1	Ion acceleration at dipolarization fronts associated with
2	interchange instability in the magnetotail
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14	Abstract It has been confirmed that dipolarization fronts (DFs) can be a
15	result from the existence of interchange instability in the magnetotail. In
16	this paper, we used a Hall MHD model to simulate the evolution of the
17	interchange instability, which produces DFs on the leading edge. A test
18	particle simulation was performed to study the physical phenomenon of
19	ion acceleration on DF. Numerical simulation indicates that particles,
20	with 90-degree pitch angle and initially satisfying the initial power law
21	energy distribution $F \sim (1 + h/\kappa T_0)^{-\kappa - 1}$ ($\kappa = 5$, $T_0 = 1.5$ keV and h
22	from 1 keV to 10 keV), move towards the earthward and dawnward and

then drift to the tail. The DF-reflected ion population on the duskside appears earlier as a consequence of the asymmetric Hall electric field. Ions, with dawn-dusk asymmetric semicircle behind the DF, may tend to be accelerated to a higher energy (>6keV). These high-energy particles are eventually concentrated in the dawnside. Ions experience effective acceleration by the dawnward electric field E_y while they drift through the dawn flank of the front towards the tail.

31 Introduction

32 Earthward moving high-speed plasma flows, which are called bursty bulk 33 flows (BBFs), play a vital important role in carrying significant amounts 34 of mass, energy, and magnetic flux from the reconnection region to the 35 near-Earth magnetotail (Angelopoulos et al., 1994). BBFs are often 36 accompanied with a strong ($\sim 10nT$), abrupt (several seconds), transient 37 enhancement of the magnetic field component Bz in the leading part, 38 known as a dipolarization front (DF) (Nakamura et al., 2009; Sergeev et 39 al.,2009; Fu et al., 2012a). Ahead of the DF, a minor Bz dip usually be observed by THEMIS and MMS (Runov et al., 2009; Schmid et al., 2016), 40 41 which may be typically interpreted as strong diamagnetic currents caused 42 by a plasma pressure drop over the front or magnetic flux tube or 43 transient reconnection (Kiehas et al., 2009; Ge et al., 2011; Schmid et al., 44 2011). Simulations have suggested that the magnetic energy would be transferred to plasma on the DF layer in the Bz dip region ahead of 45 46 trailing fronts (Lu et al., 2017). Many of studies show that the passage of 47 a magnetic island (Ohtani et al., 2004), jet braking (Birn et al., 2011), 48 transient reconnection (Sitnov et al., 2009; Fu et al., 2013), and/or the 49 interchange/ballooning instability (Guzdar et al., 2010; Pritchett and 50 Coroniti, 2013) may account for DF generation. Both Cluster and MMS 51 observed that DFs propagate not only earthward but also tailward, since 52 the fast-moving DFs are compressed and reflected, three quarters of the

53 DFs propagate earthward and about one quarter tailward (Zhou et al., 54 2011; Nakamura et al., 2013; Huang et al., 2015; Schmid et al., 2016). 55 Spacecraft observations showed that the sudden energy increase in 56 charged particle fluxes at DFs from tens to hundreds of keV in the 57 magnetotail (Runov et al., 2011; Zhou et al., 2010; Fu et al., 2011; Li et 58 al., 2011; Artemyev et al., 2012). A series of studies have been conducted 59 to understand the signatures of DFs and particles, as well as the particle 60 acceleration mechanisms on the DFs. Li et al., (2011) studied the force 61 balance between the Maxwell tension and the total pressure gradient 62 surrounding the DF and found that the imbalance between the curvature 63 force density and the pressure gradient force density would lead to the 64 flux tube acceleration. Ions, essentially nonadiabatic in the magnetotail, 65 can be directly accelerated by the electric field produced by earthward 66 convection of the front, such as due to surfing acceleration or shock drift 67 acceleration (Birn et al., 2012, 2013; Ukhorskiy et al., 2013; Artemyev et 68 al., 2014). Electrons, comparatively adiabatic over most of their orbits, 69 can be accelerated through betatron and Fermi process (Birn et al., 2004, 70 2012). It is noticed that the magnetic field amplitude behind DF is much 71 greater than that ahead of it, Zhou et al. (2011, 2014) obtained that the 72 earthward moving front can reflect and accelerate ions. Ukhorskiy et al. 73 (2013, 2017) took the magnetic field component Bz for different areas 74 and situations into account, revealing a new robust acceleration mechanism enabled by stable trapping of ions. In most cases, ions are
energized by combined actions from different acceleration mechanisms.
Nevertheless, the physical processes that generate suprathermal particles
are not yet fully understood.

79 On the simulation ground, previous two-dimensional simulations just 80 unveil large scale physical process concerning DFs, in their models, the 81 electric field (most of them are derived from $-V \times B$) is assumed to be 82 solely in the y direction behind DFs (Ukhorskiy et al., 2012; Greco et al., 83 2014; Zhou et al., 2014). It has been found that the spatial scale of DFs in the dawn-dusk direction is about 1-3 R_E and its thickness is on the order 84 85 of the ion inertial length (Runov et al., 2011; Schmid et al., 2011), which 86 would be between 500 and 1000 km. In the sub-ion scale, there is an 87 electric field directed normal to the DF. The frozen-in condition is broken 88 at the DF and the electric field is mainly attributed by the Hall and 89 electron pressure gradient terms, with the Hall term dominants (Fu et al., 90 2012b; Lu et al., 2013; Lu et al., 2015). Therefore, the Hall MHD model 91 is necessary to obtain the Hall electric field, which may determine the 92 electric system on DFs.

93 Lu et al. (2013) have successfully simulated the DF associated with 94 interchange instability in the magnetotail and the trend of simulated 95 physical variables are in good agreement with observations. In this paper, 96 we improve the simulation model in order to study how the Hall electric

97 field on DFs acts on the particle trajectories and ion energizations. Since
98 the DF would be produced by temporal evolution of interchange

99 instability self-consistently through our Hall MHD simulation, it would

- 100 be meaningful to understand the ion acceleration mechanism associated
- 101 with the interchange instability in the magnetotail.
- 102

103 Theoretical and Numerical Model

104 Numerical simulations have proved that the existence of interchange instability triggered by the tailward gradient of thermal pressure and the 105 106 earthward magnetic curvature force is a possible generation mechanism 107 of the DFs in the magnetotail (Guzdar et al., 2010). Based on the Hall 108 MHD model associated with interchange instability (Lu et al., 2013), we 109 conducted test particle simulations to track ion trajectories backward in 110 time by running the simulation with positive time step then check the 111 time history of the trajectories of selected particles.

Our simulation was performed by two steps, the first is to establish a more realistic DF to get particle motion background. The other is to place test particles and track their trajectories.

The simulation coordinate system is defined with the x-axis pointing away from the Earth, the y-axis pointing from dusk to dawn, and the z-axis pointing northward (Guzdar et al., 2010, Figure 1). The breaking of the earthward flow together with the curvature of the vertical field leads

- 119 to an effective gravity g away from the earth. Dimensional units are based
- 120 on a magnetic field of 10 nT, the Alfven velocity of 500 km/s, and
- 121 reference length of 1 R_E leading to a time unit of ~13 s, an electric field of
- 122 <mark>5 mV/m.</mark>
- 123 The dimensionless model with an effective gravity is as follows:

124

$$\frac{\partial}{\partial t} \begin{bmatrix} \rho \\ \rho \mathbf{U} \\ \mathbf{B} \\ \rho e_{t} \end{bmatrix} + \nabla \cdot \begin{bmatrix} \rho \mathbf{U} \\ \rho \mathbf{U} + P\mathbf{I} - \frac{\mathbf{B}\mathbf{B}}{\mu_{0}} \\ \mathbf{U}\mathbf{B} - \mathbf{B}\mathbf{U} \\ (\rho e_{t} + P)\mathbf{U} - \frac{\mathbf{B}}{\mu_{0}} (\mathbf{U} \cdot \mathbf{B}) \end{bmatrix} = \begin{bmatrix} 0 \\ \mathbf{g} \\ 0 \\ \mathbf{g} \cdot \mathbf{U} \end{bmatrix} + d_{t} \begin{bmatrix} 0 \\ \mathbf{g} \\ \mathbf{g} \cdot \mathbf{U} \end{bmatrix} + d_{t} \begin{bmatrix} 0 \\ \mathbf{g} \\ \mathbf{g} \cdot \mathbf{U} \end{bmatrix} + d_{t} \begin{bmatrix} 0 \\ 0 \\ -\frac{1}{\mu_{0}} \nabla \times (\nabla \times \mathbf{g} + \mathbf{g} + \mathbf{g}) \\ -\frac{1}{\mu_{0}} \nabla \times (\nabla \times \mathbf{g} + \mathbf{g} + \mathbf{g}) \\ -\frac{1}{\mu_{0}^{2}} \mathbf{B} \cdot \left[\nabla \times (\nabla \times \mathbf{g} + \mathbf{g} + \mathbf{g}) \right] \end{bmatrix} + d_{t} \begin{bmatrix} 0 \\ 0 \\ -\frac{1}{\mu_{0}^{2}} \mathbf{B} \cdot \left[\nabla \times (\nabla \times \mathbf{g} + \mathbf{g} + \mathbf{g}) \right] \end{bmatrix}$$
(1)

Where $P = p + B^2/2\mu_0$, U and B are velocity vector and magnetic 125 field vector, respectively, $\rho e_t = \rho U^2/2 + p/(\gamma - 1) + B^2/2\mu_0$, 126 $\mathbf{g} = [\beta \rho g_x/2, 0, 0]^T$, β is plasma beta, g_x is the effective 127 gravitational force in x direction. In equation (1), the second and third 128 129 terms on the right-hand side represent the Hall effect and the electron 130 pressure gradient, respectively. In our present numerical cases, we 131 postulate that plasma is under isothermal conditions with an isothermal equation of state $p = \beta \rho/2$ and take the adiabatic exponent $\gamma = 5/3$. 132 The ion inertial length $d_i = (m_i/\mu_0 e^2 Z^2 L^2 n_i)^{1/2}$, given the reference 133 length $L = 1 R_E$, the dimensionless ion inertial length is taken as 134

135 $d_i \approx 0.1$. Electron pressure p_e is taken as p/6, because the ion 136 temperature is 5 times that of electron temperature (Baumjohann et al., 137 1989; Artemyev et al., 2011).

As for initial conditions, the quasi-stationary equilibrium built by the
plasma pressure and effective gravity g (see equation (2)) (Guzdar et al.
2010 and Lu et al. 2013, 2015) is theoretically reasonable.

141
$$\hat{g}\frac{\beta}{2}\rho = \frac{\partial}{\partial x}\left(\frac{\beta}{2}\rho + \frac{B_z^2}{2}\right)$$
(2)

142 It should be noticed that the dawn-dusk and earthward electric field 143 components averagely, increase to ~ 5 mV/m along with the transient Bz 144 increase and in some events, the electric field increase exceeded 10 145 mV/m (Runov et al., 2009, 2011; Schmid, D., et al. 2016). However, the 146 electric fields calculated by the Hall MHD model in Lu et al. (2013) are 147 smaller than the observations (see Lu et al., 2013, for a typical 148 dipolarization event at $x = -10 R_E$ in the equatorial plane, they set $B_0 =$ 15 nT, leading to Bz changed from 10.2 nT to 16.8 nT after DF 149 150 propagation. The electric field components E_x and E_y are both less than 151 3 mV/m). So, it is reasonable that we improve the initial conditions to 152 obtain a realistic electric field, which plays a vital important role in ion 153 energization.

154 We take the initial conditions as follows:

155
$$\rho(\mathbf{x}) = \frac{1}{2}(\rho_L + \rho_R) - \frac{1}{2}(\rho_L - \rho_R) \tanh(\frac{x}{l})$$
(3)

156
$$\begin{cases} B_Z(x) = 0.28x + 0.7535 & (x \le -0.38) \\ B_Z(x) = 1.5 + \tanh\left(\frac{x}{0.3}\right) & (-0.38 < x < 0.4) \\ B_Z(x) = 0.14x + 2.314 & (x \ge 0.4) \end{cases}$$
(4)

157 Given the generalized Ohm's law, we use a piecewise function to 158 describe Bz so as to obtain a strong electric field. In equation (3), 159 $\rho_L = 2$ and $\rho_R = 1$ are the density closer to and away from the Earth, 160 respectively and the characteristic scale $l = 0.2 R_E$. The numbers of grid

- 161 cells in x and y directions are set 301 and 201, respectively.
- 162 We solved equation (1) by adopting the second-order upwind total
- 163 variation diminishing scheme. The simulation box is 4 R_E and 1.5 R_E in
- 164 the direction of x and y, respectively. The x boundary is assumed to be
- 165 zero for all perturbed quantities and the y boundary is to be periodic.

166 As the second simulation step, the control equations for ion motion 167 should be given. Typically, the drift approximation breaks down in 168 terms of ion motion in magnetotail. The dimensionless equations of 169 motion are given by

170
$$\begin{cases} \frac{d\mathbf{r}}{dt} = \mathbf{u} \\ \frac{d\mathbf{u}}{dt} = \alpha(\mathbf{E} + \mathbf{V} \times \mathbf{B}) \end{cases}$$
(5)

171 where *r* is the particle position, *u* is the particle velocity, the 172 dimensional parameter $\alpha = \frac{1}{d_i} \approx 10$.

173

174 Simulation Results

175 From 0s to 221s, the simulation experienced a pre-onset phase, during

176	which the DF formed as a consequence of effective gravity g interaction
177	with plasma density gradient. In order to be more realistic, we set up the
178	time interval from 221s to 286s as the acceleration period of the particles.
179	Figure 1 shows the evolution of the electric field in the $z = 0$ plane and
180	black lines indicate streamlines, one can clearly see that the DF moves
181	toward the Earth as time passes by. From Figure 1b it can be seen that the
182	earthward flows coexisted with the tailward flows of the dawn and dusk
183	edges, as a consequence two vortex flow pattern appeared. Figure 1 also
184	shows that the electric field components $E_{\boldsymbol{x}}$ and $E_{\boldsymbol{y}}$ are both normal to the
185	front, which is consistent with the observation and simulation (Fu et al.,
186	2012b; Lu et al., 2013). It should be noticed that the total electric field E
187	is asymmetrically distributed on the DF, with a stronger dawnside electric
188	field in Figure 1. This asymmetry can be interpreted as a subsequence of
189	Lorentz force, according to the Hall electric field. Consequently, the
190	Lorentz force along the tangent plane of DF associated with the motions
191	of the decoupled ions leads to the asymmetry of the "mushroom" pattern
192	(Lu et al. 2013).



- 201 Testitung in the reduction of the for density bennia the D1. It should be
- 205 pointed that the ions will remain stationary at the boundary once they
- 206 move to the simulated boundary. We investigated the characteristics of

207 ions trajectories and found that the behaviors of ions consist of two parts 208 (not shown), one is forced by the electric field Ex at the leading edge of 209 the front, resulting in earthward motion and dawnward drift. Another is 210 due to the electric field E_v at the dawn flank of the DF, leading to tailward 211 drift. These results are consistent with observations and simulations 212 (Nakamura et al., 2002; Greco et al., 2014; Zhou et al., 2011, 2014). 213 Therefore, the electric field on the DF (Figure 1a), mainly produced by 214 Hall term and always normal to the front (Fu et al., 2012b; Lu et al., 215 2013), makes the particles move in the way described above. Statistical analysis of the ions energy in Figure 2 indicates that the maximum energy 216 217 is about 12 keV. In order to better distinguish particles from different 218 energy, we assumed that the ions with the final energy greater than 6 keV 219 are high-energy particles.





222 energy is indicated with color and black line represents the position of the

223 DF



224

Figure 3. PDFs of particle energy computed at the region of (a) $x < 0 R_E$

227 line 6 keV.



229 different x positions at final time. In order to better distinguish the curves

of different x distances, we show the results in two figures according to different region in x direction. It can be seen from Figure 3b that the high-energy particles are assembled in the region of $x > -0.5 R_E$ whereas Figure 3a shows that the low energy (~ 2keV) ions are concentrated in the

²²⁶ and (b) x > 0 R_E. The red dotted line mark the high energy demarcation

region of x < -0.5 R_{*E*}. At x = 0 R_{*E*}, ion energy is evenly distributed between 1 keV and 6 keV practically. In combination with Figure 2, we can further obtain that almost all the high-energy particles gathered in the dawnside of x > -0.5 R_{*E*} region. It implies that ion acceleration is more effective at the dawnside of DF.

To have a statistical description of high-energy ions, we picked out high-energy particles from the total number. The simulation results are shown in Figure 4 with ions energy marked with different color. It appears that high energy particles, accounting for 7.45 percent, mainly gathering at the dawnside of the DF.



Figure 4. Snapshots of high-energy ions at specific moment of the simulation, black line represents the pre-region position of the DF Figure 4a shows that the initial position of high-energy particles is roughly an asymmetric semicircle whereas the dawnside area is wider

249 than the duskside, which means that more ions are accelerated in the 250 dawnside than in the duskside. Compared with Figure 2, however, we can 251 intuitively infer that ions with initial positions ahead of or behind and 252 away from the front would not obtain great energization. The ions with 253 initial positions ahead of the front are forced by the Ex of pre-DF region 254 and they move earthward and dawnward with a larger gyration radius due to smaller ambient magnetic Bz, thus can't be accelerated by the 255 256 dawnside electric field E_{v.} The ions with initial positions behind the front 257 move with it and always stay behind the DF during the whole evolution 258 period of DF. As a result, there exist no strong fields to energized ions. 259 That is to say, only particles which diverted to the dawnside region closer 260 to the front can be effectively accelerated.

261 In a previous paper, Zhou et al. (2014) inferred that the more energized 262 DF-reflected ions originating from the duskside of the DF would be able 263 to reach farther into the ambient. In their model the ions would have been 264 accelerated more significantly in the DF duskside than in its dawnside 265 which is due to the y displacements behind the convex DF (Zhou et al., 266 2014 Figure 3). However, observations and numerical simulations 267 indicate that the convective electric field behind the front is smaller than 268 the Hall term on the DF on the spatial scale of ion inertial length (Fu et al., 269 2012b). Therefore, the explanation based on the convective electric field 270 E_v was inappropriate in oue model. Figure 4 has already illustrated that

the ion acceleration process is on the dawnside. In addition, statistical
analysis of 5961 high-energy ions indicates that 2004 ions were traced to
the duskside of the DF, about 34 % of the total high-energy particles. The
source area of ions reaches closer to the Earth, as shown in Figure 5.



Figure 5. Simulation results of ion differential energy fluxes in the 1-20
keV energy range at different location. (a) Positions of virtual satellites.

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(b) Ions with initial positions on different y locations moving with the
dipolarization front, the DF at t = 221 s was marked with black solid line.
Kinetic energy at finial moment is marked with color. (c and d)
Differential energy fluxes at dawnside (vs1) and duskside (vs2),
respectively, as the functions of equatorial azimuthal angle and time.

The dark spots in Figure 5a mark the locations of the virtual satellites. Figure 5c and 5d show the distribution of differential energy flux as the function of equatorial azimuthal angle and time at the duskside and 286 dawnside of the DF respectively. Ion trajectories with initial positions 287 along different y locations in Figure 5c and 5d are plotted in Figure 5b where the DF at t = 221 s is set as baseline and marked with black solid 288 289 line. Kinetic energy at finial moment is indicated with color. 290 It is obviously seen in Figure 5 that the duskside ions tend to move to dawn at the front (Figure 5d, $90^{\circ} < \theta < 180^{\circ}$), while the dawnside ones 291 divert toward tailward (Figure 5c, $0^{\circ} < \theta < 90^{\circ}$). This finding is similar 292 to the fluxes of 78-300 keV ions in Birn et al. (2015). At about t = 221s293 \sim 153s, the particles with higher initial energy ahead of the front have 294 large radius of gyration and those particles are minor affected by the 295 smaller initial electric field, therefore they are almost simultaneously 296 297 observed (Figure 5b and 5d). While at t > 234s ions with the initial 298 position at duskside would be able to reach farther into the ambient, 299 which is consistent with the results of Zhou et al. (2014) and Birn et al. 300 (2015). On the other hand, the earlier observed ions are not the most 301 energized ions compared with high-energy counterparts in our model, 302 which is opposite to Zhou's conclusion. It can be easily understood by 303 considering the Hall electric field. The small electric field near the 304 duskside of the front allows particles to drift toward earthward and 305 dawnward for a long time, whereas the high one close to dawnside forces 306 ions to drift tailward quickly during the period that particles obtain most 307 energy (Figure 4).

308	In order to study how the Hall field E_y on the dawnside of DF accelerate
309	ions, we choose one typical ion to track its trajectory, which is initiated at
310	x = -0.7 R _E , y = -0.86 R _E behind the DF with an azimuth angle of 14.58°
311	and initial kinetic energy of 1 keV. Its final kinetic energy is 12 keV, as
312	shown in Figure 6. Figure 7 demonstrates the evolution of ion positions
313	and energy and Figure 8 shows the local Bz, E_x , E_y seen by this particle.
314	During the beginning period from 221s to 247s, the ion moves earthward
315	together with the front and meanwhile dawnward in the frame of the
316	moving front. During this period, the ion gains very little energy. Even
317	though the Ex component of electric field accelerates the ion along its
318	earthward motion, the deceleration by the $E_{\boldsymbol{y}}$ component keeps the ion
319	energy almost unchanged. When $t = 249.6s$, the ion arrives at the
320	dawnside of the DF, where the Hall electric field is very strong. After a
321	sharp energization for about 5 seconds, the ion kinetic energy increase to
322	\sim 5 keV (Figure 7b and 7c, the weaker E _x works to reduce the energy by
323	about 3.7 keV and the stronger E_y increases the energy by about 9 keV).
324	As shown in Figure 6 and 7, when $t > 253.8$ s, the ion kinetic energy
325	gradually increases, which can be interpreted based on the fact that the
326	y-displacement δy^+ (corresponding to the energy increase) is larger than
327	δy^- (corresponding to the energy reduction) in the case where E_y
328	component is almost constant. Since the ions arrive at the ambient of
329	dawnward DF, the magnitude of magnetic field is increase, which results



in a high δy^+ . Figure 8 shows the time history of the local Bz, E_x, E_y.







345

Figure 8. The time history of the local Bz, E_x , E_y

346 **Summary and Discussion**

In this paper, we used a test particle simulation to investigate ion 347 348 acceleration at dipolarization fronts (DFs) produced by interchange 349 instability in the magnetotail. The Hall MHD model was improved by applying the realistic initial conditions to obtain the fields which are 350 351 better consistent with observation.

352 It should be noticed that our test particle is 2D without the motion in the zdirection along the field line. So we only study the ions with 90-degree 353 354 pitch angle. Test particles were settled in both the pre-DF and post-DF 355 region, most of them exhibited earthward and dawnward drift and then diverted tailward. It is found that ions with the initial position at duskside 356

would be able to reach farther into the ambient plasma, which has been also proofed by Zhou et al. (2014) and Birn et al. (2015). Statistical analysis shows that the high-energy particles are mainly assembled in the dawnside of $x > -0.5 R_E$ region, which suggests the dawnside region of the DF is the main area for particle acceleration.

362 Numerical simulation results indicate that the ions initially settled behind 363 the front may obtain higher energization. In order to explain how the Hall 364 electric field influence ions, we tracked the trajectory of particular ions in 365 the ion-scale electric field. As expected, the E_v component at the dawn 366 flank of DF plays an important role in the acceleration of ion. Although 367 the Ex component in the pre-DF region constitutes a potential drop of ~ 1 keV across the DF as reported by Fu et al., (2012b), the energy 368 369 enhancement would be offset on their way out toward the magnetotail due to the E_v component. The spatial and temporal properties of E_v 370 371 component are critical factors for particle acceleration (Greco et al., 2014; 372 Birn et al., 2013, 2015; Artemyev et al., 2015; Ukhorskiy et al., 2017). In 373 contrast to the results from other MHD model, it makes sense in our 374 self-consistent Hall MHD simulation that the accelerating electric field is 375 the E_v component of the Hall electric field on the dawnside of the front instead of the convection electric field E_y behind the front in their model. 376 377 Our test particle simulation can well reproduce the direct acceleration

378 process generated by the Hall field. Nevertheless, it should be pointed out

that the ion acceleration mechanisms such as Fermi acceleration and resonance acceleration can also provide powerful ion energization with tens of keV to hundreds of keV (Fu et al.,2011; Artemyev et al., 2012), which is not discussed in this paper. Still, there is no doubt that our study suggests that the dawn flank dusk-dawn electric field plays an essential role in ions energization.

385

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394

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