

## Summary of changes

(Reviewer #1)

First, we would like to thank the reviewer for his interest in our work and for helpful comments that will drastically improve the paper. As indicated below, we have checked all comments provided by the reviewer and have addressed necessary changes accordingly to his feedback.

### **Below are reviewer's comments and our responses:**

*C1: "There is no "discussion" in the work, where it would be appropriate to discuss in detail the non-linear effects of disturbance propagation obtained in the work and their links to the processes in nature".*

R1: The discussion part has been added into the paper. There the non-linear effects are discussed.

*C2: In Parts 2 and 3 all the variables and constants used in equations should better be listed once in a single table instead of repeating the terms in different equations with different meanings.*

R2: We would like to thank the reviewer for this comment. The variables are now listed in Table 1.

*C3: In Part 3 the simplest 1D case is considered, so, a disturbance, once emerged, can propagate only along the rod, and the law of its propagation is defined by the parameters  $E$  and  $\rho$ , which means that the disturbance can only propagate at the velocity of p-wave, because no other motion is possible.*

A3: Yes, it is a 1D case, but the shear motion is allowed as well. So, it is not immediately obvious why it should be just p-wave velocity. To emphasise the point we modified the first sentence in the para after (9), which now reads "It is seen that despite the presence of shear springs and friction between the rod and the stiff surface the waves propagate with the p-wave velocity determined by the Young's modulus and density of the rod."

*C4: The captions should be revised to make them more substantial, clarifying and informative.*

A4: Thank you for your comment. It has been done.

### **Less important remarks:**

*C5: "Raw 38. Cohee and Beroza, 1994a → Cohee and Beroza, 1994"*

A5: Thank you. It has been done.

*C6: "Raws 48-49. "However, the faults ... can produce sliding over initially stable fractures/interfaces" – a citation is needed".*

A6: Thank you. It has been done

*C7: "Raw 64. The citations should better be replaced by (Brace & Byerlee, 1966)".*

A7: Thank you. It has been done.

46 C8: *"Raw 82, Eq. 2. As a matter of fact, this equation defines the rule of the frictional force action.*  
47 *When  $V=0$  the frictional force can act on a body only provided that the shear force is not zero. In the*  
48 *presented system this condition is not true".*  
49

50 A8: We agree with the reviewer; it was a misprint. The system of equations has been corrected.  
51

52 C9: *"Raw 93, Eq.5. If all the variables are dimensionless, it is unclear, why the relation  $\mu N$  appears? It*  
53 *misses in the plots presented in Fig.2".*  
54

55 A9: Thank you. The Fig. 2 has been replaced.

56 C10: *"Raw 95, Fig.2. Under the action of a frictional force constant modulo, the energy should*  
57 *dissipate, but it doesn't. This fact should be explained".*

58 A10: Thank you. This has been added into the paper. Please see below.  
59 "The energy in the system does not change with time, obviously due to the constant energy influx by  
60 velocity  $V_0$  whose excess is dissipated by friction".  
61

62 C11: *Raw 105. Fig.2 presents harmonic oscillations, but not the regime of "stick-slip".*  
63

64 A11: These oscillations resemble stick-slip movement, but they manifest themselves in terms of  
65 sliding velocity rather than displacement.  
66

67 C12: *"Raw 114.  $\tau_{fr} = k\mu\sigma N$ . What is  $k$ "?*  
68

69 A12: Wrong formula was used. It has been corrected.  
70

71 C13: *"Raw 115, Fig.3. There is  $\tau_f$  in the figure, but not  $\tau_{fr}$ ".*  
72

73 A13: Typo was in Eq.6. It has been corrected.  
74

75 C14: *"Raw 126, Eq.6. It is unclear, what is  $k$  – the stiffness of a single spring, of all the springs, or the*  
76 *specific stiffness of springs per unit length? Attention should be paid to Eq.1, where the same*  
77 *notation is used".*  
78

79 A14: We agree with the reviewer. It has been changed. The details are in the table 1.  
80

81 C15: *"Raw 129, Eq.9. The formula is presented in a faulty way. If one supposes that  $\Delta V=u$  is a re-*  
82 *introduced new value, it appears that the increment of velocity equals to displacement, which is*  
83 *impossible".*  
84

85 A15: Awkward notation was used.  $U$  was not to be displacement. It has been changed.  
86

87 C16: *"Raws 137-145. Equations 11-14. All the constants and variables should be clarified".*  
88

89 A16: It has been done. Please see table 1.  
90

91 C17: *"Raw 145. Eq.14. What is the function  $J_0$ , what are the coefficients  $i$  u  $b$ , and what is the*  
92 *difference between the Bessel functions  $J_0$  and  $J_0'$ "?*  
93

94 A17:  $i$  – is imaginary unit;  $J_0$  – is Bessel function;  $J_0'$  – is derivative of Bessel function. Please see  
95 table 1.  
96

C18: "Part 3.1. Since the results are presented in the form of time series of dimensional variables, parameters of the model should be designated, which were used in calculations. The visual presentation of results is not pictorial enough. To my mind, the grid is too coarse. The dimensionality of Y-axis is not mentioned".

A18: Thank you for suggestion. We have modified the paper structure and data presentation.

C19: "Raw 152. Fig.3 (right). It is better to plot all the curves using a single X-axis, and one and the same scale of the Y-axes (may be, it's better to use the logarithmic scale)".

A19: Thank you for your suggestion. A confusing figure was used. It has been deleted.

C20: "Raw 152. Fig.3 (left). Propagation of the disturbance is not seen at all. The Y-axis should be inverted, or even better, re-calculated for the disturbance when  $u(t, x) > 0$ . The function of pulse shape is specified in a poorly comprehensible way. It's better to give it in a standard mathematical form".

A20: Thank you for your suggestion. The Fig.3 was corrected. A standard mathematical formula was added, please see equation 15.

C21: "Raw 155, Fig.4 (left). The disturbance is not seen in the area of big  $t$ . The viewing angle should be changed. No need in the inscriptions in the plot".

A21: It has been done.

C22: "Raw 162, Fig.5. The amplitude of the disturbance is maximal at the initial moment and reduces with time (raw 158). But, in the figure the amplitude is zero in the range of 0-9 s, then it increases in the range of 10-14 s, and then it decreases. What really shown in the figure"?

A22: A confusing figure was used. It has been deleted.

## Summary of changes

### (Reviewer #2)

First, we would like to thank the reviewer for his interest in our work and for helpful comments that will drastically improve the paper. As indicated below, we have checked all comments provided by the reviewer and have addressed necessary changes accordingly to his feedback.

C1: "The paper does a poor job of placing the work in a context with previous work that relates fault slip behavior to elastic oscillations of the rock surrounding the fault. Addressing this comment will make the paper more readable to a wide earth science audience and place it in better context to other work that has been done on a similar topic".

A1: Thank you for your suggestion. The additional literature review part has been added.

C2: "An application of simple models like the Burridge-Knopoff model and 1D model of an infinite elastic rod driven by elastic shear spring for the declared purpose should be substantiated in details".

141 A2: The original BK model consists of an assembly of blocks, where each block is connected via the  
 142 elastic springs to the next block and to the moving plate. In the present paper, we simulate the simple  
 143 one-dimensional version of BK model, which consists from one block.

144 Additional details and description of these models were added into the paper.

145 C3: *“The constant friction factor used in the models instead of generally accepted rate-and-state  
 146 friction law has to be grounded and supported by lab results and field observations”.*

147 A3: We do not advocate constant friction. We just demonstrated that even with constant friction a stick-  
 148 slip like behaviour is possible. We now added discussion where we analyse the effect of rate-dependent  
 149 friction.

150 C4: *“A discussion section of the manuscript is required for an analysis and comparison of the  
 151 numerical results and drawn conclusions with published data obtained under laboratory and natural  
 152 conditions”.*

153 A4: We agree with the reviewer. The discussion part has been added.

154 C5: *“Moreover, I realized that the English writing is not good enough, some parts of the text are  
 155 difficult for understanding, there are some syntax and spelling errors, and I strongly recommend  
 156 reviewing the text by a native English speaker”.*

157 A5: Thank you for your suggestion. This has been done.

158 List of all relevant changes

- 159 1. Discussion part has been added
- 160 2. Table 1 has been added
- 161 3. The text was modified: “It is observed that despite the presence of shear springs and friction  
 162 between the rod and the stiff surface, the waves propagate with the p-wave velocity determined  
 163 by the Young’s modulus and density of the rod.”
- 164 4. The captions in the paper have been modified
- 165 5. Raw 38. Cohee and Beroza, 1994a → Cohee and Beroza, 1994” has been modified
- 166 6. *“However, the faults ... can produce sliding over initially stable fractures/interfaces” – a  
 167 citation is needed”. Citation has been added*
- 168 7. *“Raw 64. The citations should better be replaced by (Brace & Byerlee, 1966)”.*  
 169 The citation has been replaced.
- 170 8. Equations 1-4 have been corrected.
- 171 9. Figure 2 has been replaced.
- 172 10. Additional para has been added: “Furthermore, the energy in the system does not change with  
 173 time, obviously due to the constant energy influx by velocity  $V_0$ , where the excess of the  $V_0$  is  
 174 dissipated by friction”.
- 175 11. *“Raw 114.  $\tau_{fr} = k\mu\sigma N$ . What is  $k$ ”? Formula has been corrected.*
- 176 12. Equation 6 has been corrected
- 177 13. Awkward notations were used in eq. 8-14.  $U$  was not to be displacement. It has been changed.
- 178 14. The paper structure and data presentation have been modified.
- 179 15. Figures 1, 2, 3, 4 have been modified.
- 180 16. A standard mathematical formula was added, please see equation 15.
- 181 17. Additional literature review has been added.
- 182 18. The references part has been modified.
- 183 19. Additional details and description of present models have been added into paper.

# Generation and propagation of stick-slip waves over a fault with rate-independent friction

Iuliia Karachevtseva<sup>1</sup>, Arcady V. Dyskin<sup>2</sup> and Elena Pasternak<sup>1</sup>

<sup>1</sup>School of Mechanical and Chemical Engineering, The University of Western Australia, Australia

<sup>2</sup>School of Civil and Resource Engineering, The University of Western Australia, Australia

Correspondence to: Iuliia Karachevtseva (juliso22@gmail.com)

**Abstract.** Stick-slip sliding is observed at various scales in fault sliding and the accompanied seismic events. It is conventionally assumed that the mechanism of stick-slip over geomaterials lies in the rate dependence of friction. However, the movement resembling the stick-slip could be associated with elastic oscillations of the rock around the fault, which occurs ~~irrespective~~ regardless of the rate properties of the friction. In order to investigate this mechanism, two simple models ~~are considered in this paper~~ were considered: a mass-spring model of self-maintaining oscillations ~~Burridge and Knopoff type (BK model)~~ and a one-dimensional (1D) model of wave propagation through an infinite elastic rod ~~an infinite elastic rod driven by elastic shear spring~~. The rod slides with friction over a stiff base. The sliding is resisted by elastic shear springs. The results show that the frictional sliding in the mass-spring model generates oscillations that resemble the stick-slip motion ~~ease of BK model demonstrates stick-slip like motion even when the friction coefficient is constant~~. Furthermore, it was observed that the stick-slip-like motion occurs even when the frictional coefficient is constant. The 1D wave propagation ~~rod~~ model predicts that despite the presence of shear springs the frictional sliding waves move with the p-wave velocity, denoting the wave as intersonic ~~any initial disturbance moves with a p-wave velocity, that is supersonically with the amplitude of disturbances decreasing with time~~. It was also observed that the amplitude of sliding is decreased with time. This effect might provide an explanation to the observed intersonic ~~supersonic~~ rupture propagation over faults.

## 1 Introduction

Earthquakes can lead to catastrophic structural failures and may trigger tsunamis, landslides and volcanic ~~activities~~ activity (Ghobarah et al., ~~2004~~ 2006; Bird and Bommer, 2004). ~~The earthquakes~~ They are generated at faults, and are either produced by rapid (sometimes ‘supersonic’) propagation of shear cracks/ruptures along the faults, or originated in the stick-slip sliding over the fault. The velocity of rupture propagation is crucial for estimating the earthquake damage. ~~The Rupture~~ rupture velocities can be ~~determined~~ classified by comparison its speed with the speeds of stress waves in the rupturing solid (Rosakis, 2002). There are several types of rupture propagation: supersonic ( $V > V_p$ ), intersonic ( $V_s < V < V_p$ ), subsonic ( $V < V_s$ ), supershear ( $V > V_s$ ), sub-shear ( $V_R < V < V_s$ ) and sub-Rayleigh ( $V < V_R$ ). According to the data ~~obtained from~~ of the seismic observation of crustal earthquakes, most ruptures propagate with an average velocity that is about 80% of the shear wave velocity (Heaton, 1990). ~~However, it~~ In some cases, however, supershear propagation of earthquake-generating shear ruptures or sliding is observed (Archuleta, 1984; Bouchon et al., 2000, 2001, 2010; Dunham and Archuleta, 2004; Aagaard and Heaton, 2004). The ~~above~~ se observations ~~gave rise~~ introduced to the concept of supershear crack propagation (e.g., Bizzarri and Spudich, 2008; Lu et al., 2009; Bhat et al., 2007; Dunham, 2007). ~~However,~~ due to the lack of strong motion recording there, there is are still some debates regarding ~~to~~ the data interpretation (Delouis et al., 2002; Bhat et al., 2007) ~~due to the lack of strong motion recording~~. For instance, it was suggested that the 2002 Denali Earthquake was propagated at a supershear speed of about 40 km (Dunham and Archuleta, 2004). ~~This conclusion~~ However, the data was based on a single ground motion record. ~~However, the separate inversion of the individual data sets may provide only a partial image of the~~

224 ~~rupture process of an earthquake.~~ The joint inversion of the combined data-sets ~~gives~~ provides a more robust description of  
225 the rupture. The recent studies, which are aimed at deriving the kinematic models for large earthquakes, have shown the  
226 importance of the type of data used. It has been shown that slip maps for a given earthquakes may vary significantly (Cotton  
227 and Campillo, 1995; Cohee and Beroza, 1994a).

228 The analytical (e.g., Burridge, 1973) and numerical (e.g., Das and Aki, 1977) research in fracture dynamics indicate that only  
229 the Mode II rupture (shear-induced slip occurring in the direction perpendicular to the crack front) can propagate with  
230 intersonic velocity ( $V_s < V < V_p$ ) for short durations, as long as the prestress of the fault is high compared to both failure and  
231 residual stresses (Dunham, 2007). Intersonic Mode II crack propagation was first confirmed in laboratory by Rosakis et al.  
232 (1999).

233 Sliding over pre-existing fractures and interfaces is one of the forms of instability in geomaterials. It is often accompanied by  
234 stick-slip – a spontaneous jerking motion between two contacting bodies, sliding over each other. It is assumed that the  
235 mechanism of stick-slip lies in intermittent change between static and kinetic friction and the rate dependence of the  
236 frictional coefficient (Popp and Rudolph, 2004).

237 The investigation of the friction law on geological faults is the key element in the modelling of earthquakes. Rate- and state-  
238 dependent friction laws proposed by Dieterich, Ruina and Rice (Dieterich, 1978; Ruina, 1983; Rice, 1983) have successfully  
239 modelled frictional sliding and earthquake phenomena. ~~These laws were proposed by Dieterich, Ruina and Rice (Dieterich,~~  
240 ~~1978; Ruina, 1983; Rice, 1983).~~ There are two types of frictional sliding between surfaces that include the, including the  
241 tectonic plates. The first type occurs when two surfaces slip steadily ( $V=V_0$  condition, where  $V$  - is relative velocity,  $V_0$  - is  
242 the load point velocity) and is ~~an analogue~~ analogous to the fault creep (Byerlee and Summers, 1975). In the stable state, the  
243 sliding over discontinuities (faults and, fractures) is prevented by friction. Modelling of the frictional sliding is an important  
244 tool for understanding the initiation and the development of rupture, and also, the healing of the faults. Many models and  
245 numerical methods are developed to describe seismic activities and the supershear fracture/rupture propagation (Noda and  
246 Lapusta, 2013; Lapusta and Rice, 2003; Lu et al., 2009; Lapusta et al., 2000; Sobolev, 2011; Bag and Tang, 1989; Harris  
247 and Day, 1993).

248 ~~T-However,~~ the faults are continuously subjected to variations in both shear and normal stresses, and can produce sliding  
249 over initially stable fractures or interfaces (Boettcher and Marone, 2004). ~~–~~In the Earth's crust, the increase in shear stress is  
250 ~~obviously an obvious~~ consequence of tectonic movement, while oscillations in the normal stress can be associated with the  
251 tidal stresses or seismic waves generated by other seismic events. These can generate the second dynamic state when the  
252 sliding occurs ~~jerkingly~~ jerkingly (slip, stick and then slip again). This type of sliding is called ~~ing~~ “stick-slip” sliding and  
253 ~~has~~ which exhibit cyclic behaviour. Brace and Byerlee supposed that the stick-slip instabilities in the tectonic plates are  
254 associated with the appearance of earthquakes (Brace and Byerlee, 1966). Both types of sliding are usually investigated  
255 using ~~a simple~~ spring-block model introduced by Burridge and Knopoff in 1967 (Turcotte, 1992). The BK model consists  
256 of an assembly of blocks, where each block is connected via the elastic springs to the next block and to the moving plate.

257 In the present paper, we firstly simulate a single element block model, which is one block undergoing frictional sliding on a  
258 stiff base. The movement is caused by a spring attached to the block. The other end of the spring moves with a constant  
259 velocity. The paper begins with considering stick-slip-like movement occurring under rate-independent friction due to the  
260 eigen oscillations of the fault faces and the associated wave propagation. This demonstrates that the rate dependence of  
261 friction is not necessarily a controlling phenomenon. We also analyse a simple mechanism of unusually high shear fracture  
262 or sliding zone propagation, also referred as the p-sonic propagation of sliding area over a frictional fault. The analysis is  
263 based on the fact that accumulation of elastic energy in the sliding plates on both sides of the fault can produce oscillations in  
264 the velocity of sliding even if the frictional coefficient is constant. We note that Walker and Shearer (2009) found evidence

of the intersonic rupture speeds close to the local P-wave velocity by analysing the Kokoxili and Denali earthquakes seismic data. This paper considers a highly simplified 1-D rod model where many properties of real fault system have been neglected. (Considerable fault geometry simplification is in use in analysing intersonic ruptures, e.g., Bouchon et al., 2010.)

Modelling of frictional sliding is an important tool for understanding the initiation, the development of rupture, and the healing of faults. Many models and numerical methods were developed to describe seismic activity and the supershear fracture/rupture propagation (Noda and Lapusta, 2013; Lapusta and Rice, 2003; Lu et al., 2009; Lapusta et al., 2000; Sobolev, 2011; Bag and Tang, 1989; Harris and Day, 1993).

In this paper, we however concentrate on the stick-slip-like movement occurring under rate-independent friction due to the eigen-oscillations of the fault faces and the associated wave propagation. Also a simple mechanism of unusually high shear fracture or sliding zone propagation is considered. This is the p-sonic propagation of sliding area over a frictional fault. It is based on the fact that the accumulation of elastic energy in the sliding plates on both sides of the fault can produce oscillations in the velocity of sliding even if the frictional coefficient is constant. Brace and Byerlee noticed in 1966 that the stick-slip instabilities in the tectonic plates are associated with the appearance of earthquakes (Feeny et al., 1998; Byerlee, 1970).

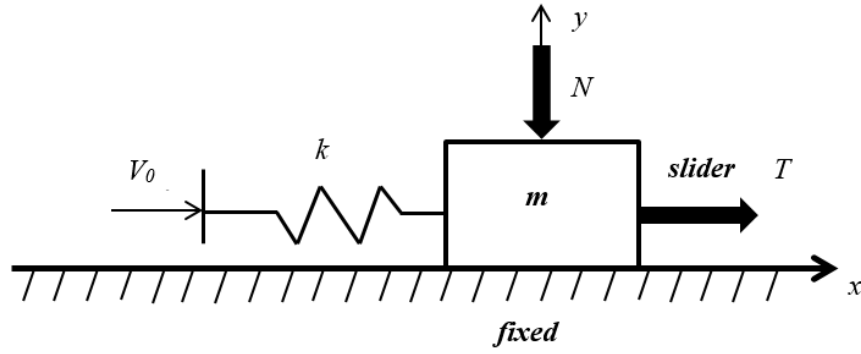
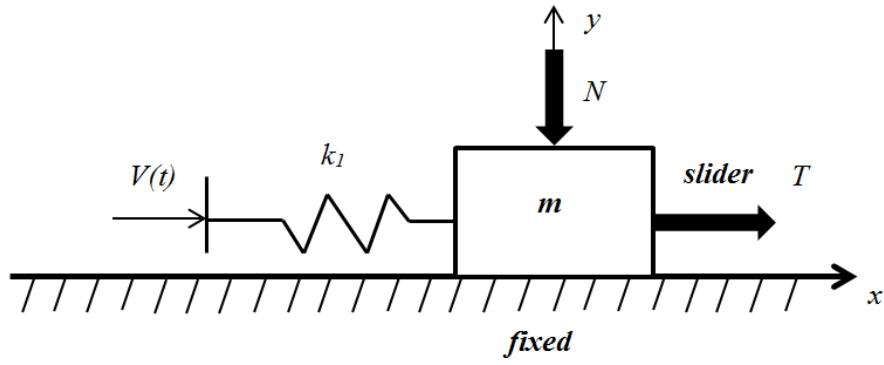
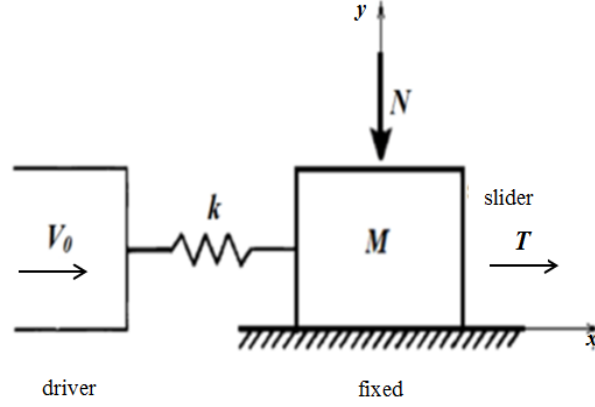
## 2 Single degree of freedom frictional oscillator

This study We starts with the self-excited oscillations, which ~~which may resembles look like the~~ stick-slip-like motion, but occurring under constant friction. ~~For this purpose a~~ single degree of freedom block-spring model is used for this purpose. A block sliding on a rigid horizontal surface is driven by a spring whose other end is attached to a driver moving with a constant velocity (Figure 1). All variables and constants used in equations are listed below in Table 1.

**Table 1: The list of variables and constants**

<u>Symbol</u>	<u>Meaning</u>	<u>Symbol</u>	<u>Meaning</u>
<u><math>V_0</math></u>	<u>load point velocity</u>	<u><math>\tau</math></u>	<u>shear stress</u>
<u><math>V</math></u>	<u>relative velocity of block</u>	<u><math>\tau_f</math></u>	<u>friction stress</u>
<u><math>k_1</math></u>	<u>single spring stiffness</u>	<u><math>E</math></u>	<u>Young's modulus</u>
<u><math>m</math></u>	<u>block mass</u>	<u><math>c</math></u>	<u>velocity of longitudinal wave (p=wave)</u>
<u><math>N</math></u>	<u>gravity force</u>	<u><math>\omega</math></u>	<u>eigen frequency</u>
<u><math>T</math></u>	<u>shear force</u>	<u><math>k_2</math></u>	<u>the spring stiffness relating stress and displacement discontinuity (the difference between the rod displacement and the zero displacement of the base)</u>
<u><math>\mu</math></u>	<u>friction coefficient</u>	<u><math>J_0</math></u>	<u>Bessel function of order 0</u>
<u><math>\omega_0</math></u>	<u>eigen frequency</u>	<u><math>J_0'</math></u>	<u>derivative of Bessel function</u>
<u><math>t</math></u>	<u>time</u>	<u><math>i</math></u>	<u>imaginary unit</u>
<u><math>h</math></u>	<u>thickness of an infinite rod</u>	<u><math>\xi</math></u>	<u>independent variable</u>
<u><math>\rho</math></u>	<u>volumetric rod density</u>	<u><math>z</math></u>	<u>integration variable</u>
<u><math>\sigma_N</math></u>	<u>uniform compressive load</u>	<u><math>f, g</math></u>	<u>arbitrary functions</u>
<u><math>\sigma</math></u>	<u>longitudinal stress</u>		

Friction is assumed to be cohesionless:  $T_{cr} = \mu N$ , where  $T_{cr}$  is the force at which sliding starts. The system consists of mass  $m$ , spring of stiffness  $k$  and a driver that moves with the constant velocity  $V_0$ . Friction is assumed to be cohesionless:  $T_{cr} = \mu N$ , where  $T_{cr}$  is the force at which sliding starts,  $N$  is the normal force and  $\mu$  is the friction coefficient.



**Figure 1: The simple single block model, mass-spring model of Burridge and Knopoff type.**

The system of equations representing the motion of the block reads:

$$\begin{cases} m\dot{V} = T - \text{sgn}(V)\mu N \\ \dot{T} = k(V_0 - V) \end{cases} \quad \begin{cases} m\dot{V} = f(T, \mu N) \\ \dot{T} = k_1(V_0 - V) \end{cases} \quad (1)$$

The appearance of the  $f(T, \mu N)$  function in the system of equations represents the fact that  $V \geq 0$ .

The function  $f(T, \mu N)$  is defined as:



$$f(T, \mu N) = \begin{cases} T - \mu N, & T > \mu N \text{ and } V > 0 \\ 0, & T < \mu N \text{ or } V < 0 \end{cases} \quad (2)$$

where  $m$  is the mass of block,  $k$  is the spring stiffness,  $V_0$  is the load point velocity,  $V$  is the relative velocity,  $N$  is gravity force,  $T$  is the shear force,  $\mu$  is the friction coefficient.

The appearance of the sign function in the system of equations represents the fact that friction always acts against velocity. Here function  $\text{sgn}(V)$  is defined as follows:

$$\text{sgn}(V) = \begin{cases} -1 & \text{for } V < 0 \\ 0 & \text{for } V = 0 \\ 1 & \text{for } V > 0 \end{cases} \quad (2)$$

In order to represent the system of equations (1) in dimensionless form, it is convenient to introduce a dimensionless time  $t^*$ :

$$t^* = t\omega_0, \quad \omega_0^2 = \frac{k_l}{m} \quad (3)$$

where  $\omega_0$  is the eigen frequency of the block-spring system,  $m$  is the block mass and  $k_l$  is the spring stiffness.

The governing system of equations in dimensionless form reads defined as:

$$\begin{cases} \dot{V} = f(T^*, \mu N^*) \\ \dot{T} = 1 - V^* \end{cases} \quad (4)$$

$$\begin{cases} \ddot{V} = 1 - V \\ V(0) = V^* \\ \dot{V}(0) = T(0) - \text{sgn}(V^*)\mu N \end{cases}$$

(4)

Here where the dot represents the derivative with respect to dimensionless time  $t^*$ ,  $\ddot{V} = \dot{T}$  and  $V^*$ ,  $T^*$  and  $N^*$  are the dimensionless velocity, shear force and gravity force respectively.

$$V^* = \frac{V}{V_0}, \quad T^* = \frac{T}{mV_0\omega_0}, \quad N^* = \frac{N}{mV_0\omega_0}$$

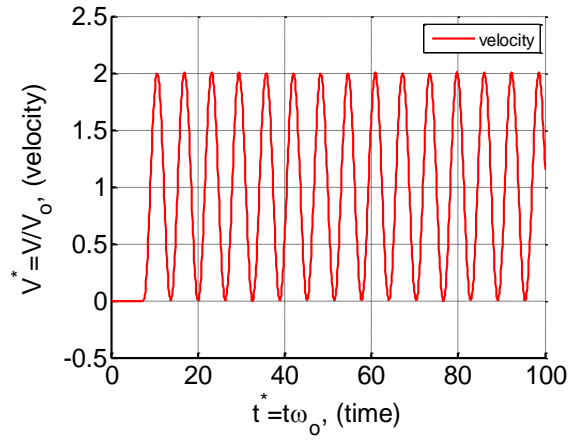
## 2.1 Behaviour of the system

under different initial conditions

In order to demonstrate the behaviour of the system at stick-slip-type regime, we consider the block sliding under the following set of initial conditions:

$$V(0) = 0, \quad \dot{T}(0) = 0 \quad (5)$$

Figure 2 represents the corresponding behaviour of the system (dimensionless velocity vs. dimensionless time).



**Figure 2: Block sliding with constant friction coefficient.**

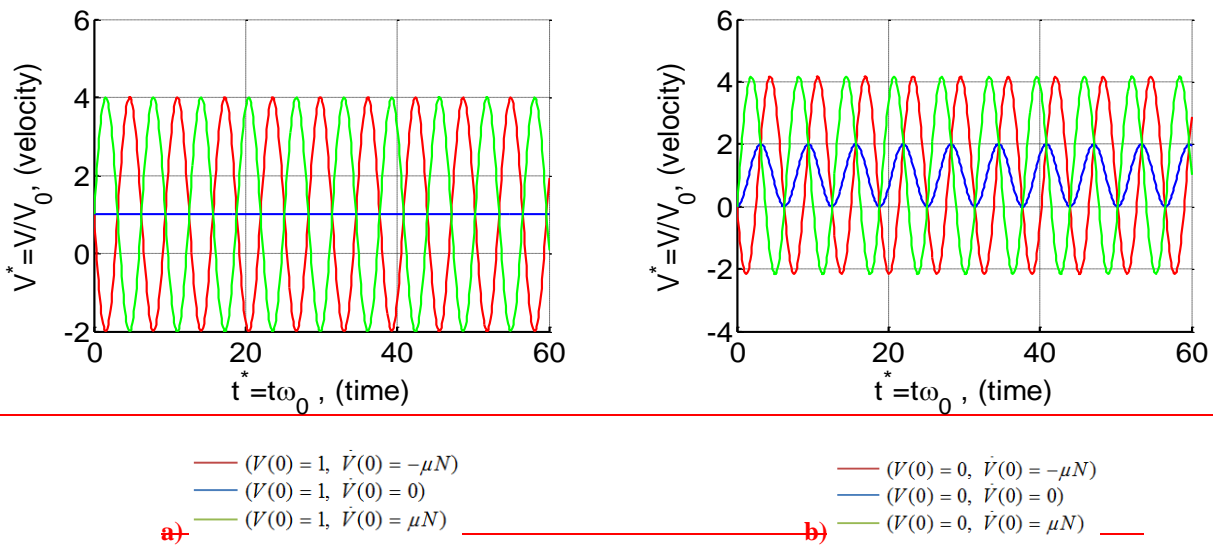
~~under different initial conditions leading to the steady sliding and stick slip type regimes we assume velocity  $V > 0$  and consider the block sliding under the following two sets of initial conditions:~~

$$V(0) = 1, \dot{V}(0) = -\mu N; \quad V(0) = 0, \dot{V}(0) = -\mu N$$

~~$$V(0) = 1, \dot{V}(0) = 0; \quad V(0) = 0, \dot{V}(0) = 0 \quad (5)$$~~

$$V(0) = 1, \dot{V}(0) = \mu N; \quad V(0) = 0, \dot{V}(0) = \mu N$$

~~Figure 2 represents the corresponding two types of behaviour of the system (dimensionless velocity vs. dimensionless time).~~



**Figure 2: Block sliding under different initial conditions.**

It is ~~seen that~~observed that the system exhibits self-excited oscillations even with constant friction coefficient, which somewhat resemble the stick-slip-type sliding. Furthermore, the energy in the system does not change with time, obviously due to the constant energy influx by velocity  $V_0$ , where the excess of the  $V_0$  is dissipated by friction. This is a harmonic motion with the frequency is equal to the eigen frequency of the system. The friction coefficient only affects the initial conditions. In more<sup>A</sup>detailed investigation of the behaviour of such a system described in a section 2 was undertaken in our previous works is investigated in our previous works (Karachevtseva et al., 2014; Karachevtseva et al., 2014). It should also be noted that similar oscillation-type movements were observed in laboratory experiments on sliding of two granite blocks under biaxial compression (Sobolev et al., 2016).

### 3 Stress wave propagation in frictional sliding (generalisation 1D solid)

In the previous section, we showed the stick-slip-like motion occurring even when the friction coefficient is constant. In this section we expand our understanding to incorporate the stick-slip motion over a fault where a stick-slip phenomenon is traditionally flagged as a mechanism of earthquakes. We shall keep assuming the constant friction law, which will permit us to obtain an analytical solution. For this purpose, following Nikitin (1998), we consider the simplest possible 1D model of fault sliding, which takes into account the rock elastic response and the associated dynamic behaviour, shown in Figure 3. The model is shown in Figure 3. It consists of an infinite elastic rod of height (thickness)  $h$ , and of per-unit length in the direction normal to the plane of drawing in Figure 3. The linear density is  $\rho$  and the rod is assumed to be able to slide over a stiff surface. The sliding is resisted by friction. The stiff surface can be described as a symmetry line such that instead of the (horizontal) fault, only the upper half of the line is considered, though of as a symmetry line, such that instead of the (horizontal) fault only the upper half of it is considered. The rod is connected to a stiff layer moving with a constant velocity  $V_0$ . The connection is achieved through a series of elastic shear springs. Both the elastic rod and the elastic springs describe the model of the elasticity of the rock around the fault, as shown in Figure 3. We assume that the system is subjected to a uniform compressive load  $\sigma_N$  such that the friction stress is kept constant, which is assumed equal to  $\tau_f = \mu \sigma_N = \text{const}$ .

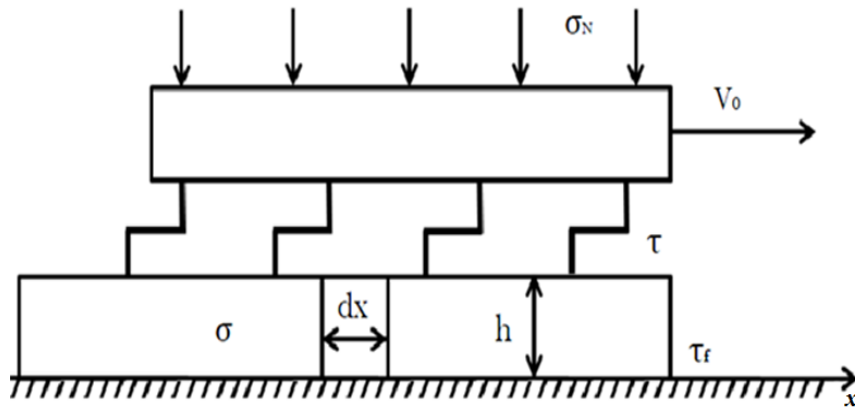


Figure 3: The model of infinitive elastic rod driven by elastic shear spring.

Let the longitudinal (normal) stress in the rod be  $\sigma$  and the contact shear stress be  $\tau$ , friction stress  $\tau_f$  and the load point velocity  $V_0$ . The equation of movement of the rod reads:

$$\frac{\partial \sigma}{\partial x} + \frac{1}{h} (\tau - \tau_f) = \rho \frac{\partial V}{\partial t} \quad (6)$$

Where  $\sigma$  is the longitudinal (normal) stress in the rod,  $\tau$  is the contact shear stress,  $\tau_f$  is the frictional stress,  $V_0$  is the load point velocity and  $V(x,t)$  is the velocity of point  $x$  of the rod at time  $t$ , as shown in Figure 3.

$V(x,t)$  is the velocity of point  $x$  of the rod at time  $t$ , Figure 3.

If the Young's modulus of the rod is  $E$ , then according to the Hooke's law, gives

$$\sigma = E \frac{\partial u}{\partial x}$$

(7);

where  $u(x,t)$  is the displacement and  $E$  is the Young's modulus of the rod. After differentiating, we have:

~~After differentiating the Hooke's law is expressed as:~~

$$\frac{\partial \sigma}{\partial t} = E \frac{\partial V}{\partial x}$$

(78)

The elastic reaction of the shear springs is expressed ~~through the following equations:~~

$$\frac{\partial \tau}{\partial t} = k_2 (V - V_0)$$

(89)

where  $k_2$  is the spring stiffness relating stress and displacement discontinuity (the difference between the rod displacement and the zero displacement of the base). ~~In the usual way system of equations (6)–(8) produces the wave equation:~~

Defining  $\Delta V = V - V_0$  and solving the system of equations (6)–(9), we get the following wave equation:

$$\frac{\partial^2 \Delta V}{\partial t^2} = c^2 \frac{\partial^2 \Delta V}{\partial x^2} - \omega^2 \Delta V$$

(910)

where  $c = \sqrt{Eh/\rho}$  is the velocity of the longitudinal wave (p-wave),  $\omega = \sqrt{k_2/(h\rho)}$  is ~~what can be~~ regarded as eigen frequency of the system consisting as a unit length of the rod considered as a lamp mass on the shear springs.

It is ~~seen-observed~~ that despite ~~the frictional sliding between the rod and the stiff surface~~ presence of shear springs and ~~friction between the rod and the stiff surface~~, the waves propagate with the p-wave velocity determined by the Young's modulus and density of the rod. ~~So-Therefore according, according~~ to the terminology described in ~~Introduction the introduction~~, the wave should be named *p-sonic wave*. It should be ~~highlighted emphasizes~~ that while such waves look like the shear waves, they are in fact compressive waves propagation along the rod, hence denoted as the p-wave velocity.

In order to analyse the way the pulse propagates, equation (910) is complemented by the initial conditions as:

$$\Delta V(x, t) = f_0(x); \quad \frac{d\Delta V}{dt} = F_0(x)$$

(11)

(10)

Solution of wave equation (109) can be found by using the Riemann method (e.g., Koshlyakov, 1964).

$$\Delta V(x, t) = \frac{1}{2} [f(x - ct) + g(x + ct)] + \frac{1}{2} \int_{x-ct}^{x+ct} \Phi(x, t, z) dz$$

(12)

(11)

where

$$\Phi(x, t, z) = \frac{1}{\sqrt{c^2 t^2 - (z - x)^2}} \varphi(x, t, z)$$

(1213)

The integral from (124) can be found by using the Chebyshev-Gauss method

$$I(x,t) = \int_{x-ct}^{x+ct} \Phi(x,t,z) dz \approx \frac{\pi}{n} \sum_{j=1}^n \varphi(x,t, x + \xi_j at), \quad \xi_j = \cos\left(\frac{2j-1}{2n} \pi\right) \quad (14)$$

$$(13)$$

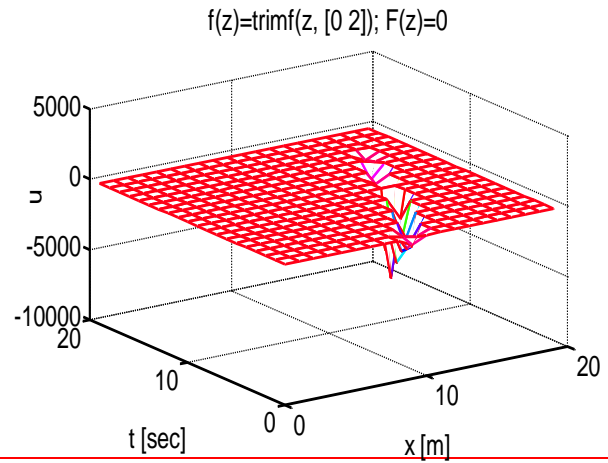
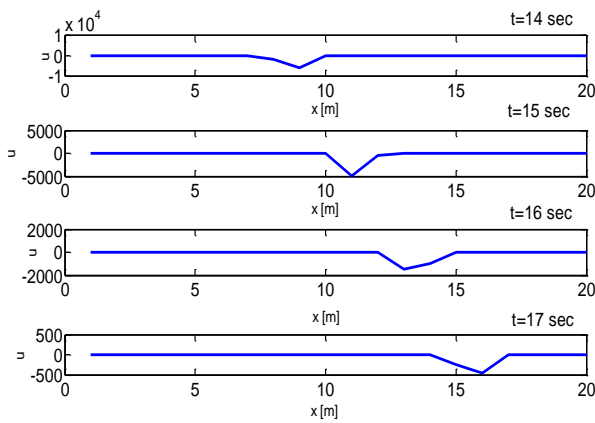
where

$$\varphi(x,t,z) = \frac{1}{c} F(z) J_0\left(\frac{\omega}{c} i \sqrt{c^2 t^2 - (z-x)^2}\right) \sqrt{c^2 t^2 - (z-x)^2} + \omega t f(z) \left(\frac{1}{i}\right) J_0'\left(i \frac{\omega}{c} \sqrt{c^2 t^2 - (z-x)^2}\right)$$

(1415)

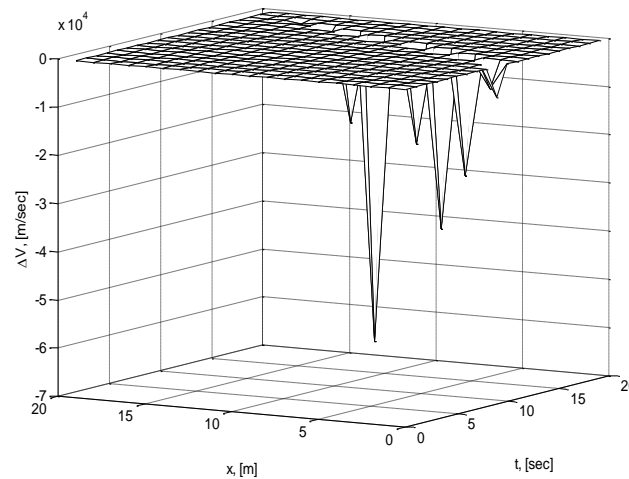
### 3.1 Propagation of an initial disturbance-sliding

Figures 3-5-4 represent the propagation of initial disturbance-sliding under the different initial conditions. Particularly, a triangular displacement-velocity impulse, equation (16) and zero velocity-acceleration were used as initial conditions for Figure 3. As shown in Figure 4, linear and harmonic functions were used for displacement-velocity and velocities acceleration as initial conditions.

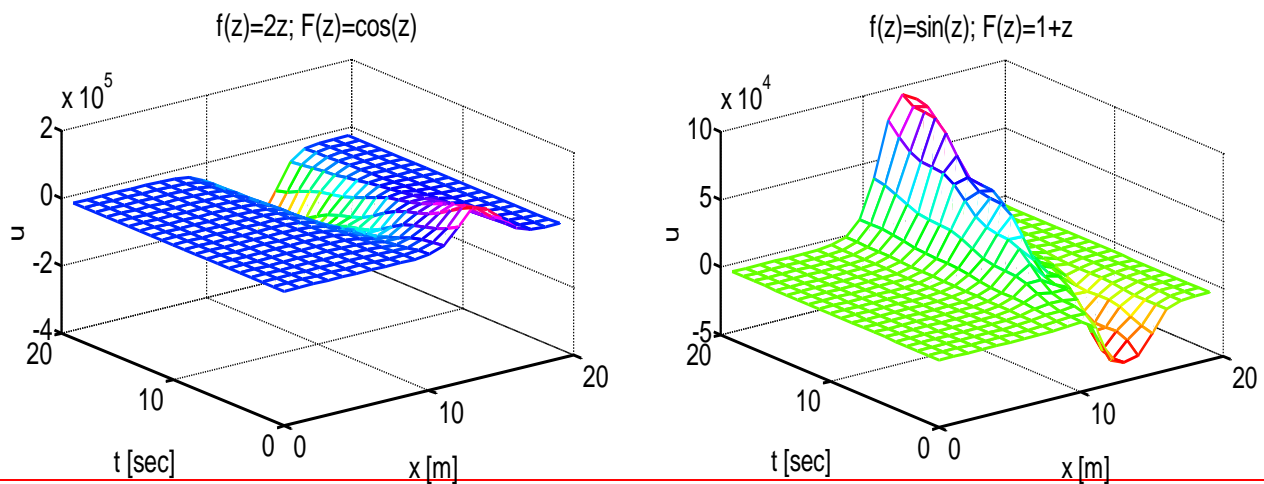


$$f(x;a,b,c) = \max\left(\min\left(\frac{x-a}{b-a}, \frac{c-x}{c-b}\right), 0\right) \quad (16)$$

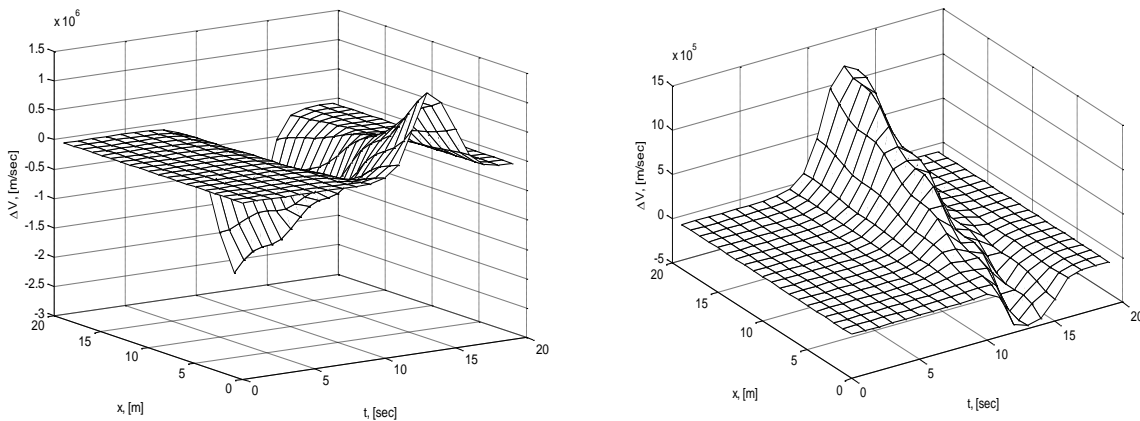
where  $x$  is the vector,  $a, b, c$  are scalar parameters.



**Figure 3: Propagation of initial sliding in the form of a triangular function  $f(z)$  of zero area. disturbance ( $f(z) = \text{trimf}(z, [0 \ 2]); F(z)=0$ ).**



**a)  $f(z)=2z; F(z)=\cos(z)$ ; b)  $f(z)=\sin(z); F(z)=1+z$ ;**



**Figure 4: Propagation of initial disturbancesliding with different initial conditions.**

It is seen that the initial disturbance-sliding (impulse) propagating with p-wave velocity keeps its width but the amplitude reduces with time. It is also observed that as Obviously as the impulse propagates, it ~~loses~~loses energy which goes to increasing the energy of shear springs.

Figure 5 shows the peak of initial disturbance changing with time (here the triangular displacement and zero velocity were set as initial conditions).

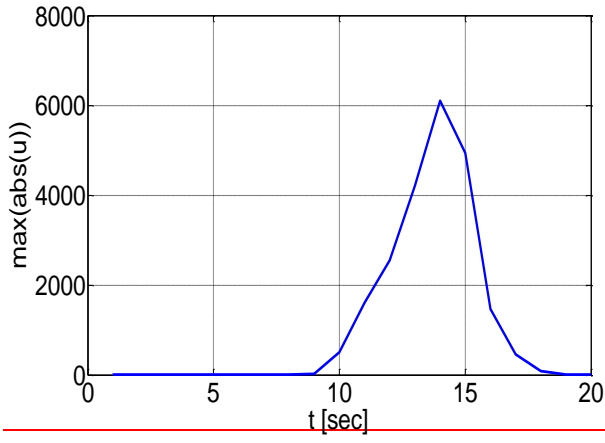


Figure 5: Maxima of initial disturbance.

#### 4 Discussion

This paper introduced the notion that the frictional movement resembling the stick-slip sliding, which are often observed and usually attributed to the rate dependence of friction, can be obtained with constant friction by taking into account the elasticity of the surrounding and its self-oscillations. This understanding is applied to propagation of slip over infinitely long fault leads to a simple model that predicts that the slip will propagate with p-wave velocity. This conclusion is made under the assumption of constant (rate-independent) friction. Relaxing this assumption, that is taking into account that

$\tau_f = \tau_f \left( \frac{\partial \Delta V}{\partial t} \right)$  leads to the following equation replacing equation (10):

$$\left( 1 + \frac{1}{\rho h} \frac{d\tau_f}{d\Delta V_t'} \right) \frac{\partial^2 \Delta V}{\partial t^2} = c^2 \frac{\partial^2 \Delta V}{\partial x^2} - \omega^2 \Delta V, \quad \Delta V' = \frac{\partial \Delta V}{\partial t} \quad (17)$$

It is seen that when the sliding rate changes slowly, the propagation speed of rupture  $c_1$  can be approximated as:

$$c_1^2 \approx c^2 \left( 1 + \frac{1}{\rho h} \frac{d\tau_f}{d\Delta V_t'} \right)^{-1} \quad (18)$$

Furthermore, it is observed that when the friction increases with the sliding rate,  $c_1$  becomes smaller than p-wave velocity. If the rate dependence of friction is lowered further, the slip propagation can become intersonic.

#### 4.5 Conclusions

In this paper, it is shown that the accumulation of elastic energy in the sliding plates on both sides of the fault can produce oscillations in the velocity of sliding even when the friction is constant. These oscillations resemble stick-slip movement, but they manifest themselves in terms of sliding velocity rather than displacement. The sliding exhibits wave-like propagation over long faults. Furthermore, an infinite elastic rod-the 1D model shows that the zones of disturbances sliding propagate along the fault with the velocity of p-wave (the propagation speed can however be lower if the rate dependence of friction is taken into account). The mechanism of such fast wave propagation is the normal (tensile/compressive) stresses in the neighbouring elements (normal stresses on the planes normal to the fault surface) causing a p-wave propagating along the fault rather than the shear stress controlling the sliding. This manifests itself as a p-sonic propagation of an apparent shear rupture.

## References

- Aagaard, B. T., and Heaton T. H.: Near-source ground motions from simulations of sustained intersonic and supersonic fault ruptures, *Bull. Seismol. Soc. Am.*, 94(6), 2064-2078, doi: 10.1785/0120030249, 2004.
- Aochi, H., Madariaga, R.: The 1999 Izmit, Turkey, earthquake: Nonplanar fault structure, dynamic rupture process, and strong ground motion, *Bull. Seism. Soc. Am.*, 93 (3), 1249-1266, doi:10.1785/0120020167, 2003.
- Archuleta, R. I.: A faulting model for the 1979 Imperial Valley earthquake, *J. Geophys. Res.*, 89, 4559-4585, doi: 10.1029/JB089iB06p04559, 1984.
- Bak, P., Tang, S.: Earthquakes as self-organized critical phenomenon. *J. Geophys. Res., Solid Earth* 94, 15635–15637 doi: 10.1029/JB094iB11p15635, 1989.
- Bhat, H. S., Dmowska, R., King, G. C. P., Klinger, Y.: Off-fault damage patterns due to supershear ruptures with application to the 2001  $M_w$  8.1 Kokoxili (Kunlun) Tibet earthquake, *J. Geophys. Res.*, 112, B06301, doi: 10.1029/2006JB004425, 2007.
- Bird, J. F., and Bommer, J. J.: Earthquake losses due to ground failure, *Eng. Geol.* 75, 147–179, doi: 10.1016/j.enggeo.2004.05.006, 2004.
- Bizzarri, A. and Spudich, P.: Effects of supershear rupture speed on the high-frequency content of *S* waves investigated using spontaneous dynamic rupture models and isochrone theory, *J. of Geophys. Res.*, 113, B05304, doi: 10.1029/2007JB005146, 2008.
- Boettcher M.S., and Marone C.: Effects of normal stress variation on the strength and stability of creeping faults, *J. of Geophys. Res.*, 109, B03406, doi: 10.1029/2003JB002824, 2004.
- Bouchon, M., Bouin, M. P., Karabulut, H., Toksoz, M. N., Dietrich, M., and Rosakis, A. J.: How fast is rupture during an earthquake? New insights from the 1999 Turkey earthquakes, *Geophys. Res. Lett.*, 28, 2723-2726, doi: 10.1029/2001GL013112, 2001.
- Bouchon, M., Toksoz, N., Karabulut, H., Bouin, M. P., Dieterich, M., Aktar, M., and Edie, M.: Seismic imaging of the 1999 Izmit (Turkey) rupture inferred from the near-fault recordings, *Geophys. Res. Lett.*, 27, 3013-3016, doi: 10.1029/2000GL011761, 2000.
- Bouchon, M., Karabulut, H., Bouin, M. P., Schmittbuhl, J., Vallee, M., Archuleta, R., Das, S., Renard, F., Marsan, D.: Faulting characteristics of supershear earthquakes, *Tectonophysics*, 493, 244-253.
- Brace, W. F., and Byerlee, J. D.: Stick-slip as a mechanism for earthquakes, *Science*, 153 (3739), 990-992, doi: 10.1126/science.153.3739.990, 1966.
- Burridge, R., Admissible speeds for plane-strain self-similar shear cracks with friction but lacking cohesion, *Geophys. J. Roy. Astron. Soc.*, 35, 439-455, doi: 10.1111/j.1365-246X.1973.tb00608.x, 1973.
- Byerlee, L. D.: The mechanics of stick slip, *Tectonophysics*, 9(5), 475-486, doi: , 1970.
- Byerlee, J. D., and Summers, R.: Stable sliding preceding stick-slip on fault surfaces in granite at high pressure, *Pure Appl. Geophys.* 113, 63-68, doi: 10.1007/BF01592899, 1975.
- Cohee, B. P., and Beroza, G. C.: Slip distribution of the 1992 Landers earthquake and its implications for earthquake source mechanism, *Bull. Seism. Soc. Am.*, 84(3), doi: 10.1016/0148-9062(95)94486-9, 1994.
- Cotton, F., and Campillo, M.: Frequency domain inversion of strong motions: application to the 1992 Landers earthquake, *J. Geophys. Res.* 100, doi: 10.1029/94JB02121, 1995.
- Das, S., Aki, K.: A numerical study of two-dimensional spontaneous rupture propagation, *Geophys. J. Roy. Astron. Soc.*, 50, 643-668, doi: 10.1111/j.1365-246X.1977.tb01339.x, 1977.



500 Delouis, B., Giardini, D., Lundgren, P., and Salichon, J.: Joint Inversion of InSAR, GPS, Teleseismic, and Strong-Motion  
 501 Data for the Spatial and Temporal Distribution of Earthquake Slip: Application to the 1999 Izmit Mainshock, *Bull. Seismol.*  
 502 *Soc. Am.*, 92, 278-299, doi: 10.1785/0120000806, 2002.  
 503 Dieterich, J.H.: Time-dependent friction and the mechanics of stick-slip. *J. of geophys. research*, 77 (20), 790-806,  
 504 doi: 10.1007/BF00876539, 1978.  
 505 Dunham, E. M., Archuleta, J. R.: Evidence for a Supershear Transient during the 2002 Denali Fault Earthquake, *Bull.*  
 506 *Seismol. Soc. Am.*, 94(6B), S256-S268, doi: 10.1785/0120040616 , 2004.  
 507 Dunham, E. M., Conditions governing the occurrence of supershear ruptures under slip-weakening friction, *J. of geophys.*  
 508 *research*, 112, B07302, doi: 10.1029/2006JB004717, 2007.  
 509 **Feeny, B., Guran, A., Hinrichs, N., and Popp, K.: A historical review on dry friction and stick slip phenomena, *Applied***  
 510 ***mechanics reviews*, 51(5), 321-341, doi:10.1115/1.3099008, 1998.**  
 511 Ghojarah, A., Saatcioglu, M., Nistor, I.: The impact of the 26 December 2004 earthquake and tsunami on structures and  
 512 infrastructure, *Eng. Struct*, 28(2), 312-326, doi: 10.1016/j.engstruct.2005.09.028, 2006.  
 513 Harris, R. A., and Day, S. M.: Dynamics of fault interaction: parallel strike-slip faults. *J. Geophys. Res.*, Solid Earth 98,  
 514 4461-4472, doi: 10.1029/92JB02272, 1993.  
 515 Heaton, T. H.: Evidence for and implications of self-healing pulses of slip in earthquake rupture, *Phys. Earth Planet. Inter.*,  
 516 64, 10-20, doi: 10.1016/0031-9201(90)90002-F, 1990.  
 517 Karachevtseva, I., Dyskin, A. V., Pasternak, E.: The cyclic loading as a result of the stick-slip motion, *Advanced Mat.*  
 518 *Research*, 891-892, 878-883, doi: 10.4028/www.scientific.net/AMR.891-892.878, 2014.  
 519 Karachevtseva, I., Dyskin, A. V., Pasternak, E.: Stick-slip motion and the associated frictional instability caused by vertical  
 520 oscillations, *Bifurcation and Degradation of Geomaterials in the New Millennium*, Springer Series in Geomechanics and  
 521 Geoengineering, 135-141, doi: 10.1007/978-3-319-13506-9\_20, 2015.  
 522 Koshlyakov, N. S., Smirnov, M. M., and Gliner, E. B.: Differential equations of mathematical physics, Moscow, 701, 1964.  
 523 Lapusta, N., and Rice, J. R.: Nucleation and early seismic propagation of small and large events in a crustal earthquake  
 524 model, *J. of geophys. research*, 108 (B4), 2205, doi: 10.1029/2001JB000793, 2003.  
 525 Lapusta, N., Rice, J. R., Ben-Zion, Y., and Zheng, G.: Elastodynamic analysis for slow tectonic loading with spontaneous  
 526 rupture episodes on faults with rate- and state-dependent friction, 105 (B10), 23,765-23,789, doi: 10.1029/2000JB900250,  
 527 2000.  
 528 Lu, X., Lapusta, N., and Rosakis, A. J.: Analysis of supershear transition regimes in rupture experiments: the effect of  
 529 nucleation conditions and friction parameters, *Geophys. J. Int.*, 177, 717-732, doi: 10.1111/j.1365-246X.2009.04091.x, 2009.  
 530 Nikitin, L. V.: Statics and dynamics of solids with an external dry friction, Moscow Lyceum, 272, 1998.  
 531 Noda, H., and Lapusta, N.: Stable creeping fault segments can become destructive as a result of dynamic weakening, *Nature*, 518-523, doi:  
 532 10.1038/nature11703, 2013.  
 533 Popp, K., Rudolph, M.: Vibration Control to Avoid Stick-Slip Motion, *J. of Vibration and Control*, 10, 1585-1600, doi:  
 534 10.1177/1077546304042026, 2004.  
 535 Rice, J.R.: Constitutive relations for fault slip and earthquake instabilities. *Pure and Applied Geophysics*, 121(3), 443-475,  
 536 doi: 10.1007/BF02590151, 1983.  
 537 Rosakis, A. J., Samudrala, O., and Coker, D.: Cracks faster than the shear wave speed, *Science*, 284, 1337-1340, doi:  
 538 10.1126/science.284.5418.1337, 1999.  
 539 Rosakis, A. J.: Intersonic shear cracks and fault ruptures, *Adv. In Phys.*, 51(4), 1189-1257, doi: 10.1080 /0001873021012232  
 540 8, 2002.  
 541 Ruina, A.: Slip instability and state variable friction laws. *J. of geophys. research*, 88, 10359-10370, doi:  
 542 10.1029/JB088iB12p1035 , 1983.

543 Sobolev, G. A.: Seismicity dynamics and earthquake predictability, Nat. Hazards Earth Syst. Sci. 11, 445–458, doi:  
544 10.5194/nhess-11-445-2011, 2011.

545 [Sobolev, G. A., Ponomarev A. V., Maibuk, Yu. Ya.: Initiation of unstable slips-microearthquakes by elastic impulses,](#)  
546 [Izvestiya, Physics of the Solid Earth, 52\(5\), 674-691, doi: 10.1134/S106935131605013X, 2016.](#)

547 Turcotte, D. L.: Fractals and chaos in geology and geophysics, Cambridge University Press, 221, doi:  
548 10.1002/gj.3350280216, 1992.

549 [Vallee, M. M., Landes, M., Shapiro, N. M., Klinger, Y.: The 14 November 2001 Kokoxili \(Tibet\) earthquake: High-](#)  
550 [frequency seismic radiation originating from the transitions between sub-Rayleigh and supershear rupture velocity regimes,](#)  
551 [J. of geophys. research, 113, B07305, doi:10.1029/2007JB005520, 2008.](#)

552 [Walker, K. T., Shearer, P. M.: Illuminating the near-sonic rupture velocities of the intracontinental Kokoxili Mw 7.8 and](#)  
553 [Denali fault Mw 7.9 strike-slip earthquakes with global P wave back projection imaging, J. of geophys. research, 114,](#)  
554 [B02304, doi:10.1029/2008JB005738, 2009.](#)

555  
556  
557  
558  
559