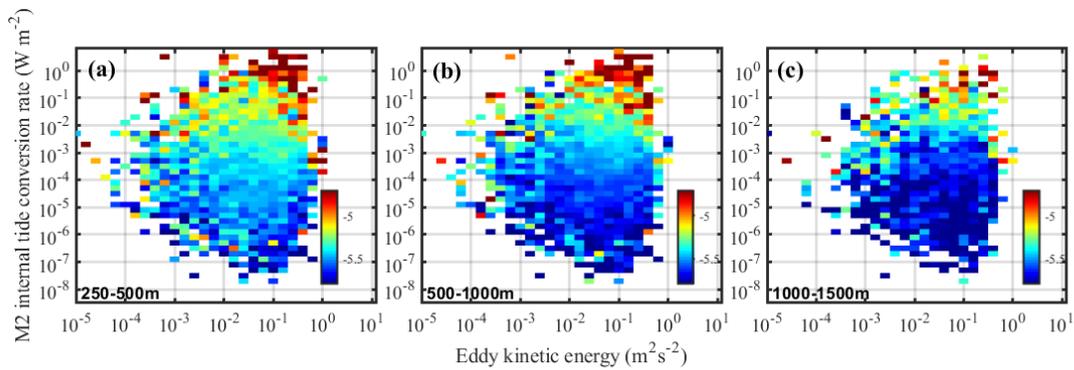
351 **Figure 7** Scatter of log-scale K_z versus log-scale internal tide conversion rate in 250-500 m (row 1), 500-1000
 352 m (row 2), 1000-15000 m (row 3) and the best-fit slopes are denoted by the red line. Columns 1 and 2 are 10°N-
 353 25°N and 25°N -35°N latitude bands, the 95% confidence interval is indicated by dash lines.

354

355 **3.4 Role of Mesoscale features in tidal mixing**

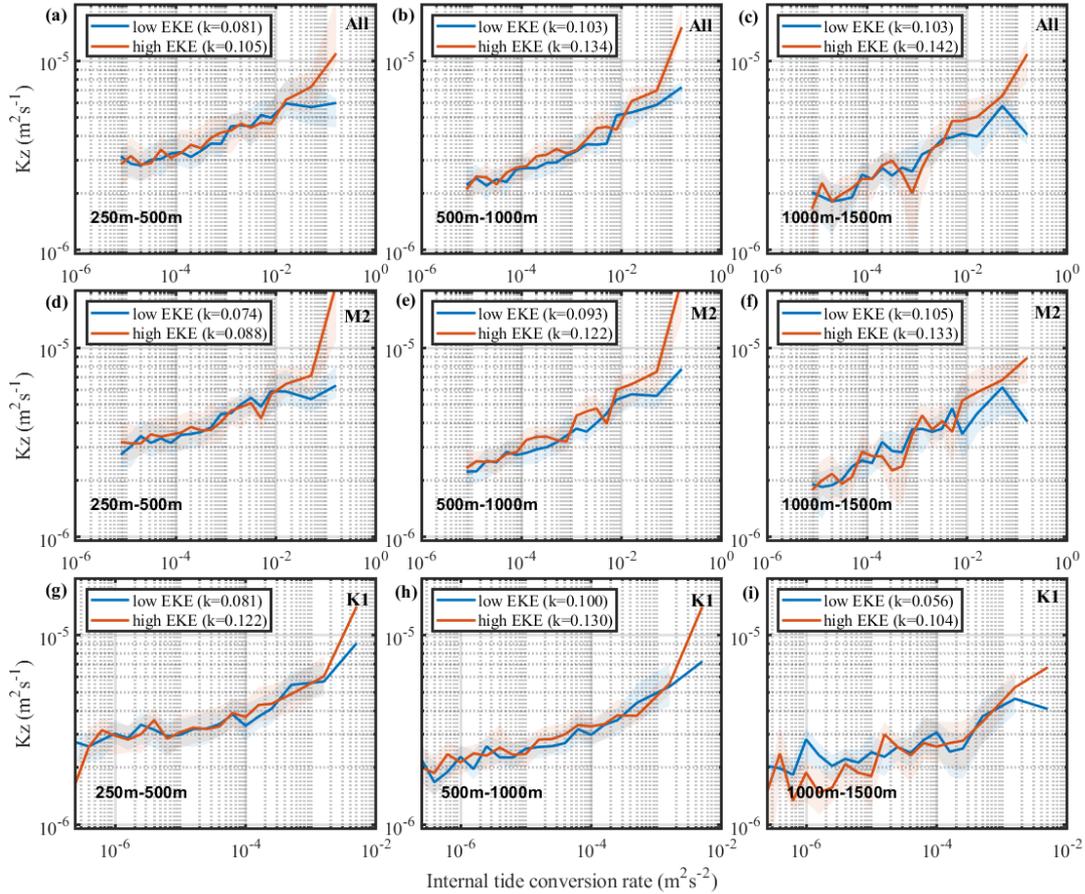
356 Focusing on the low latitudes, where tidal mixing dominated, the diapycnal diffusivities, K_z , related
 357 to internal tides and eddy kinetic energy are shown (Fig.8). The combined influences of mesoscale
 358 features and internal tides on mixing are indicated. The increasing internal tide conversion rates
 359 significantly enhanced turbulent mixing. We find a correlation between elevated eddy kinetic energy and
 360 the averaged diapycnal diffusivities for a given internal tide conversion rate level. When the conversion

361 rate was $10^{-3}Wm^{-2}$, the magnitudes of K_z were about $3 \times 10^{-6} m^2s^{-1}$, $3 \times 10^{-6} m^2s^{-1}$, $1 \times 10^{-6} m^2s^{-1}$ at the
 362 depth of 250-500 m, 500-1000 m and 1000-1500 m, respectively. When the internal tide conversion rates
 363 reached O(-1)-O(0), K_z reached $10^{-5} m^2s^{-1}$ at both depths of 250-500 m and 500-1000 m, even exceed
 364 $10^{-4} m^2s^{-1}$ at some internal tide source sites. In addition, there was a positive correlation between eddy
 365 kinetic energy and diapycnal diffusivity. A higher eddy kinetic energy can further increase K_z under the
 366 same magnitude of internal tide conversion rate. Such enhancement was more significant with strong
 367 internal tide conversion rates greater than $10^{-3} Wm^{-2}$.



368
 369 **Figure 8 Averaged diapycnal diffusivities as a function of EKE and internal tide conversion rates between (a)**
 370 **250-500 m, (b) 350-500 m and (c) 500-1000 m.**

371
 372 M_2 and K_1 tidal constituents were analyzed to clarify the response of K_z to internal tides in the regions
 373 of high eddy kinetic energy (EKE is larger than the regional average value) and low eddy kinetic energy
 374 (Fig.9). The results integrating 8 main tidal constituents (Fig.9 a, b and c) showed that the slopes in a
 375 weak (strong) mesoscale field were smaller (larger), 0.081 (0.105), 0.103(0.134) and 0.103 (0.142) at the
 376 depth of 250-500 m, 500-1000 m, 1000-1500 m, respectively. The turbulent mixing was more sensitive
 377 to the internal tide magnitude in the presence of an energetic mesoscale field. Moreover, such response
 378 was more obvious in the region with strong internal tides (such as $>10^{-2}Wm^{-2}$ conversion rate). In some
 379 regions with weak internal tides, such as with internal tide conversion rates less than $10^{-3}Wm^{-2}$, the
 380 modulation of mesoscale eddies was less significant.



381

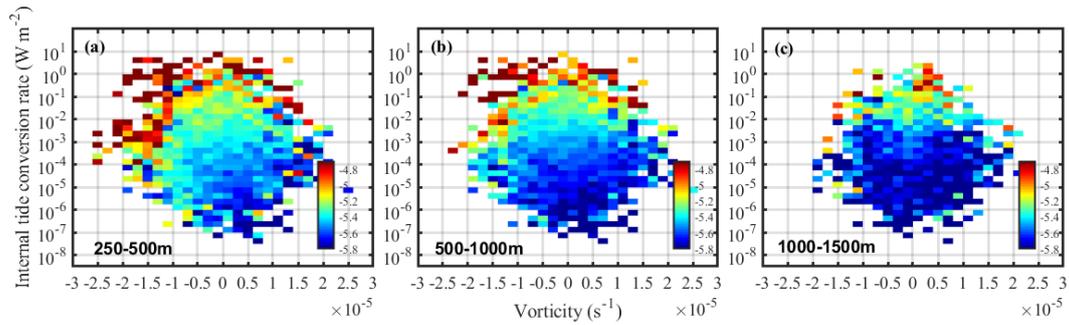
382 **Figure 9** The averaged diffusivity between depths of (a, d, g) 250 m-500 m, (b, e, h) 500 m-1000 m and (c, f, i)
 383 1000 m-1500 m in high (greater than the median) and low (less than the median) eddy kinetic energy. The
 384 shade indicate the 1 deviation. Rows 1,2 and 3 are related to 8 main tidal constituents, M_2 internal tide and
 385 K_1 internal tide, respectively.

386

387 A similar conclusion can be drawn only considering M_2 or K_1 . In regions of high eddy kinetic energy,
 388 the change in diffusivities in response to internal tides was significant. And the increase was more
 389 sensitive to the M_2 internal tide. The enhancement related to M_2 internal tide was more significant below
 390 500 m (Fig.9 d and e), while enhancement of the K_1 internal tide was similar at all depths. This may be
 391 due to different features and structures of M_2 and K_1 internal tides. In this area, the modal structure and
 392 propagation path of M_2 internal tide are more complicated and more prone to breaking, but those of K_1
 393 were relatively stable. And this area includes the K_1 critical latitude range, which can be broadened by
 394 mesoscale currents (Robertson and Dong, 2019).

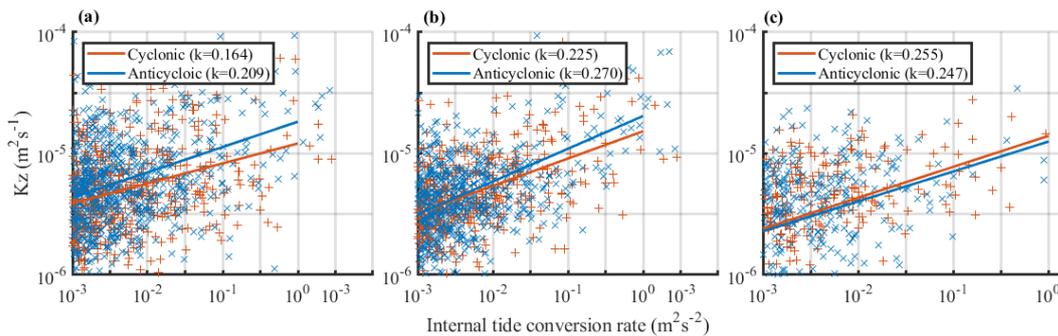
395 The modulation of cyclonic and anticyclonic eddies on tidal mixing also differ. The increase of K_z

396 by internal tides in regions with cyclonic eddies ($\text{vorticity} > 3 \times 10^{-6} \text{ s}^{-1}$) and anticyclonic eddies
 397 ($\text{vorticity} < -3 \times 10^{-6} \text{ s}^{-1}$) are both shown (Fig.10 and Fig.11). Under the same magnitude of internal tides,
 398 the K_z increase more significantly in the presence of anticyclonic eddies, which is obvious in 250-500
 399 m, and can also be seen in 500-1000 m. Below 1000 m, there are no significant differences between the
 400 regions with cyclones and anticyclones.



401
 402 **Figure 10 The averaged diapycnal diffusivities as a function of vorticity and internal tides conversion rate**
 403 **between (a) 250-500 m, (b) 500-1000 m and (c) 1000-1500 m**

404
 405 Considering mixing driven by eddies is relatively significant in regions where the tidal mixing is very
 406 weak, we only analyze the cases of internal tides conversion rates larger than 10^{-3} Wm^{-2} . When the
 407 conversion rates become larger than this value, the diapycnal diffusivities at the presence of high eddy
 408 kinetic energy increase faster with internal tides (Fig. 9). It was found that the response of turbulent
 409 mixing to internal tides was more sensitive in the presence of anticyclones above 1000 m. While below
 410 1000 m, the influence of cyclones is slightly stronger than that of anticyclones.



411
 412 **Figure 11 Scatter of log-scale K_z versus log-scale internal tide conversion rate with Cyclone (red) and**
 413 **anticyclone (blue) in (a) 250-500 m, (b) 500-1000 m, and (c) 1000-1500 m. The best-fit slopes are denoted by**
 414 **the red and blue solid line.**

415

416 **4. Summary**

417 The spatial pattern and seasonal variability of the diapycnal diffusivities in the Philippine Sea were
418 estimated using a fine scale parameterization. The main conclusions follow.

419 The seasonal fluctuations of mixing in this area were zonally dependent. Seasonal variability was
420 strong in winter and weak in summer at mid-latitudes, with the seasonal fluctuations more obvious in the
421 upper ocean. This was attributed to the Westerlies, and the wind plays a more significant role in turbulent
422 mixing here. However, the seasonal cycle of mixing in the low latitudes was not obvious, indicating that
423 the wind-driven mixing was not dominant here. As opposed to wind-driven mixing, tidal mixing was
424 more significant in the deeper ocean.

425 Evidence that the mixing was modulated by internal tides was seen in regions of both high and low
426 eddy kinetic energy, and it was more significant with high eddy kinetic energy. The presence of high
427 eddy kinetic energy enhanced the response of K_z to internal tides, especially for the M_2 internal tide.
428 The increased rate of K_z with internal tides in the high EKE field was higher than that in the weak EKE
429 field. The existence of mesoscale features changed the vertical structure of internal tides, and transferred
430 the internal tides energy from low modes to higher modes. It was more likely to cause internal tide
431 breaking (Dunphy and Lamb 2014). The enhancement by mesoscale motions to tidal mixing was more
432 significant for M_2 internal tides. Anticyclonic eddies were more likely to increase tidal mixing in the
433 upper ocean. While the influence of cyclonic eddies to tidal mixing was slightly higher than that of
434 anticyclonic ones in the deep ocean.

435 There are several mechanisms that might explain the elevated tidal mixing in the present of energetic
436 mesoscale environment. The vertical scales of internal tide can be reduced and the energy of internal tide
437 can be amplified near the surface in the presence of energetic mesoscale features. When internal tide
438 passes through mesoscale eddy, the energy of mode-1 internal tide can be refracted and transmitted to
439 higher-mode waves (eg. Ferrari and Wunsch, 2008, Henning and Vallis, 2004). The eddy flows can also
440 directly increase vertical shear and subsequently internal tide energy dissipation rate (eg. Chavanne et
441 al., 2010, Dunphy, 2014). The anticyclones induce higher tidal mixing than do cyclones probably because

442 of the Chimney effects associated with distinct vorticities (Jing and Wu, 2011).

443 This paper explores the modulation of the mesoscale environments on tide-induced mixing statistically
444 through ARGO float observations. Theoretical clarification of the driving mechanisms is needed. Some
445 previous numerical studies can explain our conclusion to some extent. However, how and to which extent
446 the vorticity alter internal tide evolution and induced mixing have not been clearly explained in theory.
447 Moreover, the latitude range from 9°N to 36°N discussed in this work is due to the limitations of the fine
448 scale parameterization method in equatorial areas. The influence of the equatorial background flows on
449 ocean mixing remains to be solved.

450

451 **Code and data availability.** The ARGO data (<ftp://ftp.argo.org.cn/pub/ARGO/global/>) set were made
452 available by China Argo Real-time Data Center (Li et al., 2019). The near surface 10 m wind speed was
453 product by ERA-Interim dataset (<https://www.ecmwf.int/en/forecasts/datasets>). The geostrophic
454 velocity were taken from the AVISO (<http://www.aviso.altimetry.fr/duacs/>). The internal tidal conversion
455 rate was provided by SEANOE (<https://www.seanoe.org/data/>, C.de Lavergne et al., 2019). The
456 corresponding data and codes are available on request to Zhenhua Xu by email.

457

458 **Author contribution.** The concept of this study was developed by Zhenhua Xu and extended upon by
459 all involved. Jia You implemented the study and performed the analysis with guidance from Zhenhua Xu,
460 Qun Li and Robin Robertson. Peiwen Zhang and Baoshu Yin collaborated in discussing the results and
461 composing the manuscript.

462

463 **Competing interests.** The authors declare that they have no conflict of interest.

464

465 **Acknowledgment.** Funding for this study was provided by the National Key Research and
466 Development Program of China (No. 2016YFC1402705, 2017YFA0604102), the Strategic Priority
467 Research Program of Chinese Academy of Sciences (No.XDB42000000, XDA11010204), the National

468 Natural Science Foundation of China (92058202, 91858103, 41676006), CAS Key Research Program of
469 Frontier Sciences (QYZDB-SSW-DQC024), Key Deployment Project of Centre for Ocean Mega-
470 Research of Science, Chinese Academy of Sciences (COMS2020Q07), the project jointly funded by the
471 CAS and CSIRO (133244KYSB20190031).

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