

Title: **Laboratory and numerical experiments on stem waves due to monochromatic waves along a vertical wall**

MS No.: npg-2017-35

We appreciate the referee's interest and criticisms on our manuscript entitled “Laboratory and numerical experiments on stem waves due to monochromatic waves along a vertical wall”. We hope that the revision we made could have well reflected the referee's comments.

Referee's comment:

- (1) When the authors talk about the cross-sea condition, the nexus between references and the present manuscript should be better focused. Specifically, the present manuscript does not analyze the vorticity induced by crossing breaking waves, but the interaction between two angled wave trains (the incident and the reflected ones), which have clear connections with what described in the analytical theory of Postacchini et al. (2014) for the identification of the breaking location.

<Response from authors>

Figure 1, obtained and modified from Fig. 2 of Postacchini et al. (2014), presents the free surface pattern of the shoaling crossing waves. The free surface calculated using nonlinear model shows that the diamond pattern in the offshore changes to the honeycomb pattern in the surf zone because of the increase of wave length (or phase speed) due to nonlinear effect. The stem waves are growing as the waves approach the shore.

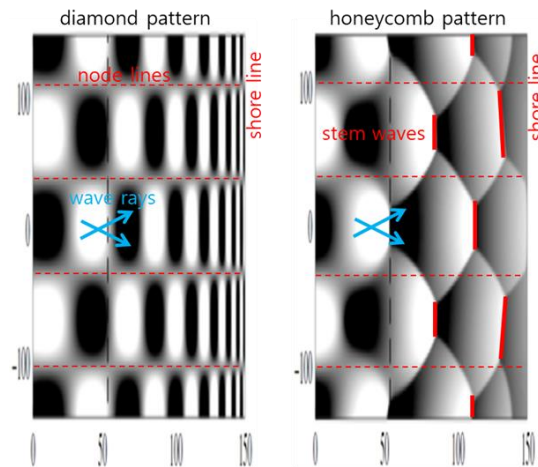


Figure 1. Free surface patterns of shoaling crossing waves calculated using linear (left) and nonlinear (right) models. The vertical scale is increased to fit the horizontal scale.

The authors revised the manuscript as the referee suggested as:

Lines 11-16 of page 7



Postacchini et al. (2014) studied the dynamics of crossing wave trains on a plane slope in shallow waters. The stem waves can be developed at the intersection of two crest lines of the crossing waves. The crossing waves propagating towards a shore experience the shoaling and break. Postacchini et al. (2014) proposed an analytical theory based on ray convergence to identify the position and the crest length of the breaker. The stem waves in the present study are developed by the oblique nonlinear interaction between the incident and the reflected waves. Thus, the generation mechanism is similar to each other.

(2) The term “l” does not seem to have been included into Fig.26b (i.e. Fig.23b of the original manuscript).

<Response from authors>

The idea to deal with stem waves as a refraction-reflection along the stem boundary is premature to propose. Thus, all of the sentences and figures related to it are removed from the manuscript. The authors provide a new definition of stem width in the revised manuscript as:

Page 8

Prior to presenting the experimental and numerical results, the definitions of the stem angle and the stem width are discussed. The definition of stem width is rather controversial. Yue and Mei (1980) defined the stem width as the distance from the wall to the edge of the uniform wave amplitude region. However, it is not an easy task to locate the edge of the flat region. Berger and Kohlhase (1976) defined the stem width for the periodic waves as the distance along the stem crest lines from the wall to the first node line of standing wave pattern which is easier to identify from the measured data. On the other hand, Peterson et al. (2003), Soomere (2004) and Soomere and Engelbrecht (2005) obtained the analytical stem length using the KP equation for the obliquely interacting two solitary waves. As pointed out by Li et al. (2011) the crest lines of the stem wave, the incident and the reflected solitons measured in their experiment are not straight, and they do not meet at a point. In reality, the analytical solutions of the KP equation deviate slightly from the pattern observed in the experiment. Thus, Li et al. (2011) proposed the edge of the Mach stem as the intersection of the linear extensions of the stem and the incident-wave crest lines.



For the periodic waves the wave pattern is more complicated because many wave components are superposed. Thus, the definitions of the stem boundary and the stem angle should be different from the case of solitary waves.



As shown in Fig. 2(a) and Fig. 5, when the stem waves are fully developed, the stem boundary is nearly parallel to the first node line. Thus, as suggested by Berger and Kohlhase (1976), the experimental stem angle α is determined in this study as the angle of node line, α_n . The node line is roughly determined using the node points from the wave height data measured along two lines of $x = 6L$ and $15L$. When the distances between the first node points and the wall are λ_6 and λ_{15} for two sections of $x = 6L$ and $15L$, respectively, then the angle of the node line, α_n , can be determined as

$$\alpha \approx \alpha_n = \tan^{-1} \left(\frac{\lambda_{15} - \lambda_6}{9L} \right). \quad (11)$$



This α_n decreases as the waves propagate along the wall. It reaches an asymptotic value after the waves propagate approximately 30 wave lengths. Thus, the experimental α_n determined by Eq. (11) is slightly overestimated for $x \leq 30L$.

In this study the stem angle, α , is defined as the asymptotic angle of node line as shown in Fig. 5. To estimate the asymptotic α_n the numerical calculation is conducted using the domain extended up to $50L$ in the x -direction, and the instantaneous free surface displacements are calculated and plotted as shown in Fig. 5. Using two distances between the node points and the wall, λ_{30} and λ_{50} for two sections of $x = 30L$ and $50L$, respectively, the stem angle α is determined as

$$\alpha = \alpha_n = \tan^{-1} \left(\frac{\lambda_{50} - \lambda_{30}}{20L} \right). \quad (12)$$

The stem width λ_s can be determined using the stem angle α as

$$\lambda_s = x \tan \alpha. \quad (13)$$

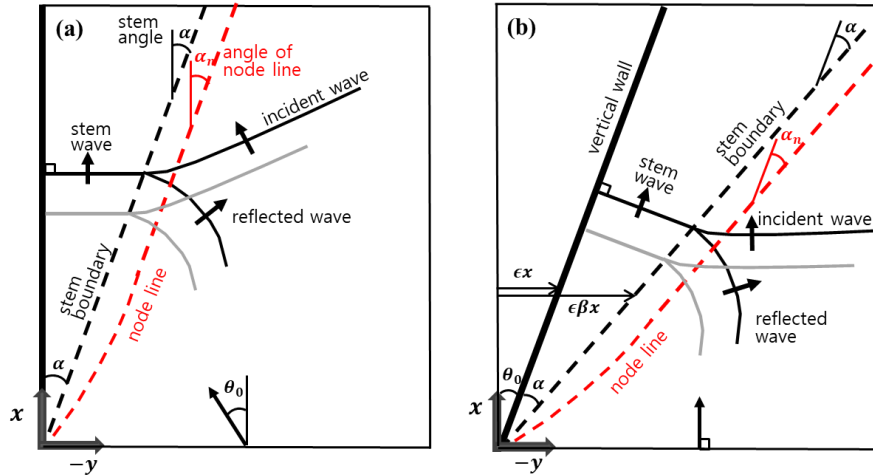


Figure 2. Coordinate system for numerical simulations: (a) present, (b) Yue & Mei (1980).

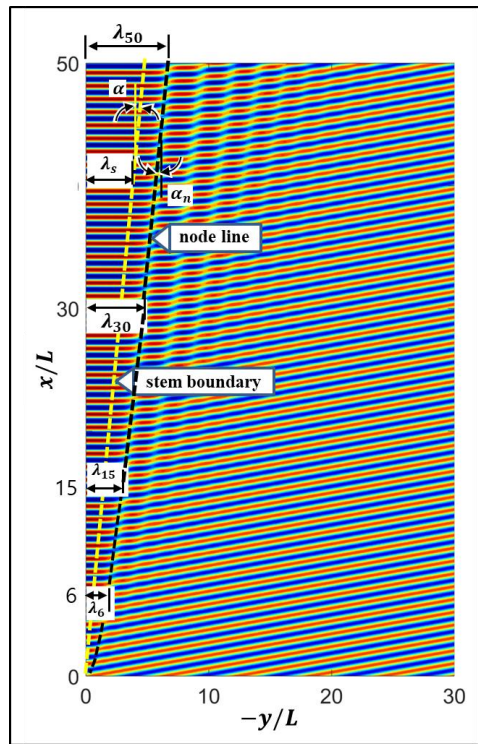


Figure 5. Definition sketch for the stem angle and the stem boundary.

Title: Laboratory and numerical experiments on stem waves due to monochromatic waves along a vertical wall

MS No.: npg-2017-35

We appreciate the referee's interest and criticisms on our manuscript entitled "Laboratory and numerical experiments on stem waves due to monochromatic waves along a vertical wall". We hope that the revision we made could have well reflected the referee's comments.

Referee's comment:

- (1) Having said that, I wonder whether the authors would consider possible to make a little bit of extra work. As the authors correctly discuss, the definition of stem width (=length of the high common crest of the incoming and reflected wave) is controversial and used in different meanings by different authors. The same problem becomes evident in the limiting case of stationary interactions of shallow-water Kadomtsev-Petviashvili solitons (e.g., Peterson et al. 2003. Soliton interaction as a possible model for extreme waves in shallow water, *Nonlinear Processes in Geophysics*, 10, 6, 503–510). In this specific case the height of the joint crest varies along the stem, except for the near-resonance case, and it takes time to form a stem of reasonable length (Li et al. 2011. On the Mach reflection of a solitary wave: revisited. *Journal of Fluid Mechanics*, 672, 326-357).

Even though the stem formation from wave trains considered by the authors is time-dependent and thus very much different from the process of the formation of stationary pattern of interaction of shallow-water solitons, the existence of simple expressions for the core quantities for solitons interactions (e.g. Soomere and Engelbrecht 2005. Extreme elevations and slopes of interacting solitons in shallow water, *Wave Motion*, 41, 2, 179–192) may put the results in a wider context and can possibly make the results applicable for Mach reflection of solitons as well.

Namely, a rough estimate of the critical angle for resonance of solitons of equivalent amplitude (that match the amplitudes of the incident and reflected waves), crossing angle of the two wave systems and water depth; see, e.g., Soomere 2004. Interaction of Kadomtsev-Petviashvili solitons with unequal amplitudes, *Physics Letters A*, 332, 1-2, 74–81) might provide some additional explanation why stem formation only occurs for quite a selected set of generated wave fields. I guess that the resonance angle varies considerably for different generated wave heights and thus its value has some potential to clarify why in some cases the stem exists and why it is not present in some other cases. Trains of longer and/or higher waves are in this sense closer to similar trains of shallow-water line solitons and thus the estimates for parameters of soliton interactions should better match the observed development of stem.

However, as this possible amendment would eventually involve references to my own papers, please consider this suggestion as a very gentle one, and in no way as a condition for the acceptance of the manuscript.

<Response from authors>

The authors agree with the reviewer in the fact that the generating mechanism of stem waves for the periodic waves is similar to that for the solitary wave. The authors provide some summary of the previous research works on the stem length by solitary wave as in the followings:

Page 8

Prior to presenting the experimental and numerical results, the definitions of the stem angle and the stem width are discussed. The definition of stem width is rather controversial. Yue and Mei (1980) defined the stem width as the distance from the wall to the edge of the uniform wave amplitude region. However, it is not an easy task to locate the edge of the flat region. Berger and Kohlase (1976) defined the stem width for the periodic waves as the distance along the stem crest lines from the wall to the first node line of standing wave pattern which is easier to identify from the measured data. On the other hand, Peterson et al. (2003), Soomere (2004) and Soomere and Engelbrecht (2005) obtained the analytical stem length using the KP equation for the obliquely interacting two solitary waves. As pointed out by Li et al. (2011) the crest lines of the stem wave, the incident and the reflected solitons measured in their experiment are not straight, and they do not meet at a point. In reality, the analytical solutions of the KP equation deviate slightly from the pattern observed in the experiment. Thus, Li et al. (2011) proposed the edge of the Mach stem as the intersection of the linear extensions of the stem and the incident-wave crest lines.

For the periodic waves the wave pattern is more complicated because many wave components are superposed. Thus, the definitions of the stem boundary and the stem angle should be different from the case of solitary waves. As shown in Fig. 2(a) and Fig. 5, when the stem waves are fully developed, the stem boundary is nearly parallel to the first node line. Thus, as suggested by Berger and Kohlase (1976), the experimental stem angle α is determined in this study as the angle of node line, α_n . The node line is roughly determined using the node points from the wave height data measured along two lines of $x = 6L$ and $15L$. When the distances between the first node points and the wall are λ_6 and λ_{15} for two sections of $x = 6L$ and $15L$, respectively, then the angle of the node line, α_n , can be determined as

$$\alpha \approx \alpha_n = \tan^{-1} \left(\frac{\lambda_{15} - \lambda_6}{9L} \right). \quad (11)$$

This α_n decreases as the waves propagate along the wall. It reaches an asymptotic value after the waves propagate approximately 30 wave lengths. Thus, the experimental α_n determined by Eq. (11) is slightly overestimated for $x \leq 30L$.

In this study the stem angle, α , is defined as the asymptotic angle of node line as shown in Fig. 5. To estimate the asymptotic α_n the numerical calculation is conducted using the domain extended up to $50L$ in the x -direction, and the instantaneous free surface displacements are calculated and plotted as shown in Fig. 5. Using two distances between the node points and the wall, λ_{30} and λ_{50} for two sections of $x = 30L$ and $50L$, respectively, the stem angle α is determined as

$$\alpha = \alpha_n = \tan^{-1} \left(\frac{\lambda_{50} - \lambda_{30}}{20L} \right). \quad (12)$$

The stem width λ_s can be determined using the stem angle α as

$$\lambda_s = x \tan \alpha. \quad (13)$$

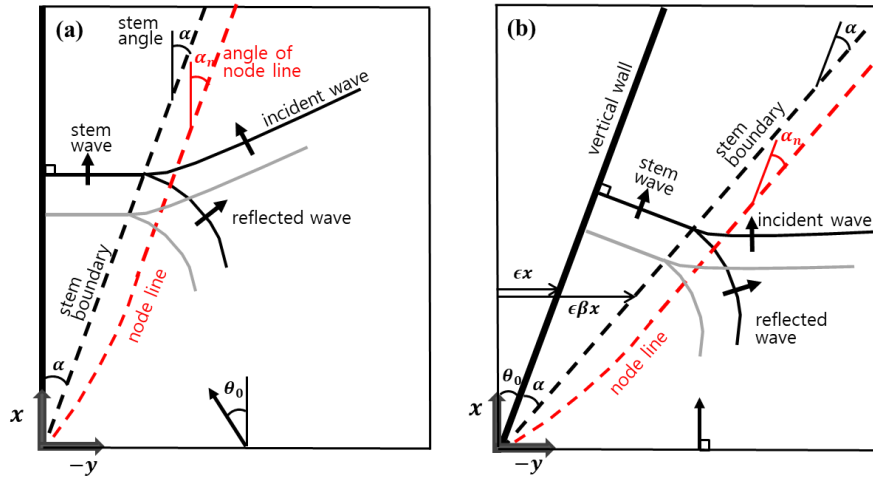


Figure 2. Coordinate system for numerical simulations: (a) present, (b) Yue & Mei (1980).

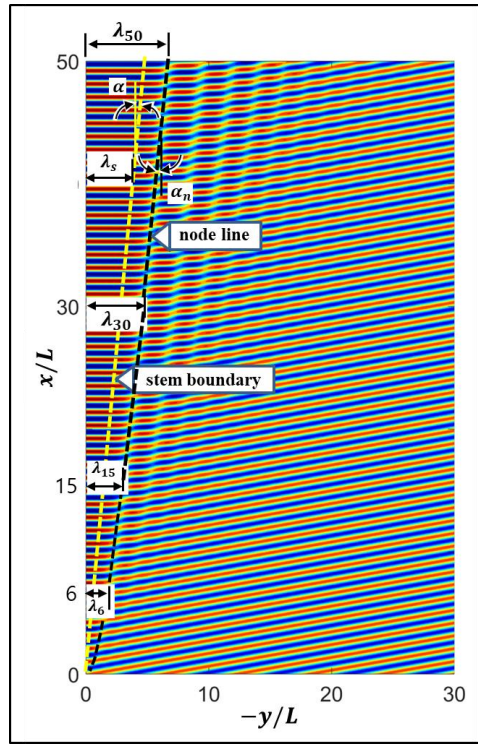


Figure 5. Definition sketch for the stem angle and the stem boundary.

The authors provide also some summary of the previous research works on the topic of Mach stem generated by solitary wave in Section 1 as in the followings:

Lines 10-25 of page 2

While the stem waves generated by the sinusoidal waves have drawn less attention in recent years, the Mach stem induced by the interaction between the line solitons in the shallow-waters has continuously attracted the attention of the researchers. Since the pioneering work of Miles (1977a, b) on the obliquely interacting solitary waves, the soliton interactions have been extensively studied. Miles (1977b) developed an analytical solution to predict the amplification of the stem wave along the wall as a function of the interaction parameter, $k_* = \theta_0 / \sqrt{3H_0/h}$, where H_0 , h and θ_0 are the wave height, the water depth and the incident angle of solitary wave, respectively. When $k_* = 1$, the amplification of solitary wave can reach four times of the incident wave. Peterson et al. (2003), Soomere (2004) and Soomere and Engelbrecht (2005) investigated the soliton interactions based on the KP equation (Kadomtsev and Petviashvili, 1970). Kodama et al. (2009) and Kodama (2010) proposed the modified interaction parameter, $\kappa_* = \tan \theta_0 / (\sqrt{3H_0/h} \cos \theta_0)$, and developed an exact solution for the KP equation. Li et al. (2011) conducted a precision laboratory experiment to capture the detailed features of Mach reflection using the LIF (laser-induced fluorescent) technique. The laboratory data of Li et al. (2011) support strongly the theory of Miles (1977b) except the cases where κ_* value lies in the neighbourhood of the fourfold amplification. Funakoshi (1980), Tanaka (1993), Li et al. (2011), and Gidel et al. (2017) performed numerical experiments to

verify the Miles' fourfold amplification. As summarized by Li et al. (2011) and Gidel et al. (2017) most of the models underestimated the fourfold amplification due to the limitations of the computational resources. The amplification ratio of 3.6 obtained by Gidel et al. (2017) is so far the maximum among the numerical results showing the full development stage of stem wave.

< Minor points >

Comments and Suggestions	Response	Page Reference (original)	Page Referred (revised)
Abstract, line 19 and page 13, line 12: replace „the lengthening of wave length“ by „the increase in the wave length“	The authors eliminated the sentence including “the lengthening of wave length” because the generation mechanism of stem waves is analyzed in a different way.”		
Page 7, line 22: it would be better to say that the same result „apparently“ could be obtained.	The authors corrected “If the vertical wall is sufficiently long, the same result could apparently be obtained for $\theta_0=10^\circ$.” as suggested by the reviewer.	Page 7, line 22	Page 9, line 16
Page 8, line 8: I agree that „However, it is not an easy task to locate the edge of the flat region.“ Here, again, a reference (even though not 100% relevant) to the case of interacting line solitons (or solitons reflecting from the wall) would make this explanation clearer.	As suggested by the reviewer, the definition of stem angle and stem width are revised. The revision is already presented as a response to the major comment (1) above.		
Table 1: move water depth (0.25 m) into the caption as otherwise it creates an empty column.	As suggested by the reviewer, the water depth (0.25 m) is moved into the caption of Table 1.	Table 1	Table 1

Title: Laboratory and numerical experiments on stem waves due to monochromatic waves along a vertical wall

MS No.: npg-2017-35

We appreciate the referee's interest and criticisms on our manuscript entitled “Laboratory and numerical experiments on stem waves due to monochromatic waves along a vertical wall”. We hope that the revision we made could have well reflected the referee's comments.

Referee's comment:

(1) Presentation of the two models is not sufficient.

First, the simplification of equation (6) in Kirby and Dalrymple (2002) to equation (1) of the present manuscript is not straightforward. Extra precision should be given, especially focusing on the assumptions used (the order of nonlinearity, the use of parabolic formulation of mild slope equation, which forbids reflexion in the main direction of propagation, but not in the transverse direction, and the use of Padé approximants related to the kind of angles which might be reached in such conditions, ...). Furthermore, the manuscript suffers an important lack of details about the numerical solution (numerical grid, boundary conditions used on two out of four boundaries, ...)

Secondly, the linear analytical solution is interesting, because it is linear, and, by definition, does not allow the formation of stem waves. This point is not clearly enough stated in the discussion. Besides, a few more details on the derivation might be welcome.

<Response from authors>

The simplification is relatively straightforward. The authors provide some details on the ref/dif model in the revised manuscript as the referee suggested as:

Lines 22-26 of page 3

The REF/DIF model can deal with the refraction-diffraction of Stokes waves of third order nonlinearity over a slowly varying depth and current. Due to the use of parabolic formulation the reflection in the main direction of propagation is forbidden, but not in the transverse direction. In this study, the water depth is uniform, and no ambient current is present. With no current and energy dissipation on a constant water depth and by selecting (1, 1) Padé approximant in the model, the governing equation of the REF/DIF model is simplified as

Lines 8-11 of page 4

The third term of Eq. (1) is the correction term obtained by selecting (1, 1) Padé approximant for the wide angle parabolic approximation. According to Fig. 2 of Kirby (1986) the accuracy of the waves propagating obliquely to the main direction of propagation, i.e., x -direction, can be maintained up to $\pm 45^\circ$. In this study the range of the incidence angles of both incident and reflected waves lies from $\pm 10^\circ$ to $\pm 40^\circ$. Thus, the considerable accuracy

of the numerical solution is expected.

Lines 1-8 of page 5

If the side boundary opposite to the vertical wall is located far from the wall, no flux boundary condition, Eq. (6), can also be used. However, to save the computational resources the obliquely-incident plane wave condition is prescribed along the side boundary at $y = -y_{\max}$ as

$$A = A_0 e^{i(k_n x \cos \theta_0 - k_n y_{\max} \sin \theta_0)}. \quad (7)$$

Along the down-wave side no boundary condition is necessary, because Eq. (1) is a parabolic type differential equation. The grid size, Δx and Δy , is $L/80$ where L is the wave length of incident wave. The size of computational domain is $50L$ in the x -direction, and $400L$ in the y -direction.

Line 26 of page 5 and Lines 1-2 of page 6

The analytical solution of Chen (1987) is linear. Thus, this analytical solution does not allow the formation of stem waves. The details of the derivation of the analytical solution can be found in Chen (1987).

- (2) The second point which needs clarification concerns the very definition of stem waves. It is not clearly stated in the manuscript, even if the doodle in figure 2 provides good indication. For this reason, the definition of the stem width and its computation is awkward, even if it probably constitutes a major finding of the manuscript (discussion in page 8, lines 5-15). I have the feeling this discussion should be significantly enlarged. For instance, a map of the wavenumbers can be computed from ref-dif data, providing the area where waves propagate parallelly to the wall. A comparison with these data, and the three definitions suggested here could be interesting, providing a benchmark of each of the three methods. Furthermore, the definition introduced by the authors is very interesting: given their definition of λ , they provide the location of an imaginary wall, where idealized reflexion would appear. The distance between the wall, and this imaginary reflexion location corresponds to the stem width. This point is not explained in the text, and it would support the discussion. Finally, this new definition could be used to analyse the dependence of this width to the two parameters (nonlinearity and angle of the wall). Besides, it was not obvious to me why a single nonlinear parameter K would be sufficient to describe the phenomenon. Few words about it, and a plot of the stem width versus K could also be enlightening.

<Response from authors>

Page 8

Prior to presenting the experimental and numerical results, the definitions of the stem angle and the stem width are discussed. The definition of stem width is rather controversial. Yue and Mei (1980) defined the stem width as the distance from the wall to the edge of the uniform wave amplitude region. However, it is not an easy task to locate the edge of the flat region. Berger and Kohlhasse (1976) defined the stem width for the periodic waves as the distance along the stem crest lines from the wall to the first node line of standing wave pattern which is easier

to identify from the measured data. On the other hand, Peterson et al. (2003), Soomere (2004) and Soomere and Engelbrecht (2005) obtained the analytical stem length using the KP equation for the obliquely interacting two solitary waves. As pointed out by Li et al. (2011) the crest lines of the stem wave, the incident and the reflected solitons measured in their experiment are not straight, and they do not meet at a point. In reality, the analytical solutions of the KP equation deviate slightly from the pattern observed in the experiment. Thus, Li et al. (2011) proposed the edge of the Mach stem as the intersection of the linear extensions of the stem and the incident-wave crest lines.

For the periodic waves the wave pattern is more complicated because many wave components are superposed. Thus, the definitions of the stem boundary and the stem angle should be different from the case of solitary waves. As shown in Fig. 2(a) and Fig. 5, when the stem waves are fully developed, the stem boundary is nearly parallel to the first node line. Thus, as suggested by Berger and Kohlase (1976), the experimental stem angle α is determined in this study as the angle of node line, α_n . The node line is roughly determined using the node points from the wave height data measured along two lines of $x = 6L$ and $15L$. When the distances between the first node points and the wall are λ_6 and λ_{15} for two sections of $x = 6L$ and $15L$, respectively, then the angle of the node line, α_n , can be determined as

$$\alpha \approx \alpha_n = \tan^{-1} \left(\frac{\lambda_{15} - \lambda_6}{9L} \right). \quad (11)$$

This α_n decreases as the waves propagate along the wall. It reaches an asymptotic value after the waves propagate approximately 30 wave lengths. Thus, the experimental α_n determined by Eq. (11) is slightly overestimated for $x \leq 30L$.

In this study the stem angle, α , is defined as the asymptotic angle of node line as shown in Fig. 5. To estimate the asymptotic α_n the numerical calculation is conducted using the domain extended up to $50L$ in the x -direction, and the instantaneous free surface displacements are calculated and plotted as shown in Fig. 5. Using two distances between the node points and the wall, λ_{30} and λ_{50} for two sections of $x = 30L$ and $50L$, respectively, the stem angle α is determined as

$$\alpha = \alpha_n = \tan^{-1} \left(\frac{\lambda_{50} - \lambda_{30}}{20L} \right). \quad (12)$$

The stem width λ_s can be determined using the stem angle α as

$$\lambda_s = x \tan \alpha. \quad (13)$$

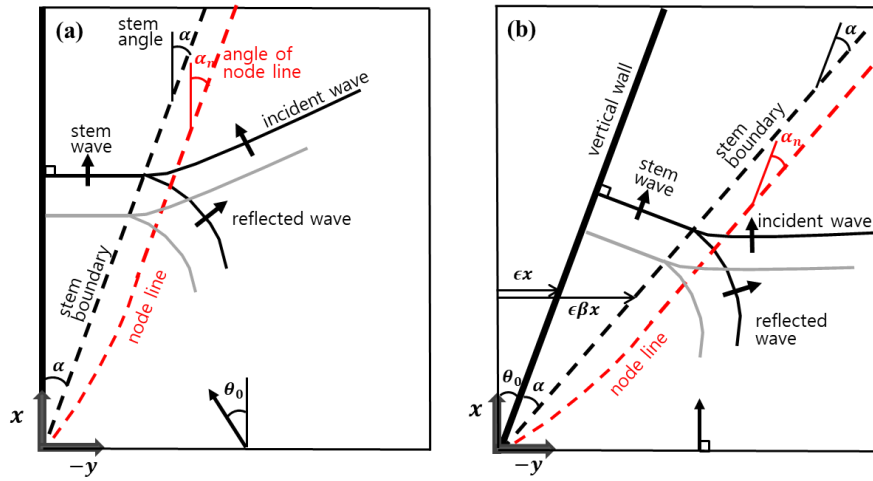


Figure 2. Coordinate system for numerical simulations: (a) present, (b) Yue & Mei (1980).

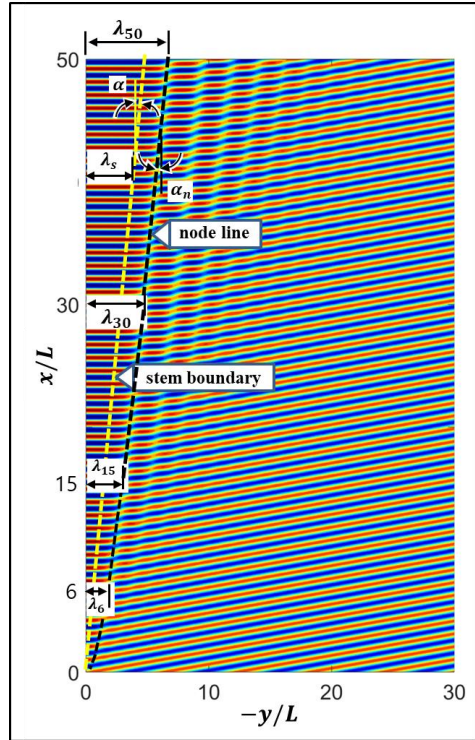


Figure 5. Definition sketch for the stem angle and the stem boundary.

As the referee suggested the amplitude, wave number, and incidence angle are calculated using ref/dif for the case of MLL1, and are given in the following figures. The free surface distribution is already given in Fig. 5 above. This analysis was made based on the old definition of stem boundary before the authors switch to the new one. According to the new definition (Fig. 5 above) which uses the node angle far downwave area of $30L < x <$

50L, the stem angle is reduced in comparison with that of old version ($x=25L$) shown in the followings:

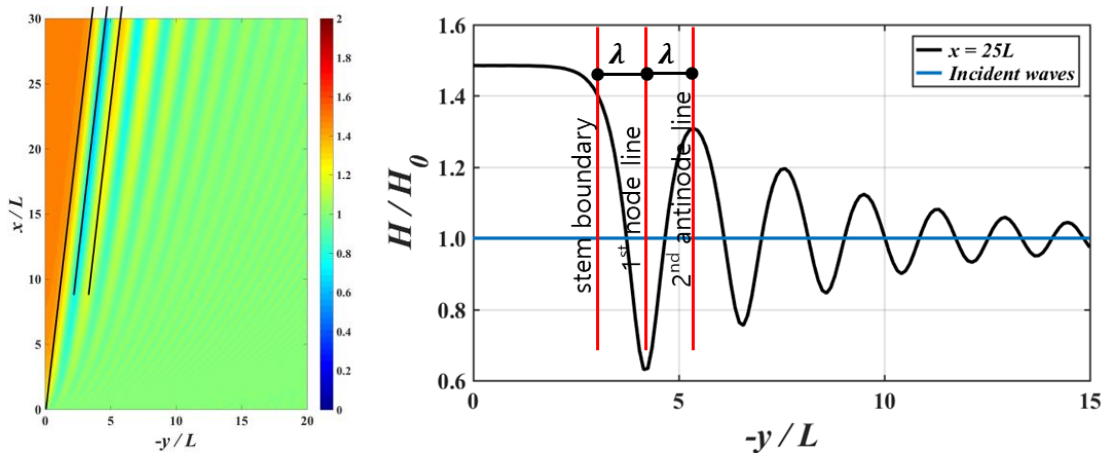
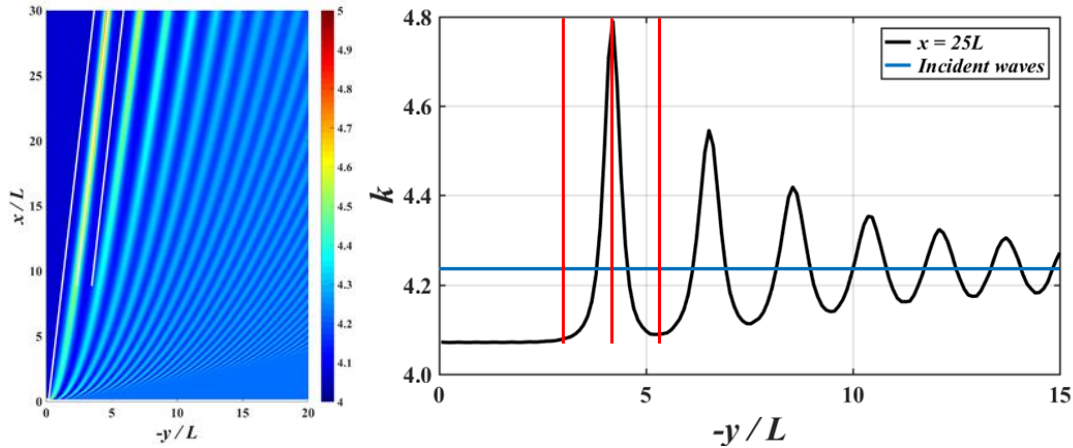
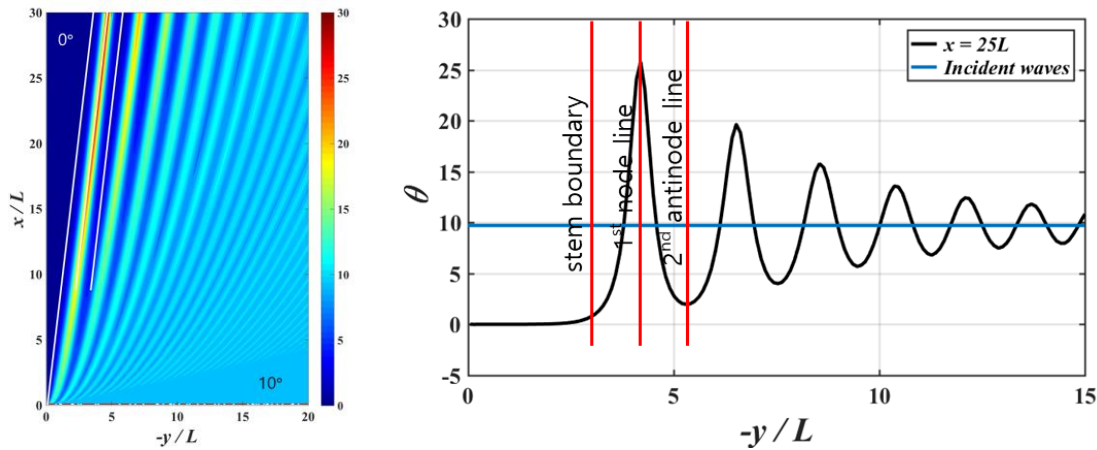


Figure: Amplitude distribution in the domain (left) and along $x=25L$ (right)



magnitude of wave number distribution in the domain (left) and along $x=25L$ (right)



incidence angle distribution in the domain (left) and along $x=25L$ (right)

In the figures the definition (but it is old definition) of stem boundary used in the present manuscript is shown. There is no clear cut to divide the stem region, because the amplitude, wave number, and incidence angle change slowly near the stem boundary defined in this manuscript. As the referee pointed out the wave number and the incidence angle can give a slightly better way to judge. As shown in figures the definition of stem width used in this manuscript covers effectively the stem area being defined using the wave number or the incidence angle. These discussions are not presented in the revised manuscript because the definition is switched to a new one. However, the suggestion from the referee gave insight for better understanding.

Lines 12-14 of page 5

K is the single parameter representing both the nonlinearity of incident wave and the angle of incidence on the formation of stem waves along the vertical wall. This nonlinear parameter was obtained by Yue and Mei (1980) from the dimensionless form of the small angle version of Eq. (1). The details of the derivation of *K* can be found in Yue and Mei (1980).

- (3) The final point which could be improved concerns the interpretation provided by the authors about stem waves formation. Even if their observations are interesting, I was not convinced by their interpretation. Since the phenomenon is nonlinear, it is probably connected to a resonant interaction among waves. This is rather classical (see for instance three waves interactions). Surely, it is connected to a shift in the wavelength of water waves, but this is probably not the main mechanism responsible for their formation.

<Response from author>

The authors express their sincere apology to the referee for confusing about the generation mechanism of stem waves. The authors revised the manuscript as:

Lines 23-29 of page 12

It is well-known that the stem waves are generated by the nonlinear interaction between the incident and the reflected waves. When the angle between the incident and the reflected waves is small and the amplitude of two waves is small-but-finite, two waves attract each other and form a new wave with a single crest so-called the stem wave. The amplitude of the stem wave is larger than the incident wave, and that of reflected wave is smaller. Three waves meet at a point due to both the continuous growth of the crest length of stem wave and the phase-shift of reflected wave. All the mechanism observed in the formation of Mach stem wave for the solitary waves applies also for the monochromatic Stokes waves, but the intensity of nonlinear interaction is weaker than that of solitary waves.

Title: Laboratory and numerical experiments on stem waves due to monochromatic waves along a vertical wall

MS No.: npg-2017-35

We appreciate the referee's interest and criticisms on our manuscript entitled “Laboratory and numerical experiments on stem waves due to monochromatic waves along a vertical wall”. We hope that the revision we made could have well reflected the referee's comments.

Referee's comment:

- (1) The theory could be presented much more clearly even though these are published, fairly old results. Please clarify and expand.

<Response from author>

We have provided some more details on the background of the theory presented in the manuscript.

- (2) What is missing is a comparison with recent work (including references) on nonlinear stem waves in KP and higher-order water wave approximations than KP; KP and these other equations also allow monochromatic/harmonic standing wave solutions, maybe as solitary waves, which become harmonic waves in the small-amplitude limit. In these cases the amplification is a lot larger (up till 4x) and I miss a discussion of the relevance of these equations and solutions, the single soliton but also harmonic, solitary-wave solutions to these equations, which must somehow be connected with the work presented. Please update and clarify.

<Response from author>

The authors provide some summary of the previous research works on the topic of Mach stem generated by solitary waves in Section 1 as in the followings:

Lines 10-25 of page 2

While the stem waves generated by the sinusoidal waves have drawn less attention in recent years, the Mach stem induced by the interaction between the line solitons in the shallow-waters has continuously attracted the attention of the researchers. Since the pioneering work of Miles (1977a, b) on the obliquely interacting solitary waves, the soliton interactions have been extensively studied. Miles (1977b) developed an analytical solution to predict the amplification of the stem wave along the wall as a function of the interaction parameter, $k_* = \theta_0 / \sqrt{3H_0/h}$, where H_0 , h and θ_0 are the wave height, the water depth and the incident angle of solitary wave, respectively. When $k_* = 1$, the amplification of solitary wave can reach four times of the incident wave. Peterson et al. (2003), Soomere (2004) and Soomere and Engelbrecht (2005) investigated the soliton interactions based on the KP equation (Kadomtsev and Petviashvili, 1970). Kodama et al. (2009) and Kodama (2010) proposed the modified interaction parameter, $\kappa_* = \tan \theta_0 / (\sqrt{3H_0/h} \cos \theta_0)$, and developed an exact solution for the KP equation.

Li et al. (2011) conducted a precision laboratory experiment to capture the detailed features of Mach reflection using the LIF (laser-induced fluorescent) technique. The laboratory data of Li et al. (2011) support strongly the theory of Miles (1977b) except the cases where κ_* value lies in the neighbourhood of the fourfold amplification. Funakoshi (1980), Tanaka (1993), Li et al. (2011), and Gidel et al. (2017) performed numerical experiments to verify the Miles' fourfold amplification. As summarized by Li et al. (2011) and Gidel et al. (2017) most of the models underestimated the fourfold amplification due to the limitations of the computational resources. The amplification ratio of 3.6 obtained by Gidel et al. (2017) is so far the maximum among the numerical results showing the full development stage of stem wave.

We have added the section 5, which outlines the comparison with solitary waves as in the followings:

Lines 12-26 of page 13 and Lines 1-5 of page 14

5 Comparison with solitary waves

The characteristics of stem waves developed by monochromatic Stokes waves investigated in this study are compared with those of the solitary waves.

For the comparison purposes the amplification ratio, H_∞/H_0 , predicted by Miles (1977) for solitary waves is calculated using the interaction parameter, $\kappa_* = \tan \theta_0 / (\sqrt{3H_0/h} \cos \theta_0)$, modified by Kodama et al. (2009) as

$$\frac{H_\infty}{H_0} = \begin{cases} \frac{4}{1 + \sqrt{1 - \kappa_*^{-2}}} , & \text{for } \kappa_* \geq 1, \\ (1 + \kappa_*)^2 , & \text{for } \kappa_* < 1. \end{cases} \quad (17)$$

The interaction parameter κ_* is inversely proportional to $\sqrt{H_0/h}$, while the parameter K is proportional to $(kH_0)^2$. To compare properly the nonlinear effects on the generation of stem waves a new parameter K_* for Stokes waves is proposed as

$$K_* = \gamma K^{-1/4} \sim 1/\sqrt{kH_0}, \quad (18)$$

where γ is an arbitrary constant to adjust the scale of K_* . By taking $\gamma = 0.828$ for $\theta_0 = 10^\circ$, and $\gamma = 0.805$ for $\theta_0 = 20^\circ$ the critical condition that divides the regular and Mach reflections locates at $K_* = 1.0$ for Stokes

waves. Fig. 12 shows the comparison between the amplification ratios for the present Stokes waves and the solitary waves. A black solid line denotes the amplification ratio calculated using Eq. (17) for solitary waves, while red and blue solid lines represents the amplification ratios obtained from numerical computations for the Stokes waves. The symbols denote the measured amplification ratios. As shown in the figure the amplification ratios for the Stokes waves are much smaller than those of solitary waves. And the maximum amplification ratio for the Stokes waves is 2, while that of solitary waves is 4. This indicates that the intensity of the resonant interaction between the incident and the reflected waves is much weaker than the case of the solitary waves due to strong frequency dispersion.

- (3) There are a lot of figures; are these all required? The nonlinear results with stem waves are the most interesting but I miss in these figures an indication what the extent of the stem wave is, as in Fig. 2. What are the observed stem-wave angles of the wall? There should be some reordering here, with perhaps some results relegated to an appendix or online-only appendix. It would also be useful to mention the values of K in the relevant captions. Please clarify.

<Response from author>

The authors agree with the referee's suggestion and have moved the experimental results to the appendix. The definition of the stem wave is clarified in section 4 as in the followings:

Page 8

Prior to presenting the experimental and numerical results, the definitions of the stem angle and the stem width are discussed. The definition of stem width is rather controversial. Yue and Mei (1980) defined the stem width as the distance from the wall to the edge of the uniform wave amplitude region. However, it is not an easy task to locate the edge of the flat region. Berger and Kohlhase (1976) defined the stem width for the periodic waves as the distance along the stem crest lines from the wall to the first node line of standing wave pattern which is easier to identify from the measured data. On the other hand, Peterson et al. (2003), Soomere (2004) and Soomere and Engelbrecht (2005) obtained the analytical stem length using the KP equation for the obliquely interacting two solitary waves. As pointed out by Li et al. (2011) the crest lines of the stem wave, the incident and the reflected solitons measured in their experiment are not straight, and they do not meet at a point. In reality, the analytical solutions of the KP equation deviate slightly from the pattern observed in the experiment. Thus, Li et al. (2011) proposed the edge of the Mach stem as the intersection of the linear extensions of the stem and the incident-wave crest lines.

For the periodic waves the wave pattern is more complicated because many wave components are superposed. Thus, the definitions of the stem boundary and the stem angle should be different from the case of solitary waves. As shown in Fig. 2(a) and Fig. 5, when the stem waves are fully developed, the stem boundary is nearly parallel to the first node line. Thus, as suggested by Berger and Kohlhase (1976), the experimental stem angle α is determined in this study as the angle of node line, α_n . The node line is roughly determined using the node points from the wave height data measured along two lines of $x = 6L$ and $15L$. When the distances between the first node points and the wall are λ_6 and λ_{15} for two sections of $x = 6L$ and $15L$, respectively, then the angle of the

node line, α_n , can be determined as

$$\alpha \approx \alpha_n = \tan^{-1} \left(\frac{\lambda_{15} - \lambda_6}{9L} \right). \quad (11)$$

This α_n decreases as the waves propagate along the wall. It reaches an asymptotic value after the waves propagate approximately 30 wave lengths. Thus, the experimental α_n determined by Eq. (11) is slightly overestimated for $x \leq 30L$.

In this study the stem angle, α , is defined as the asymptotic angle of node line as shown in Fig. 5. To estimate the asymptotic α_n the numerical calculation is conducted using the domain extended up to $50L$ in the x -direction, and the instantaneous free surface displacements are calculated and plotted as shown in Fig. 5. Using two distances between the node points and the wall, λ_{30} and λ_{50} for two sections of $x = 30L$ and $50L$, respectively, the stem angle α is determined as

$$\alpha = \alpha_n = \tan^{-1} \left(\frac{\lambda_{50} - \lambda_{30}}{20L} \right). \quad (12)$$

The stem width λ_s can be determined using the stem angle α as

$$\lambda_s = x \tan \alpha. \quad (13)$$

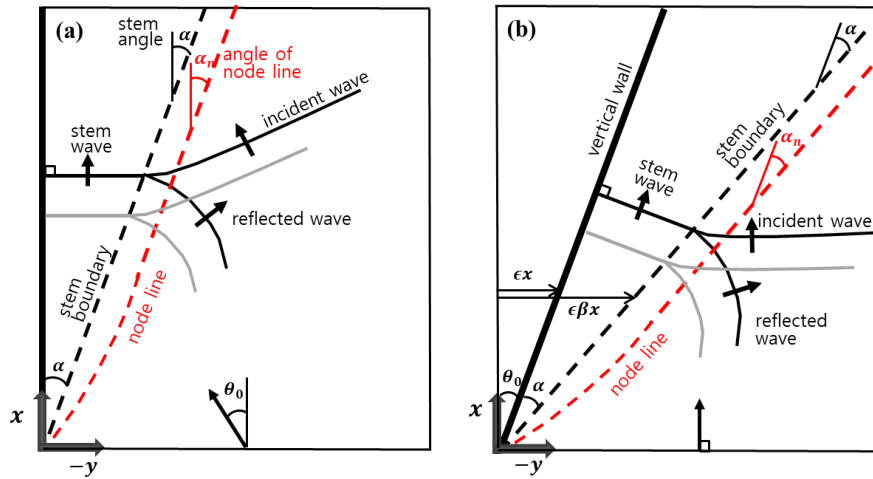


Figure 2. Coordinate system for numerical simulations: (a) present, (b) Yue & Mei (1980).

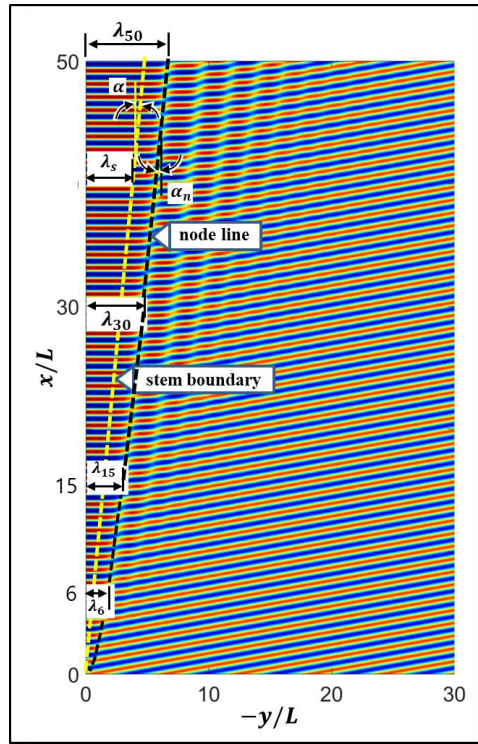


Figure 5. Definition sketch for the stem angle and the stem boundary.

The values of K are provided to each figure as in the followings:

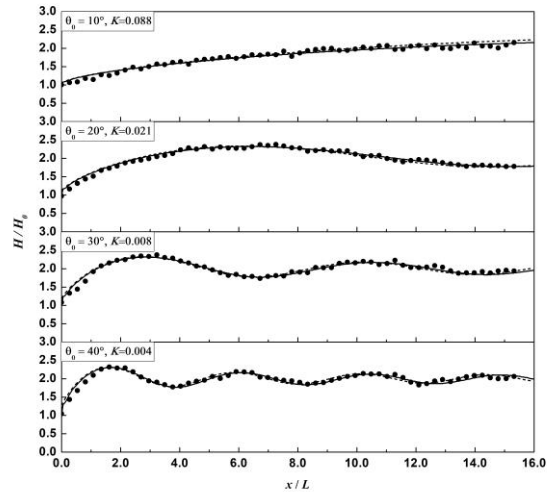


Figure A1. Normalized wave heights along the wall for the cases of MSS1 ~ MSS4. Solid circle: measured, solid line: present numerical, dashed line: analytical (Chen, 1987).

- (4) What is the relevance to real-world situations? What range of nonlinearities do we expect in these real-world cases? Are the experiments lying in this range? Are the solitary waves lying in this range? Please clarify.

<Response from author>

In the real world, we can assume the situation where the swell is incident on a breakwater. Swell waves are the regular longer period waves created by storms far away from the beach. Swell waves tend to have longer periods than wind waves. The wave period of swell lies between 10 s to 15 s. Breakwaters are generally constructed at a depth of about 10 m to 20 m. If the wave height is 1 m to 3m, the swell wave conditions can be within the range of Stokes wave as shown in the following figure.

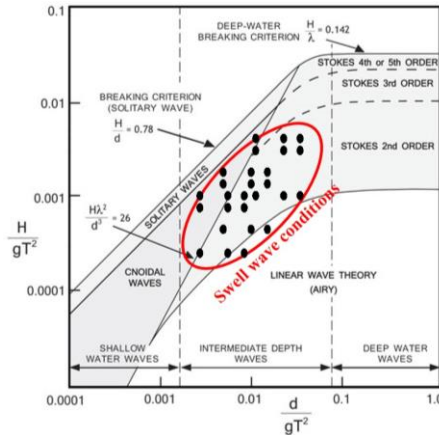


Figure: Wave conditions frequently met in the real world.

We have added a statement to further illustrate the wave conditions tested in the experiment as in the followings:

Lines 29-31 of page 6

As shown in Fig. 4 the incident waves tested in this study belong to the Stokes range. The dispersion effect of the Stokes waves is much stronger than that of the solitary waves. Thus, the characteristics of stem waves in this study should be much different from those of the solitary waves.

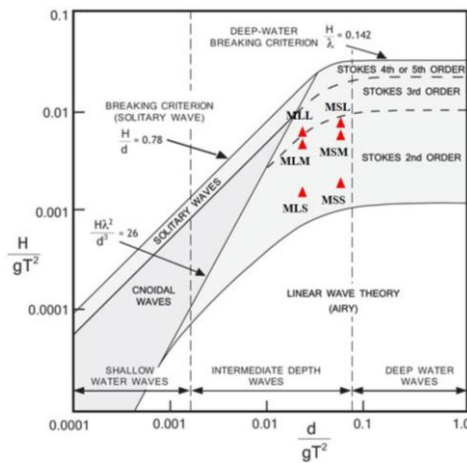







Figure 4. Wave conditions of the incident waves used in the present experiment.


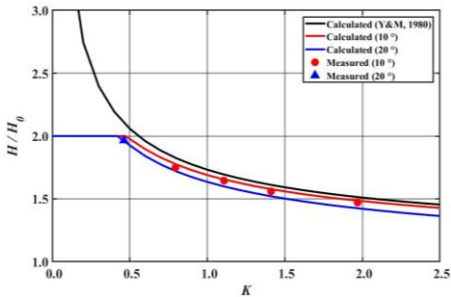

< Minor points >

Comments and Suggestions	Response	Page Reference (original)	Page Referred (revised)
Abstract Line 45: is the word "decrease" correct? Should it not be "increase"? Counterintuitive.	The wave heights along the wall itself increase as the amplitude of the incident waves increase. However, the <u>normalized</u> wave heights decrease.	Page 1, line 16	
Line 51: Mention relevance to harbours and such.	The relevance to real-world situations is presented in the response to the referee's major comment (4).		
Line 109 page 4: Overview of reflection of solitary wave-wall-interactions are missing, with the maximum stem wave amplification being 4 for a critical angle in KP -see works of, e.g., Kodama, Yeh and Kodama, Ablowitz and Curtis, Gidel et al., etc., also with respect to the amplification in other water-wave model-approximations of potential flow. This should include the comparison between KP and other models and experiments.	The overview on the reflection of solitary waves is provided as the response to the referee's major comment (2).		
Line 136: equations should be in italics.	The authors corrected as the referee suggested.		

Line 143: singular dispersion.	To the best of the authors' knowledge the terminology 'singular dispersion' is not familiar. If it means 'dispersion derived from linear theory', the authors are happy to replace in the final manuscript.	Page 3, line 22	
Page 5, section: clarification would be useful, 20 years after these old publications. The REF/DIF manual and reference is also not particularly clear. Everywhere: formulas need punctuation, also in NPG.	The publications referred in this manuscript are old, but they can be easily accessible on internet site. The commas and punctuations are provided to each formula where they are appropriate.	Page 3, line 17	
Line 188: explain/define the linear equation set which this linear solution solves.	As the referee suggested, the equation for the analytical solution is provided as: "Chen (1987) developed an analytical solution for the Helmholtz equation in polar coordinates to solve the combined reflection and diffraction of monochromatic waves due to a vertical wedge."	Page 5, line 5	Page 5, line 16
Line 244: zero-crossings method: please explain. Which zero? What crossing?	Following the referee's suggestion, some statement explaining the zero-upcrossing method is added as: "The wave heights are extracted from the measured free surface displacements using the zero-upcrossing method. In this method a wave is defined when the surface elevation crosses the zero-line or the mean water level upward and continues until the next crossing point. This method is a widely accepted method for extracting representative statistics from raw wave data."	Page 6, line 24	Page 7, lines 6-9
Line 293: remove first "as".	The first "as" is removed.	Page 7, line 27	Page 9, line 19

Page 9: Over the top amount of detail in these figures. Is there a more compact way to convey this?	The authors have moved all figures presenting the experimental data to the Appendix.		
Line 319/320: "strong indication of stem wave development": please indicate why this statement holds: arrow in figure, etc; it was not very clear to me; What is the stem-wave line, i.e. the measured dashed line of Fig. 2; per position plot along the wall and normal to the wall indicate where this dashed line is for this position. I.e. indicate where the stem wave end and what its angle is. Somehow, this stem-wave angle should be available from 2D-horizontal measurements or photographs?	In all of the relevant figures the portion of the stem waves is marked with a red solid line, and the end point of the stem wave corresponding to the dashed line of Fig. 2 is marked with a vertical line. In the revised manuscript the definitions of the stem angle and the stem width are revised (see the response to the referee's major comment (3)). Fig. 5 of the revised manuscript provides more detailed definition of stem waves and how the observed and the calculated stem angle and stem width are determined.	Page 8, line 25 	
Line 322: I would agree with this statement but which stem-wave angle and position of the end of the stem-wave do we measure or expect? Please add.	The response from the authors to the referee's comment for Line 319/320, applies also to this comment.		
Line 354: "stem wave appear clearly"; please indicate where and in which figure (i.e. it is not very clear); add an arrow and symbol to indicate where the stem wave ends. What is the stem-wave angle (measured) for these cases?	The response from the authors to the referee's comment for Line 319/320, applies also to this comment.		
Line 355: remove the 2nd "the".	The authors corrected as the referee suggested.		
Line 372: explain why; say "because it is linear". [the analytical solution]	The authors corrected as the referee suggested as: "while the analytical solution gives no stem wave, because it is linear."	Page 13, line 15	Page 14, line 18

Line 487: I don't understand this statement; please clarify.	The statement is corrected as: “In particular, the wave height distributions for these small amplitude waves show no sign of stem wave.”	Page 13, line 	Page 14, line 13
Line 488: this statement is not true as for larger waves the linear solution does not hold very well. Please amend.	This paragraph (numbered by 1) describes only the results obtained for small amplitude waves. Thus, the statement applies only for small amplitude waves.		
Line 494: indicate in the figures what the values of K are so this is more easy to judge.	The corresponding value of K is supplied for each figure in Appendix.		
Fig. 3: what is the signal imposed on the wavemaker; in order for the results to be reproducible?	The water depth where the wave paddles are placed is deeper than that of test area, and is connected with a gentle slope. The signal imposed on the wave generator was the monochromatic small amplitude waves. The waves experience shoaling before they enter the test area. The free surface displacements were measured at three incident wave measuring points shown in Fig. 3. The signal was adjusted until the target wave was produced. The generated wave showed a permanent form in the test area. The generation test was repeated three times to check the reproducibility. After the target wave was consistently obtained, the signal is stored. The signals for six target waves listed in Table 1 were obtained before the main experiments started.		
Fig. 12: How does this match the sketch in Fig. 2; if the measurement is normal to the wall, where is the dashed line supposed to be, e.g. indicate with a vertical dashed line or cross? Please indicate.	The authors indicate the stem boundary with a vertical line. The stem width λ_s is also marked in the relevant figures in Appendix.		

<p>Figures 15 & 18: Again, indicate the stem-wave end-point expected/ measured; cf. the dashed line in Fig. 2 at the appropriate x-location.</p>	<p>The authors indicate the stem boundary with a vertical line. The stem width λ_s is also marked in the relevant figures in Appendix.</p>		
<p>Figure 22 for $K < 0.5$: What happens here? Please explain.</p>	<p>The authors corrected Fig. 22 (Fig. 9 in the revised manuscript) and added the following statement and a new figure (Fig. 10) to explain what happens for $K < 0.5$.</p> <p>“The amplification curves obtained from the numerical calculations for $K \leq 0.45$ take a long distance to reach the asymptotic value of 2 as shown in Fig. 10. Thus, this asymptotic value cannot be realized in the laboratory due to the limitation of experimental facility. However, for $K > 0.45$ the stem waves are generated and the amplification ratio increases monotonically to reach the asymptotic value in a relatively short distance.”</p>  <p>Figure 9. Comparison of calculated and measured normalized wave heights along the wall as a function of nonlinear parameter K. Black solid curve represents the wave height predicted by shock theory of Yue and Mei (1980), red and blue solid curves denote the calculated wave heights for $\theta_0 = 10^\circ$ and 20°, respectively. Symbols are</p>		

measured data.

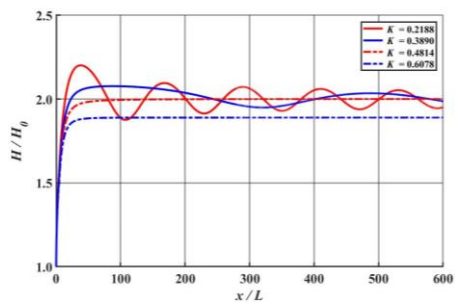


Figure 10. Comparison of calculated normalized wave heights along the wall for various nonlinear parameter K ($\theta_0 = 10^\circ$) .



Laboratory and numerical experiments on stem waves due to monochromatic waves along a vertical wall

Sung Bum Yoon¹, Jong-In Lee², Young-Take Kim³ and Choong Hun Shin¹

¹Department of Civil and Environmental Engineering, Hanyang University, EIRCA Campus, Ansan, Gyeonggi, 15588, South Korea

²Department of Marine and Civil Engineering, Chonnam National University, Yeosu Campus, Yeosu, Jeonnam, 59626, South Korea

³River and Coastal Research Division, Korea Institute of Civil Engineering & Building Technology, Goyang, Gyeonggi, 10223, South Korea

Correspondence to: Choong Hun Shin (lavici@hanyang.ac.kr)

Abstract. In this study, both laboratory and numerical experiments are conducted to investigate stem waves propagating along a vertical wall developed by the incidence of monochromatic waves. The results show the following features: For small amplitude waves, the wave heights along the wall show a slowly varying undulation. Normalized wave heights perpendicular to the wall show a standing wave pattern. Thus, overall wave pattern in the case of small amplitude waves shows typical diffraction pattern around a semi-infinite thin breakwater. As the amplitude of incident waves increases, both the undulation intensity and the asymptotic normalized wave height decrease along the wall. For larger amplitude waves with smaller angle of incidence, the measured data show clearly stem waves. Numerical simulation results are in good agreement with the results of laboratory experiments. The results of present experiments support favorably the existence and the properties of stem waves found by other researchers using numerical simulations. **The characteristics of the stem waves generated by the incidence of monochromatic Stokes waves are compared with those of the Mach stem of solitary waves.**

1 Introduction

Coastal structures have been increasingly constructed in deep water regions as the size of ships becomes larger. In such deep water regions, a vertical-type structure is preferred to save construction costs. In the case of a vertical structure, stem waves occur when waves propagate obliquely against the structure. Thus, there is a need for careful consideration to secure appropriate free board and stability of caisson blocks.

Based on laboratory experiment, the reflection of a solitary wave propagating obliquely against a vertical wall, Perroud (1957) reported the existence of three types of waves when the angle between incident wave ray and a vertical wall is below 45°: incident, reflected, and stem waves. Urger and Kohlhasse (1976) conducted laboratory experiments and found that stem waves appeared also in the case of sinusoidal waves, and that the properties of stem waves developed by sinusoidal waves showed similarities to those of solitary waves. On the other hand, according to laboratory experiments by Melville (1980) with solitary waves, the width and height of stem waves were found to be wider and larger, respectively, as waves

propagated along the wall. However, the wave height did not exceed double the height of incident waves. Yue and Mei (1980) analysed stem waves at a constant water depth using parabolic approximation equations for second-order Stokes waves. They found that the influence of reflected waves was removed when the incident angle between the structure and the waves was below 20° and that only incident waves and stem waves appeared. Liu and Yoon (1986) showed that stem waves occurred also in an area along the line of a depth discontinuity, as in the case of a vertical wall. In addition, Yoon and Liu (1989) introduced a parabolic approximation equation based on the Boussinesq equation and analysed stem waves for the case of cnoidal incident waves. Yoon and Liu (1989) showed the importance of the incident wave nonlinearity. Most previous studies on stem waves focused on the properties of stem waves depending on incident angle and wave nonlinearity of monochromatic waves.

While the stem waves generated by the sinusoidal waves have drawn less attention in recent years, the Mach stem induced by the interaction between the line solitons in the shallow-waters has continuously attracted the attention of the researchers. Since the pioneering work of Miles (1977a, b) on the obliquely interacting solitary waves, the soliton interactions have been extensively studied. Miles (1977b) developed an analytical solution to predict the amplification of the stem wave along the wall as a function of the interaction parameter, $k_* = \theta_0 / \sqrt{3H_0/h}$, where H_0 , h and θ_0 are the wave height, the water depth and the incident angle of solitary wave, respectively. When $k_* = 1$, the amplification of solitary wave can reach four times of the incident wave. Peterson et al. (2003), Soomere (2004) and Soomere and Engelbrecht (2005) investigated the soliton interactions based on the KP equation (Kadomtsev and Petviashvili, 1970). Kodama et al. (2009) and Kodama (2010) proposed the modified interaction parameter, $\kappa_* = \tan \theta_0 / (\sqrt{3H_0/h} \cos \theta_0)$, and developed an exact solution for the KP equation. Li et al. (2011) conducted a precision laboratory experiment to capture the detailed features of Mach reflection using the LIF (laser-induced fluorescent) technique. The laboratory data of Li et al. (2011) support strongly the theory of Miles (1977b) except the cases where κ_* value lies in the neighbourhood of the fourfold amplification. Funakoshi (1980), Tanaka (1993), Li et al. (2011), and Gidel et al. (2017) performed numerical experiments to verify the Miles' fourfold amplification. As summarized by Li et al. (2011) and Gidel et al. (2017) most of the models underestimated the fourfold amplification due to the limitations of the computational resources. The amplification ratio of 3.6 obtained by Gidel et al. (2017) is so far the maximum among the numerical results showing the full development stage of stem wave.

Even though the existence and the properties of stem waves for sinusoidal waves are well known theoretically via numerical simulations (e.g., Yue and Mei, 1980; Yoon and Liu, 1989), they are not yet fully supported by physical experiments. Berger and Kohlhasse (1976) conducted hydraulic experiments to show the existence of stem waves for the cases of sinusoidal waves. Their experimental data, however, failed to produce clear stem waves, possibly due to partial reflection from the beach, diffraction from the ends of vertical wall, or insufficient space in the wave basin. Lee et al. (2003), Lee and Yoon (2006) and Lee and Kim (2007) performed laboratory experiments to investigate stem waves for sinusoidal waves, and compared the measured waves with the numerical results obtained using a nonlinear parabolic approximation equation model. Their hydraulic experiments demonstrated stem waves for some cases with a relatively large incident wave. However, the stem

waves were not clearly developed because of both the narrowness of wave basin and the reflected waves from the beach. Only four cases of incident wave conditions were tested in their experiment. Thus, the experimental data were not sufficient to investigate the properties of stem waves. Moreover, the numerical results for the cases of large angle of incidence were not highly accurate because of the small-angle parabolic model employed for their numerical simulations. Thus, there is still need to perform a precisely controlled experiment to investigate the existence and the properties of stem waves.

In this study, precisely-controlled laboratory experiments are conducted to investigate the characteristics of stem waves developed by the incidence of monochromatic waves. The measured data are compared with numerical simulations and analytical solutions. In the following section, the numerical simulation and the analytical solution employed in this study are summarized. In section 3, the experimental setup and procedure are briefly presented. In section 4, the measured wave heights are compared with numerically simulated results and analytical solutions. In section 5, the characteristics of the stem waves generated by the incidence of monochromatic Stokes waves are compared with those of the Mach stem of solitary waves. In the final section, the major findings from this study are summarized.

2 Numerical simulation and analytical solution

In this study, the stem waves developed along a vertical wall over a constant water depth are investigated for the cases of monochromatic waves. Fig. 1 shows the definition sketch of the wave field around a vertical wedge. The monochromatic waves are symmetrically incident towards the tip of the wedge. The x -axis of the coordinate system is aligned with a side wall of the wedge. The angle of incidence θ_0 is defined as the angle between the x -axis and the incident wave ray. The computational domain lies in the region of $0 \leq x$ and $y \leq 0$.

2.1 Numerical simulation

In this study, the latest version of REF/DIF, a wide-angle nonlinear parabolic approximation equation model developed by Kirby et al (2002), is employed to simulate stem waves. The REF/DIF model can deal with the refraction-diffraction of Stokes waves of third order nonlinearity over a slowly varying depth and current. Due to the use of parabolic formulation the reflection in the main direction of propagation is forbidden, but not in the transverse direction. In this study, the water depth is uniform and no ambient current is present. With no current and energy dissipation on a constant water depth and by selecting (1, 1) Padé approximant in the model, the governing equation of the REF/DIF model is simplified as

$$2ik \frac{\partial A}{\partial x} + \frac{\partial^2 A}{\partial y^2} + \frac{i}{2k} \frac{\partial^3 A}{\partial x \partial y^2} - \frac{\omega k^3}{C_g} D|A|^2 A = 0, \quad (1)$$

where h is the water depth, $i = \sqrt{-1}$, C_g is the wave group velocity, A is the complex wave amplitude, k and ω are the wave number and the angular frequency, respectively, and satisfy the following linear dispersion relationship:

$$\omega^2 = gk \tanh kh, \quad (2)$$

5 where g is the gravitational acceleration, and D is given as

$$D = \frac{\cosh 4kh + 8 - 2 \tanh^2 kh}{8 \sinh^4 kh}. \quad (3)$$

The third term of Eq. (1) is the correction term obtained by selecting (1, 1) Padé approximant for the wide angle parabolic approximation. According to Fig. 2 of Kirby (1986) the accuracy of the waves propagating obliquely to the main direction of propagation, i.e., x -direction, can be maintained up to $\pm 45^\circ$. In this study the range of the incidence angles of both incident and reflected waves lies from $\pm 10^\circ$ to $\pm 40^\circ$. Thus, the considerable accuracy of the numerical solution is expected.

The conventional parabolic approximation equation, i.e., the nonlinear Schrödinger equation of Yue and Mei (1980) is obtained if this term is neglected. The last term represents the nonlinear effect of waves. Fig. 2 shows the coordinate system for the present numerical simulation in comparison with that of Yue and Mei (1980). In the present simulation the incident waves are prescribed obliquely along the y -axis as

$$A = A_0 e^{ik_n y \sin \theta_0}, \quad (4)$$

where A_0 is the amplitude of the incident wave, and k_n is the nonlinear wave number given as

$$k_n = k \left(1 - \frac{C}{2C_g} D(k|A|)^2 \right), \quad (5)$$

20

where $C(= \omega/k)$ is the phase speed of wave. No-flux boundary condition is prescribed along the vertical wall ($y = 0$) given as

$$\frac{\partial A}{\partial y} = 0. \quad (6)$$

If the side boundary opposite to the vertical wall is located far from the wall, no flux boundary condition, Eq. (6), can also be used. However, to save the computational resources the obliquely-incident plane wave condition is prescribed along the side boundary at $y = -y_{\max}$ as

$$A = A_0 e^{i(k_n x \cos \theta_0 - k_n y_{\max} \sin \theta_0)}. \quad (7)$$

Along the down-wave side no boundary condition is necessary, because Eq. (1) is a parabolic type differential equation. The grid size, Δx and Δy , is $L/80$ where L is the wave length of incident wave. The size of computational domain is $50L$ in the x -direction, and $400L$ in the y -direction.

For the later use the nonlinear parameter, K , proposed by Yue and Mei (1980) is given as:

$$K = \left(\frac{kA_0}{\tan \theta_0} \right)^2 \frac{CD}{C_g}. \quad (8)$$

K is the single parameter representing both the nonlinearity of incident wave and the angle of incidence on the formation of stem waves along the vertical wall. This nonlinear parameter was obtained by Yue and Mei (1980) from the dimensionless form of the small angle version of Eq. (1). The details of the derivation of K can be found in Yue and Mei (1980).

2.2 Analytical solution

Chen (1987) developed an analytical solution for the Helmholtz equation in polar coordinates to solve the combined reflection and diffraction of monochromatic waves due to a vertical wedge. The analytical solution is given in a polar coordinate as shown in Fig. 1 as

$$\Phi(r, \theta^*, z, t) = -\frac{igA \cosh\{k(z+h)\}}{\omega \cosh kh} F(r, \theta^*) e^{i\omega t} \quad (9)$$

where $\Phi(r, \theta^*, z, t)$ is the velocity potential, and $F(r, \theta^*)$ is a diffraction factor given as:

$$F(r, \theta^*) = \frac{2}{v} \left[J_0(kr) + 2 \sum_{n=1}^{\infty} e^{in\pi/2v} J_{n/v}(kr) \cos \frac{n\alpha^*}{v} \cos \frac{n\theta^*}{v} \right] \quad (10)$$

where $\theta^* = \theta - 2\theta_0$, $\alpha^* = \pi - \theta_0$, $v = 2(\pi - \theta_0)/\pi$, and θ_0 is the angle of incidence. $J_0(kr)$ is the Bessel function of the first kind of order 0. The absolute value of the diffraction factor $|F(r, \theta^*)|$ represents the normalized wave height H/H_0 where H_0 is the wave height of the incident wave. The analytical solution of Chen (1987) is linear. Thus, this analytical

solution does not allow the formation of stem waves. The details of the derivation of the analytical solution can be found in Chen (1987).

3 Hydraulic experiments

Hydraulic experiments are carried out in the multidirectional irregular wave generation basin of the Korea Institute of Construction Technology (see Photo 1). The basin used in the laboratory experiments is 42 m long, 36 m wide and 1.05 m high. A snake-type wave generator consisting of 60 wave boards, each with dimensions of 0.5 m in width and 1.1 m in height and driven by an electronic servo piston, is installed along the 36 m long bottom wall of the wave basin. Free surface displacements are measured using 0.6 m long capacitance-type wave gauges with measuring range of ± 0.3 m.

Fig. 3 shows the configuration of the experimental setup and model installation. A 30 m long vertical wall is installed along the left lateral side of the basin in four different orientations. A dissipating gravel beach with a 1/20 slope is arranged on the opposite side of the wave generator to reduce the reflection of waves inside the basin. Another dissipating beach and wave absorber are also set along the lateral sides and at the back of the wave generator. Along the lateral side opposite to the vertical wall a 10 m long wave guide is installed to avoid diffraction from the side wall. Note that θ_0 is the angle between the vertical wall and the incident waves. The origin of the spatial coordinate system of the laboratory experiments (i.e., x_0 , y_0) is set at the tip of the vertical wall which is located 3 m and 5 m away from the lateral side and the wave generator, respectively, as shown in Fig. 3. The width and height of the vertical wall were both 0.6 m. The experiments are carried out at a constant water depth of $h = 0.25$ m. The free board from a still water level to the top of the vertical wall is 0.35 m in order to prevent overtopping of waves.

The incident wave conditions are summarized in Table 1. The title of each test case is composed of three alphabet characters and a numeric digit. The first alphabet M stands for ‘monochromatic’ waves. The second alphabet S or L represents ‘shorter’ or ‘longer’ waves in terms of period, respectively. The third alphabet S, M or L represents ‘small’, ‘medium’, or ‘large’ waves in terms of wave height, respectively. Finally, the numeric digit represents the size of the angle of incidence.

The wave periods of $T = 0.7$ s and 1.1 s are tested. The wave heights are $H_0 = 0.009$ m, 0.027 m, and 0.036 m for 0.7 s waves, and $H_0 = 0.018$ m, 0.054 m, and 0.072 m for 1.1 s waves so that no wave breaking occurs during the experiments. The length of the vertical wall in the laboratory experiments is $40L$ for the case of $T = 0.7$ s and $20L$ for the case of $T = 1.1$ s, where L represents the wavelength of monochromatic waves corresponding to the given period T . The incident angles of $\theta_0 = 10^\circ$, 20° , 30° , and 40° are obtained by adjusting the orientation of the vertical wall. Thus, the incident waves propagate normal to the line of the wave generator. The nonlinearity of the incident waves are presented in two dimensionless parameters, wave steepness kH_0 and the nonlinear parameter K given by Eq. (8). As shown in Fig. 4, the incident waves tested in this study belong to the Stokes range. The dispersion effect of the Stokes waves is much stronger than that of the solitary waves. Thus, the characteristics of stem waves in this study should be much different from those of the solitary waves.

In the experiments, wave heights are measured along both the vertical wall (x -direction) and normal to the vertical wall (y -direction). Note that wave heights in the x -direction are measured 0.05 m away from the front side of the wall, while wave heights in the y -direction are measured along two lines of $x = 6L$ and $15L$. The intervals of the wave height measurement positions are $\Delta x = 0.2$ m and 0.4 m for $T = 0.7$ s and 1.1 s, respectively, along the wall, while $\Delta y = 0.1$ m and 0.2 m for $T = 0.7$ s and 1.1 s, respectively, normal to the wall. Table 2 gives a summary of the wave height measurement positions. The wave heights are extracted from the measured free surface displacements using the zero-upcrossing method. In this method a wave is defined when the surface elevation crosses the zero-line or the mean water level upward and continues until the next crossing point. This method is a widely accepted method for extracting representative statistics from raw wave data. Photo 2 shows the hexagonal or beehive wave pattern captured during the experiment in front of a vertical wall for the case of $\theta_0 = 30^\circ$. This is typical of the cross-sea generated by the oblique interaction of two or more traveling plane waves (see e.g., Le Mehauté, 1976; Mei, 1983; Nicholls, 2001). Postacchini et al. (2014) studied the dynamics of crossing wave trains on a planar slope in shallow waters. The stem waves can be developed at the intersection of two crest lines of the crossing waves. The crossing waves propagating towards a shore experience the shoaling and break. Postacchini et al. (2014) proposed an analytical theory based on ray convergence to identify the position and the crest length of the breaker. The stem waves in the present study are developed by the oblique nonlinear interaction between the incident and the reflected waves. Thus, the generation mechanism is similar to each other.

Prior to the main experiments the performance of the wave generator is tested. For this test no vertical wall is placed in the wave basin. After the initiation of wave generation the time histories of free surface displacement are recorded at three incident-wave-measuring points as shown in Fig. 3. The first part of data with sufficiently long time is discarded, and the wave height and period are obtained using the zero-upcrossing method. The tests show that the target waves are well generated, and also showed that the bottom friction is negligible within the test area of the wave basin. In particular, three wave gauges aligned in a wave propagation direction with a specified distance are placed at the incident-wave-measuring point located near the gravel beach with a 1/20 slope to estimate the wave reflection from the beach. The incident and reflected waves are separated using the three-point higher order separation technique. This higher order technique is developed for finite amplitude waves by adding the second and third harmonics to the linear separation scheme proposed by Suh et al. (2001). The reflection coefficient due to the gravel beach is maintained at less than 3% for all the waves considered in the experiments.

4 Results and discussions

In this study, experiments on the formation of stem waves around a vertical wall are conducted and the measured wave heights are compared with results calculated using both a wide-angle parabolic approximation equation numerical model, REF/DIF, and the analytical solution of Chen (1987). All the figures for the experimental and calculated data are presented in the Appendix to avoid a flourish of figures.

Prior to presenting the experimental and numerical results, the definitions of the stem angle and the stem width are discussed. The definition of stem width is rather controversial. Yue and Mei (1980) defined the stem width as the distance from the wall to the edge of the uniform wave amplitude region. However, it is not an easy task to locate the edge of the flat region. Berger and Kohlhasse (1976) defined the stem width for the periodic waves as the distance along the stem crest lines from the wall to the first node line of standing wave pattern which is easier to identify from the measured data. On the other hand, Peterson et al. (2003), Soomere (2004) and Soomere and Engelbrecht (2005) obtained the analytical stem length using the KP equation for the obliquely interacting two solitary waves. As pointed out by Li et al. (2011) the crest lines of the stem wave, the incident and the reflected solitons measured in their experiment are not straight, and they do not meet at a point. In reality, the analytical solutions of the KP equation deviate slightly from the pattern observed in the experiment. Thus, Li et al. (2011) proposed the edge of the Mach stem as the intersection of the linear extensions of the stem and the incident-wave crest lines. For the periodic waves the wave pattern is more complicated because many wave components are superposed. Thus, the definitions of the stem boundary and the stem angle should be different from the case of solitary waves. As shown in Fig. 2(a) and Fig. 5, when the stem waves are fully developed, the stem boundary is nearly parallel to the first node line. Thus, as suggested by Berger and Kohlhasse (1976), the experimental stem angle α is determined in this study as the angle of node line, α_n . The node line is roughly determined using the node points from the wave height data measured along two lines of $x = 6L$ and $15L$. When the distances between the first node points and the wall are λ_6 and λ_{15} for two sections of $x = 6L$ and $15L$, respectively, then the angle of the node line, α_n , can be determined as

$$\alpha \approx \alpha_n = \tan^{-1} \left(\frac{\lambda_{15} - \lambda_6}{9L} \right). \quad (11)$$

This α_n decreases as the waves propagate along the wall. It reaches an asymptotic value after the waves propagate approximately 30 wave lengths. Thus, the experimental α_n determined by Eq. (11) is slightly overestimated for $x \leq 30L$. In this study the stem angle, α , is defined as the asymptotic angle of node line as shown in Fig. 5. To estimate the asymptotic α_n the numerical calculation is conducted using the domain extended up to $50L$ in the x -direction, and the instantaneous free surface displacements are calculated and plotted as shown in Fig. 5. Using two distances between the node points and the wall, λ_{30} and λ_{50} for two sections of $x = 30L$ and $50L$, respectively, the stem angle α is determined as

$$\alpha = \alpha_n = \tan^{-1} \left(\frac{\lambda_{50} - \lambda_{30}}{20L} \right). \quad (12)$$

The stem width λ_s can be determined using the stem angle α as

$$\lambda_s = \tan \alpha. \quad (13)$$

4.1 Shorter waves ($T = 0.7$ s)

Fig. A1 shows the comparisons between the measured, numerically simulated, and analytically calculated wave heights, H/H_0 , along the vertical wall for the cases of $H_0 = 0.009$ m with $T = 0.7$ s (i.e., MSS-series). The amplitude of the incident waves is small as the title of the test cases indicates. The solid circles represent the results of the laboratory experiments. The solid and dashed lines represent the numerical (using REF/DIF) and analytical solution results, respectively. Various incident angles of $\theta_0 = 10^\circ, 20^\circ, 30^\circ$, and 40° are presented. For the case of small angle of incidence (MSS1, $\theta_0 = 10^\circ$) the measured wave height along the vertical wall increases monotonically with the distance from the tip of the vertical wall. As the angle of incidence increases, the wave height shows a slowly varying undulation with the average value of $H/H_0 = 2.0$. The maximum value of undulation is approximately $H/H_0 \approx 2.3$, and the location of maximum wave height decreases with increasing angle of incidence. In particular, the overall pattern of wave height distribution does not support the generation of stem waves, which are characterized by uniform wave heights smaller than those obtained from linear diffraction theory (Yue and Mei, 1980; Yoon and Liu, 1989). The wave heights calculated using the REF/DIF numerical model (Kirby and Dalrymple, 1994) and the analytical solution of Chen (1987) agree well with the measured wave heights. This supports the idea that the effects of nonlinearity of incident waves are too weak to develop stem waves. In the case of $\theta_0 = 10^\circ$, the maximum normalized wave heights does not reach $H/H_0 \approx 2.3$ because the size of the experimental area is insufficient. If the vertical wall is sufficiently long, the same result could apparently be obtained for $\theta_0 = 10^\circ$.

Figs. A2 and A3 show the comparisons of wave heights H/H_0 along a line ($x = 6L, 15L$) perpendicular to the vertical wall. The distribution of wave height shows the typical pattern of standing waves formed by superposition of the reflected waves on the incident waves. Berger and Kohlhasse (1976) called these standing waves stem waves as long as they propagated parallel to the wall. If stem waves, however, are defined as waves with a uniform wave height in the direction normal to the wall, then the wave height distributions for these small amplitude waves in MSS-series show no sign of stem waves. The wave amplitude for this MSS-series is too small to generate stem waves along the wall.

Fig. A4 shows normalized wave heights along the vertical wall for the cases of MSM-series (i.e., $H_0 = 0.027$ m, $T = 0.7$ s) with various angles of incidence. The amplitude of the incident waves is three times larger than the MSS-series waves. Figs. A5 and A6 show normalized wave heights perpendicular to the vertical wall at positions $x = 6L$ and $15L$, respectively. The results shown in Fig. A4 indicate that, when the angle of incidence is small ($\theta_0 = 10^\circ$), the normalized wave height approaches to a uniform value of $H/H_0 \approx 1.75$ as waves propagated along the vertical wall. At larger incident angles, the maximum normalized wave heights reach up to $H/H_0 \approx 2.25$, and showed a slowly varying undulation.

In the results shown in Figs. A5 and A6 the stem waves of uniform wave height are found under the conditions of $\theta_0 = 10^\circ$, $x = 6L$ and $15L$, albeit the stem widths are small. However, in the cases of other incident angles, stem waves do not appear. The red lines shown in the figures represent the stem waves. The stem width λ_s is determined using Eq. (13).



The results from laboratory experiments are in good agreement with those of the results of REF/DIF model. However, the analytical solutions of Chen (1987) do not agree well with the measured data, probably because of nonlinear interactions between incident and reflected waves. The discrepancy between the analytical solution of Chen (1987) and the measured data decreases as the angle of incidence increases. This can be attributed to the decrease in the intensity of nonlinear interactions between incident and reflected waves as the angle of incidence increases.



Figs. A7, A8, and A9 show the comparisons of the measured, numerically simulated, and analytically calculated results for the cases of MSL-series ($H_0 = 0.036$ m, $T = 0.7$ s). The amplitude of the incident waves is the largest among the shorter wave test cases. For the case of small angle of incidence, $\theta_0 = 10^\circ$, the normalized wave height increases monotonically to reach a constant value of $H/H_0 \approx 1.5$, with a strong indication of stem wave development. In the cases of larger angle of incidence the wave heights show a slowly varying undulation. As shown in Figs. A8 and A9, which represent normalized wave heights in the direction normal to the vertical wall, stem waves appear clearly for $\theta_0 = 10^\circ$ along $x = 6L$ and $15L$. It can also be seen that the width of stem waves increases in proportion to the distance from the tip of vertical wall. In the cases of larger incidence angles, the normalized wave heights tend to show a distribution pattern similar to that of standing waves normal to the wall.


4.2 Longer waves ($T = 1.1$ s)

Figs. A10, A11 and A12 show comparisons between the measured, numerically simulated, and analytically calculated wave heights H/H_0 along the vertical wall ($y=0$) and normal to the wall ($x = 6L$ and $15L$) for the cases of $H_0 = 0.018$ m with $T = 1.1$ s (MLS-series). The solid circles represent the results of laboratory experiments. The solid and dashed lines represent the numerical and analytical solutions, respectively. The results from laboratory experiments are in good agreement with those from the analytical solution and numerical model. The amplitude of the MLS incident waves is chosen to provide the same steepness, $kH_0 = 0.076$, as the MSS waves. Hence, the wave patterns observed in the MSS-series (Fig. A1) are similar to the results of the MLS-series.

Fig. A13 shows normalized wave heights along the vertical wall for the cases of MLM-series ($H_0 = 0.054$ m, $T = 1.1$ s). The incident wave amplitude is twice that of the cases of MSM-series, but the MLM-series have the same wave steepness kH_0 as MSM-series. For $\theta_0 = 10^\circ$, the maximum value of the normalized wave height reached the uniform value of $H/H_0 \approx 1.65$, which shows an indication of the development of stem waves. Figs. A14 and A15 show normalized wave heights normal to the vertical wall at positions along $x = 6L$ and $15L$ for various incident angles. As shown in Figs. A14 and A15, stem waves appear for the cases of $\theta_0 = 10^\circ$. The stem widths increase proportionally with the distance from the tip of the vertical wall. The width of the stem waves is found to decrease as the incident angle increases. The linear analytical solutions for small incident angles show large deviations from the measured results, which is consistent with previous results for the cases of MSM-series. On the other hand, the simulation results using the REF/DIF model are generally in good agreement with the results from laboratory experiments.

Figs. A16, A17, and A18 show comparisons of the measured, numerically simulated, and analytically calculated results of MLL-series ($H_0 = 0.072$ m, $T = 1.1$ s). In the results from the laboratory experiment, stem waves appear clearly at positions along $x = 6L$ and $15L$ for $\theta_0 = 10^\circ$ and 20° .  clear stem waves for periodic waves in the physical experiments are observed for the first time in this study. Berger and Kohlhasse (1976) also conducted laboratory experiments to produce stem waves with a vertical wall. The experiments of Berger and Kohlhasse (1976) were conducted in a constant water depth of $h = 0.25$ m for the wave length of $L = 1.0$ m with various incoming wave heights of $H_0 = 0.023 \sim 0.053$ m, and incidence angles of $\theta_0 = 10^\circ, 15^\circ, 20^\circ$, and 25° . The experimental wave conditions of Berger and Kohlhasse (1976) are similar to those of this study. The length of vertical wall (less than $9.8L$) used in the experiments of Berger and Kohlhasse (1976), however, is much shorter than that of this study ($40L$ for the case of $T = 0.7$ s and $20L$ for the case of $T = 1.1$ s). Moreover, both ends of the vertical wall were open in the experiments of Berger and Kohlhasse (1976), while a wave guide is installed from the wave generator to the tip of vertical wall in the present experiments, and the other end of the vertical wall is extended to the midst of $1/20$ gravel beach. As a result, the wave heights along the wall measured by Berger and Kohlhasse (1976) were contaminated by the parasitic waves diffracted by both ends of the wall. Thus, the stem waves developed along the wall were not clear in the results of Berger and Kohlhasse (1976), while the stem waves observed in the present experiments are clearly noticeable. 

 Fig. 6(a) and 6(b) show the comparison of the three-dimensional plots of normalized wave height for MLS1 and MLL1 cases, respectively, based on the numerical results of REF/DIF. For the nonlinear case, the overall amplitudes are much smaller and the stem waves are developed along the wall as shown in Fig. 6(b). The stem wave height is nearly constant and the width of the stem waves tended to increase along the wall. Fig. 7(a) and Fig. 7(b) present the comparison of the three-dimensional plots of normalized free surface displacements, $\zeta/A_0 = \text{Re}((A/A_0)e^{ikx})$, for MLS1 and MLL1 cases, respectively. From Fig. 7(b) it can be seen that the stem waves propagate along the wall. Fig. 8 shows the contour plots of the instantaneous normalized free surface for MLS1 and MLL1 cases. The incident waves are reflected from the wall for the linear case. However, for the nonlinear cases, they seem to be both refracted and partially reflected at the edge of stem region as depicted also in Fig. 2. **The rigorous interpretation of these refraction and partial reflection is that the resonant interaction between the incident and reflected waves generates the stem waves propagating along the wall, and also shift the phase of the reflected waves outward from the stem region.** 

 In conclusion, the results of the laboratory experiments are in good agreement with those of the numerical simulations. However, the analytical solution cannot reproduce the stem waves. The widths of stem waves in the REF/DIF model are shown to be slightly broader than those of the results from laboratory experiments. This may be due to the fact that the REF/DIF model overestimates the nonlinearity of the waves. In addition, given the same incident angle condition, the stem waves in the cases of MLL-series show the largest stem width. Moreover, the widths of the stem waves tend to increase as the nonlinear property of the incident waves increases. This further demonstrates the effect of nonlinearity of incident waves on the development of stem waves as suggested by Yue and Mei (1980) and Yoon and Liu (1989).

4.3 Effects of nonlinearity

Yue and Mei (1980) proposed a single parameter, K given by Eq. (8), controlling the properties of stem waves developed along a vertical wedge based on the nonlinear Schrödinger equation. The K parameter represents both the nonlinearity of incident waves and the wedge slope. Yue and Mei (1980) proposed also a theoretical formula to estimate the amplitude squared of stem waves based on a simple shock model as

$$|A_{\infty}/A_0|^2 = \frac{1}{2K} [2K + 1 + \sqrt{8K + 1}], \quad (14)$$

where A_{∞} is the amplitude of stem waves far from the tip of wedge along the vertical wall, A_0 is the amplitude of incident waves. Thus, $|A_{\infty}/A_0|$ represents the amplification ratio of the stem waves. In Fig. 9 the normalized wave height, H_{∞}/H_0 ,

instead of A_{∞}/A_0 , along the vertical wall is calculated using Eq. (1), and is compared with both the measured value and the theoretical one given by Eq. (14). A black solid line denotes the theoretical prediction by Yue and Mei (1980), red and blue solid lines represent the present numerical values for $\theta_0 = 10^\circ$ and 20° , respectively. The amplification curves obtained from the numerical calculations for $K \leq 0.45$ take a long distance to reach the asymptotic value of 2 as shown in Fig. 10. Thus, this asymptotic value cannot be realized in the laboratory due to the limitation of experimental facility. However, for

$K > 0.45$ the stem waves are generated and the amplification ratio increases monotonically to reach the asymptotic value in a relatively short distance. The theoretical prediction of Yue and Mei (1980) overestimates slightly the stem heights in comparison with the measured values. The results from the present numerical simulation show good agreement with the measured values. Moreover, the present numerical results show a dependence of stem heights on the angle of incidence. This implies that K is not a unique single parameter to control the property of stem waves. It is interesting to note that the

maximum amplification of the stem wave is two times of the incident waves for Stokes waves, while that of solitary waves is fourfold. This indicates that the resonant interaction between the incident and the reflected waves is weaker for the case of the Stokes waves.

It is well-known that the stem waves are generated by the nonlinear interaction between the incident and the reflected waves. When the angle between the incident and the reflected waves is small and the amplitude of two waves is small-but-finite, two waves attract each other and form a new wave with a single crest so-called the stem wave. The amplitude of the stem wave is larger than the incident wave, and that of reflected wave is smaller. Three waves meet at a point due to both the continuous growth of the crest length of stem wave and the phase-shift of reflected wave. All the mechanisms observed in the formation of Mach stem wave for the solitary waves apply also for the monochromatic Stokes waves, but the intensity of nonlinear interaction is weaker than that of solitary waves.

Yue and Mei (1980) proposed the slope ratio β of the edge line, i.e., stem boundary, of stem region denoted by a black dashed line in Fig. 2(b) as a function of K as:

$$\beta = \frac{1}{4} [3 + \sqrt{8K + 1}]. \quad (15)$$

This slope ratio β of Yue and Mei (1980) can be converted to the angle of stem wedge α as:

$$\alpha = \tan^{-1}(\beta\epsilon) - \theta_0, \quad (16)$$

- 5 where $\beta\epsilon$ is the slope of the stem boundary as shown in Fig. 2(b). Fig. 11 shows the comparison of the α -values evaluated using Eq. (16) of Yue and Mei (1980) and those determined from the numerical simulation using Eq. (12), along with the measured data determined using Eq. (11). The theoretical prediction of Yue and Mei (1980) overestimates generally the stem angle. In particular, the numerical simulation shows no stem wave for the range of small K less than 0.46, while the prediction of Yue and Mei (1980) still gives a nonzero stem angle. The stem angles measured in the present experiment are slightly larger than those of numerical simulation, because the experimental values are obtained in the development stage.

5 Comparison with solitary waves

The characteristics of stem waves developed by monochromatic Stokes waves investigated in this study are compared with those of the solitary waves.

- 15 For the comparison purposes the amplification ratio, H_∞/H_0 , predicted by Miles (1977) for solitary waves is calculated using the interaction parameter, $\kappa_* = \tan \theta_0 / (\sqrt{3H_0/h} \cos \theta_0)$, modified by Kodama et al. (2009) as

$$\frac{H_\infty}{H_0} = \begin{cases} \frac{4}{1 + \sqrt{1 - \kappa_*^{-2}}}, & \text{for } \kappa_* \geq 1, \\ (1 + \kappa_*)^2, & \text{for } \kappa_* < 1. \end{cases} \quad (17)$$

- 20 The interaction parameter κ_* is inversely proportional to $\sqrt{H_0/h}$, while the parameter K is proportional to $(kH_0)^2$. To compare properly the nonlinear effects on the generation of stem waves a new parameter K_* for Stokes waves is proposed as

$$K_* = \gamma K^{-1/4} \sim 1/\sqrt{kH_0}, \quad (18)$$

- where γ is an arbitrary constant to adjust the scale of K_* . By taking $\gamma = 0.828$ for $\theta_0 = 10^\circ$, and $\gamma = 0.805$ for $\theta_0 = 20^\circ$ the critical condition that divides the regular and Mach reflections locates at $K_* = 1.0$ for Stokes waves. Fig. 12 shows the comparison between the amplification ratios for the present Stokes waves and the solitary waves. A black solid line denotes the amplification ratio calculated using Eq. (17) for solitary waves, while red and blue solid lines represents the amplification

ratios obtained from numerical computations for the Stokes waves. The symbols denote the measured amplification ratios. As shown in the figure the amplification ratios for the Stokes waves are much smaller than those of solitary waves. And the maximum amplification ratio for the Stokes waves is 2, while that of solitary waves is 4. This indicates that the intensity of the resonant interaction between the incident and the reflected waves is much weaker than the case of the solitary waves due to strong frequency dispersion.

6 Conclusions

In this study, precisely controlled experiments are conducted to investigate the existence and the properties of stem waves developed along a vertical wedge for the cases of monochromatic Stokes waves. Numerical and analytical solutions are also obtained and compared with the measured data. The results obtained from this study are summarized

1. For small amplitude waves, the wave height along the wall shows slowly varying undulations with the average value of $H/H_0=2.0$. The maximum value of an undulation is approximately $H/H_0 \approx 2.3$, and the distance from the tip to the location of maximum wave height decreases with increasing angle of incidence. Normalized wave heights perpendicular to the wall show a standing wave pattern. In particular, the wave height distributions for these small amplitude waves show no sign of stem wave. Both numerical and linear analytical solutions agree reasonably well with measured wave heights.
2. As the amplitude of incident waves increases, the undulation intensity decrease along the wall. For larger amplitude waves with smaller angle of incidence, i.e., larger K values, the measured data show clear stem waves along the wall. Numerical simulation results are in good agreement with the results of laboratory experiments, while the analytical solution gives no stem wave, because it is linear.
3. Stem waves can be developed when the nonlinear parameter K is greater than approximately 0.46. As the nonlinear parameter K increases, the normalized stem height decreases and the stem width increases.
4. The resonant interaction between the incident and reflected waves predicted for solitary waves are not observed for the periodic Stokes waves. The amplification ratios along the wall do not exceed 2 for the case of Stokes waves, while those can reach fourfold for the solitary waves.
5. The existence and the properties of stem waves for sinusoidal waves found theoretically via numerical simulations are favorably supported by the physical experiments conducted in this study. Experimental data obtained in this study can be used as a useful tool to verify nonlinear dispersive wave numerical models.

References

- Berger, U. and Kohlhase, S.: Mach-reflection as a diffraction problem, Proc. 15th Conf. Coastal Engng. , 796-814, 1976.
- Chen, H. S.: Combined reflection and diffraction by a vertical wedge, Tech. Rep. CERC 87-16, U.S. Army Corps of Engrs. (USACE) Wtrwy. Experiment Station, Vicksburg, Miss., USA, 1987.
- 5 Gidel, F., Bokhove, O., and Kalogirou, A.: Variational modelling of extreme waves through oblique interaction of solitary waves: application to Mach reflection, *Nonlinear Processes in Geophysics*, 24, 43–60, 2017.
- Kadomtsev, B. and Petviashvili, V.: On the stability of solitary waves in weakly dispersing media, *Sov. Phys. Dokl*, 539-541, 1970.
- Kirby, J. T.: Large-angle parabolic equation methods, *Proceedings of the 20th International Conference on Coastal*
- 10 *Engineering, Taipei, November*, 410-424, 1986.
- Kirby, J. T. and Dalrymple, R. A.: Combined refraction/diffraction model REF/DIF 1, Version 3.0: Documentation and User's Manual, Center for Applied Coastal Research, Department of Civil Engineering, University of Delaware, Delaware, USA, 2002.
- Kodama, Y.: KP solitons in shallow water, *J. Phys. A: Mathematical and Theoretical*, 43, 434–484, 2010.
- 15 Kodama, Y., Oikawa, M., and Tsuji, H.: Soliton solutions of the KP equation with V-shape initial waves, *J. Phys. A: Mathematical and Theoretical*, 42, 312–321, 2009.
- Le Mehaute, B.: An introduction to hydrodynamics and water waves, Springer, 1976.
- Lee, J.-I., Kim, Y.-T., and Cho, Y.-S.: Hydraulic model test for stem waves along vertical wall under regular wave actions, Proc. of Annual Conf. of Korean Society of Civil Engineers, 4939-4943, 2003 (in Korean).
- 20 Lee, J. I. and Yoon, S. B.: Hydraulic and numerical experiments of stem waves along a vertical wall, *Journal of the Korean Society of Civil Engineers*, 26, 405-412, 2006 (in Korean).
- Lee, J. and Kim, Y.: Numerical analysis of stem waves along a vertical wall, *Journal of Coastal Research*, 1101-1105, 2007.
- Li, W., Yeh, H., and Kodama, Y.: On the Mach reflection of a solitary wave: revisited, *J. Fluid Mech.*, 672, 326–357, 2011.
- Liu, P. L. F. and Yoon, S. B.: Stem waves along a depth discontinuity, *Journal of Geophysical Research: Oceans*, 91, 3979-
- 25 3982, 1986.
- Melville, W.: On the mach reflexion of a solitary wave, *J. of Fluid Mech.*, 98, 285-297, 1980.
- Mei, C. C., Stiassnie, M., and Yue, D. K.-P.: Theory and applications of ocean surface waves: Part 1: Linear aspects Part 2: Nonlinear aspects, World Scientific, 1989.
- Miles, J. W.: Obliquely interacting solitary waves, *J. Fluid Mech.*, 79, 157–169, 1977a.
- 30 Miles, J. W.: Resonantly interacting solitary waves, *J. Fluid Mech.*, 79, 171–179, 1977b.
- Nicholls, D. P.: On hexagonal gravity water waves, *Mathematics and computers in simulation*, 55, 567-575, 2001.
- Perroud, P. H.: The solitary wave reflection along a straight vertical wall at oblique incidence, IER Report. 99-3,93. University of California, Berkeley, Calif, USA, 1957.



Peterson, P., Soomere, T., Engelbrecht, J., and van Groesen, E.: Soliton interaction as a possible model for extreme waves in shallow water, *Nonlinear Processes in Geophysics*, 10, 503–510, 2003.

Postacchini, M., Brocchini, M., and Soldini, L.: Vorticity generation due to cross-sea, *J. of Fluid Mech.*, 744, 286-309, 2014.

Soomere, T.: Interaction of Kadomtsev-Petviashvili solitons with unequal amplitudes, *Physics Letters A*, 332, 1-2, 74–81, 2004.

Soomere, T. and Engelbrecht, J.: Extreme elevations and slopes of interacting solitons in shallow water, *Wave Motion*, 41, 2, 179–192, 2005.

Suh, K. D., Park, W. S., and Park, B. S.: Separation of incident and reflected waves in wave–current flumes, *Coastal Engineering*, 43, 149-159, 2001.

Yoon, S. B. and Liu, P. L.-F.: Stem waves along breakwater, *Journal of waterway, port, coastal, and ocean engineering*, 115, 635-648, 1989.

Yue, D. K. and Mei, C. C.: Forward diffraction of Stokes waves by a thin wedge, *J. of Fluid Mech.*, 99, 33-52, 1980.

15

20

25

30

Table 1 Experimental wave conditions ($h = 0.25$ m).

Test case	Wave period T (s)	Wave height H_0 (m)	Incident angle θ_0 (deg.)	Nonlinearity	
				Wave steepness kH_0	Nonlinear parameter K
MSS1	0.7	0.009	10	0.076	0.088
MSS2			20		0.021
MSS3			30		0.008
MSS4			40		0.004
MSM1		0.027	10	0.229	0.793
MSM2			20		0.186
MSM3			30		0.074
MSM4			40		0.035
MSL1		0.036	10	0.305	1.411
MSL2			20		0.331
MSL3			30		0.132
MSL4			40		0.062
MLS1	1.1	0.018	10	0.076	0.123
MLS2			20		0.029
MLS3			30		0.011
MLS4			40		0.005
MLM1		0.054	10	0.228	1.108
MLM2			20		0.260
MLM3			30		0.103
MLM4			40		0.049
MLL1		0.072	10	0.304	1.969
MLL2			20		0.462
MLL3			30		0.184
MLL4			40		0.087

Table 2 Measuring points in hydraulic experiments.

Wave period (<i>T</i>)	<i>x</i> -dir. (along the wall)	<i>y</i> -dir. (normal to the wall)	
		at <i>x</i> / <i>L</i> = 6	at <i>x</i> / <i>L</i> = 15
0.7 s	<i>x</i> = 0.0 m~11.4 m ($\Delta x = 0.2$ m)	<i>y</i> = 0.1 m~3.7 m ($\Delta y = 0.1$ m)	
1.1 s	<i>x</i> = 0.0 m~22.8 m ($\Delta x = 0.4$ m)	<i>y</i> = 0.2 m~7.3 m ($\Delta y = 0.2$ m)	

5

10

15

20

25

30



Photo 1. Experimental facility and wave gauge array.



Photo 2. Wave pattern in front of a vertical wall ($\theta_0 = 30^\circ$).

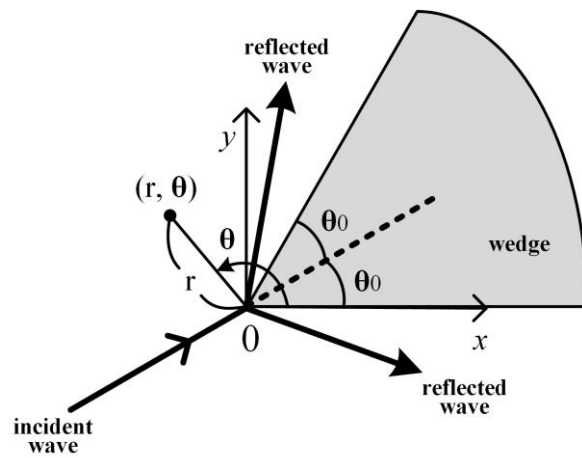


Figure 1. Definition sketch of wave field around a vertical wedge.

5

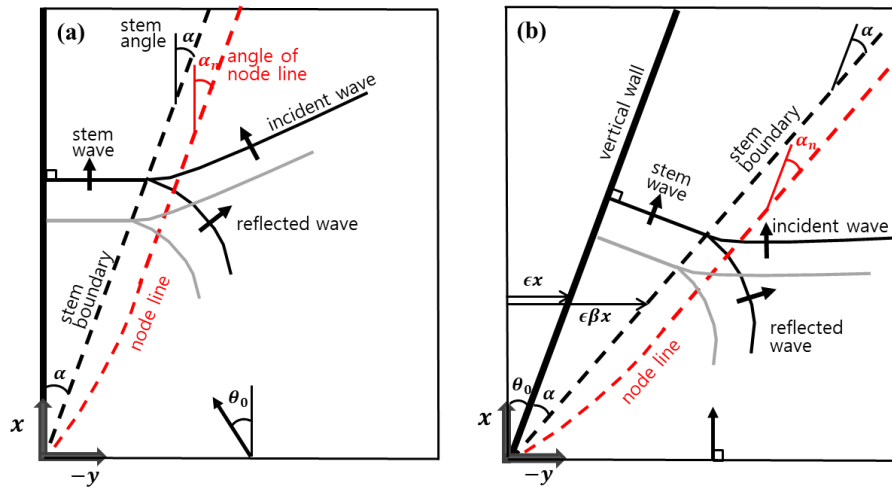


Figure 2. Coordinate system for numerical simulations: (a) present, (b) Yue & Mei (1980).

10

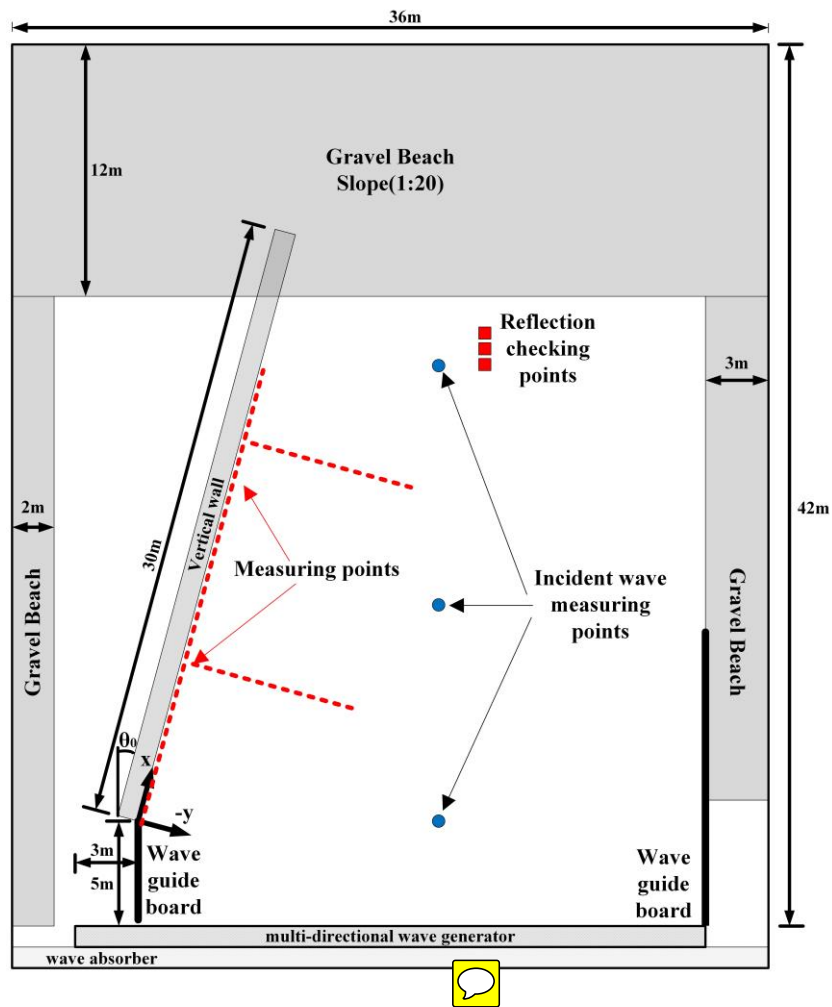


Figure 3. Definition sketch of experimental setup.

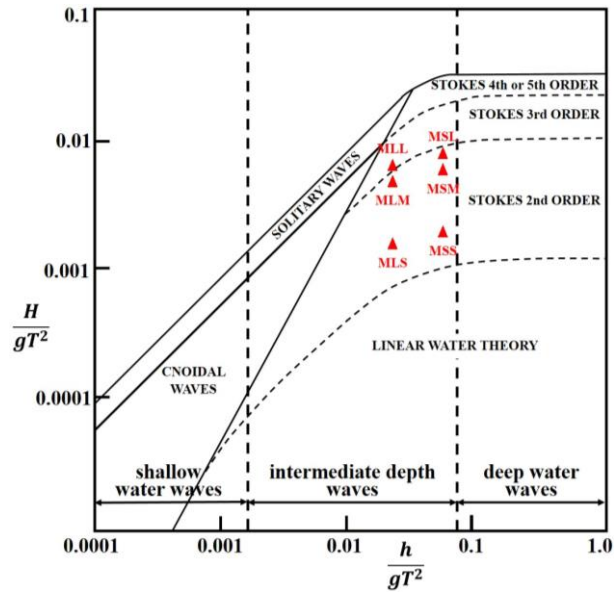


Figure 4. Wave conditions of the incident waves used in the present experiment (after Le Méhauté, 1976).

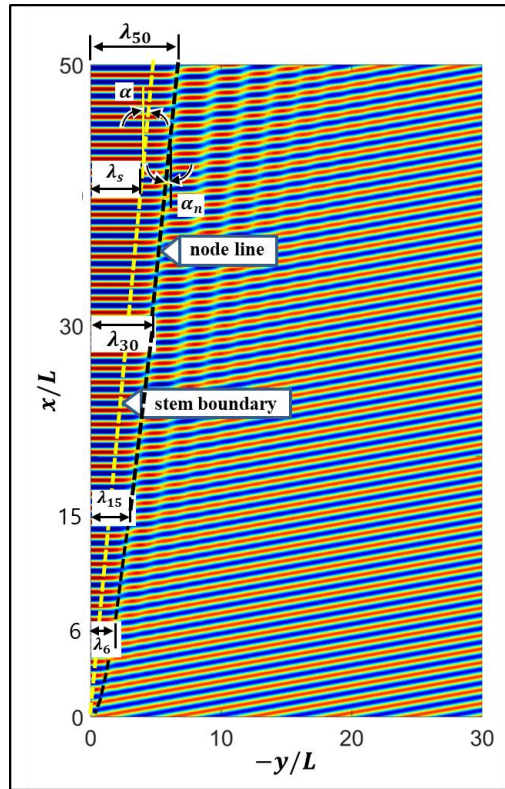


Figure 5. Definition sketch for the stem angle and the stem boundary.

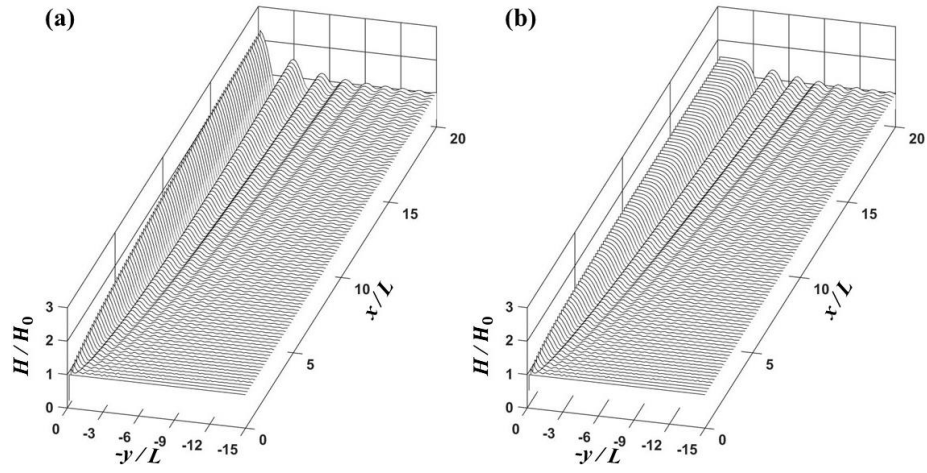


Figure 6. Three-dimensional plots of normalized wave height for (a) MLS1 and (b) MLL1 cases.



5

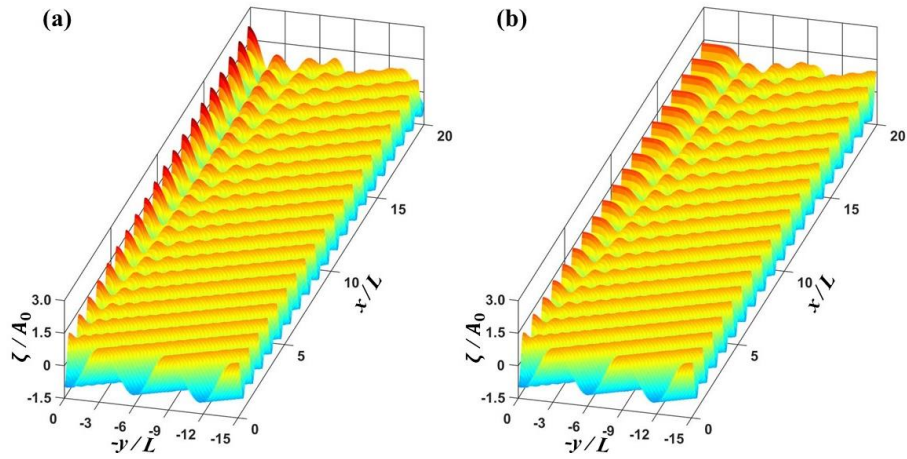


Figure 7. Three-dimensional plots of normalized free surface displacements (a) MLS1 and (b) MLL1 cases.



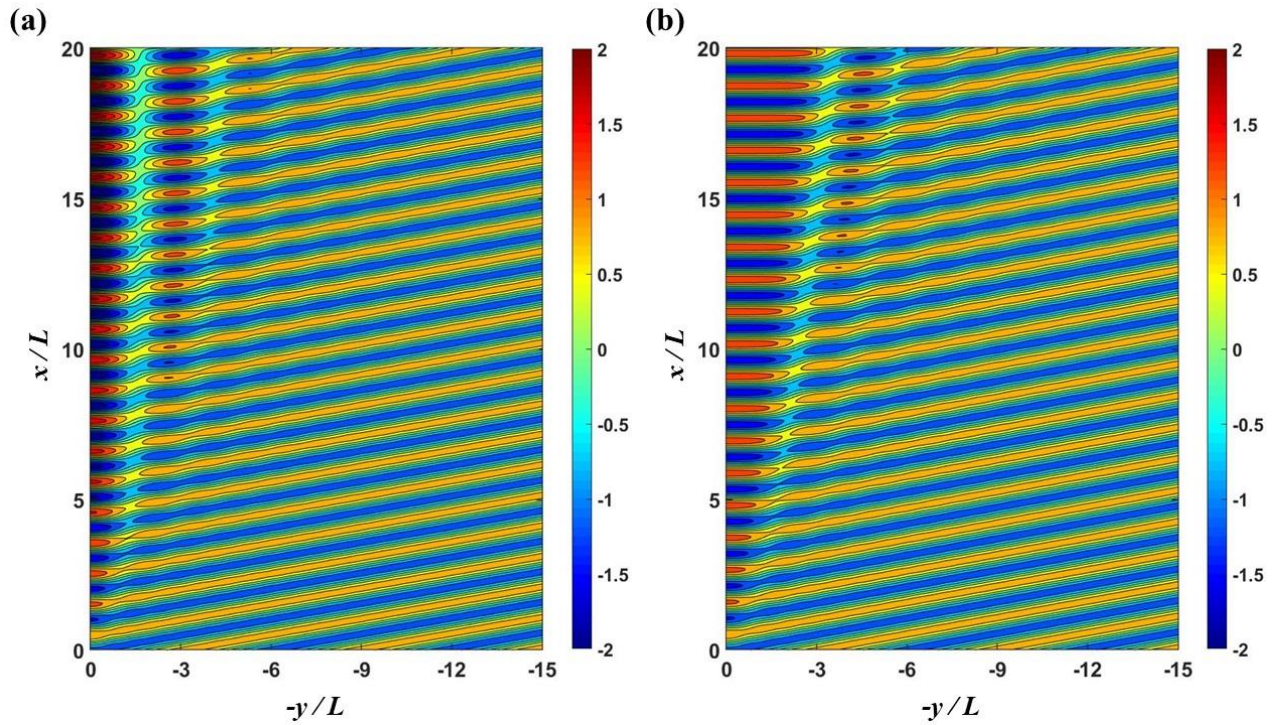


Figure 8. Contour plots of the instantaneous normalized free surface for (a) MLS1 and (b) MLL1 cases.

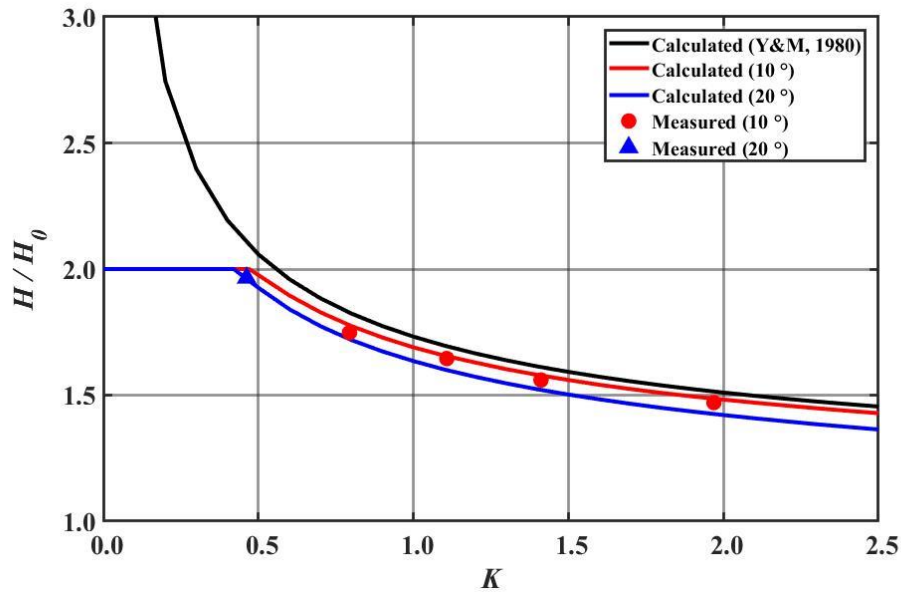


Figure 9. Comparison of calculated and measured normalized wave heights along the wall as a function of nonlinear parameter K . Black solid curve represents the wave height predicted by shock theory of Yue and Mei (1980), red and blue solid curves denote the calculated wave heights for $\theta_0 = 10^\circ$ and 20° , respectively. Symbols are measured data.

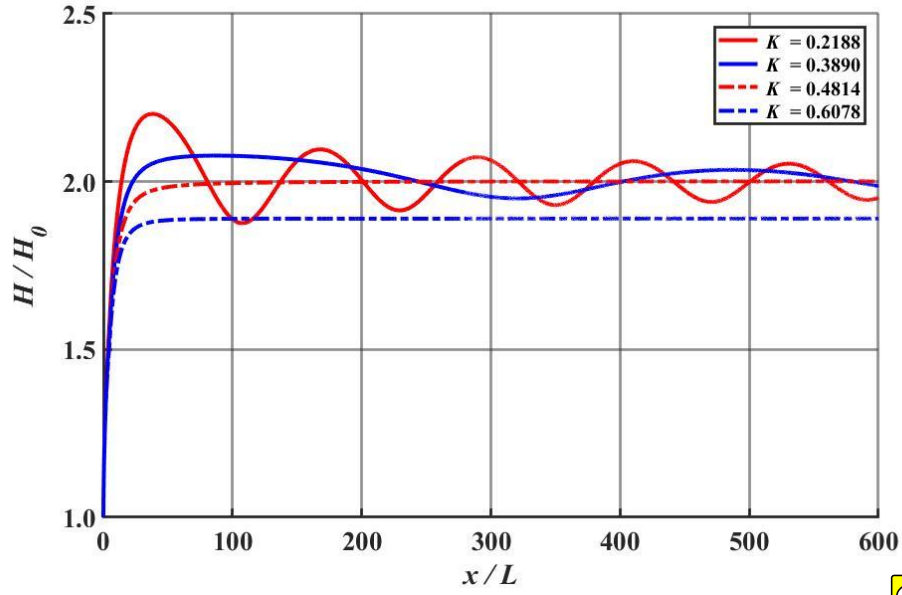
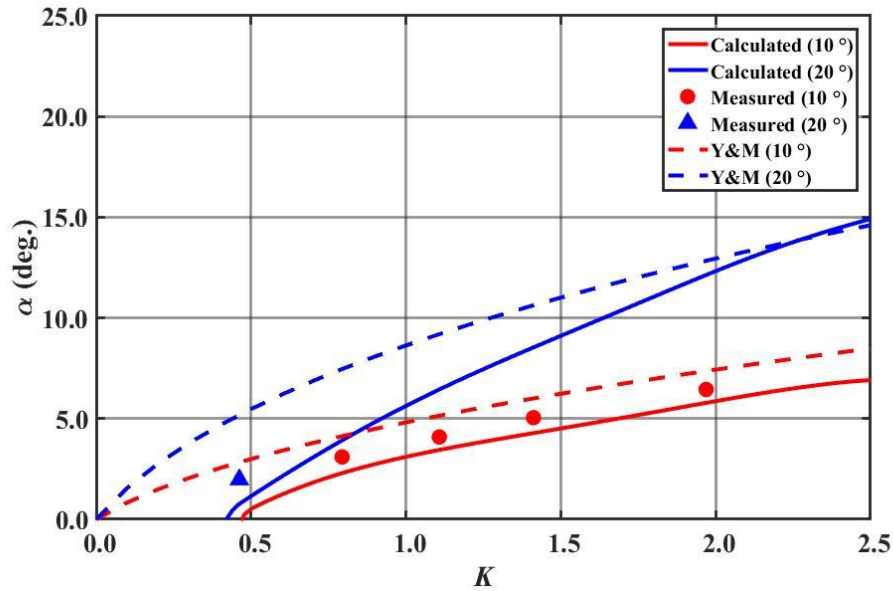


Figure 10. Comparison of calculated normalized wave heights along the wall for various nonlinear parameter K ($\theta_0 = 10^\circ$).



5 Figure 11. Comparison of calculated and measured stem angle α as a function of nonlinear parameter K . Dashed curves represent the calculated values using Yue and Mei (1980), solid curves are the calculated values using Eq. (12), symbols are measured data. Red and blue colors are for $\theta_0 = 10^\circ$ and 20° , respectively.

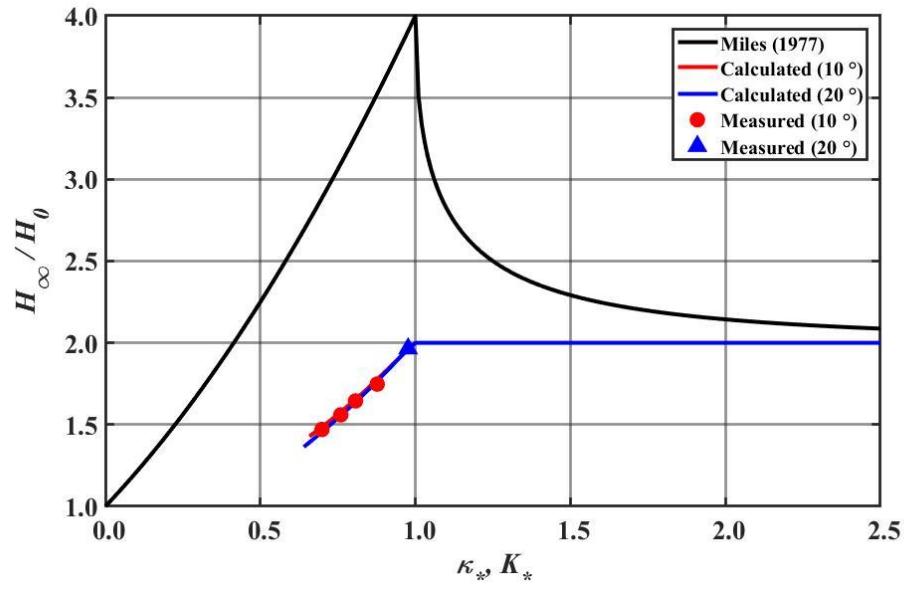


Figure 12. Comparison of amplification ratios, H_∞/H_0 , as a function of nonlinear parameter κ_* for solitary waves and K_* for Stokes waves. Black solid curve represents the Miles' solution for solitary waves, red and blue solid curves denote the calculated values for Stokes waves for $\theta_0 = 10^\circ$ and 20° , respectively. Symbols are measured data for Stokes waves.

5

10

15

Appendix

All the figures for the experimental and calculated data are presented in this Appendix.

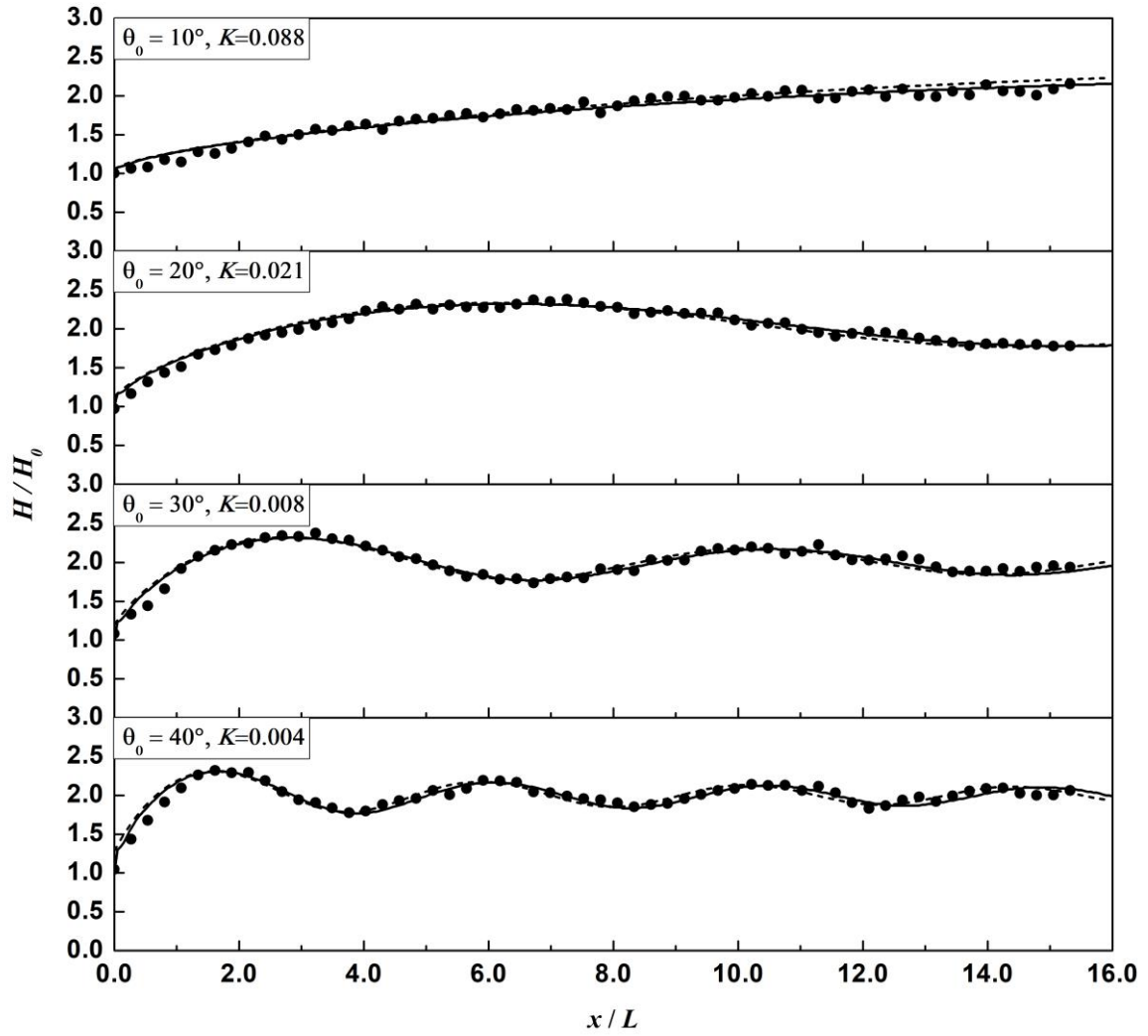


Figure A1. Normalized wave heights along the wall for the cases of MSS1 ~ MSS4. Solid circle: measured, solid line: present numerical, dashed line: analytical (Chen, 1987).

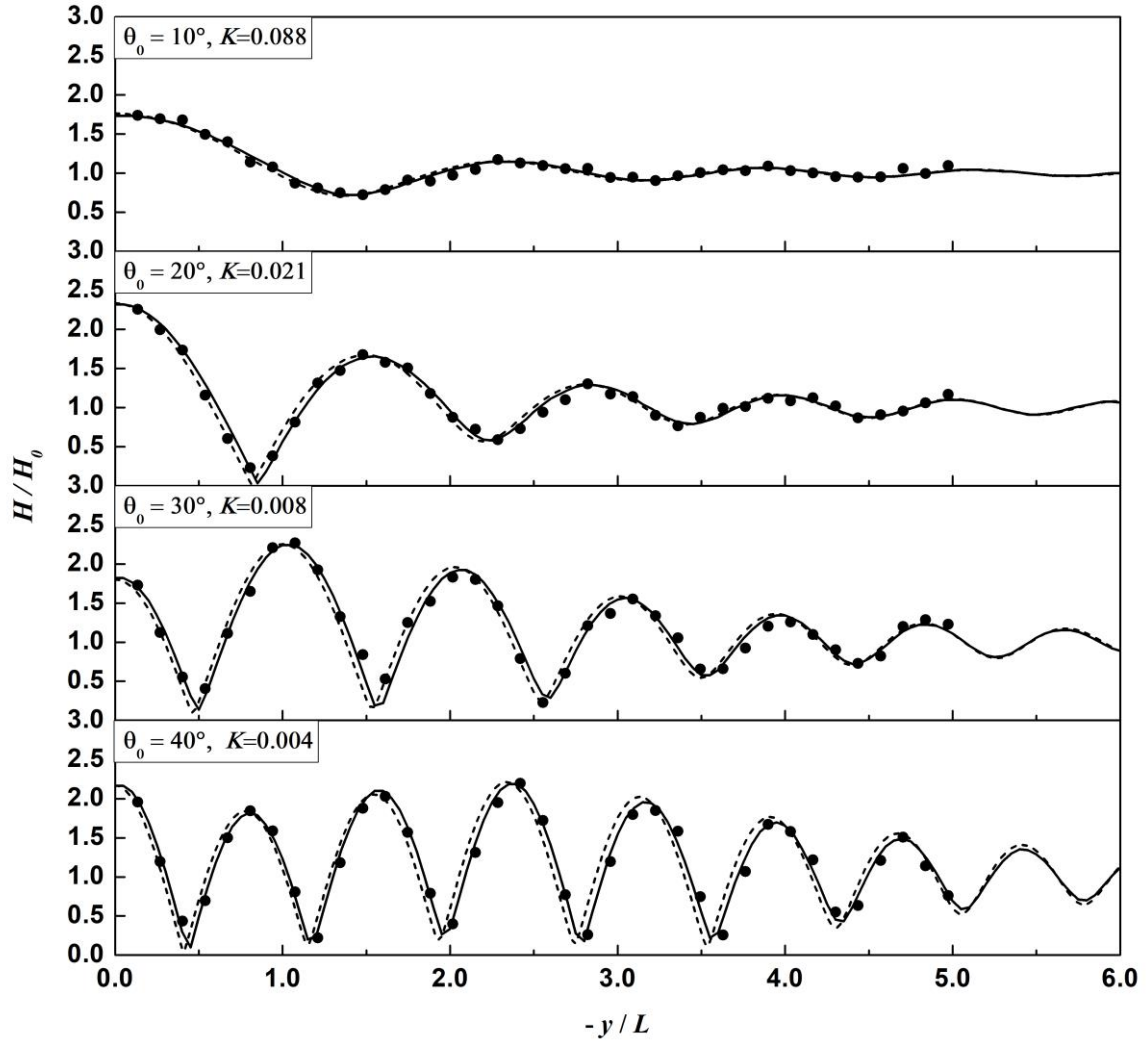


Figure A2. Normalized wave heights normal to the wall at $x = 6L$ for the cases of MSS1 ~ MSS4. Solid circle: measured, solid line: present numerical, dashed line: analytical (Chen, 1987).

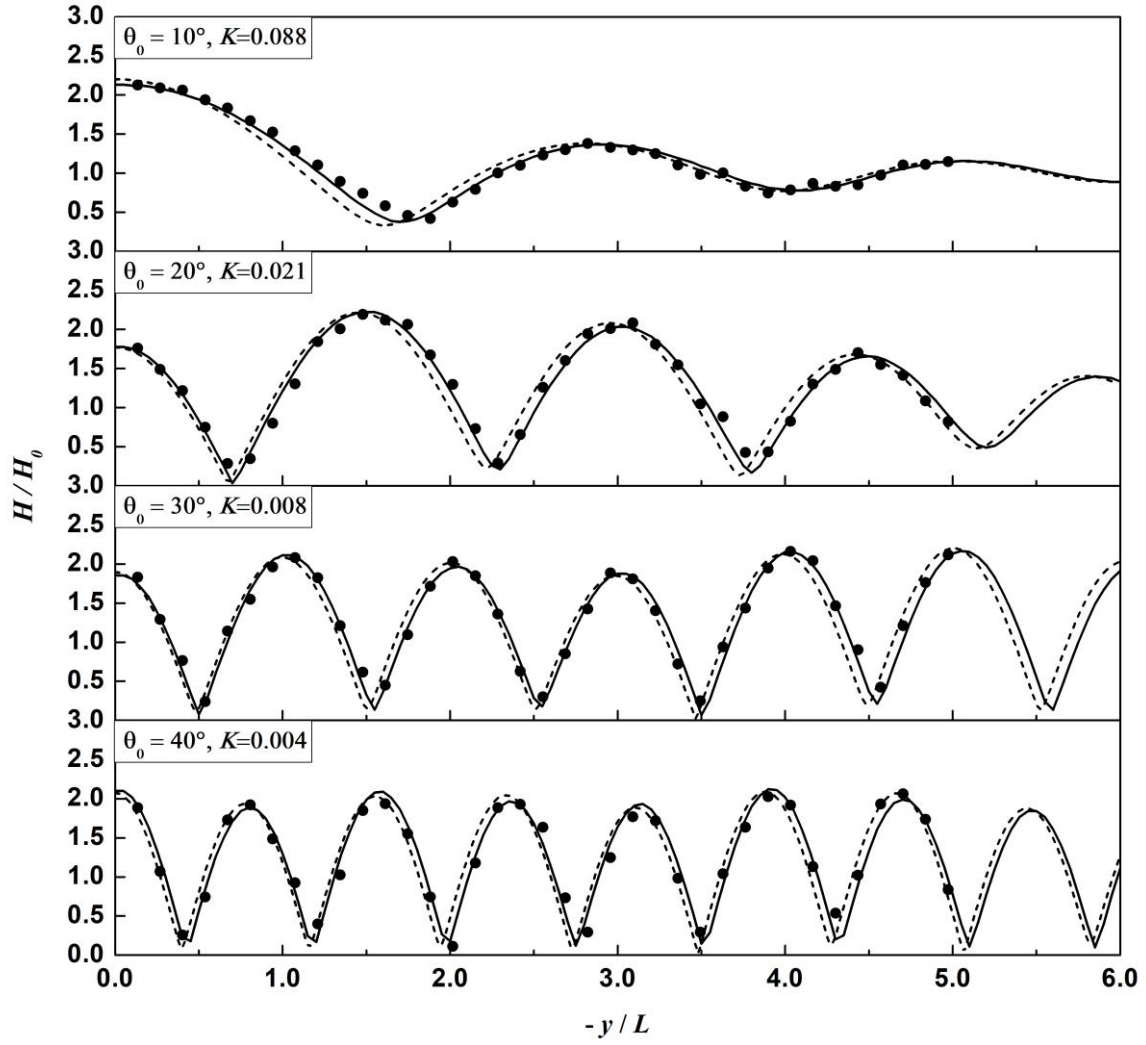


Figure A3. Normalized wave heights normal to the wall at $x = 15L$ for the cases of MSS1 ~ MSS4. Solid circle: measured, solid line: present numerical, dashed line: analytical (Chen, 1987).

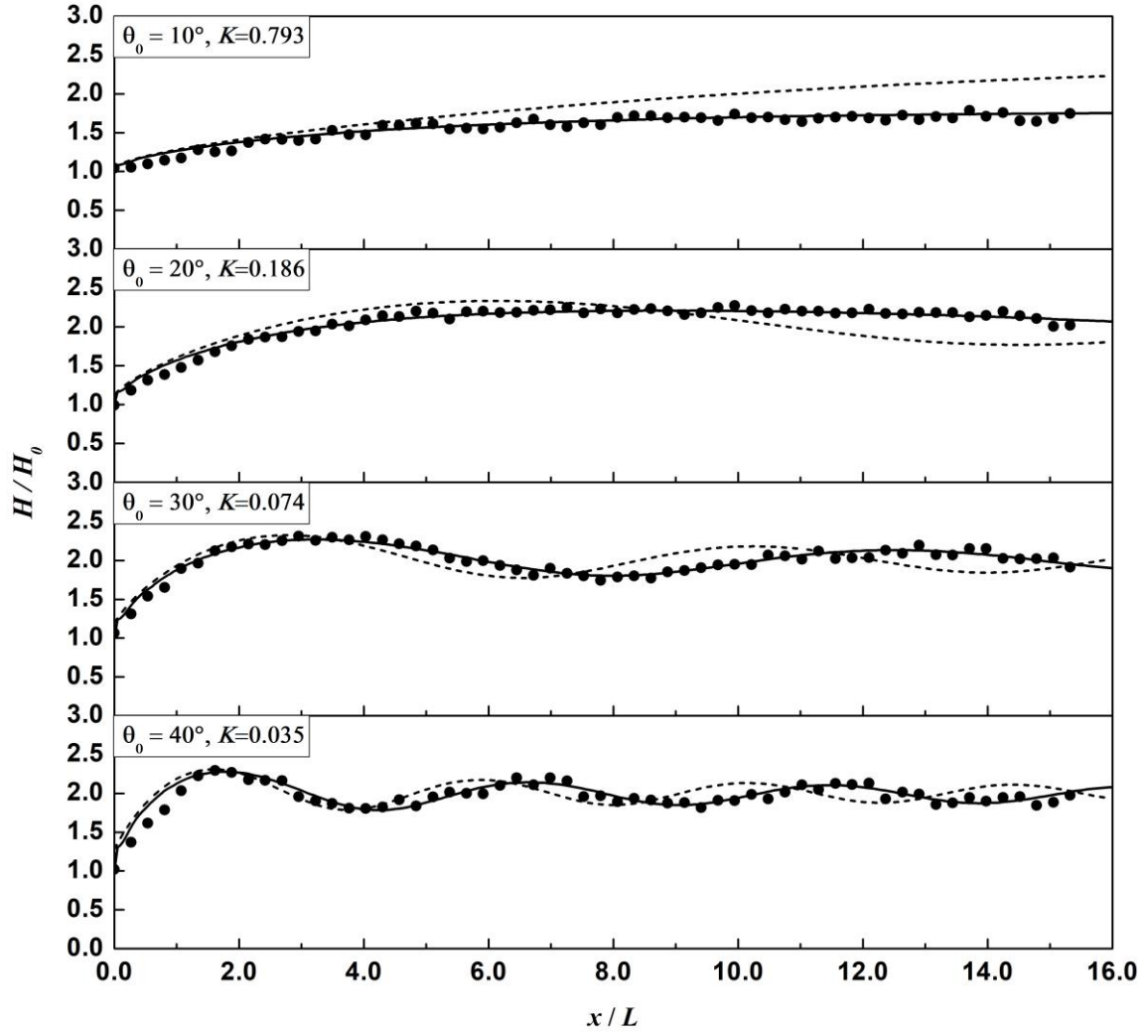


Figure A4. Normalized wave heights along the wall for the cases of MSM1 ~ MSM4. Solid circle: measured, solid line: present numerical, dashed line: analytical (Chen, 1987).

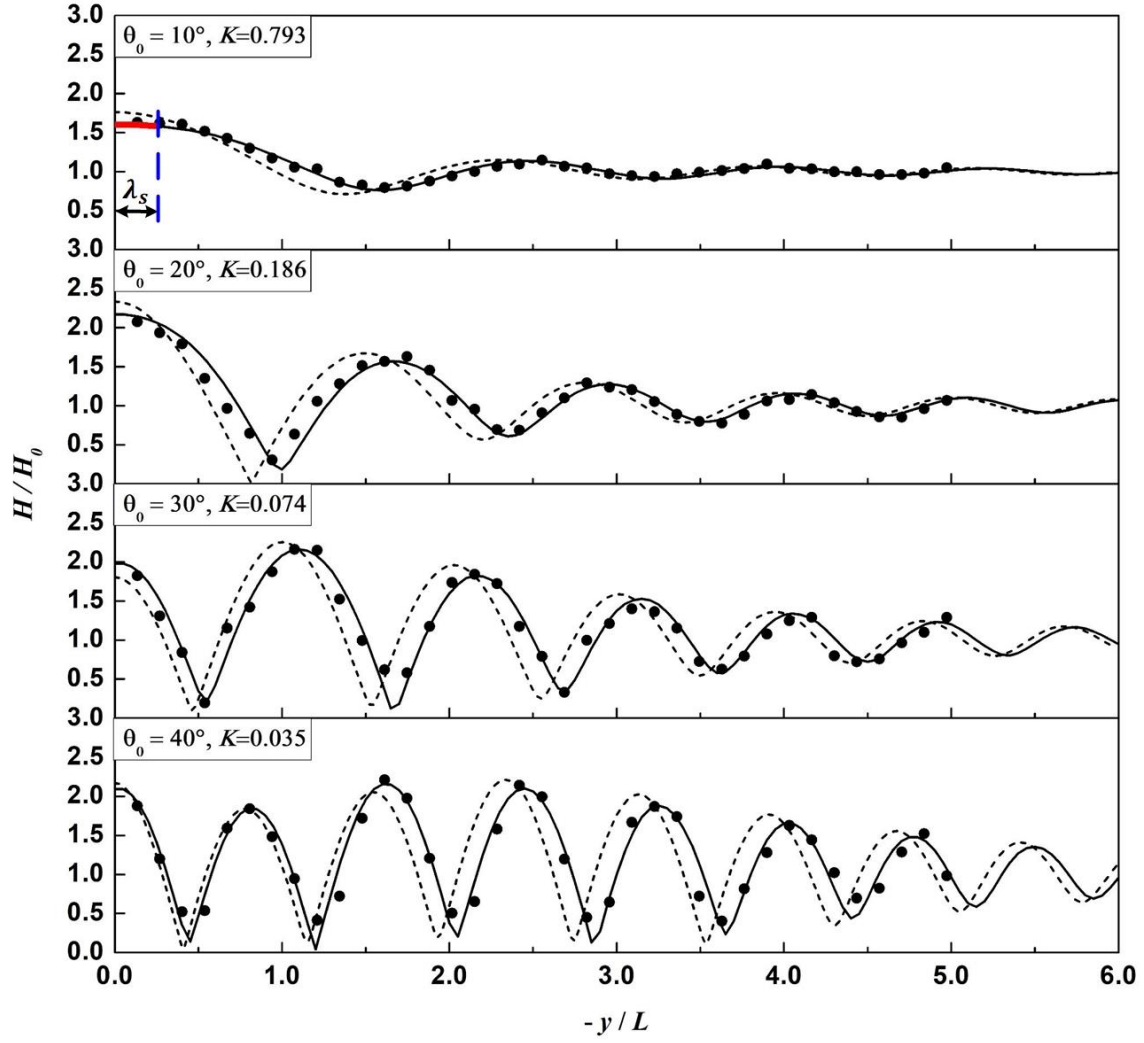


Figure A5. Normalized wave heights normal to the wall at $x = 6L$ for the cases of MSM1 ~ MSM4. Solid circle: measured, solid line: present numerical, dashed line: analytical (Chen, 1987). The red line represents the stem waves. The stem width λ_s is determined using Eq. (13).

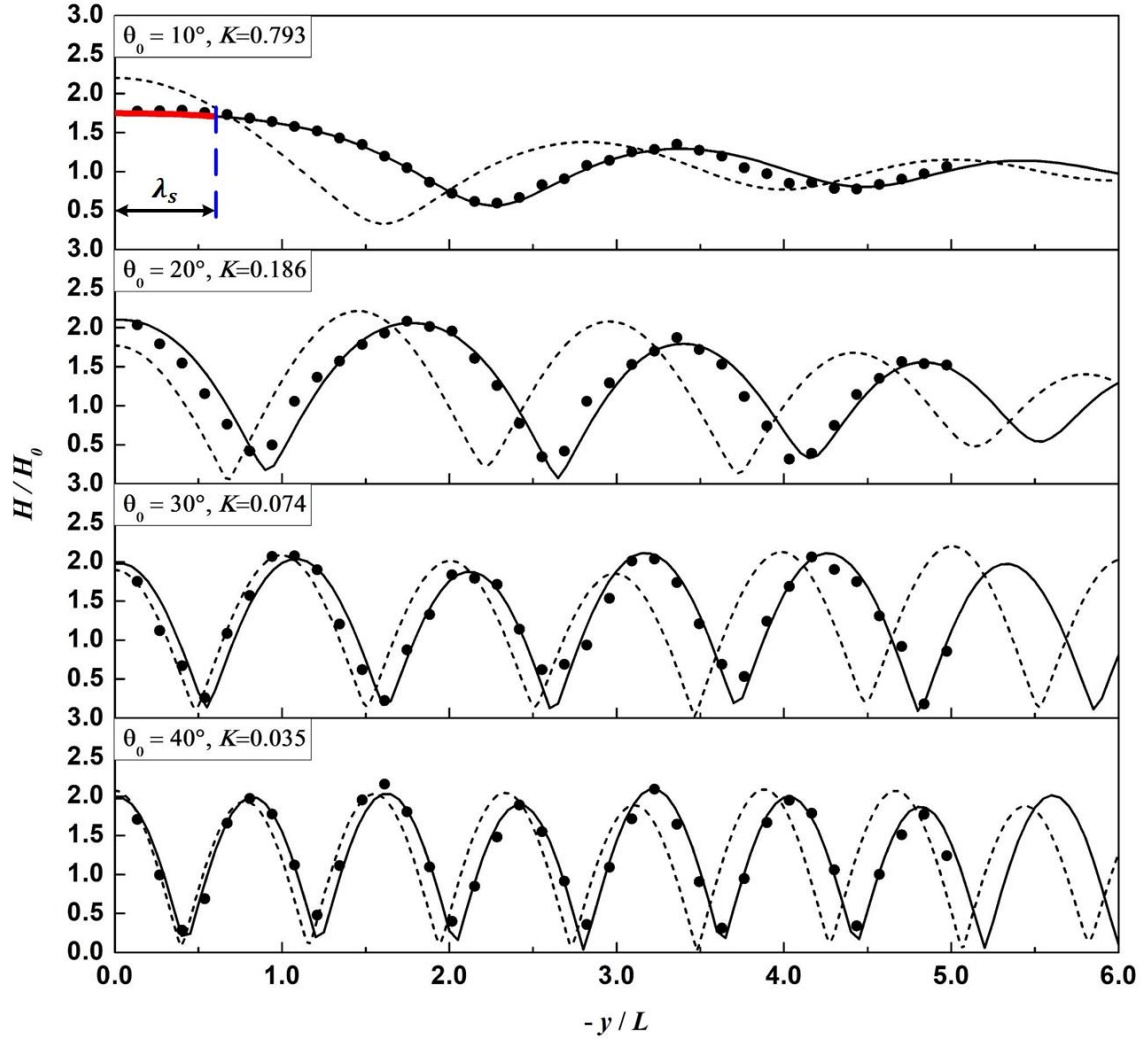


Figure A6. Normalized wave heights normal to the wall at $x = 15L$ for the cases of MSM1 ~ MSM4. Solid circle: measured, solid line: present numerical, dashed line: analytical (Chen, 1987). The red line represents the stem waves. The stem width λ_s is determined using Eq. (13).

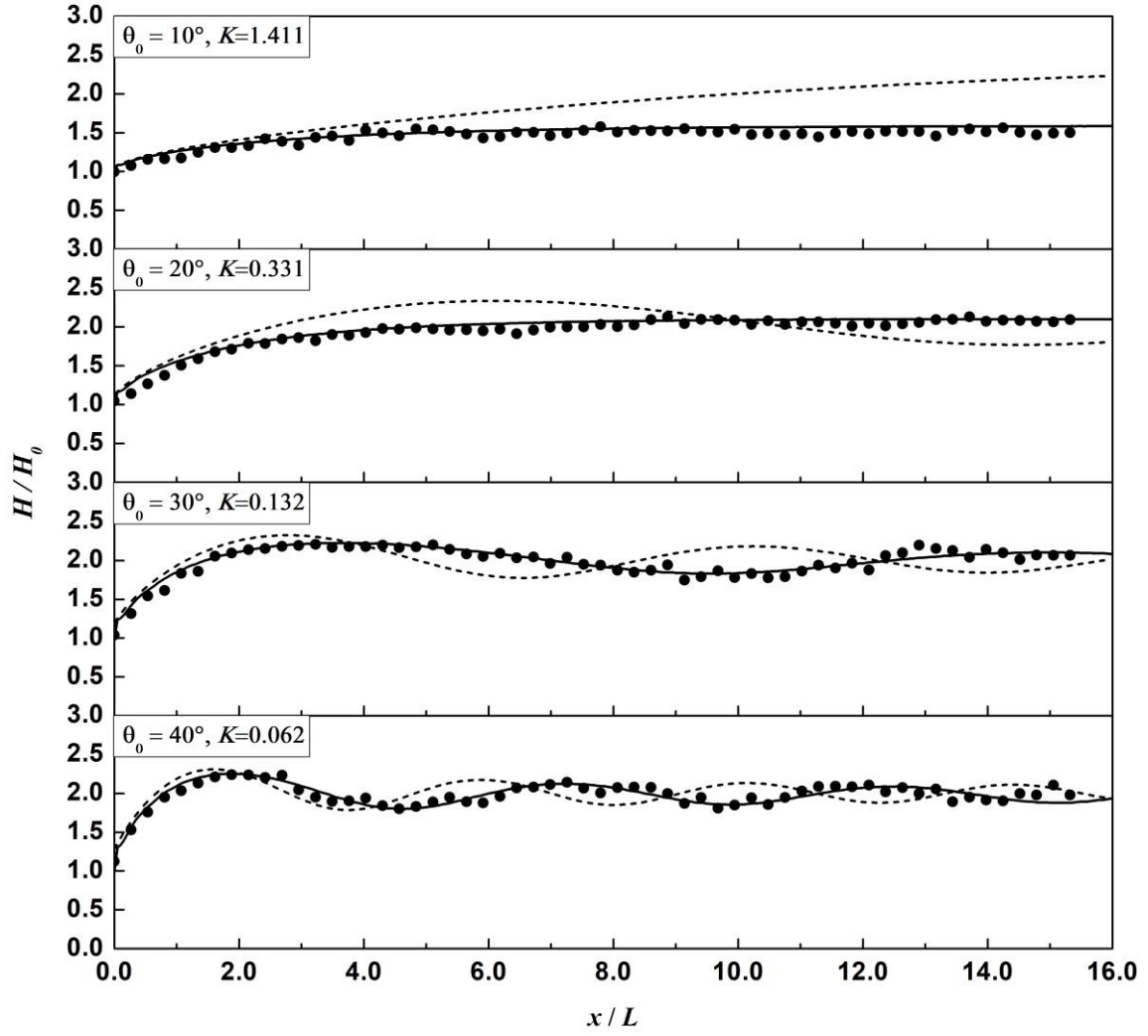


Figure A7. Normalized wave heights along the wall for the cases of MSL1 ~ MSL4. Solid circle: measured, solid line: present numerical, dashed line: analytical (Chen, 1987).

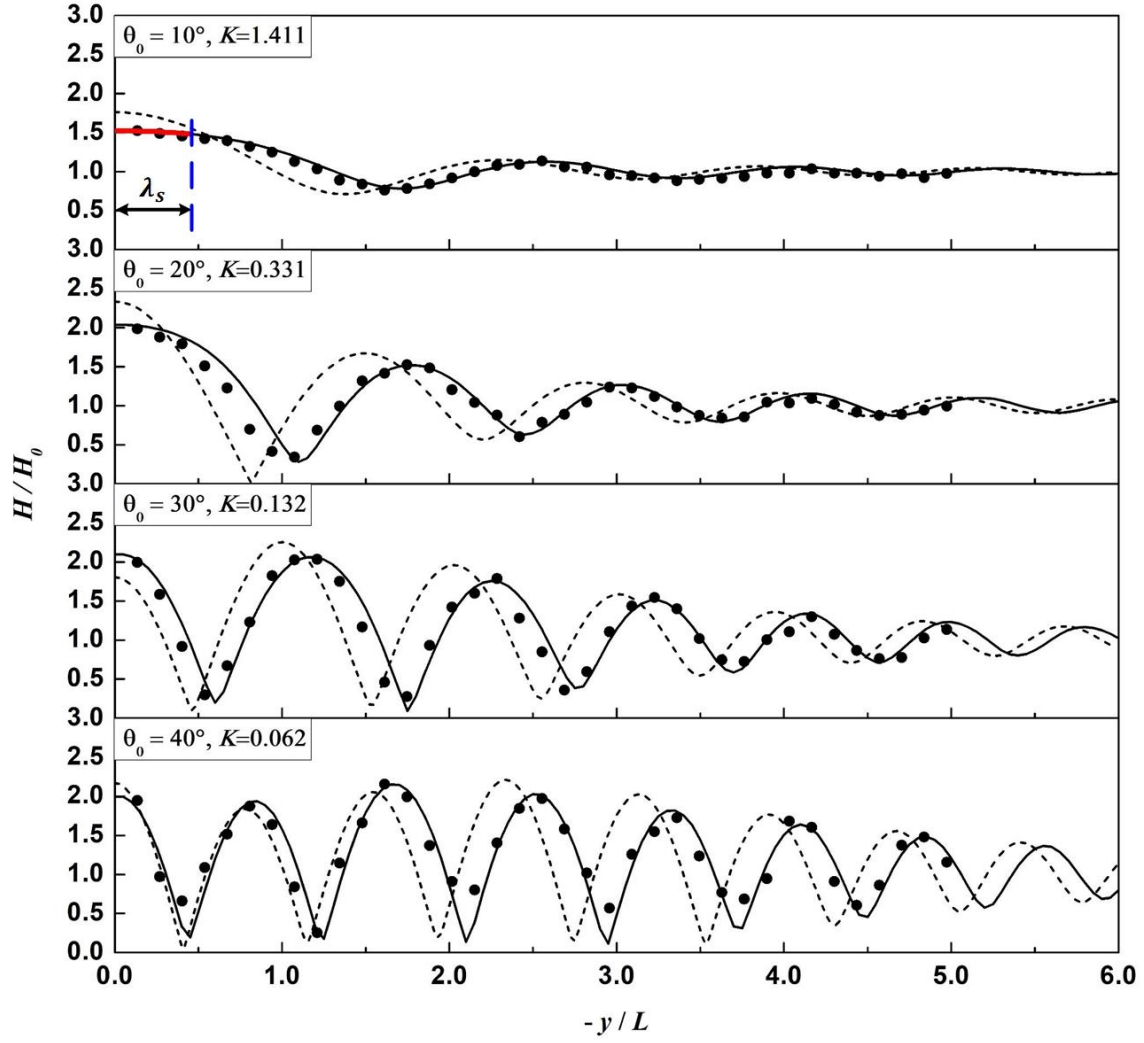


Figure A8. Normalized wave heights normal to the wall at $x = 6L$ for the cases of MSL1 ~ MSL4. Solid circle: measured, solid line: present numerical, dashed line: analytical (Chen, 1987). The red line represents the stem waves. The stem width λ_s is determined using Eq. (13).

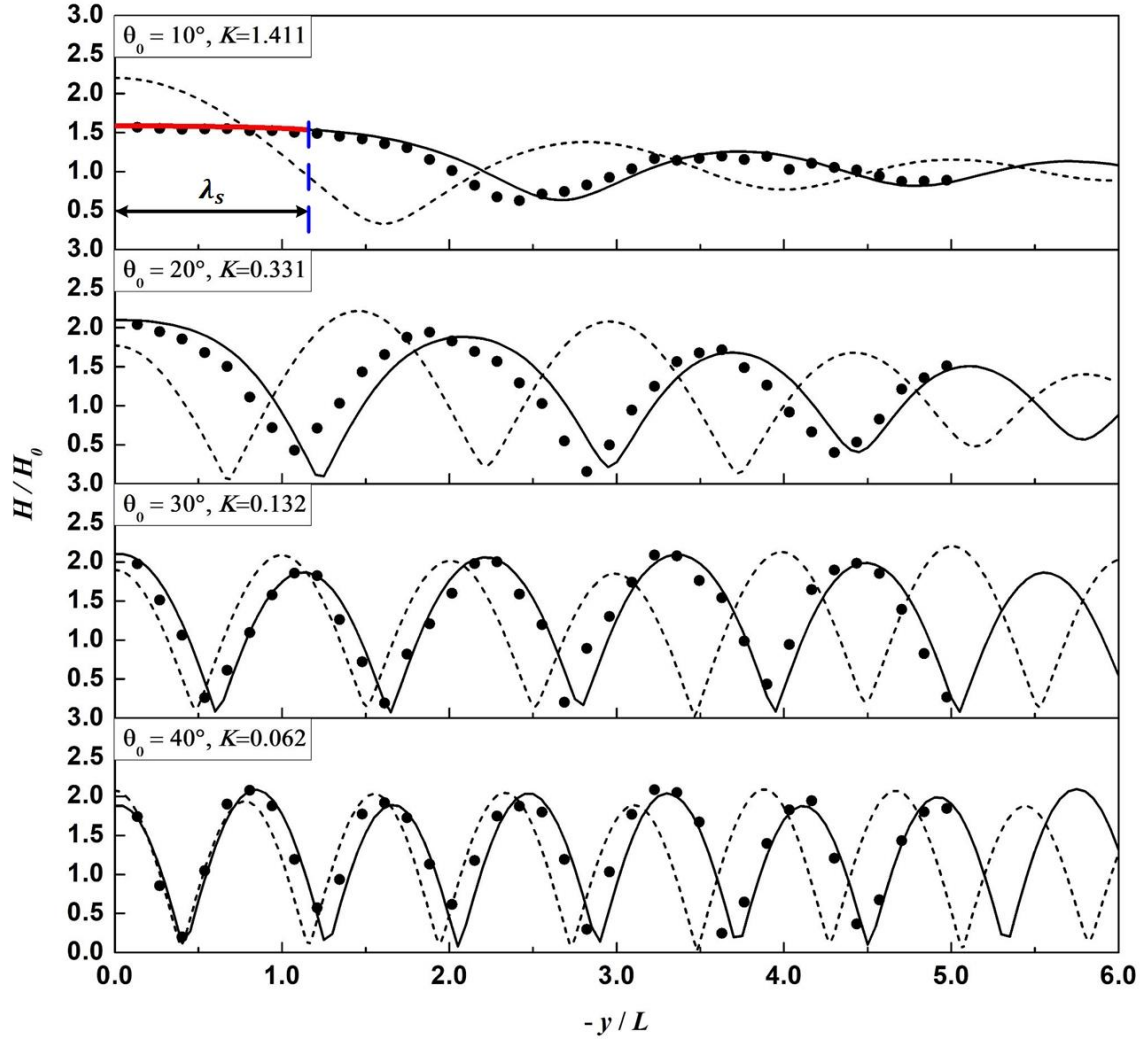


Figure A9. Normalized wave heights normal to the wall at $x = 15L$ for the cases of MSL1 ~ MSL4. Solid circle: measured, solid line: present numerical, dashed line: analytical (Chen, 1987). The red line represents the stem waves. The stem width λ_s is determined using Eq. (13).

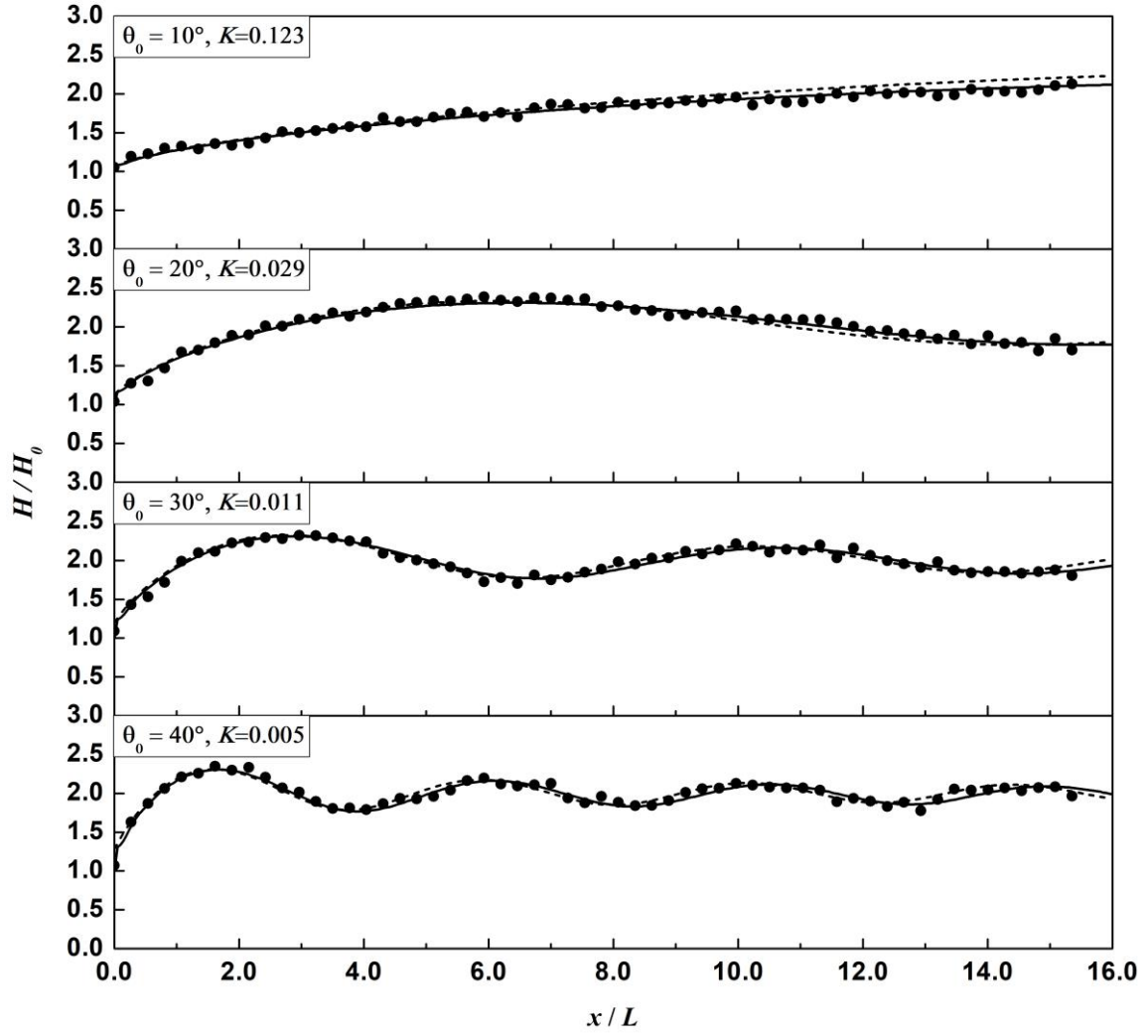


Figure A10. Normalized wave heights along the wall for the cases of MLS1 ~ MLS4. Solid circle: measured, solid line: present numerical, dashed line: analytical (Chen, 1987).

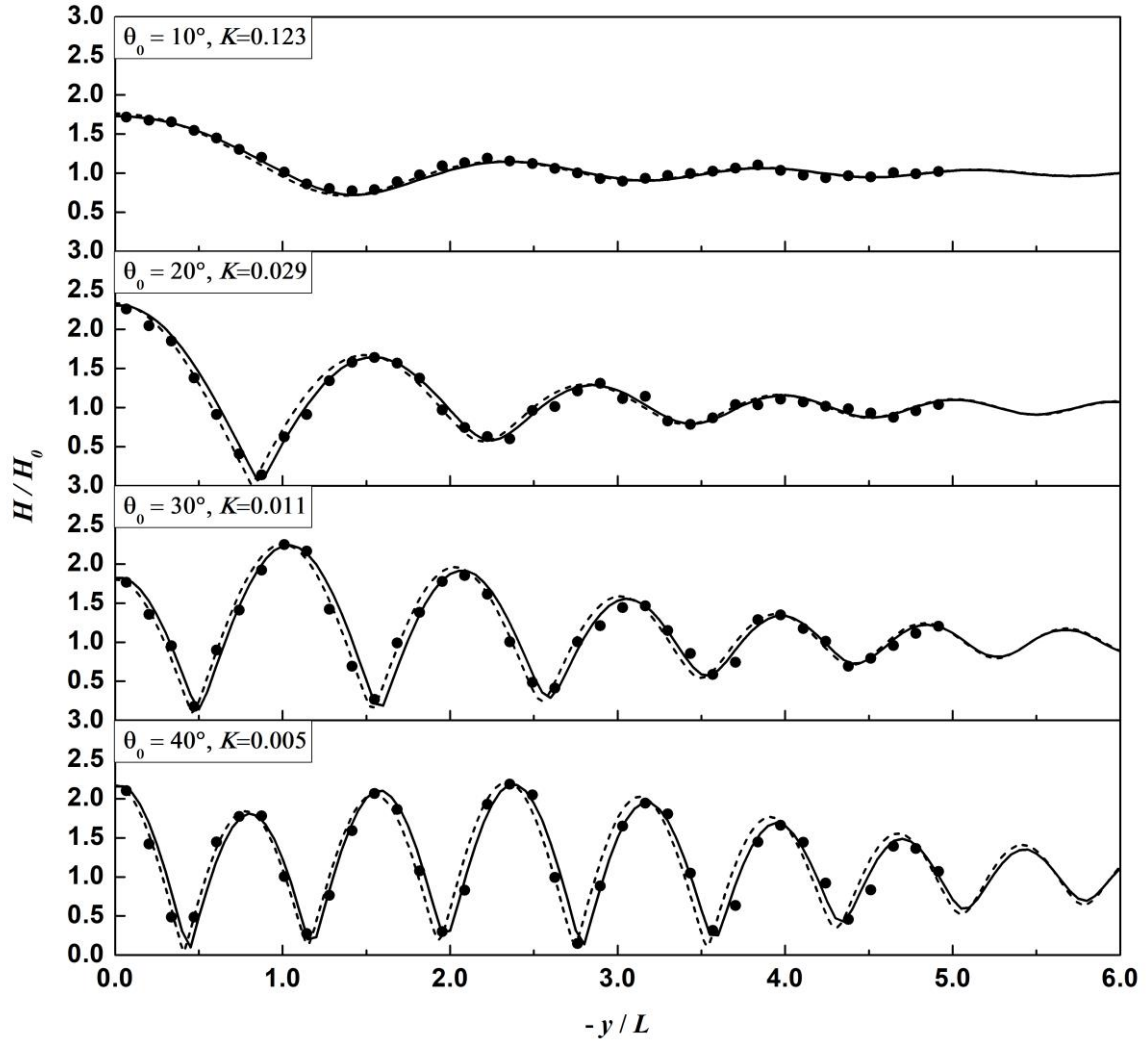


Figure A11. Normalized wave heights normal to the wall at $x = 6L$ for the cases of MLS1 ~ MLS4. Solid circle: measured, solid line: present numerical, dashed line: analytical (Chen, 1987).

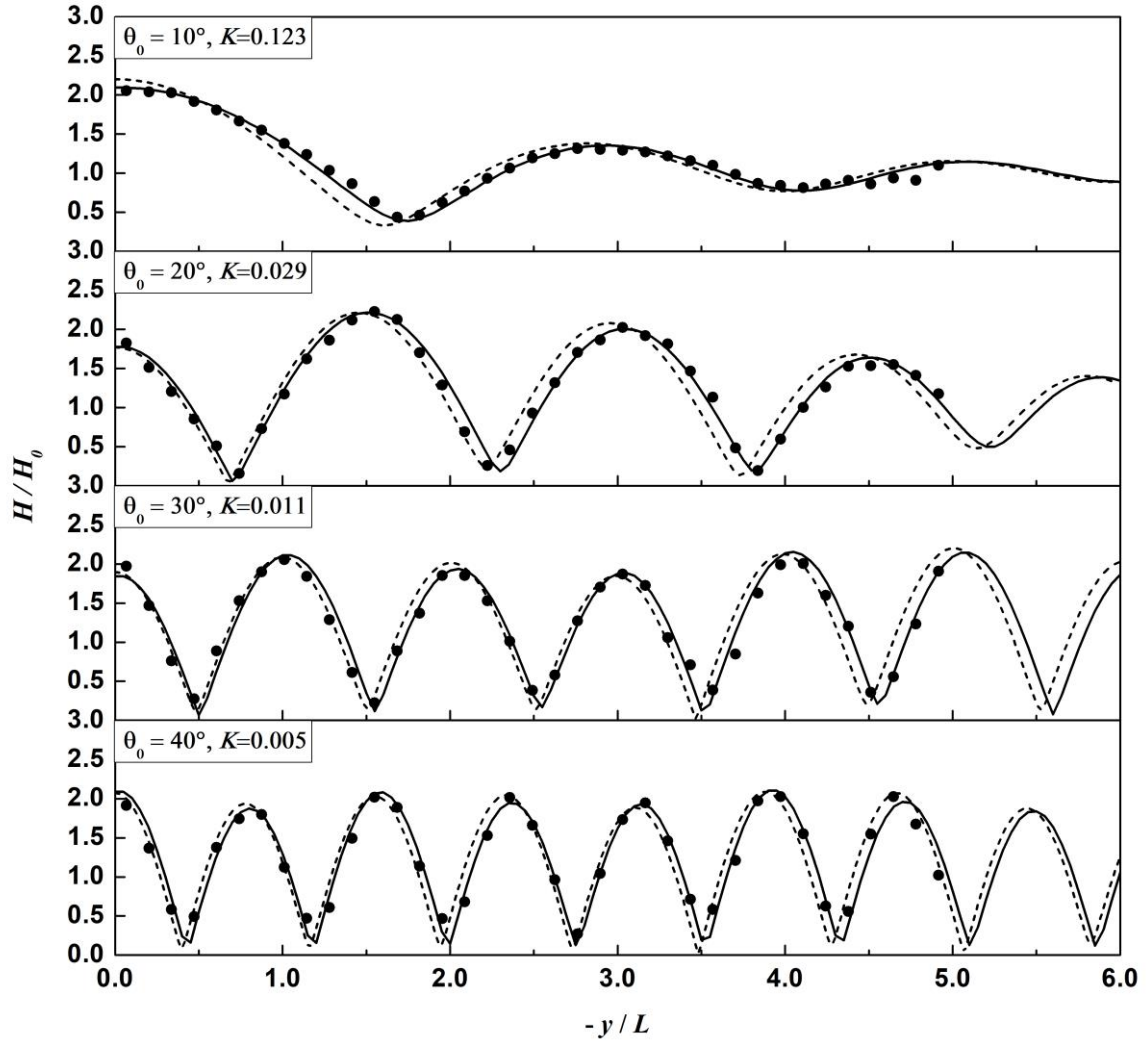


Figure A12. Normalized wave heights normal to the wall at $x = 15L$ for the cases of MLS1 ~ MLS4. Solid circle: measured, solid line: present numerical, dashed line: analytical (Chen, 1987).

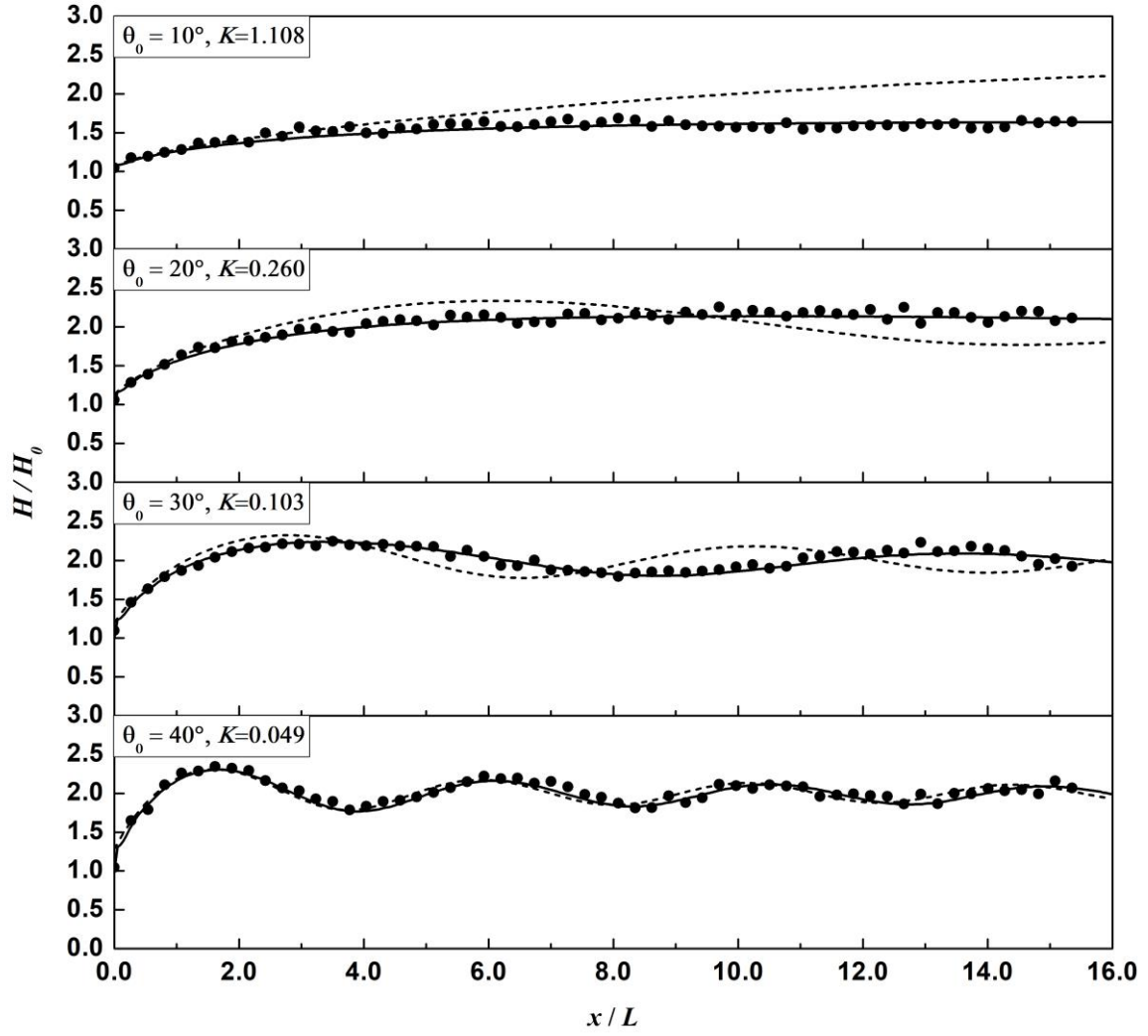


Figure A13. Normalized wave heights along the wall for the cases of MLM1 ~ MLM4. Solid circle: measured, solid line: present numerical, dashed line: analytical (Chen, 1987).

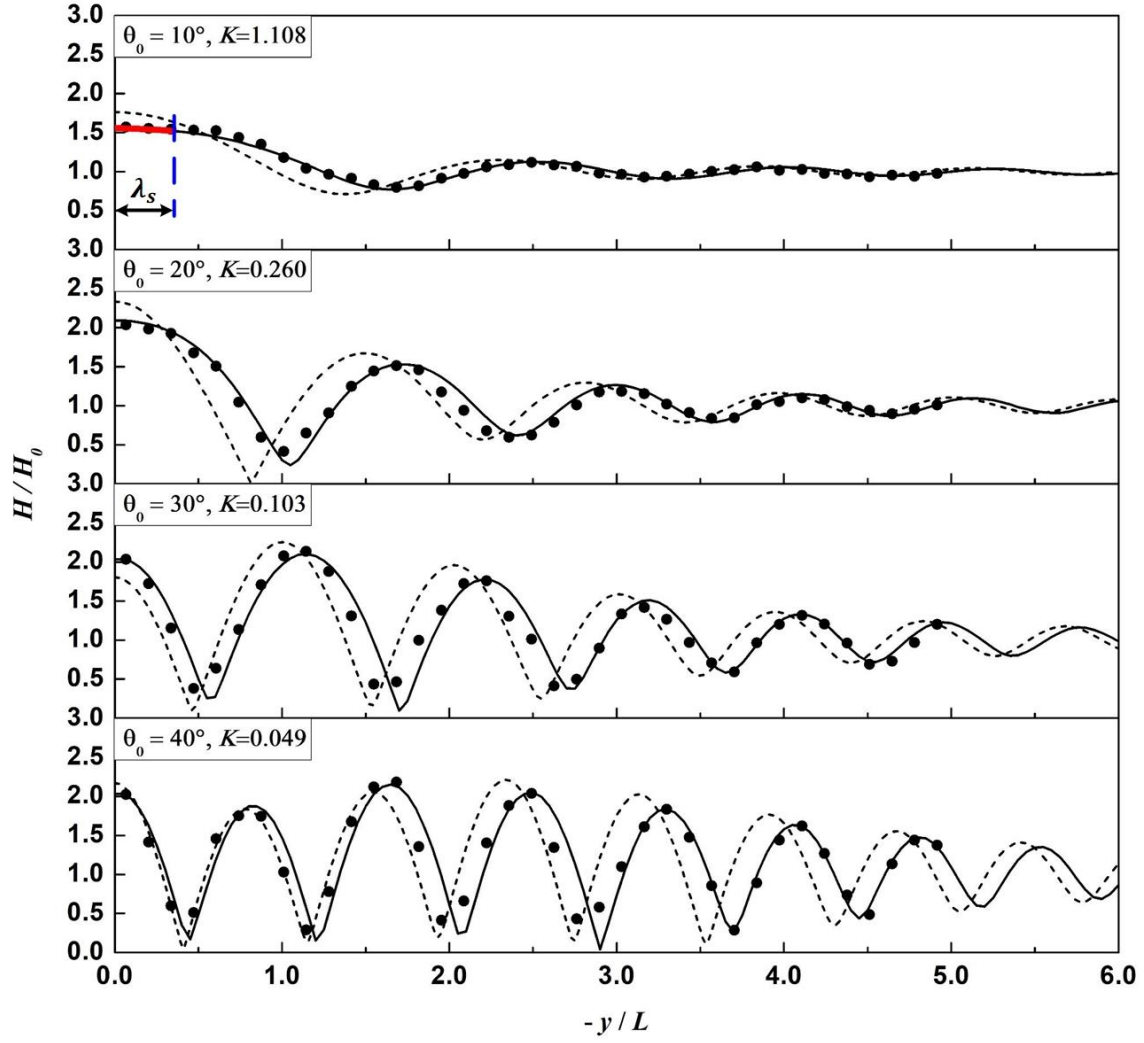


Figure A14. Normalized wave heights normal to the wall at $x = 6L$ for the cases of MLM1 ~ MLM4. Solid circle: measured, solid line: present numerical, dashed line: analytical (Chen, 1987). The red line represents the stem waves. The stem width λ_s is determined using Eq. (13).

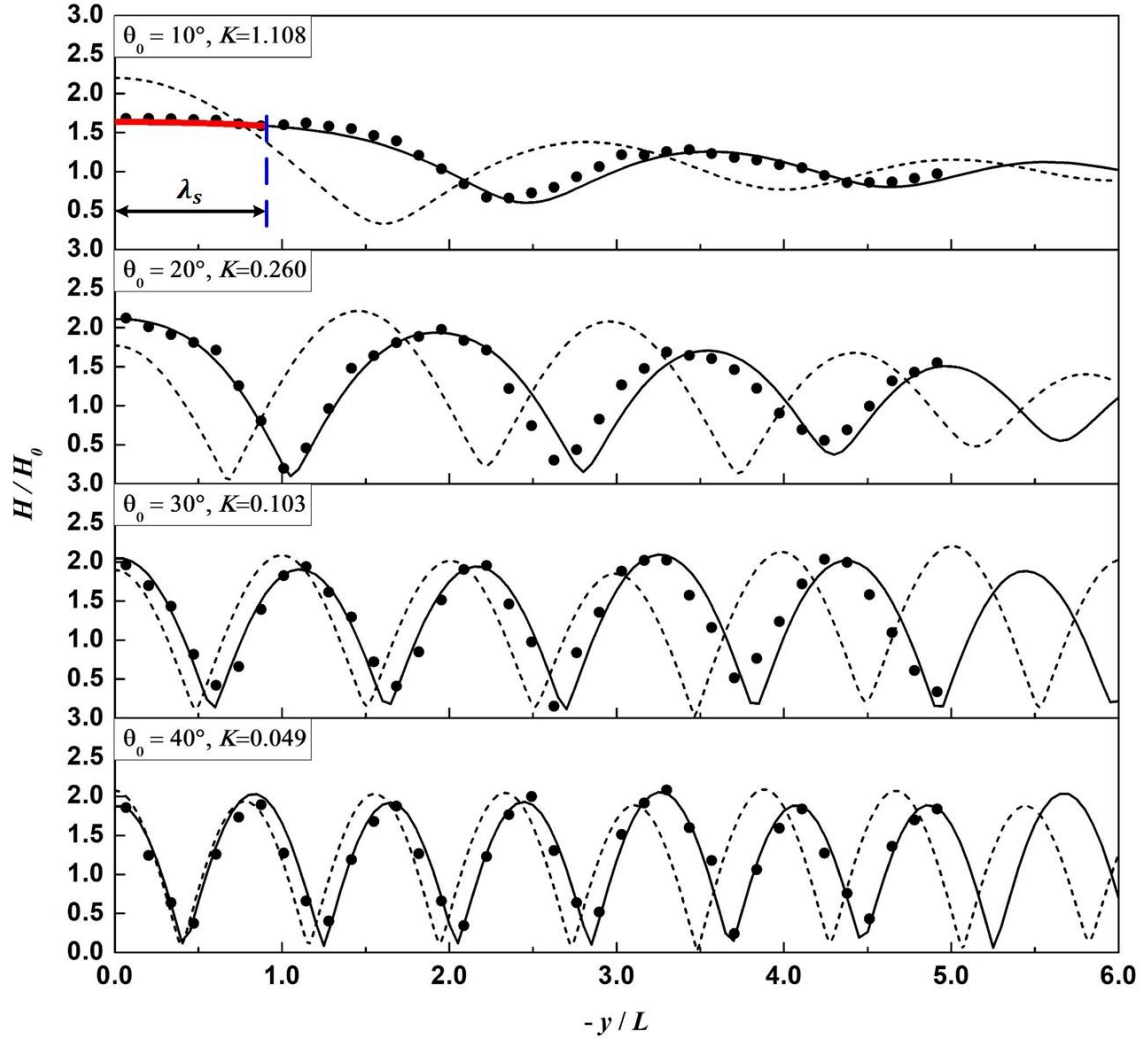


Figure A15. Normalized wave heights normal to the wall at $x = 15L$ for the cases of MLM1 ~ MLM4. Solid circle: measured, solid line: present numerical, dashed line: analytical (Chen, 1987). The red line represents the stem waves. The stem width λ_s is determined using Eq. (13).

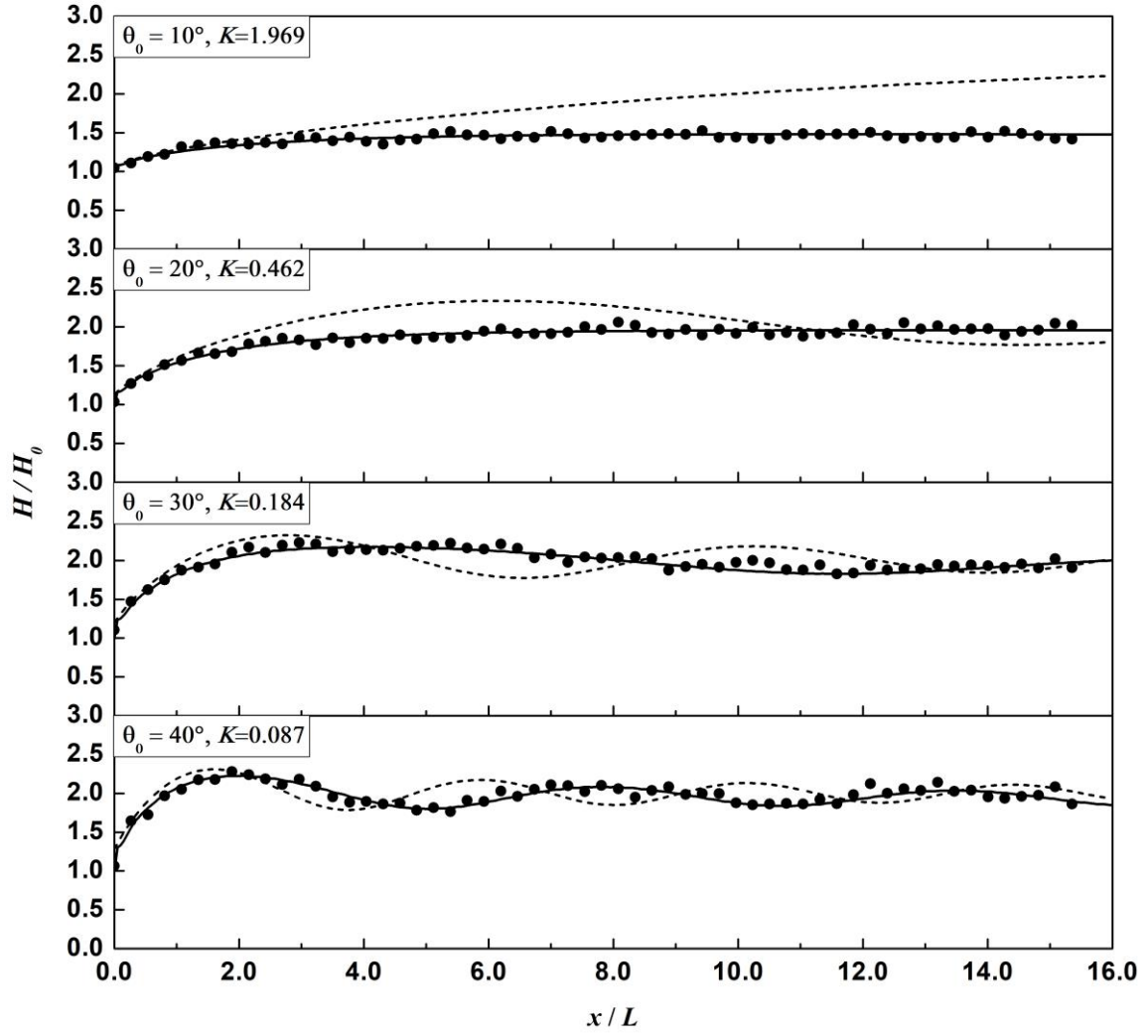


Figure A16. Normalized wave heights along the wall for the cases of MLL1 ~ MLL4. Solid circle: measured, solid line: present numerical, dashed line: analytical (Chen, 1987).

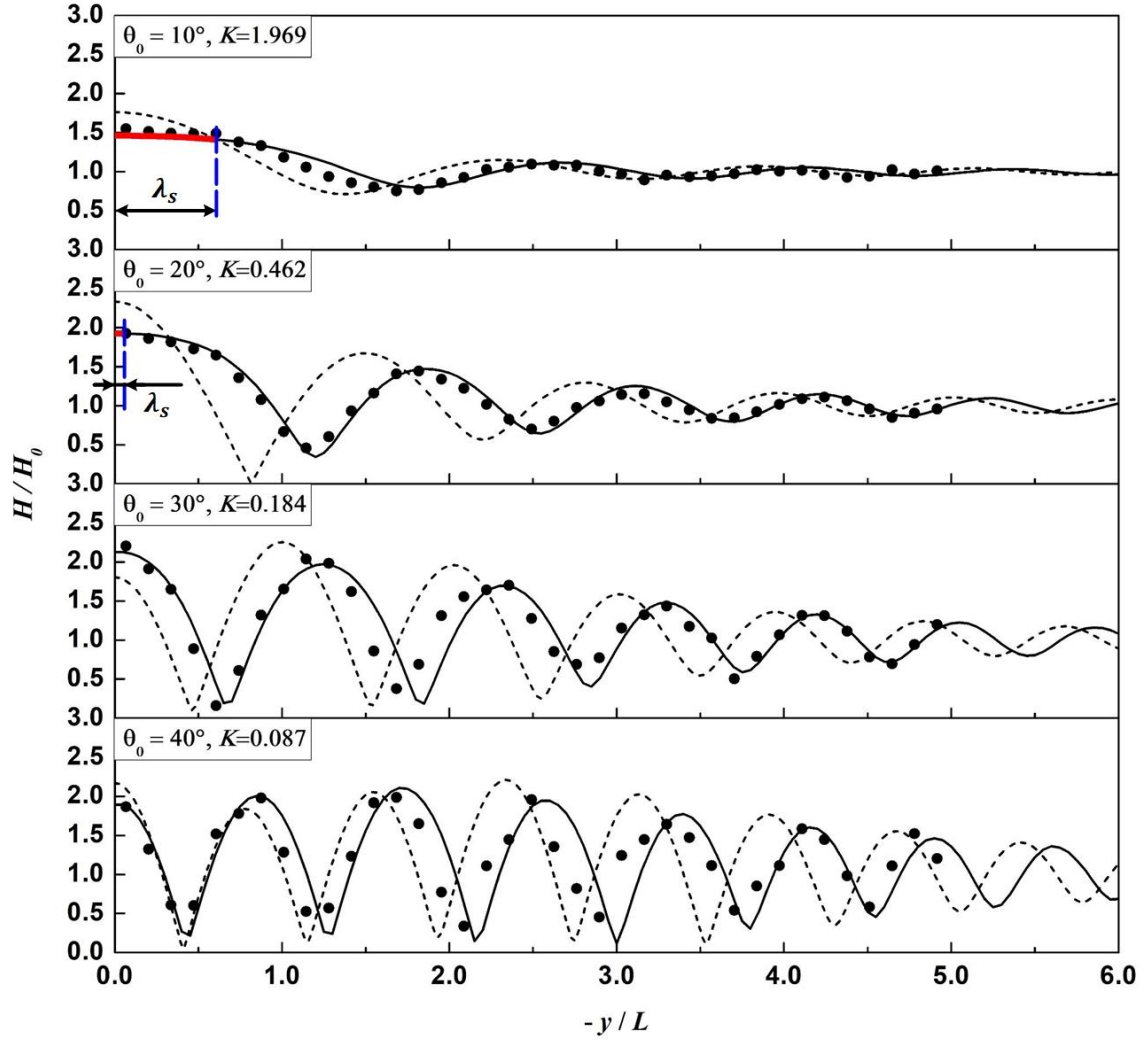


Figure A17. Normalized wave heights normal to the wall at $x = 6L$ for the cases of MLL1 ~ MLL4. Solid symbol: measured, solid line: present numerical, dashed line: analytical (Chen, 1987). The red lines represent the stem waves. The stem width λ_s is determined using Eq. (1).

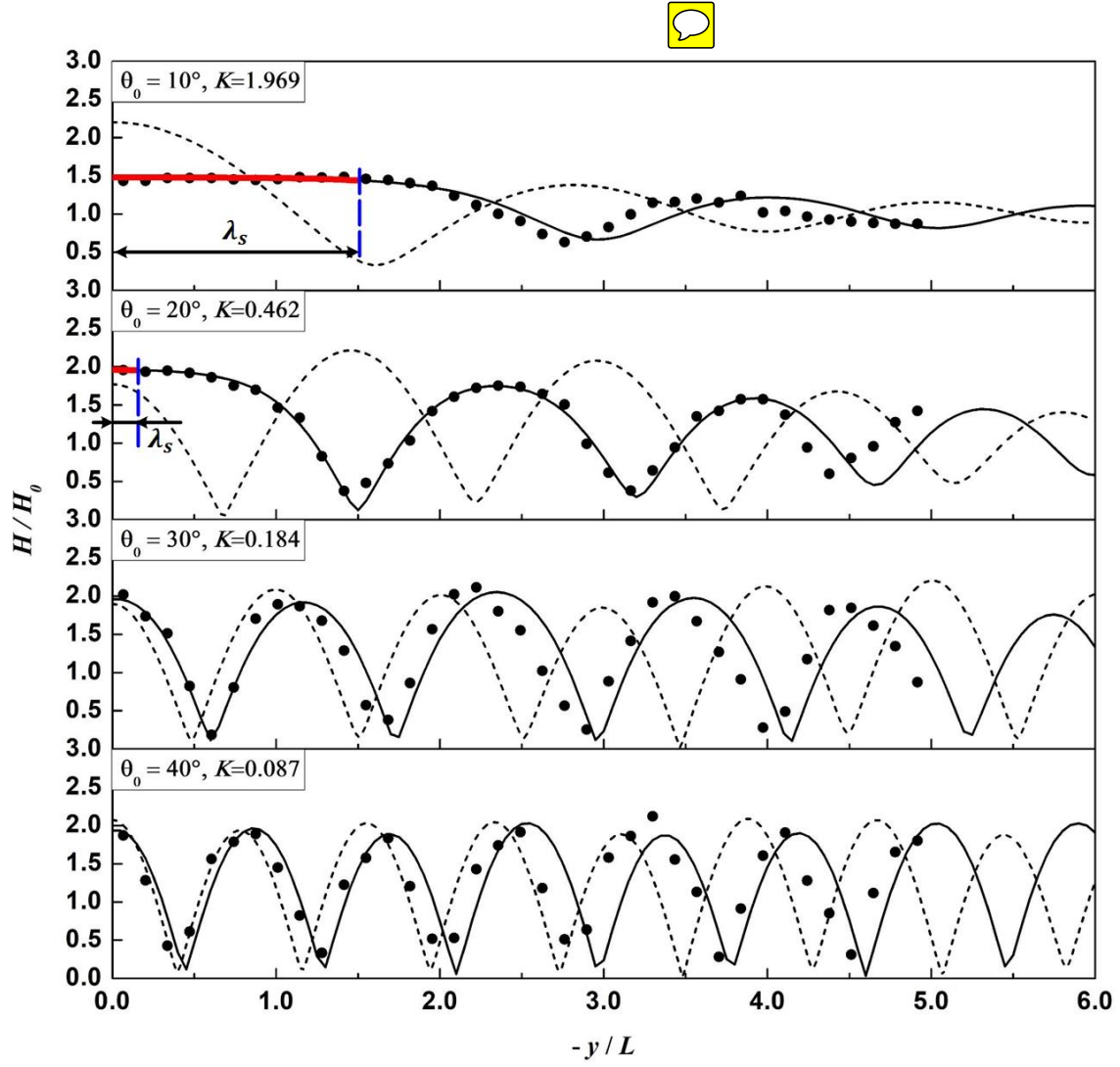


Figure A18. Normalized wave heights normal to the wall at $x = 15L$ for the cases of MLL1 ~ MLL4. Solid circle (measured), solid line (present numerical), dashed line (analytical, Chen, 1987). The red lines represent the stem waves. The stem width λ_s is determined using Eq. (13).