



- 1 Spatial and radiometric characterization of multi-spectrum
- 2 satellite images through multifractal analysis
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4 Abstract: Several studies point out that vegetation indexes can been used to estimate root 5 zone soil moisture and earth surface images, obtained by high resolution satellites, give 6 presently huge information on these indexes based in several wavelengths data. Because of 7 the potential capacity for systematic observations at various scales, remote sensing technology 8 extends possible data archives from present time to over several decades back. For this 9 advantage, enormous efforts have been made by researchers and application specialists to 10 delineate vegetation indexes from local scale to global scale by applying remote sensing 11 imagery.

- In this work, four bands images have been considered, involved in these vegetation indexes, taken by satellites IKONOS-2 and LANDSAT-7 of the same geographic location to study the effect of both spatial (pixel size) and radiometric (number of bits coding the image) resolution on these wavelength bands.
- In order to do so, a multifractal analysis of these multi-spectral images was applied in each of these bands. The results showed that spatial resolution has a similar scaling effect in the four bands, but radiometric resolution has a larger influence in Blue and Green bands than in Red
- and Near InfraRed bands. This information should be taken in to account when vegetationindexes based on different satellite sensors are obtained.
- 21
- 22

23 Keywords: vegetation index, wavelengths pattern, multifractal spectrum





1 1 Introduction

2 Soil moisture is a critical condition affecting interaction of land surface and atmosphere. 3 Remotely sensed data is an important source of information and it can indirectly measure soil 4 moisture in space and time. However, the signal only penetrates the top few centimeters, and 5 soil moisture at deeper layers must be estimated. One method to estimate soil moisture at deeper layers is through vegetation indices. Several authors have investigating the potential of 6 7 vegetation indices to estimate root zone soil moisture. The Normalized Difference Vegetation 8 Index (NDVI) and Enhanced Vegetation Index (EVI) have been used by several authors 9 (Wang et al., 2007; Ben-Ze'ev et al., 2006; Deng et al., 2007) in different conditions finding 10 significant estimations with root zone soil moisture. For the estimation of these indexes NIR, 11 Red and Blue wavelengths are needed (Huete et al., 2014).

12

13 The images provided by the satellites show the land surface in a wide range of wavelengths (from visible to thermal infrared or microwaves) and also with a great variety of spatial 14 15 resolutions (from a few kilometres to tens of centimeters). The analysis of these varied images 16 and their synergic possibilities are a challenging problem especially with new sensors, which 17 have small spatial resolution and a large range of radiometric quantification. Fractal analysis 18 offers significant potential for improvement in the measurement and analysis of spatially and 19 radiometrically complex remote sensing data. This analysis also provides quantitative insight 20 on the spatial complexity in the information of the landscape contained within these data.

21

In the general mathematical framework of fractal geometry many analytical methods have been developed; to name a few: textural homogeneity has been characterized using the fractal dimension (Fioravanti, 1994); it has also been used as a spatial measure for describing the complexity of remote sensing imagery (Lam and De Cola, 1993); changes in the image complexity have been detected through the spectral range of hyperspectral images affecting the fractal dimension (Qiu et al. 1999); similarly De Cola (1989) and Lam (1990) have found that fractal dimension also depends on the spectral bands of Landsat TM imagery.





Motivated by the fractal geometry of sets (Mandelbrot, 1983), the development of multifractal theory, introduced in the context of turbulence, has been applied in many areas such as earthquake distribution analysis (Hirata and Imoto, 1991), soil pore characterization (Kravchenko et al. 1999; Tarquis et al. 2003), image analysis (Sánchez et al. 1992) or remote sensing (Tessier et al., 1993; Cheng and Agterberg, 1996; Schmitt et al., 1997; Laferrière and Gaonac'h, 1999; Cheng, 1999; Lovejoy et al., 2001b; Du and Yeo, 2002; Parrinello and Vaughan, 2002; Harvey et al., 2002; Turiel et al. 2005).

8

9 The acquisition of remotely sensed multiple spectral images is thus a unique source of data 10 for determining the scale invariant characteristics of the radiance fields related to many 11 factors, such as soil and bedrock chemical composition, humidity content and surface 12 temperature (e.g., Laferrière and Gaonac'h, 1999; Maitre and Pinciroli, 1999; Lovejoy et al., 13 2001a, b; Harvey et al., 2002; Beaulieu and Gaonac'h, 2002; Gaonac'h et al., 2003; Cheng, 14 2004). In the multifractal scheme used, the satellite image is considered as a singular measure 15 and it is analyzed through a multifractal (MF) spectrum, which gives either geometrical or 16 probabilistic information about the pixels distribution with the same singularity.

17

The aim of this work is to characterize by MF analysis the image patterns in the wavelength ranges used for NDVI, EVI and Green bands. In order to investigate how the image information is affected by the sampling with different spatial and radiometric resolutions, we have also analyzed images of the same site but acquired by two different satellites: Landsat-7 and Ikonos-2.

23

24 We present a comparative analysis of multifractal (MF) tools applied to multi-spectral images 25 obtained by IKONOS-2 and LANDSAT-7. Both satellites have several bands in visible and 26 near-infrared spectral regions in common that can be used in vegetation indexes estimation. 27 However the bands have different spatial resolution, 4 m for IKONOS and 30 m for 28 LANDSAT-7, and radiometric resolution, 11 and 8 bits respectively. The bands we have 29 chosen are Red (R), Green (G), Blue (B) and Near InfraRed (NIR). For each of those bands, 30 the MF spectrum has been calculated directly from the Hölder exponents α and the 31 singularities spectrum $f(\alpha)$.





1 2 Materials and methods

2 2.1 Images

As already noted, in this work we have analysed two images of the same site acquired from
different satellites, Landsat-7 and Ikonos-2. Both are multi-spectral images with several bands
that cover several regions of the electromagnetic spectrum in the visible and near infrared
wavelength.

7

8 Landsat-7 was put in orbit in April 1999. This satellite follows a sun-synchronous orbit at 705 9 km of altitude, with an equatorial crossing time of 10:00 a.m. in the descending node. It 10 requires 98.8 min to circle the Earth, tracing a worldwide reference system (WRS) of just 11 over 230 ground paths. During at least three decades Landsat orbits over each of these paths 12 once every 16 days in a repetitive cycle (Mika, 1997).

13

14 The main Landsat-7 sensor for Earth observation is the Enhanced Thematic Mapper Plus 15 (ETM+). The ETM+ operates as a whiskbroom scanner and acquires data for seven spectral 16 bands: visible (ETM+#1, from 0.45 to 0.52 µm; ETM+#2, from 0.53 to 0.61 µm; ETM+#3, from 0.63 to 0.69 µm), near infrared (ETM+#4, from 0.78 to 0.9 µm), shortwave infrared 17 18 (ETM+#5, from 1.55 to 1.75 µm, and ETM+#7, from 2.09 to 2.35 µm) and thermal infrared 19 (ETM+#6, from 10.4 to 12.5 µm). The ETM+ ground sampling distance (pixel size in the 20 images) is 30 m for the six reflective bands and 60 m for the thermal band. The ETM+ also 21 acquires images for a panchromatic band (ETM+#8, from 0.52 to 0.9 µm) with a 15 m ground 22 sampling distance. The radiometric resolution of the Landsat-7 data is 8 bit/pixel or 256 grey 23 levels for the pixel digital value.

24

Ikonos-2 was launched in September 1999. Its panchromatic sensor, with a resolution of 0.82 m, provided the first very high resolution images of the Earth's surface from EOS. The Ikonos orbit altitude is approximately 681 km, it is inclined 98.1 degrees to the equator and it provides sun-synchronous operation. The equatorial crossing time of Ikonos is 10:30 a.m. in





1 the descending node. The orbit provides daily access to sites within 45 degrees of nadir (Dial

- 2 et al. 2003).
- 3

The multi-spectral sensor simultaneously collects blue (IK#1, from 0.445 to 0.516 μm), green (IK#2, from 0.506 to 0.595 μm), red (IK#3, from 0.632 to 0.698 μm) and near infrared (IK#4, from 0.757 to 0.853 μm) bands with 3.28 meter resolution at nadir. Both images, panchromatic and multi-spectral, have a radiometric resolution of 11 bits/pixel or 2048 grey levels for the pixel digital value.

9

The Landsat-7 multi-spectral image used in this study was acquired on August 6th 2000 at 10:46 a.m. and it corresponds to the scene with WRS coordinates, path and row: 201 - 32. This scene is located in the central region of Spain and it covers a square surface of approximately 180 km side-length, located around Madrid. Solar azimuth and elevation angles for this scene are 132.44 and 58.62 degrees respectively.

15

The Ikonos data used in this study is a multi-spectral image acquired on August 8th 2000 at 17 11:03 a.m. It covers a square area of 11 km side located near Aranjuez, south of Madrid, in 18 the central region of Spain. Solar azimuth and elevation angles for this scene are 139.5 and 19 60.79 degrees respectively. Both images were corrected geometrically to the same 20 cartographic projection: UTM, zone 30 N by a co-registration process.

21

The analysis has been carried out on a subset that covers (approximately) the same area in both the Landsat and the Ikonos images, corresponding to a region located north the town of Aranjuez. The representative elements of the land used in the selected area are: irrigate crops, pastures, heaths, unirrigated land cultivations and olive groves. The Landsat subset is a rectangle of 772 x 824 pixels with a size of 30 m. The Ikonos image consists of a square subset with 2046 x 2046 pixels and 4 m resolution.





1 2.2 Multifractal image analysis

- 2 A multifractal analysis is basically the measurement of a statistic distribution and therefore
- 3 gives useful information even if the underlying structure does not show a full self similar
- 4 behaviour (Plotnick et al., 1996).
- 5
- 6 A monofractal object can be measured by counting the number N of δ size boxes needed to
- 7 cover the object. The measure depends on the box size as

8
$$N(\delta) \propto \delta^{-D_0}$$
 (1)

9 where

10
$$D_0 = \lim_{\delta \to 0} \frac{\log N(\delta)}{\log \frac{1}{\delta}}$$
(2)

11 is the fractal dimension. D_0 is calculated from slope of a log-log plot.

12

There are several methods for implementing multifractal analysis; in this section the choose moment method (Halsey et al., 1986) is explained. This method uses mainly three functions: $\tau(q)$, known as the mass exponent function, α , the coarse Hölder exponent, and $f(\alpha)$, multifractal spectrum. A measure (or field), defined in two-dimensional image embedding space ($n \times n$ pixels) and with values based on grey tones (for 8 bit goes from 0 to 255), cannot be consider as a geometrical set and therefore cannot be characterized by a single fractal dimension.

20

Applying disjoint covering by boxes in an "up-scaling" partitioning process we obtain the partition function $\chi(q, \delta)$ (Feder, 1989) defined as:

23
$$\chi(q,\delta) = \sum_{i=1}^{N(\delta)} \mu_i^q(\delta) = \sum_{i=1}^{N(\delta)} m_i^q$$
(3)





- 1 where m is the mass of the measure, q is the mass exponent, δ is the length size of the box
- 2 and $N(\delta)$ is the number of boxes in which $m_i > 0$. Based on this, the mass exponent function
- 3 $\tau(q)$ shows how the moments of the measure scales with the box size:

$$4 \qquad \tau(q) = \lim_{\delta \to 0} \frac{\log \langle \chi(q, \delta) \rangle}{\log(\delta)} = \lim_{\delta \to 0} \frac{\log \langle \sum_{i=1}^{N(\delta)} m_i^q \rangle}{\log(\delta)} \tag{4}$$

5 where <> represents statistical moment of the measure $\mu_i(\delta)$ defined on a group of non 6 overlapping boxes of the same size partitioning the area studied.

7

8 The singularity index, α, can be determined by the Legendre transformation of the τ(q) curve
9 (Halsey, 1986) as:

10
$$\alpha(q) = \frac{d\tau(q)}{dq}$$
 (5)

11

12 The number of cells of size δ with the same α , $N_{\alpha}(\delta)$, is related to the cell size as 13 $N_{\alpha}(\delta) \propto \delta^{-f(\alpha)}$, where $f(\alpha)$ is a scaling exponent of the cells with common α . Parameter 14 $f(\alpha)$ can be calculated as:

15
$$f(\alpha) = q\alpha(q) - \tau(q)$$
 (6)

16

17 Multifractal spectrum (MFS) shown as plot of α vs. $f(\alpha)$, quantitatively characterizes 18 variability of the measure studied with asymmetry to the right and left indicating domination 19 of small and large values respectively (Evertsz and Mandelbrot, 1992). The width of the MF 20 spectrum (Δ) indicates overall variability (Tarquis et al., 2001; 2014).





1 **3 Results and Discussion**

2 **3.1** Radiometric influence in the Multifractal spectrum

3 We first discuss the results obtained for the 2046 x 2046 pixels Ikonos image shown in Fig. 1, 4 in bands combination of false colour (IK#4, IK#3, IK#2 bands combination in RGB visualization). In Fig. 2 IK#1, IK#2, IK#3, IK#4 bands histograms are shown. In the left 5 6 column are histograms with the original radiometric resolution and in the left column the 7 corresponding histograms rescaled to 8 bits. The histograms present a bimodal structure with 8 a narrow peak of low value pixels (dark grey) showing a sharp maximum and a wider peak 9 around a second lower maximum. For bands IK#1, IK#2, IK#3 the narrow peak maximum 10 corresponds to vegetation, mainly irrigate crops, showing strong water absorption. This effect 11 is particularly important in band IK#3. High value pixels (lighter grey) correspond to ground 12 zones with lower vegetation content. However, as vegetation shows high reflectivity in the 13 near infrared, IK#4 band histogram shows a predominance of high values pixels (lighter grey 14 pixels) corresponding to dense vegetation parts.

15

16 We cover the image with boxes of size $\delta = 2^{-n}$ and we change the box size from 2048 to 2 pixels, that is, $\delta = 2048/2^n$ with $n = 0, 1, 2, \dots, 10$. For each value of the parameter q, from -5 17 18 to +5 with increments of 0.5, the partition function (equation 3) is computed and $\chi(q,\delta)$ vs 19 $\log \delta$ is plotted in Fig. 3. Each graph contains 11 points. Linear fits of these points with least-20 square provides the values of $\tau(q)$ and using Eq. [5-6] $\alpha(q)$ and $f(\alpha)$ are obtained. The MF 21 spectra $f(\alpha)$ corresponding to the four bands of multispectral Ikonos images are shown in 22 Fig. 4. Some characteristic parameters obtained from these MF spectra are shown in Table 1. 23 For each band $\alpha(q)$ values for $q = \{0,1,2\}$ are show. The first value corresponds to the 24 maximum of MFS and it is related to the box-counting dimension where the measure is 25 defined; the second value is related to information dimension and the third with the 26 correlation dimension. We split the MF spectra in two sections. Section I correspond to values 27 $\alpha(q) < \alpha(0)$ or q > 0 and section II to values with $\alpha(q) > \alpha(0)$ or q < 0. In section I the 28 amplitude, or semi-width, was calculated with differences $\Delta^+ = \alpha(0) - \alpha(+5)$, and in section II 29 with $\Delta^- = \alpha(-5) - \alpha(0)$. These two values for Ikonos bands are shown in the two last





- 1 columns in Table 1. Note that amplitud Δ^+ decreases as bands wavelength grows whereas the
- 2 other amplitude Δ^- diminishes.
- 3

To study the influence of radiometric resolution on Ikonos image information complexity, the original pixel code (11 bits) has been transform to 8 bits through a rescaling based on minimum and maximum values between 0 and 255, preserving the initial histogram shape. In figure 2 both the original histograms (left column) and the rescale histograms (right column) are shown.

9

10 The MF spectrum obtained after this transformation is shown in figure 4 (upper part) and the 11 corresponding new parameters in Table 2. In this case both Δ^+ and Δ^- increase as the 12 wavelength increases for the three visible bands, but decreasing for the near infrared band.

13

14 **3.2** Spatial resolution influence in the Multifractal spectrum

In order to avoid any other effect beside the spatial resolution a comparison between Landsat (with an original pixel code of 8 bits) and the rescaled histograms from Ikonos is made. In this section we discuss the results obtained in the MF analysis on 772 x 828 pixels Landsat image shown in Figure 5, in bands combination of false colour (ETM+#4, ETM+#3 and ETM+#2 bands combination in RGB visualization). The yellow rectangle corresponds to the area covered for the Ikonos image. Frequency values histograms of these bands are showed in the right column of Figure 5.

22

In the calculations, box sizes range from 512 to 2 pixel, that is, $\delta = 512/2^n$ with n = 0, 1, ...8. For each value of the parameters q, from -5 to +5 with increments of 0.5, we compute the partition function, and $\chi(q, \delta)$ vs log δ are plotted in Figure 6. In this case each linear fits contains only 9 points. The MF spectra, $f(\alpha)$, corresponding to the first four bands of multispectral Landsat images are shown in Figure 7.





1 From a comparison of figures 4 and 7 we see that Landsat images MF spectra are always 2 located inside the corresponding Ikonos MF spectra. For a given value of Hölder exponent α 3 the relation $f_{Landsat}(\alpha) \le f_{Ikonos}(\alpha)$ is always satisfied. This result means that Landsat images 4 show lower complexity than Ikonos images. As stated in section 3.1 Ikonos satellite data are 5 coded in 11 bits in contrast with Landsat 8 bits coded data. Higher radiometric resolution 6 gives a higher range of possible grey values per pixel. Note that this radiometric resolution 7 effect is manifested in both sections of the MF spectra (I, q > 0, and II, q < 0).

8

9 The MF spectra parameters are shown in Table 3. In general, Landsat bands present a low 10 degree of multifractality similar to Ikonos images coded at 8 bits. This is also true among the 11 different bands, presenting low variations among them in a range of 1.3 and 1.4. Landsat 12 bands do not show a clear relationship between multifractality and wave length, being the 13 near infrared the lowest multifractality value.

14

15 4 Conclusions

In this work, we have used MF spectra as a successful technique for analyzing common information contained in multi-spectral images of the site of the Earth surface acquired by two satellites, Landsat-7 and Ikonos, in four common bands in the visible (blue, green and red) and near-infrared wavelength regions used in several vegetation indexes.

20

The radiometric resolution has been studied comparing MF spectra of the images acquired by Ikonos-2 coded in 11 bits and transformed in 8 bits code. The results obtained after the histogram transformation in the blue and green bands were the ones you would expected after the simplification applied from 11 to 8 bits, i.e. higher frequency in all the histogram bin values (see figure 2). In contrast, red and infrared bands showed no sensitivity at all to this transformation keeping the same MF spectra. To our knowledge, this is the first time these differences among bands are reported.





In order to analyse the effect of spatial resolution in each band at 4 m (Ikonos with 8 bits) 1 2 pixel size and 30 m (Landsat with 8 bits) pixel size are compared. Obviously, the higher the 3 spatial resolution, the higher the Hölder spectrum amplitude in the green and blue bands are. 4 In fact, observing the graphics of the three cases studied (Ikonos 11 bits, Ikonos 8 bits and 5 Landsat 8 bits) both bands gradually reduce their $\alpha(q)$ amplitude in the negative as well as in 6 the positive q values. However, this is not the case for red and infrared bands that present a 7 huge difference between Ikonos and Landsat curves of the MF spectra. This implies that the 8 estimation in the vegetation indices from both sensors will be different.

9

10 In the q > 0 region for blue and green bands the sensitivity to both factors are very similar, 11 being the blue band ratio slightly higher. In the other two bands, red and infrared, for the same 12 region only present sensitivity to spatial resolution, showing a similar rate than blue and green 13 bands. Observing the q < 0 region for blue and green the behaviour is similar to the positive 14 one but with a lower ratio (between 1 and 2) and once more, the red and infrared bands don't 15 show any sensitivity to radiometric resolution. Nevertheless in the spatial resolution the red 16 band has a ratio similar to blue and green, and infrared shows the highest ratio (around 8) 17 pointing the extreme influence of the lowest values contained, see histograms in figure 2 18 (Ikonos-2 8 and 11 bits) and figure 5 (Landsat-7).

19

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- 1 Table 1. Parameters obtained from the multifractal spectrum from each band of Ikonos-2
- $\$ image with a pixel size of 4 m and a radiometric resolution of 11 bits. . The amplitudes of α
- 3 values are presented as Δ^+ and Δ^- corresponding to $\alpha(0)$ $\alpha(5)$ and $\alpha(-5)$ $\alpha(0)$ respectively.

IKONOS (11bits)	Band	q	α(q)	Δ^{+}	Δ ⁻
		0	2.096		
	IK#1	1	1.938	0.418	0.256
		2	1.865		
	IK#2	0	2.111		
		1	1.936	0.377	0.313
		2	1.871		
. ,		0	2.120		
	IK#3	1	1.937	0.348	0.382
		2	1.878		
		0	2.067		
	IK#4	1	1.959	0.290	0.470
		2	1.908		





- 1 Table 2. Parameters obtained from the multifractal spectrum from each band of Ikonos-2
- 2 image with a pixel size of 4 m and a radiometric resolution of 8 bits. The amplitudes of α
- 3 values are presented as Δ^+ and Δ^- corresponding to $\alpha(0)$ $\alpha(5)$ and $\alpha(-5)$ $\alpha(0)$ respectively.

4

	Band	q	α(q)	Δ^{\star}	Δ-
IKONOS (8bits)		0	2.044		
	IK#1	1	1.971	0.231	0.192
		2	1.930		
	IK#2	0	2.060		
		1	1.963	0.270	0.287
		2	1.914		
	IK#3	0	2.102		
		1	1.945	0.323	0.614
		2	1.887		
	IK#4	0	2.056		
		1	1.966	0.248	0.512
		2	1.923		

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- 1 Table 3. Parameters obtained from the multifractal spectrum from each band of Landsat
- 2 image with a pixel size of 4 m and a radiometric resolution of 8 bits. The amplitudes of α
- 3 values are presented as Δ^+ and Δ^- corresponding to $\alpha(0)$ $\alpha(5)$ and $\alpha(-5)$ $\alpha(0)$ respectively.

4

	Band	q	α(q)	Δ^{\star}	Δ-
		0	2.021		
	ETM+#1	1	1.985	0.160	0.119
		2	1.960		
		0	2.018		
	ETM+#2	1	1.988	0.119	0.119
Landsat		2	1.970		
		0	2.016		
	ETM+#3	1	1.989	0.095	0.110
		2	1.974		
		0	2.017		
	ETM+#4	1	1.989	0.106	0.104
		2	1.973		

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<sup>Figure 1. The Ikonos-2 image in band combinations of false colour (IK#4, IK#3 and IK#2 in
RGB). The image has a size of 2046 x 2046 pixels, each area unit correspond to 4x4 m. The
coordinates UTM (zone 30) of the upper left and low right pixel in the image are: ULX =
446037 m, ULY = 4441672 m, LRX = 454221 m and LRY = 4433492 m.</sup>







Figure 2. Histograms of the four bands of Ikonos-2 image for the original radiometric
resolution, 11 bits (right), and the minimum-maximum rescale 8 bits radiometric resolution
(left).







Figure 3. Plots of the partition function χ(q,δ) versus log(δ) for the first four bands of
Ikonos-2 satellite and for q < 0 values. From top to bottom we show the results for IK#1,
IK#2, IK#3 and IK#4. All the points are used for the fit and to calculate the slope for different
values of q. The left column correspond to 8-bit image and the right column to 11 bit image.







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Figure 4. Multifractal spectrum of Ikonos-2 images for the original pixel values coded in 11
bits (lower) and the min-max rescale to 8 bits (upper), in each band analyzed: IK#1 in blue

5 colour, IK#2 in green colour, IK#3 in red colour and IK#4 in black.







Figure 5. The Landsat-7 image and the histograms for the first four bands: blue (ETM+ #1),
green (ETM+ #2), red (ETM+ #3) and near infrared (ETM+ #4). The image has a size of
772x828 pixels, each area unit correspond to 30x30 m. The coordinates UTM (zone 30) of the
upper left and low right pixel in the image are: ULX = 438678 m, ULY = 4454134 m, LRX =
461808 m and LRY = 4429324 m.









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Figure 6. Plots of the partition function $\chi(q,\delta)$ versus $\log(\delta)$ for the first four bands of 2 Landsat satellite and for q < 0 values. From top to bottom we show the results for ETM+#1, 3 ETM+#2, ETM+#3 and ETM+#4. All the points are used for the regression line and to 4 calculate the slope for different values of q. 5

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3 Figure 7. Multifractal spectrum of Landsat images for the original pixel values coded in 8

4 bits, in each band analyzed: ETM+#1 in blue colour, ETM+#2 in green colour, ETM+#3 in

5 red colour and ETM+#4 in black.