

# 1 **Dynamics of turbulence under the effect of stratification and internal waves**

2 O. A. Druzhinin<sup>1</sup> and L. A. Ostrovsky<sup>2</sup>

3 <sup>1</sup> The Institute of Applied Physics, Russian Acad. Sci., Nizhny Novgorod, Russia

4  
5 *druzhinin@hydro.appl.sci-nnov.ru*

6 <sup>2</sup> NOAA Environmental Science Research Lab. Boulder, CO USA

7 *lev.a.ostrovsky@noaa.gov*

8

## 9 **Abstract**

10 The objective of this paper is to study the dynamics of small-scale turbulence near a pycnocline,  
11 both in the free regime and under the action of an internal gravity wave (IW) propagating along a  
12 pycnocline, using direct numerical simulation (DNS). Turbulence is initially induced in a horizontal  
13 layer at some distance above the pycnocline. The velocity and density fields of IW propagating in  
14 the pycnocline are also prescribed as initial condition. The IW wavelength is considered to be by  
15 the order of magnitude larger as compared to the initial turbulence integral length scale.  
16 Stratification in the pycnocline is considered to be sufficiently strong so that the effects of turbulent  
17 mixing remain negligible. The dynamics of turbulence is studied both with and without initially  
18 induced internal wave. The DNS results show that in the absence of IW turbulence decays, but its  
19 decay rate is reduced in the vicinity of the pycnocline where stratification effects are significant. In  
20 this case, at sufficiently late times most of turbulent energy is located in a layer close to the  
21 pycnocline center. Here turbulent eddies are collapsed in the vertical direction and acquire the  
22 “pancake” shape. IW modifies turbulence dynamics, in that the turbulence kinetic energy (TKE) is  
23 significantly enhanced as compared to the TKE in the absence of IW. As in the case without IW,  
24 most of turbulent energy is localized in the vicinity of the pycnocline center. Here the TKE  
25 spectrum is considerably enhanced in the entire wavenumber range as compared to the TKE  
26 spectrum in the absence of IW.

27

## 28 **1. Introduction**

29 Interaction between small-scale turbulence and internal gravity waves (IW) plays an important role  
30 in the processes of mixing which have direct impact on the dynamics of seasonal pycnocline in the  
31 ocean (Phillips 1977, Fernando 1991). Turbulence in the mixed region above the pycnocline can be  
32 produced by breaking surface waves driven by the wind forcing or due to shear-flow instabilities. In  
33 laboratory studies of the effect of small-scale turbulence on the pycnocline, in the absence of mean  
34 shear, turbulent motions are usually induced by an oscillating grid (Turner 1973, Thorpe 2007).

35 One of the most interesting and practically important aspects of the turbulence-IW interaction in  
36 the absence of mean shear is the effect of damping of IWs by turbulence on the one hand, and the  
37 possibility of enhancement of small-scale turbulence by non-breaking IWs on the other hand. The  
38 phenomena of IWs damping by turbulence was observed in early laboratory experiments by Phillips  
39 (1977) and Kantha (1980). Quantitative measurements of the IW damping effect were first  
40 performed in a laboratory experiment by Ostrovsky et al. (1996). In the latter experiment, IWs were  
41 generated in the pycnocline by a wavemaker, and small-scale turbulence was induced by an  
42 oscillating grid at some level above the pycnocline. Measurements and comparison of the IW  
43 amplitudes, with and without turbulence, showed an effective enhancement of the decay rate of IW  
44 under the effect of turbulence which was found to be in good agreement with the theoretical  
45 prediction by Ostrovsky & Zaboriskikh (1996) based on a semi-empirical closure approach.

46 The effect of damping of IWs propagating through a pycnocline by a forced turbulent layer  
47 above the pycnocline was recently studied with the use of direct numerical simulation (DNS) by  
48 Druzhinin et al. (2013). The results show that if the ratio of IW amplitude vs. turbulent pulsations  
49 amplitude is sufficiently small (less than 0.5), turbulence strongly enhances IW damping. In this  
50 case, the damping rate obtained in DNS agrees well with the prediction of a semi-empirical closure  
51 approach developed by Ostrovsky & Zaboriskikh (1996). However, for larger IW amplitude the  
52 effect of damping of IWs by turbulence is much weaker, and, in this case, the semi-empirical theory  
53 overestimates the IW damping rate by the order of magnitude.

54 The effect of enhancement of small-scale turbulence by mechanically-generated, non-breaking  
55 internal wave was experimentally observed by Matusov et al. (1989). In that experiment, an  
56 oscillating grid was used to induce turbulence above a pycnocline. An internal gravity wave  
57 propagating in the pycnocline was generated by a wave-maker. The results of this experiment show  
58 that a sufficiently strong (as compared to turbulent grid-induced velocity fluctuations), non-  
59 breaking internal wave can significantly increase the kinetic energy of turbulence in the well-mixed  
60 layer above the pycnocline. However, the obtained experimental data did not provide enough detail  
61 to study the modification of turbulence kinetic energy spectra under the influence of IWs.

62 A somewhat similar phenomena has been experimentally observed in the ocean boundary layer  
63 where the kinetic energy of turbulence and its dissipation rate can be significantly enhanced in the  
64 presence of surface gravity waves relative to the wind-stress production (cf. e.g. Anis & Moum  
65 1995). The results of recent numerical simulations by Tsai et al. (2015) also show that non-breaking  
66 surface waves can effectively increase turbulence kinetic energy in the vicinity of the water surface.

67 The objective of the present paper is to study the possibility of the enhancement of small-scale  
68 turbulence by internal gravity wave (IW) propagating in a pycnocline, and consider the case where  
69 the initial IW amplitude is of the order, or larger than, the turbulent pulsations amplitude. The  
70 results of DNS by Druzhinin et al. 2013 show that, under this condition, the damping of IW by  
71 turbulence remains negligible. As in the latter study, the buoyancy jump across the pycnocline is  
72 considered to be large enough, such that the effects related to turbulent mixing remain insignificant.  
73 The main focus here is to investigate in more detail the effect of the enhancement of small-scale  
74 turbulence by IW propagating in the pycnocline, and in particular, to separate the contributions of  
75 IW velocity field and the turbulent velocity field produced by the IW in the fluid kinetic energy  
76 spectrum.

77 The setup and parameters of the numerical experiment are discussed in Sec. 2. Initialization of  
78 internal waves and their properties are discussed in Sec. 3. The dynamics of turbulence in the  
79 absence of the initially excited IW is discussed in Sec. 4. The effect of IW propagating in the  
80 pycnocline on turbulence dynamics is discussed in Sec. 5. Conclusions and discussion of numerical

81 results and estimates of the enhancement of small-scale turbulence by IW in laboratory and natural  
 82 conditions are presented in Sec. 6.

83

## 84 **2. Numerical method and initial conditions**

85 We consider a stably stratified fluid with a pycnocline (Fig. 1). Initial turbulence field is localized  
 86 in a layer at some distance above the pycnocline. The first mode of the internal wave propagating  
 87 along the pycnocline from left to right is also prescribed as initial condition. Periodic boundary  
 88 conditions in the horizontal,  $x$  and  $y$ , directions and Neumann (zero normal gradient) boundary  
 89 condition in the vertical  $z$  direction are considered. The thickness of the pycnocline,  $L_0$ , and the

90 buoyancy frequency in the middle of the pycnocline,  $N_0 = \left( -\frac{g}{\rho_0} \frac{d\rho_0}{dz} \right)^{1/2}$  (where  $g$  is the gravity  
 91 acceleration and  $\rho_0(z)$  the fluid density), are chosen to define the characteristic length and time  
 92 scales,  $L_0$  and  $T_0 = 1/N_0$ , which are used further to write the governing equations in the  
 93 dimensionless form.

94 The Navier-Stokes equations for the fluid velocity are written under the Boussinesq  
 95 approximation as (Phillips 1977):

$$96 \quad \frac{\partial U_i}{\partial t} + U_j \frac{\partial U_i}{\partial x_j} = -\frac{\partial P}{\partial x_i} + \frac{1}{\text{Re}} \frac{\partial^2 U_i}{\partial x_j^2} - \text{Ri} \delta_{iz} \rho \quad (1)$$

$$97 \quad \frac{\partial U_j}{\partial x_j} = 0 \quad (2)$$

98 The equation for the fluid density is

$$99 \quad \frac{\partial \rho}{\partial t} + U_j \frac{\partial \rho}{\partial x_j} - U_z N_{ref}^2(z) = \frac{1}{\text{Re Pr}} \frac{\partial^2 \rho}{\partial x_j^2} \quad (3)$$

100 In Eqs. (1)-(3),  $U_i (i = x, y, z)$  is the instantaneous fluid velocity, and  $\rho$  and  $P$  are the  
 101 instantaneous deviations of the fluid density and pressure from the respective hydrostatic profiles,  
 102  $x_i = x, y, z$  are the Cartesian coordinates;

103 Reynolds and Richardson numbers are defined as

104 
$$\text{Re} = \frac{U_0 L_0}{\nu}, \quad \text{Ri} = \left( \frac{L_0 N_0}{U_0} \right)^2 \quad (4)$$

105 The Prandtl number is  $\text{Pr} = \nu / \kappa$ , where  $\nu$  is the fluid kinematic viscosity and  $\kappa$  the molecular  
 106 diffusivity. The coordinates, time and velocity are normalized by the length, time and velocity  
 107 scales,  $L_0$ ,  $T_0$  and  $U_0 = L_0 / T_0$ . Note that since the time scale is defined as  $T_0 = 1 / N_0$  and the  
 108 velocity scale  $U_0 = L_0 / T_0 = L_0 N_0$ , the Richardson number in DNS identically equals unity,  $\text{Ri} = 1$ .  
 109 The density deviation  $\rho$  is normalized by the density jump across the pycnocline,  $\Delta\rho_0$  (Fig.1).

110 The dimensionless reference profile of the buoyancy frequency,  $N_{ref}(z)$ , is prescribed in the  
 111 form:

112 
$$N_{ref}(z) = \frac{1}{\cosh 2(z - z_p)} \quad (5)$$

113 where  $z_p$  defines the pycnocline location, and the dimensionless reference density profile,  $\rho_{ref}(z)$ ,  
 114 is:

115 
$$\rho_{ref}(z) = \rho_{ref}(-\infty) - \int_{-\infty}^z N_{ref}^2(z) dz = \rho_{ref}(-\infty) - 0.5 \tanh 2(z - z_p) \quad (6)$$

116 where  $\rho_{ref}(-\infty)$  can be an arbitrary constant since its value does not influence the integration of  
 117 (1)-(3). Thus, for convenience,  $\rho_{ref}(-\infty)$ , is set equal to 1.5, and the reference density profile is  
 118 rewritten in the form:

119 
$$\rho_{ref}(z) = 1 + 0.5 [1 - \tanh 2(z - z_p)]. \quad (7)$$

120 The dimensionless instantaneous density is obtained as a sum of  $\rho_{ref}(z)$  and the instantaneous  
 121 density deviation,  $\rho$ . Note that the dimensional density can be obtained as a sum  
 122  $[\rho_0 + \Delta\rho_0 (0.5 [1 - \tanh 2(z - z_p)] + \rho)]$  where  $\rho_0$  is the dimensional reference (undisturbed) density  
 123 above the pycnocline.

124 The Navier-Stokes equations for the fluid velocity and density (1)-(3) are integrated in a cubic  
 125 domain with sizes  $0 \leq x \leq 40$ ,  $-10 \leq y \leq 10$  and  $0 \leq z \leq 20$  by employing a finite difference  
 126 method of the second-order accuracy on a uniform rectangular staggered grid consisting of

127  $400 \times 200 \times 200$  nodes in the  $x$ -,  $y$ - and  $z$ - directions, respectively. The integration is advanced in  
 128 time using the Adams-Bashforth method with time step  $\Delta t = 0.01$ . The Poisson equation for the  
 129 pressure is solved by FFT transform over  $x$  and  $y$  coordinates, and Gaussian elimination method  
 130 over  $z$  coordinate (Druzhinin et al. 2013). The Neumann (zero normal gradient) boundary  
 131 condition is prescribed for all fields in the horizontal  $(x,y)$  planes at  $z = 0$  and  $z = 20$ , and periodic  
 132 boundary conditions are prescribed in the longitudinal ( $x$ ) and transverse ( $y$ ) directions.

133 In DNS we prescribe the Reynolds number to be  $Re = 20000$ . This number is sufficiently large  
 134 to render the viscous damping of IWs negligible. The Prandtl number  $Pr$  is set equal to unity.

135

### 136 3. Internal waves

137 The initial condition for the velocity and density fields is prescribed as a first mode of internal wave  
 138 field with wavelength  $\lambda$  (and wavenumber  $k = 2\pi/\lambda$ ) and frequency  $\omega$ . The solution of the  
 139 linearized eqs.(1)-(3) for the progressive internal wave propagating from left to right in the  $x$ -  
 140 direction can be defined as (Phillips 1977):

$$141 \quad U_x^{IW}(x, z, t) = -\frac{1}{k} \frac{dW(z)}{dz} \sin(kx - \omega t) \quad (8)$$

$$142 \quad U_z^{IW}(x, z, t) = W(z) \cos(kx - \omega t) \quad (9)$$

$$143 \quad \rho_z^{IW}(x, z, t) = \frac{W(z)}{\omega} \frac{d\rho_{ref}}{dz} \sin(kx - \omega t) \quad (10)$$

144 The initial conditions for the IW field are taken from (8)-(10) at  $t = 0$ . Function  $W(z)$  is obtained as  
 145 an eigenfunction of the well-known Taylor-Goldstein boundary problem (Phillips 1977):

$$146 \quad \frac{d^2W}{dz^2} + \left( \frac{N^2}{\omega^2} - 1 \right) k^2 W = 0 \quad (11)$$

147 with conditions  $W(z) \rightarrow W_0 \exp[k(z - z_p)]$  for  $z \ll z_p$ , and  $W(z) \rightarrow W_0 \exp[-k(z - z_p)]$  for  $z \gg$   
 148  $z_p$ , where  $W_0$  is the IW velocity amplitude at  $z = z_p$ . The problem (11) was solved by the shooting  
 149 method with matching at the pycnocline center,  $z = z_p$  (Hazel, 1972). The distribution of the first-  
 150 mode vertical velocity in the IW and the dispersion relation  $\omega(k)$  for wavenumbers in the range 0.3

151  $< k < 6$  obtained numerically for wavelength  $\lambda = 10$  are presented in Fig. 2a. The figure shows that,  
152 as expected, the energy of the first mode is concentrated around the pycnocline.

153 DNS was performed with initial conditions (8)-(10) at  $t = 0$  corresponding to the IW fields with  
154 wavelength  $\lambda = 10$  (frequency  $\omega = 0.489$ , period  $T \approx 13$ ). Previous DNS results by Druzhinin et al.  
155 (2013) show that weak IWs of short length (say, about 3 times smaller as compared to the  $\lambda = 10$   
156 considered in the present paper) are severely damped by turbulence. The results show that the  
157 damping rate of IWs with the amplitude two times less than the turbulence amplitude grows as  
158  $1/\lambda^2$ . On the other hand, if we consider larger IW amplitudes and reduce the IW length, the wave  
159 slope increases so that strong, short-length IW become strongly non-linear and are prone to  
160 breaking and viscous dissipation. In the present study, the IW amplitude was prescribed as  
161  $W_0 = 0.1$ . Figure 2b shows isopycnal displacements obtained in DNS at different times with initial  
162 conditions prescribed for IW with selected wavelength. In this case, the amplitude of the isopycnal  
163 displacement is about  $a \approx 0.2$ , and the wave slope is about  $ka = 2\pi a / \lambda \approx 0.12$  which may be  
164 regarded small enough to ensure that non-linear effects during the IW propagation in the pycnocline  
165 remain negligible. Below (in Fig. 7) spatial IW spectra also show that amplitudes of higher  
166 harmonics remain negligible as compared to the first harmonics amplitude.

167

#### 168 **4. Dynamics of turbulence in the absence of IW**

169 In order to investigate how turbulence evolves in the absence of internal wave, DNS was performed  
170 with the initially induced turbulence field and no imposed IW field. The mid-pycnocline level was  
171 prescribed at  $z_p = 8$  and the turbulence layer center was set at  $z_t = 10$ . The values of  $z_p$  and  $z_t$  were  
172 chosen to ensure that the effects of turbulent mixing and internal wave generation by turbulence in  
173 the pycnocline remained sufficiently small for the considered initial amplitude of turbulent velocity  
174 (defined below).

175 Turbulent velocity field is initialized in DNS as a random, divergence-free field in the form:

176 
$$U_i(x, y, z) = U_{i0} U_i^f(x, y, z) \exp[-0.5(z - z_t)^2] \quad (12)$$

177 where  $i = x, y, z$ .  $U_i^f(x, y, z)$  is a homogeneous isotropic field with a given power spectrum in the  
 178 form

$$179 \quad E(k) = E_0 k \exp\left(-\frac{k}{k_f}\right) \quad (13)$$

180 where wavenumber  $k_f$  defines the spectral location of the energy peak. Factor  $E_0$  is chosen so that  
 181 the amplitude (i.e. an absolute maximum value of the modulus of  $U_i^f(x, y, z)$ ) equals unity. Thus  
 182 parameter  $U_{i0}$  in (12) defines the turbulence velocity amplitude;  $U_{i0}$  and  $k_f$  were prescribed in  
 183 DNS as  $U_{i0} = 0.1$  and  $k_f = 1$ . Numerical results show that, in this case, the effects of turbulent  
 184 mixing on the pycnocline structure and generation of internal waves by turbulence remain  
 185 negligible during the considered time interval ( $t = 0 \div 400$  in dimensionless units). For the  
 186 considered choice of the spectrum (13) with  $k_f = 1$  the turbulence dimensionless integral length  
 187 scale,  $L_t$ , at initialization is of order unity. Thus, the turbulent Reynolds number,  $Re_t$ , based on  $L_t$   
 188 and  $U_{i0}$ , is evaluated as  $Re_t = L_t U_{i0} Re \approx 2000$ .

189 The mean vertical profiles of the velocity and density fields,  $\langle U_i \rangle(z)$  and  $\langle \rho \rangle(z)$ , were  
 190 obtained by averaging over the horizontal  $(x, y)$ -plane performed for each  $z$ . Root mean square  
 191 deviations (rms) of the velocity and density were then obtained as

$$192 \quad U_i' = \left(\langle U_i^2 - \langle U_i \rangle^2 \rangle\right)^{1/2}, \quad \rho' = \left(\langle \rho^2 - \langle \rho \rangle^2 \rangle\right)^{1/2} \quad (14)$$

193 The vertical mean profile of the mean kinetic energy,  $E(z)$ , was evaluated as

$$194 \quad E = \frac{1}{2} \sum_{i=x,y,z} U_i'^2 \quad (15)$$

195 Another important characteristics of the dynamics of turbulence in a density stratified fluid is the  
 196 gradient Richardson number,  $Ri_g$  (Phillips 1977). In the considered case, there is no mean shear.  
 197 Thus, the gradient Richardson number parameter can be evaluated via the mean buoyancy  
 198 frequency,  $N$ , and the mean turbulent shear stress defined by the TKE dissipation rate and kinematic  
 199 viscosity,  $(\varepsilon/\nu \equiv \varepsilon Re)$  (Thorpe 2007), as:



200 
$$Ri_g = -\frac{Ri}{\varepsilon Re} \frac{d \langle \rho \rangle}{dz} = \frac{N^2}{\varepsilon Re} \quad (16)$$

201 where the dissipation rate,  $\varepsilon$ , can be obtained from DNS data by averaging over  $(x,y)$ -plane for each  
 202  $z$  in the form (Phillips 1977):

203 
$$\varepsilon = \frac{1}{Re} \sum_i \left\langle \left( \frac{\partial \tilde{U}_i}{\partial x} \right)^2 + \left( \frac{\partial \tilde{U}_i}{\partial y} \right)^2 + \left( \frac{\partial \tilde{U}_i}{\partial z} \right)^2 \right\rangle, \quad i = x, y, z \quad (17)$$

204 In eq.(17)  $\tilde{U}_i = U_i - \langle U_i \rangle$  is the instantaneous deviation of the velocity from the mean value.

205 Fig. 3a shows vertical profiles of different rms velocity components,  $U'_x, U'_y, U'_z$ , and mean  
 206 density,  $\langle \rho \rangle$ , (left panel) and the Richardson number,  $Ri_g$ , (right panel) obtained in DNS at time  
 207 moments  $t = 100$  and  $t = 400$  with no initially induced IW. (Here and below in Fig. 4a the  $Ri_g(z)$   
 208 profile is cut off at the level of unity for  $Ri_g(z) > 1$ .) The figure also shows the density reference  
 209 (initial) profile,  $\rho_{ref}(z)$ , and the profiles of the rms velocity components  $U'_x$  and  $U'_z$  of the internal  
 210 wave without initially induced turbulence layer. The figure shows that  $x$  and  $y$  rms velocities  
 211 coincide in the region sufficiently far from the pycnocline (for  $z > 11$ ). In this region, the gradient  
 212 Richardson number remains sufficiently small ( $Ri_g < 0.2$  at  $t = 400$ ) so that it can be regarded as  
 213 weakly-stratified. On the other hand, in the region  $z < 10$ , sufficiently close to the pycnocline,  $Ri_g >$   
 214 1, and vertical rms velocity,  $U'_z$ , is much smaller as compared to the horizontal rms velocities,  $U'_x$   
 215 and  $U'_y$ , whose amplitudes peak at level  $z = 9$  at  $t = 400$ . Thus, in this, strongly-stratified, region  
 216 turbulent motion becomes quasi-two-dimensional and there occurs a collapse of three-dimensional  
 217 vortices and formation of pancake eddies (cf. Fig. 3e below). Figure 3a also shows that the mean  
 218 density profile,  $\langle \rho \rangle(z)$ , practically coincides with the reference profile,  $\rho_{ref}(z)$  during the  
 219 considered time interval. That means that the effect of turbulent mixing on the pycnocline structure  
 220 remains negligible.

221 Figure 3a also shows that vertical rms velocity increases in the vicinity of the pycnocline center  
 222 (at  $z = 8$ ) where  $U'_x, U'_y, U'_z$  are of the same order. This increase can be attributed to the presence of  
 223 internal waves excited by decaying turbulence in the pycnocline. The presence of these turbulence-

224 generated IWs is confirmed by numerical results in figure 3b. The figure shows temporal  
 225 development of the density at the point with coordinates  $x = 20$ ,  $y = 10$ , and  $z = 8$  (i.e. at the  
 226 pycnocline center in the middle of the computational domain). The figure also shows the temporal  
 227 development of the density in initially induced internal wave without initially excited turbulence for  
 228 comparison. The figure shows that small, finite density variations are present in the pycnocline  
 229 which can be attributed to weak internal waves excited by turbulence. The analysis of the frequency  
 230 spectrum of the density oscillations in the pycnocline and the structure of isopycnals (not presented  
 231 here) shows that mostly first- and second-mode IWs are generated by turbulence with  
 232 corresponding frequencies  $\omega_1 \approx 0.8$  and  $\omega_2 \approx 0.2$  and wavelength  $\lambda_t \approx 4$ . (More details about the  
 233 physical mechanism responsible for the IWs generation by turbulence in a pycnocline are provided  
 234 e.g. by Kantha (1979) and Carruthers & Hunt (1986).) The amplitude of these turbulence-generated  
 235 IWs remains by the order of magnitude smaller as compared to the amplitude of the IW induced in  
 236 the pycnocline due to initial condition (8)-(10).

237 Figure 3c shows the temporal development of  $x$ ,  $y$  and  $z$  rms velocity components,  $U'_x, U'_y, U'_z$ ,  
 238 obtained in DNS at different  $z$ -levels ( $z = 9, 10$  and  $11$ ). The figure shows that turbulence decays,  
 239 and the decay rate is different at different  $z$ -levels. At  $z = 11$ , the rms velocities remain of the same  
 240 order at all times. At levels  $z = 10$  and  $z = 9$ , component  $U'_z$  diminishes at a greater rate as compared  
 241 to the  $x$ - and  $y$ - components,  $U'_x$  and  $U'_y$ , so that at sufficiently late times ( $t > 200$  for  $z = 10$  and  $t >$   
 242  $50$  at  $z = 9$ ) vertical velocity becomes almost by the order of magnitude smaller as compared to the  
 243  $x$  and  $y$  velocity components. That means that in the region sufficiently close to the pycnocline there  
 244 occurs a collapse of three-dimensional turbulence under the effect of stable stratification and fluid  
 245 motion becomes quasi-two-dimensional.

246 Temporal development of the mean kinetic energy,  $E$ , at different  $z$ -levels ( $z = 9, 10$  and  $11$ ) is  
 247 presented in Fig. 3d. The figure shows that  $E$  decays at a lower rate in the region in the vicinity of  
 248 the pycnocline (at  $z = 9$ ), as compared to levels  $z = 10$  and  $z = 11$  where stratification is weak. The  
 249 figure shows that  $E(z = 11) \sim t^{-1.6}$  whereas  $E(z = 9) \sim t^{-0.9}$  at times  $t > 50$ .

250 In the experimental study of strongly stratified homogeneous decaying grid turbulence Praud et  
251 al. (2005) observed that kinetic energy decays as  $t^{-1.3}$  which is close to the decay law of non-  
252 stratified grid turbulence [Warhaft & Lumley (1978)]. Praud et al. (2005) also observed formation  
253 of pancake vortex structures at sufficiently late times. In the present study, the initial turbulence  
254 distribution is inhomogeneous in the  $z$ -direction, so that TKE dynamics at a given location is  
255 governed not only by viscous dissipation but also by turbulent diffusion of momentum. Therefore,  
256 the reduction of turbulence kinetic energy is also modified by turbulent momentum transport due to  
257 the inhomogeneity of turbulence. A reduced decay rate observed in our DNS in the strongly-  
258 stratified region ( $t^{-0.9}$  at  $z = 9$ ) as compared to the decay rate  $t^{-1.6}$  in the region with weaker  
259 stratification (at  $z = 11$ ) can be attributed to the growth of the horizontal scale of turbulence due to  
260 the development of quasi-two-dimensional, pancake vortex structures (to be discussed below).

261 Let us consider now the instantaneous distribution of the flow vorticity presented in Fig. 3e. The  
262 figure shows  $y$ - and  $z$ - components of vorticity, ( $\omega_y = \partial_z U_x - \partial_x U_z$  and  $\omega_z = \partial_x U_y - \partial_y U_x$ ) and  
263 density ( $\rho + \rho_{ref}(z)$ ) obtained in DNS in the vertical and horizontal planes with no initially induced  
264 IW field at time  $t = 400$ . The figure shows that sufficiently far from the pycnocline (at  $z > 10$ ),  
265 turbulence remains three-dimensional. However, in the vicinity of the pycnocline (in the region  $8 <$   
266  $z < 10$ ) the vorticity distribution in the vertical ( $x, z$ )-plane is characterized by a layered structure  
267 typical of stably stratified turbulence. The scale of vortices in the horizontal ( $x, y$ )-plane at  $z = 9$   
268 (where velocities  $U'_x$  and  $U'_y$ , and consequently the horizontal kinetic energy, have maximum) is  
269 larger as compared to the ( $x, y$ )-plane at  $z = 11$ , and turbulent eddies here acquire a pancake shape.  
270 This observation is in accord with results of previous laboratory studies where formation of pancake  
271 large-scale vortex structures in decaying, strongly-stratified homogeneous turbulence was observed  
272 (cf. Praud et al. (2005)). The figure shows also that strong variability of turbulence in the  $z$ -direction  
273 persists in the strongly stratified region in the vicinity of the pycnocline ( $8 < z < 10$ ) and, in this  
274 region,  $y$ - and  $z$ - vorticity components are generally of the same order.

275 Figure 3f shows the kinetic energy power spectrum,  $E(k)$ , obtained in DNS at different  $z$  levels ( $z$   
276 = 9 and  $z = 11$ ) at time moments  $t = 100$  and  $t = 400$ . Each spectrum is obtained by the Fourier

277 transform over  $x$ -coordinate at different  $y$ -locations and then spatially averaged in the  $y$ -direction.  
278 The figure shows that the spectrum obtained sufficiently far from the pycnocline, at  $z = 11$ , is  
279 characterized by an inertial interval (for  $k = 2 \div 20$  at  $t = 100$ , and  $k = 2 \div 8$  at  $t = 400$ ), and a  
280 viscous dissipation range at larger  $k$ 's. On the other hand, the spectrum obtained at  $z = 9$  is  
281 characterized by larger values of the kinetic energy at low wavenumbers ( $k < 3$ ) and by faster decay  
282 of  $E(k)$  at high  $k$ 's.

283 Therefore, the DNS results show that, during the considered time interval ( $t = 0 \div 400$ )  
284 turbulence decay is significantly affected by stratification in the vicinity of the pycnocline, in the  
285 region  $8 < z < 11$ . In this region, there occurs a collapse of three-dimensional turbulence and  
286 formation of quasi-2D pancake vortex structures. The horizontal spatial scale of these structures is  
287 considerably larger as compared to the characteristic size of 3D turbulent eddies which still survive  
288 in the non-stratified region sufficiently far from the pycnocline. In the latter region, the decay rate  
289 of the turbulent kinetic energy is enhanced as compared to the region in the vicinity of the  
290 pycnocline ( $E(z = 11) \sim t^{-1.6}$  as compared to  $E(z = 9) \sim t^{0.9}$ ). As a result, the location of the kinetic  
291 energy maximum is shifted with time from the center of the turbulent layer at  $z_t = 10$  (at  $t = 0$ ) to the  
292 level  $z = 9$ , i.e. closer to the pycnocline. At sufficiently late times ( $t > 400$ ) most of turbulent kinetic  
293 energy is located in a layer occupied by pancake large-scale eddies in the vicinity of the pycnocline.

294 Figure 3a shows that during the considered times, the rms turbulence velocity is almost by the  
295 order of magnitude smaller as compared to the velocity of initially induced IW without turbulence  
296 (0.01 vs. 0.06 at  $z = 9$ , cf. Fig. 3a). Thus, the internal wave created by initial condition (8-10) can  
297 indeed be regarded as strong as compared to the decaying turbulence. In the next section we study  
298 how this strong internal wave propagating through the pycnocline modifies turbulence dynamics.

299

## 300 **5. Turbulence dynamics in the presence of IW**

301 DNS was performed with both initially created turbulent layer and the internal wave field (8)-(10)  
302 prescribed at  $t = 0$  with amplitude  $W_0 = 0.1$  and wavelength  $\lambda = 10$ . The above presented results

303 show that for these parameters, the IW rms velocity exceeds turbulence velocity almost by the order  
304 of magnitude at sufficiently late times ( $t > 100$ ).

305 Figure 4 shows vertical profiles of the rms velocities,  $U'_x, U'_y$  and  $U'_z$ , and mean density,  
306  $\langle \rho \rangle$ , (left panel) and gradient Richardson number,  $Ri_g$ , (right panel) obtained in DNS at  $t = 100$   
307 (top) and  $t = 400$  (bottom). The figure also shows the rms velocity profile  $U'_y$  of turbulence in the  
308 absence of IW and the profiles  $U'_x$  and  $U'_z$  due to IW propagating in the pycnocline without  
309 initially induced turbulence. The figure shows that, at the considered times, the profiles of  $U'_x$  and  
310  $U'_z$  velocities of IW propagating in the pycnocline with and without initially created turbulence  
311 practically coincide. That means that IW field is weakly affected by turbulence, i.e. the effect of IW  
312 damping by turbulence can be regarded small during the considered time interval. This is in  
313 agreement with the results of the previous DNS study by Druzhinin et al. (2013) showing that IW is  
314 effectively damped only if turbulence amplitude is at least twice as large as compared to the IW  
315 amplitude.

316 It is important to note that, in the considered case of turbulence decaying in the presence of IW  
317 propagating in the pycnocline,  $U'_x$  and  $U'_z$  velocities include contributions due to both small-scale  
318 turbulence and IW fields. Thus, in order to distinguish the effect of IW on turbulence we compare  
319 the profiles of the *transverse* velocity component,  $U'_y$ , (cf. the cases of turbulence with IW vs.  
320 turbulence without IW in Fig. 4) since this velocity component *does not* include the contribution  
321 due to IW field (which has only  $x$ - and  $z$ - velocity components). Figure 4 shows that at early times ( $t$   
322 = 100) the  $U'_y$  - profiles of turbulence, decaying both in the absence of IW and with IW, coincide.  
323 However, at late times ( $t = 400$ ) velocity  $U'_y$  is considerably enhanced (almost by the order of  
324 magnitude) in a layer close to the pycnocline center, at  $z \approx 8$ , as compared to the  $y$ -velocity of  
325 turbulence without IW.

326 Note that generation of small-scale turbulence by internal waves was also observed in the  
327 laboratory experiment by Matusov et al. (1989). In that experiment, small-scale, stationary  
328 turbulence layer was created by an oscillating grid at some distance above the pycnocline, whereas

329 an internal gravity wave was simultaneously induced in the pycnocline by a wavemaker. The  
330 experimental results show that if the forcing by grid was switched off, turbulence decayed in the  
331 bulk of the flow domain but survived in a thin layer in the vicinity of the pycnocline center as if  
332 maintained by IW. This observation is in qualitative agreement with our results in Fig. 4.

333 Figure 5a compares the temporal development of rms velocity component  $U'_y$  at the pycnocline  
334 center (i.e. at  $z = 8$ ) obtained in DNS with and without initially induced IW. The figure shows that  
335 under the influence of IW turbulence kinetic energy increases with time, so that at  $t = 400$   $U'_y$  of  
336 turbulence with IW exceeds the velocity of freely-decaying turbulence almost by the order of  
337 magnitude.

338 In order to investigate how growing turbulence affects the internal wave we compare the  
339 temporal oscillations of the density deviation in IW with and without turbulence in Fig. 5b. The  
340 figure shows that, during the considered time interval, IW is weakly modified by turbulence. As  
341 already discussed above, this observation agrees with previous results by Druzhinin et al. (2013).

342 Figure 6 shows instantaneous distributions of the  $y$ -component of vorticity ( $\omega_y = \partial_z U_x - \partial_x U_z$ ,  
343 in grey scale) and density ( $\rho + \rho_{ref}(z)$ , isolines and grey scale) obtained in DNS of induced  
344 turbulence and IW propagating in the pycnocline at times  $t = 100$  and 400. The vorticity distribution  
345 in Fig. 6 (top panel) shows that at time  $t = 100$  there are two distinct regions,  $7 < z < 9$  and  $9 < z <$   
346  $12$ , of weakly and strongly stratified turbulence. In the region  $7 < z < 9$ , where  $Ri_g > 1$  (cf. Fig. 4),  
347 the vorticity distribution is characterized by distinct maxima and minima in the vicinity of IW  
348 troughs and crests, respectively. In the region  $9 < z < 12$  the Richardson number is small ( $Ri_g < 1$ ,  
349 cf. Fig. 4) and vorticity distribution is similar to that observed in the absence of IW (cf. Fig. 3e). On  
350 the other hand, at time  $t = 400$  (Fig. 7, middle panel) the vorticity is mostly concentrated in the  
351 vicinity of the pycnocline, in a thin layer around the pycnocline center at  $z \approx 8$ . Here  $Ri_g > 1$  and  
352 turbulence can be regarded as strongly stratified (cf. Fig. 4). In the upper layer ( $z > 9$ ) the vorticity  
353 practically vanishes. Thus, at late times turbulence is supported by IW against the effect of the  
354 molecular dissipation only in the vicinity of the pycnocline center, and decays in the upper layer.  
355 This observation is also in agreement with the laboratory results by Matusov et al. (1989).

356 It is of interest to note that a similar enhancement of turbulence was observed by Tsai et al.  
357 (2015) in the vicinity of the wavy water surface. Their DNS results show that turbulence is  
358 enhanced by the straining field of the surface wave in the vicinity of the water surface, and this  
359 enhancement is most pronounced in the vicinity of the surface wave crests and troughs. Since the  
360 IW-induced strain field decreases exponentially with the distance from the pycnocline, it is  
361 expected that the effect of the IW field on turbulence is most pronounced in the immediate vicinity  
362 of the pycnocline, as is observed in our DNS in Fig. 6.

363 The distribution of the density ( $\rho + \rho_{ref}(z)$ ) at time  $t = 400$  (Fig. 6, bottom panel) shows that  
364 IW is significantly distorted by increased turbulence along the front. This refraction of IW under the  
365 effect of turbulence can be the source of more significant IW damping in the case when increasing  
366 turbulence amplitude becomes comparable with IW amplitude as was also observed by Druzhinin et  
367 al. (2013).

368 Figure 7 presents kinetic energy power spectrum,  $E$ , and the spectrum of the  $y$ -velocity  
369 component,  $E_y$ , of turbulence with IW (propagating in the pycnocline) obtained in DNS at the  
370 pycnocline center level ( $z = 8$ ) at time  $t = 400$ . The figure also shows kinetic energy spectrum of the  
371 internal wave in the absence of turbulence layer, and spectra  $E$  and  $E_y$  of turbulence in the absence  
372 of IW at level  $z = 9$  (where turbulence kinetic energy has a local maximum at  $t = 400$ , cf. Fig. 3a).

373 Figure 7 shows significant amplification (by the order of magnitude) of the kinetic energy  
374 spectrum under the effect of IW in the entire wavenumber ( $k$ ) range. The maximum peak in the IW  
375 spectrum (in the absence of turbulence) is due to the first harmonics at  $k = 2\pi/10$ , the second  
376 harmonics peak (at  $k = 4\pi/10$ ) being less by two orders of magnitude (Fig.7, left panel). That means  
377 that the nonlinearity of IW is small and the internal wave is far from breaking. The kinetic energy  
378 spectrum of turbulence with IW is also characterized by a well-pronounced peak at the first-  
379 harmonics wavenumber ( $k = 2\pi/10$ ), and the amplitude of this peak practically equals the amplitude  
380 of the 1<sup>st</sup> harmonics peak in the IW spectrum without turbulence. That means that, at this  
381 wavenumber ( $k = 2\pi/10$ ), the direct contribution of IW into the kinetic energy is most prominent.  
382 On the other hand, spectrum  $E(k)$  of turbulence with IW is also significantly enhanced (as compared

383 to the turbulence spectrum in the absence of IW) at other  $k$ 's where there is no direct contribution of  
384 IW into kinetic energy. Note also that since the energy peak at the IW wavenumber  $k = 2\pi/\lambda = 0.628$   
385 in the TKE spectrum is most pronounced, the turbulent length scale, in this case, is actually  
386 determined by the IW length ( $\lambda = 10$ ). Then the turbulent Reynolds number can be estimated as  $Re_t$   
387  $= L_t U_{t0} Re = \lambda U_{t0} Re = 20000$  for the amplitude  $U_{t0} = 0.1$ .

388 Comparison of the spectra of the  $y$ -velocity component,  $E_y(k)$ , obtained in DNS with and without  
389 IW propagating in the pycnocline (Fig. 7, right panel), shows that the spectra coincide at the  
390 wavenumber of the first IW harmonics ( $k = 2\pi/10$ ), and  $E_y(k)$  of turbulence with IW is significantly  
391 enhanced for both lower and higher  $k$ 's. Note, that since IW velocity field consists only of  $x$ - and  $z$ -  
392 components, there is no direct contribution of IW field in the  $E_y(k)$  spectrum.

393

## 394 **6. Conclusion**

395 We have performed DNS of turbulence dynamics in the vicinity of a pycnocline and studied the  
396 effect which monochromatic internal wave propagating along the pycnocline incurs on turbulence  
397 dynamics.

398 DNS results show that if no IW is initially induced in the pycnocline, turbulence decays and the  
399 turbulence kinetic energy (TKE) decreases with time. TKE decay rate is reduced in the vicinity of  
400 the pycnocline. We assume that this reduction of the TKE decay rate can be related to growing  
401 horizontal lengthscale of turbulent eddies due to stable stratification effect. At sufficiently late  
402 times, most of turbulent energy is located in a layer close to the pycnocline. Here local Richardson  
403 number (defined by the local buoyancy frequency and TKE dissipation rate) is large ( $Ri \gg 1$ ) and  
404 turbulence dynamics is dominated by quasi-two-dimensional large-scale (pancake) vortex  
405 structures.

406 DNS results also show that under the effect of internal wave (IW) propagating in the pycnocline  
407 both mean kinetic energy of turbulence and the kinetic energy spectrum are significantly enhanced  
408 (almost by the order of magnitude) in the vicinity of the pycnocline center as compared to the case



409 of turbulence decaying without initially induced IW. This observation is in qualitative agreement  
410 with the results of laboratory experiment by Matusov et al. (1989).

411 In conclusion, let us briefly discuss a possible scaling of the above results to typical laboratory  
412 and *in situ* conditions. In the present study we employ velocity, length and time scales,  $U_0$ ,  $L_0$  and  
413  $T_0 = L_0 / U_0$ , to normalize physical variables. Note that since the time scale is defined as  $T_0 = 1 / N_0$   
414 and the velocity scale  $U_0 = L_0 / T_0 = L_0 N_0$ , the bulk Richardson number in DNS identically equals  
415 unity,  $Ri = N_0^2 L_0^2 / U_0^2 = 1$ . For laboratory conditions, we prescribe  $L_0 = 20\text{cm}$  (IW wavelength  $\lambda =$   
416  $2\text{m}$ , pycnocline thickness  $20\text{ cm}$ ) and for the considered Reynolds number  $Re = 20000$  and  
417 kinematic viscosity  $\nu = 0.01\text{cm}^2/\text{s}$ , we obtain  $U_0 = Re \nu / L_0 = 10\text{cm}/\text{s}$  for initial turbulence  
418 velocity and  $0.1 U_0 = 1\text{ cm}/\text{s}$  for IW vertical velocity amplitude; the time scale is  $T_0 = 2\text{s}$  and the  
419 buoyancy frequency is  $N_0 = 0.5\text{ rad}/\text{s}$ . Then, extrapolating our results to oceanic conditions we take  
420  $N_0 = 0.01\text{ rad}/\text{s}$  (Phillips, 1977), i.e.,  $T_0 = 100\text{s}$  for time scale and  $L_0 = 20\text{m}$  for the length scale  
421 (IW wavelength  $200\text{m}$ , pycnocline thickness  $20\text{m}$ ). Thus, the velocity scale is  $U_0 = 20\text{cm}/\text{s}$  and  
422 initial turbulence velocity and IW vertical velocity amplitude are both  $0.1 U_0 = 2\text{ cm}/\text{s}$ . Although the  
423 analysis of specific oceanic situations is beyond the framework of this paper, it provides a more  
424 strict mathematical confirmation for the early conclusions by Matusov et al. (1989).

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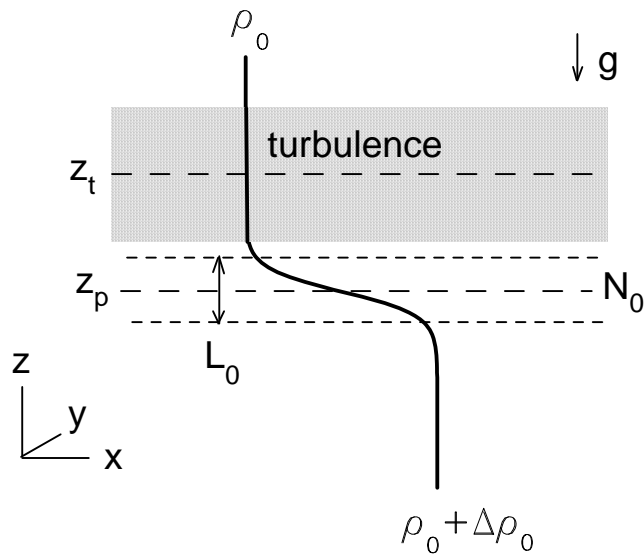
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Figures

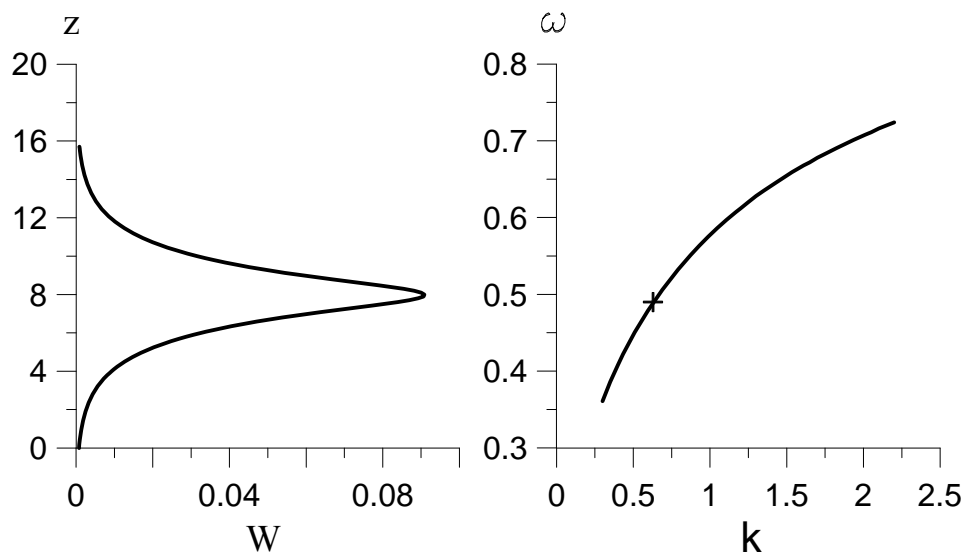
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Fig. 1. Schematic of the numerical experiment:  $x, y, z$  are the Cartesian coordinates;  $\rho_0$  is the density above the pycnocline;  $\Delta\rho_0$  the density jump across the pycnocline;  $g$  the gravity acceleration,  $N_0$  the buoyancy frequency in the pycnocline center;  $L_0$  the pycnocline thickness;  $z_p$  and  $z_t$  the locations of the pycnocline and the turbulent layer centers.

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Fig.2a. Distribution of the vertical velocity  $W(z)$  for wavelength  $\lambda = 10$  (left) and the dispersion relation  $\omega(k)$  (right) for the first IW mode. The wavenumber of the selected wavelength is shown by a symbol.

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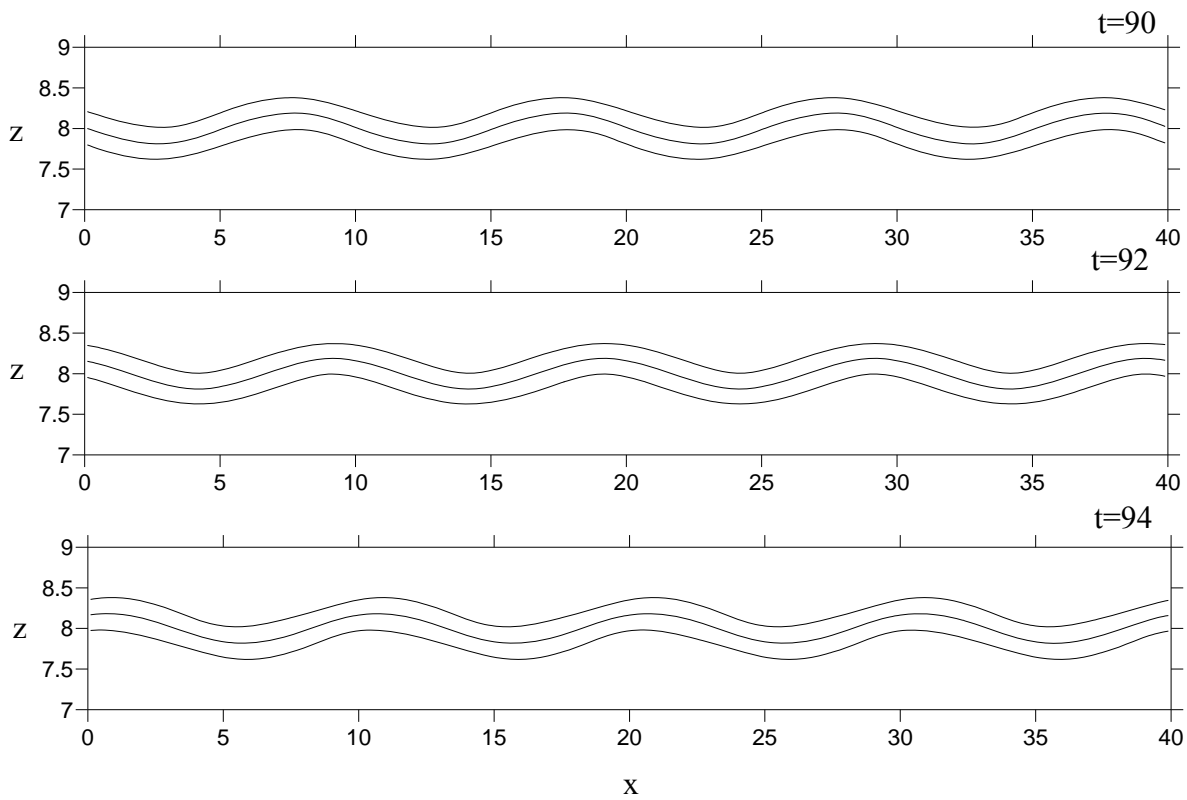
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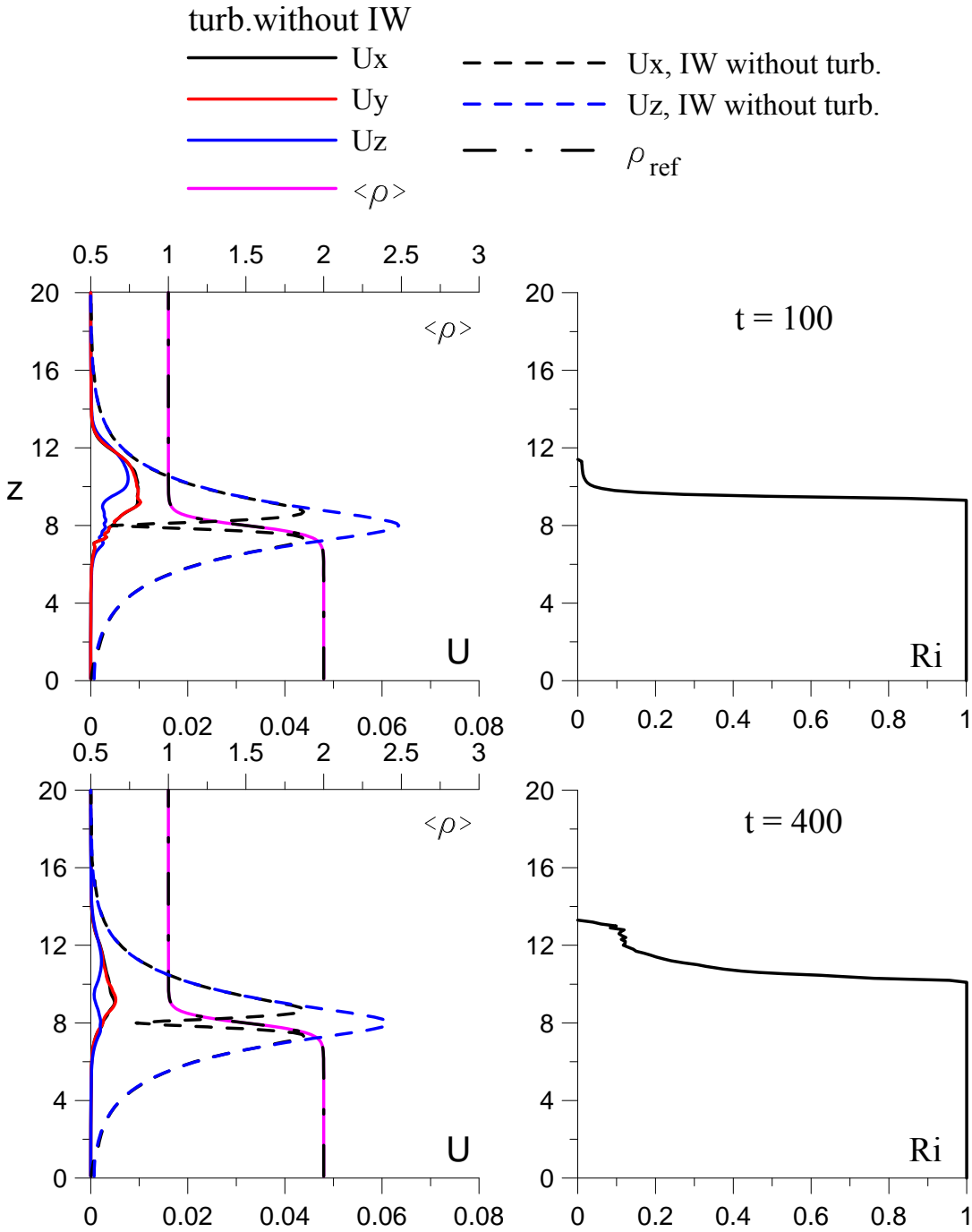
536 Fig. 2b. The instantaneous contours of the density deviation obtained in the central  $(x,z)$ -plane at  
537 different time moments in DNS with initial condition (5)-(7) prescribed for IW, propagating from  
538 left to right with wavelength  $\lambda = 10$  (frequency  $\omega = 0.489$ , phase velocity  $c \approx 0.78$ ) and amplitude  
539  $W_0 = 0.1$ . There is no initially induced turbulence. Density contours are 1.3, 1.5, 1.7. Contour 1.5  
540 marks the location of the pycnocline center.

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548 Fig. 3a. Vertical profiles of the rms velocity components,  $U'_x, U'_y, U'_z$ , mean density  $\langle \rho \rangle$  (left)  
 549 and the gradient Richardson number,  $Ri_g$ , (right) obtained at time moments  $t = 100$  and  $t = 100$  in  
 550 DNS with no initially induced IW. The reference (initial) density profile,  $\rho_{ref}(z)$ , is shown in dash-  
 551 dotted (black) line for comparison. Profiles of the rms velocity x- and z- components of IW without  
 552 turbulence are also shown in dashed line.

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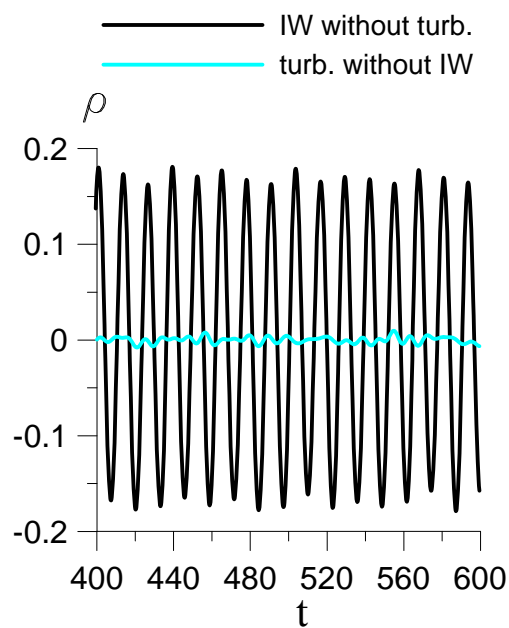
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563 Fig. 3b. Temporal development of the instantaneous density deviation at the point with coordinates  
564  $x = 20$ ,  $y = 10$ , and  $z = 8$  (in the middle of the pycnocline) obtained in DNS with initially excited  
565 IW without turbulence and initially excited turbulence without IW (in color).

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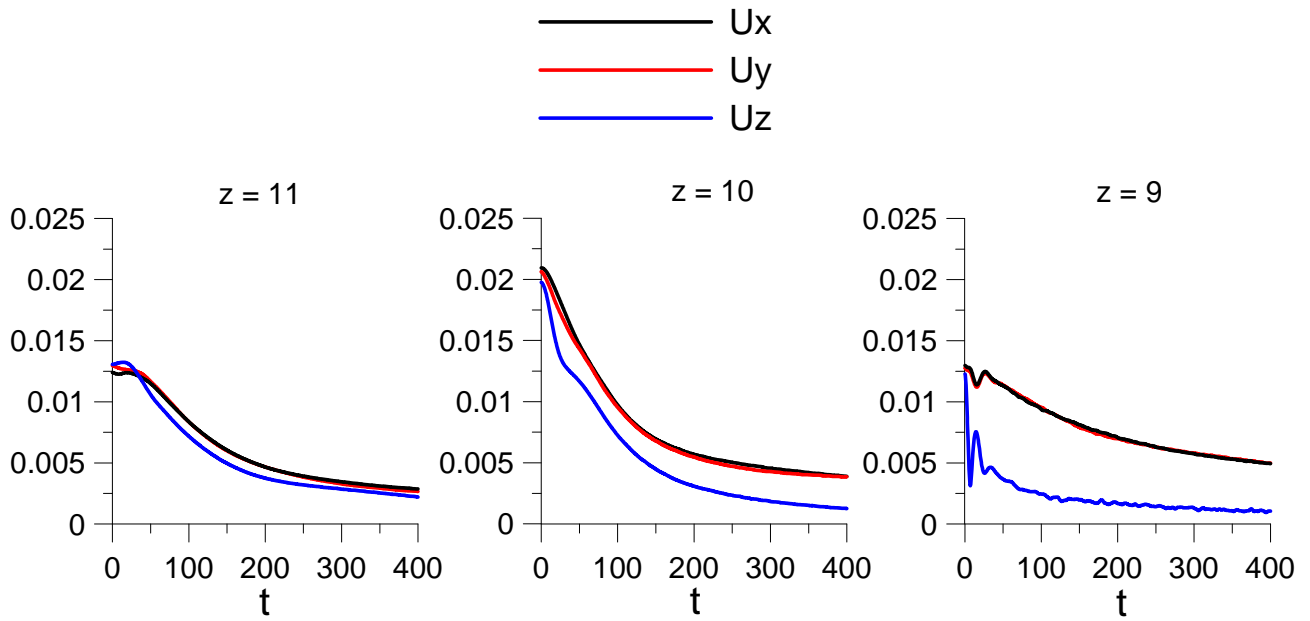
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579 Fig. 3c. Temporal development of the rms velocity components  $U'_x, U'_y, U'_z$  obtained at different  $z$ -

580 levels in DNS with no initially induced IW.

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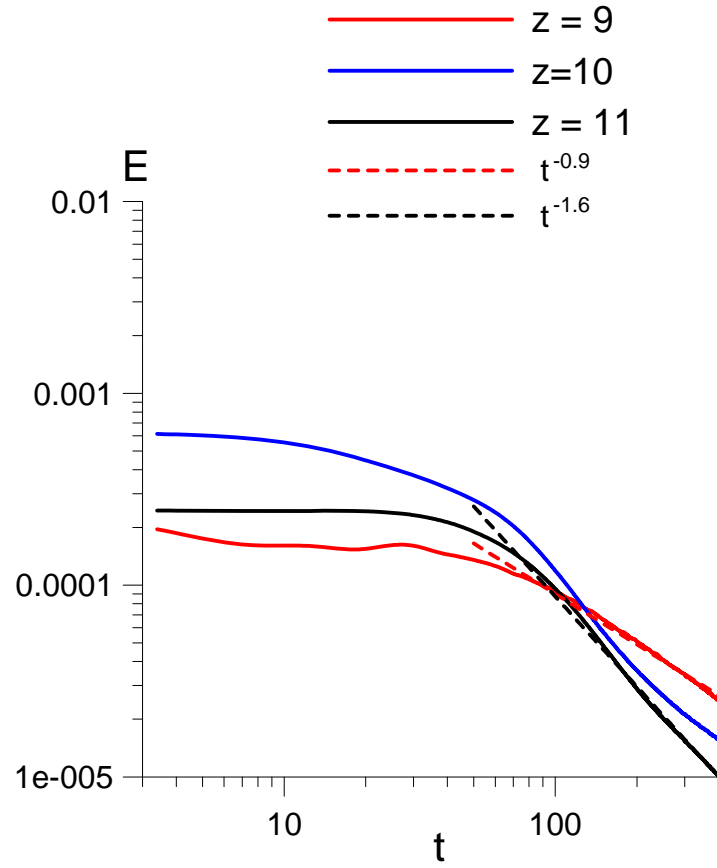
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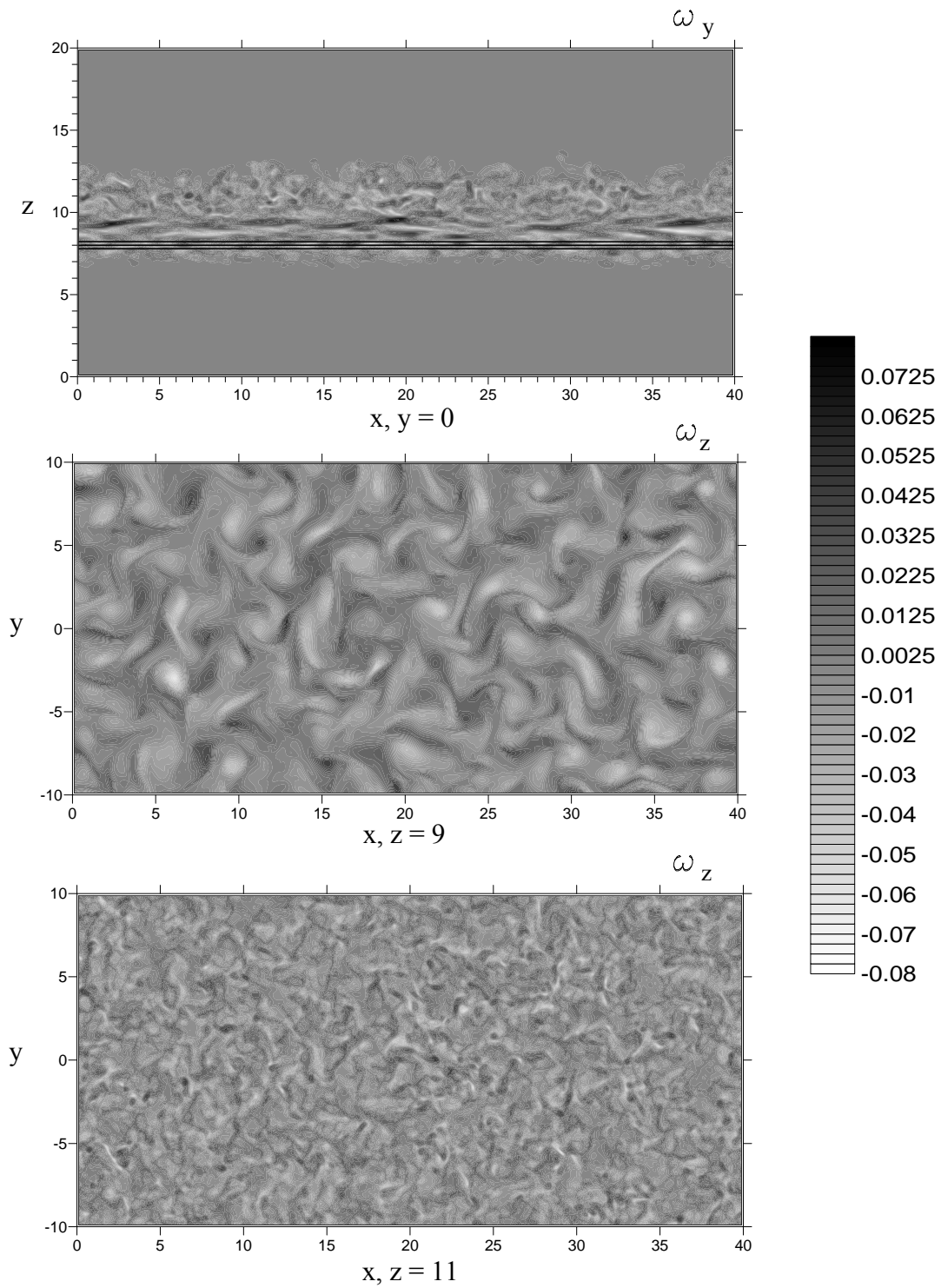
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598 Fig. 3d. Temporal development of the fluid kinetic energy,  $E$ , at different  $z$ -levels ( $z = 9, 10, 11$ ) in  
599 DNS with no initially induced IW.

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608 Fig. 3e. Instantaneous distribution of the vorticity  $y$  and  $z$  components  $\omega_y$  (top panel) and  
 609  $\omega_z$  (middle and bottom panels) (in grey scale) obtained in DNS in the vertical and horizontal planes  
 610 at  $t=400$  with no initially excited IW. Density contours 1.3, 1.5, 1.7 are also shown in the  $(x,z)$ -  
 611 plane (top panel).

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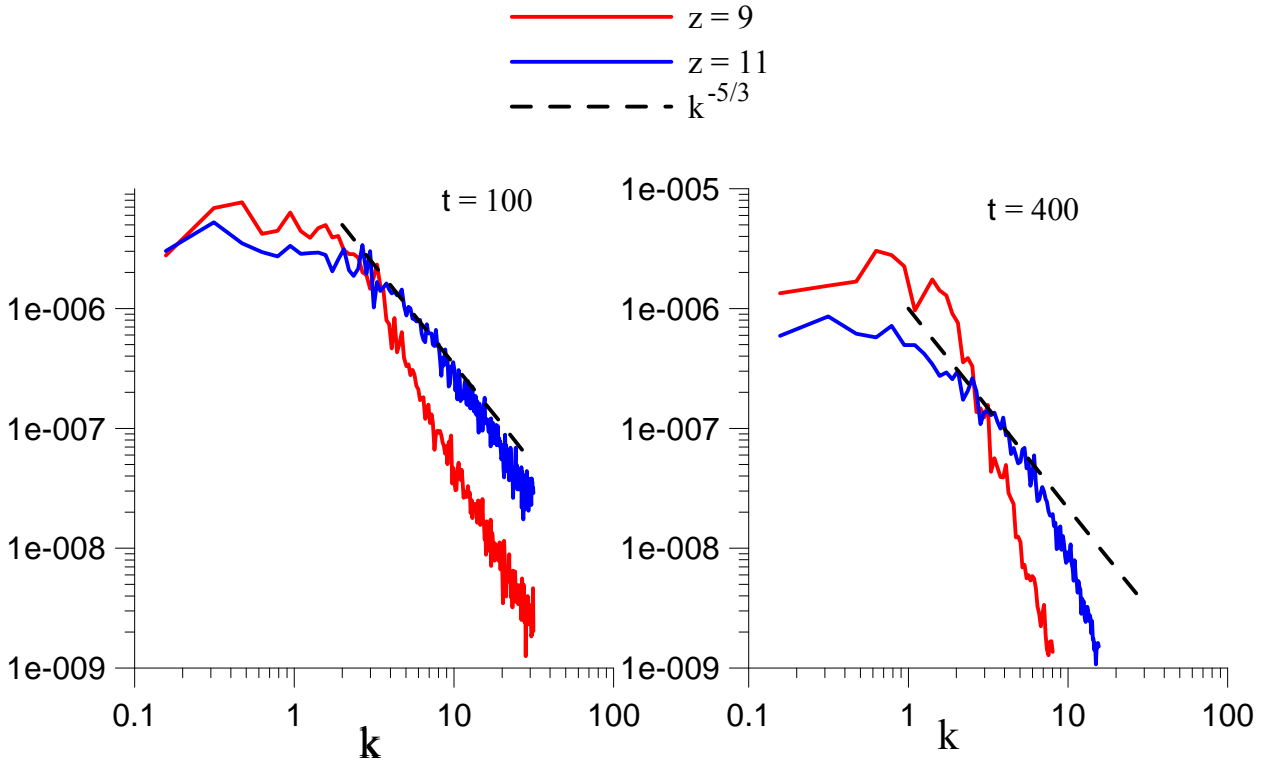
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620 Fig. 3f. Kinetic energy power spectrum obtained in DNS with no initially excited IWs at  $t = 100$

621 (left) and  $t = 400$  (right) at different  $z$ -levels. Dashed line shows the Kolmogorov's  $k^{-5/3}$  spectrum.

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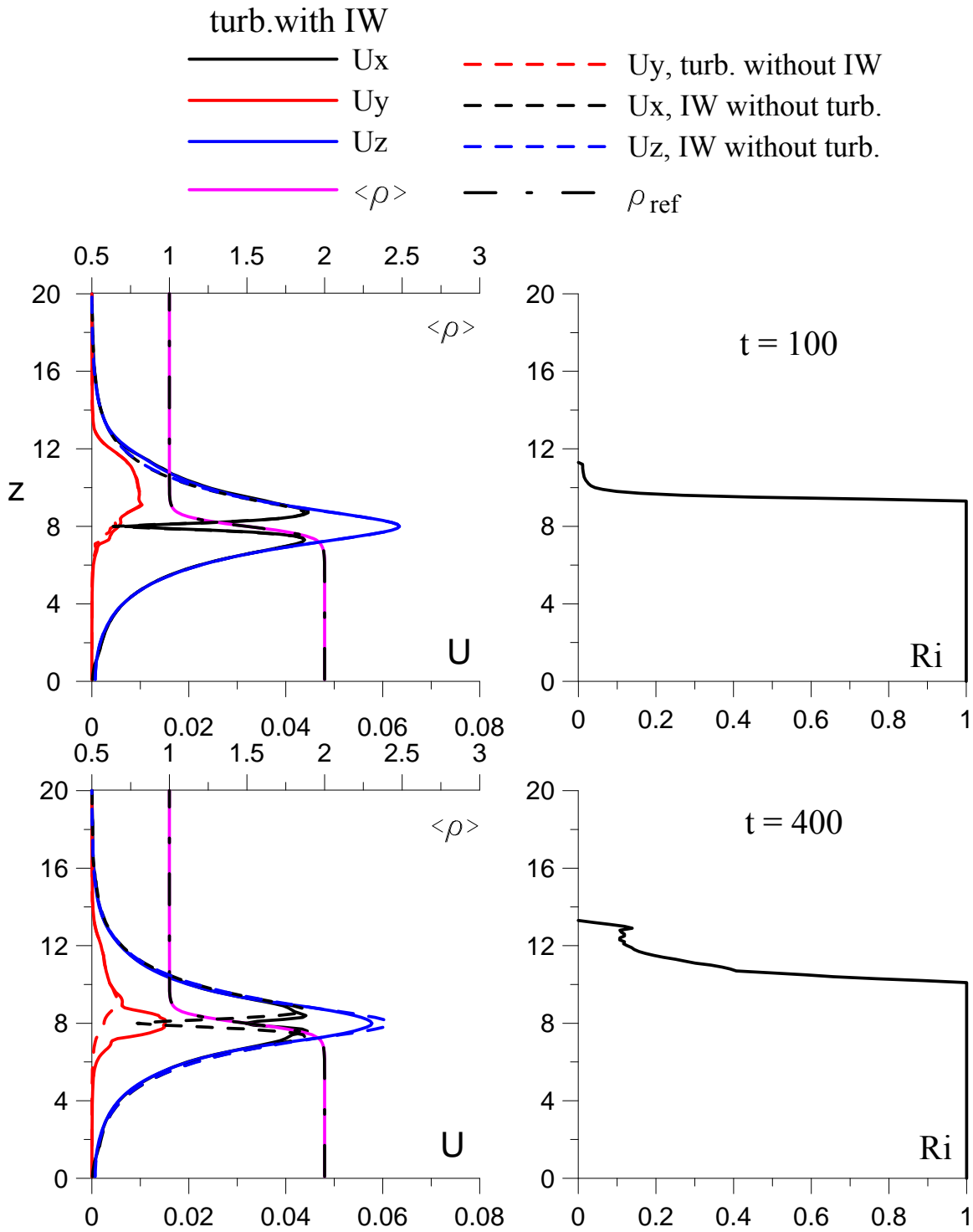
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631 Fig. 4. Vertical profiles of the rms velocity components,  $U'_x, U'_y, U'_z$ , mean density  $\langle \rho \rangle$  (left) and  
 632 the gradient Richardson number,  $Ri_g$ , (right) obtained at time moments  $t = 100$  and  $t = 100$  in DNS  
 633 with initially induced IW. The reference (initial) density profile,  $\rho_{ref}(z)$ , is shown in dash-dotted  
 634 (black) line. Profiles of the rms velocity x- and z- components of IW without turbulence are also  
 635 shown in dashed line for comparison.

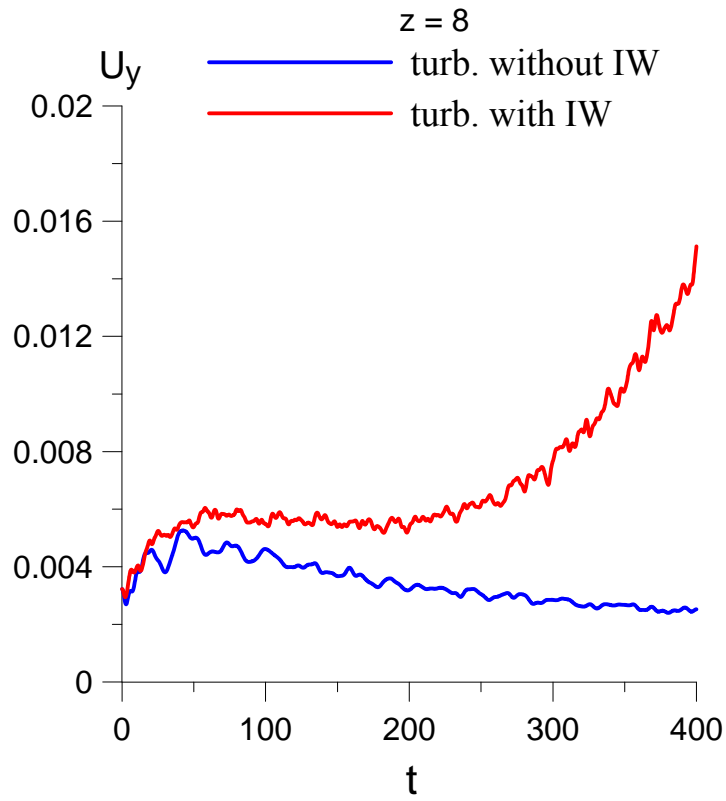
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643 Fig. 5a. Temporal development of rms velocity component  $U'_y$  obtained at level  $z = 8$  in DNS with  
644 initially excited turbulence and IW propagating in the pycnocline. Temporal development of  
645 turbulence rms velocity in the absence of IW is also shown for comparison.

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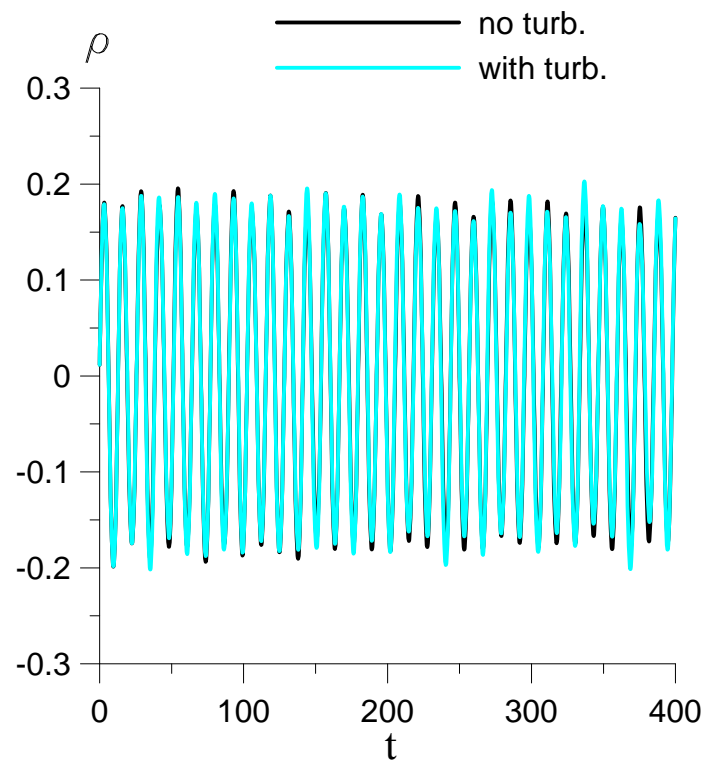
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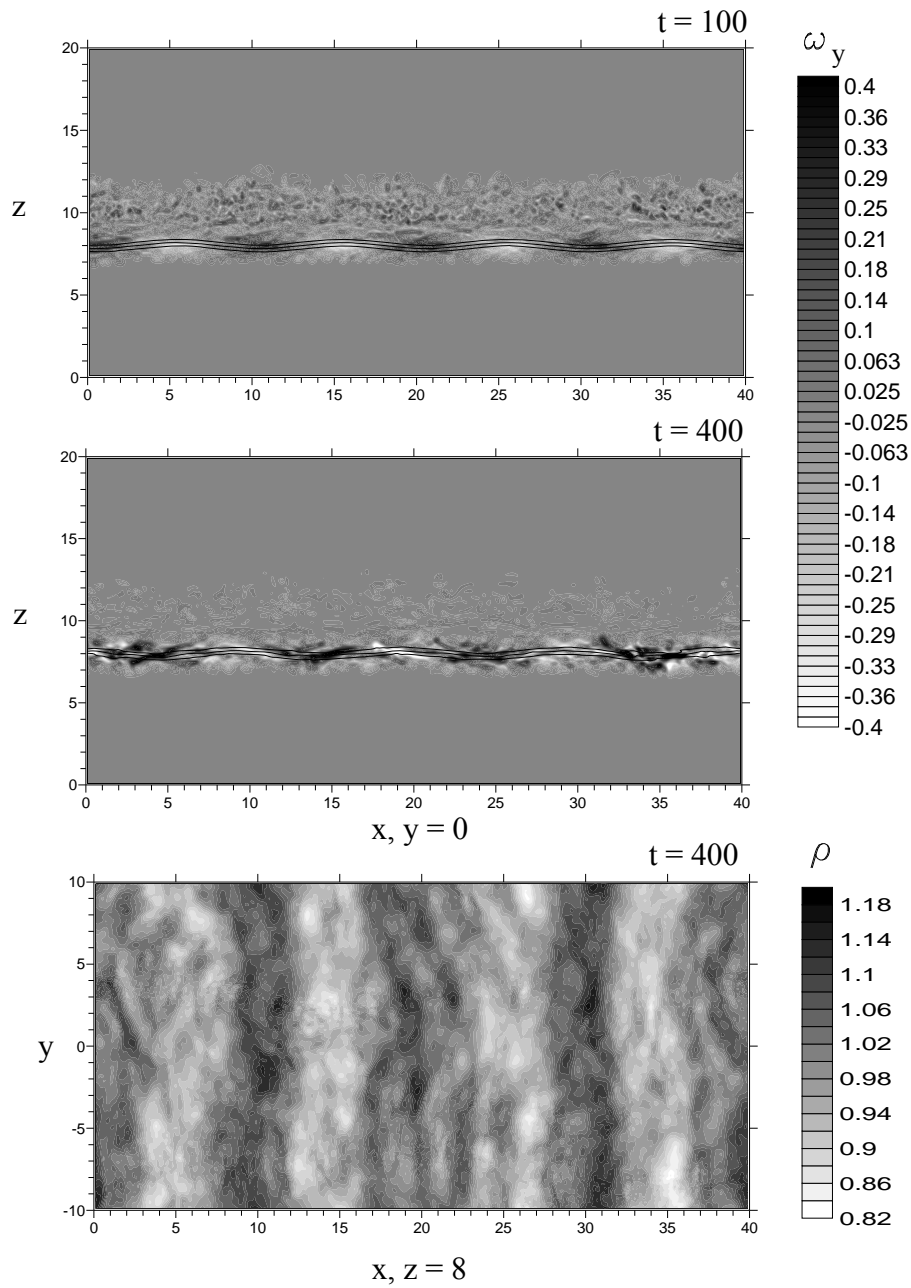
662 Fig. 5b. Temporal development of the instantaneous density deviation at the point with coordinates  
663  $x = 20$ ,  $y = 10$ , and  $z = 8$  ( in the middle of the pycnocline) obtained in DNS with initially excited  
664 IW with and without turbulence.

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672 Fig. 6. Instantaneous distribution of the vorticity  $y$ -component  $\omega_y$  (in grey scale) with imposed  
 673 density contours (1.3, 1.5, 1.7) in the central  $(x,z)$ -plane at time moments  $t = 100$  and  $t = 400$  (top  
 674 and middle panels, respectively), and density distribution in the  $(x,y)$ -plane at the pycnocline level  
 675 ( $z = 8$ , bottom panel) at  $t = 400$  obtained in DNS of turbulence layer. IW wavelength  $\lambda = 10$ .

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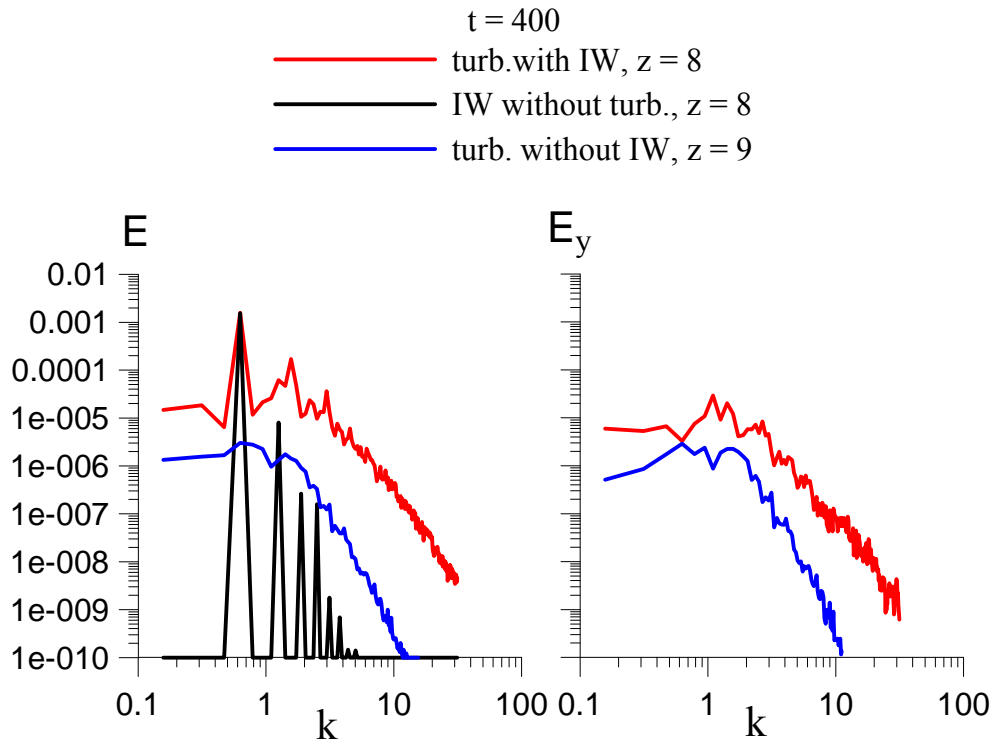
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687 Fig. 7. The kinetic energy power spectrum,  $E(k)$ , (left) and the spectrum of the  $y$ -velocity  
688 component,  $E_y(k)$ , (right) obtained in DNS with initially excited IWs at the pycnocline center level  
689 ( $z = 8$ ) at time  $t = 400$ . The kinetic energy spectrum of the internal wave in the absence of turbulent  
690 layer and spectra  $E$  and  $E_y$  of turbulence without initially induced IW obtained at the level of  
691 maximum kinetic energy ( $z = 9$ ) at time  $t = 400$  are also provided for comparison.

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