# 1 Static behaviour of induced seismicity

2

## 3 Arnaud Mignan<sup>1</sup>

4 [1] (Institute of Geophysics, ETH Zurich, Switzerland)

5 Correspondence to: A. Mignan (arnaud.mignan@sed.ethz.ch)

6

## 7 Abstract

8 The standard paradigm to describe seismicity induced by fluid injection is to apply 9 nonlinear diffusion dynamics in a poroelastic medium. I show that the spatiotemporal 10 behaviour and rate evolution of induced seismicity can, instead, be expressed by 11 geometric operations on a static stress field produced by volume change at depth. I 12 obtain laws similar in form to the ones derived from poroelasticity while requiring a 13 lower description length. Although fluid flow is known to occur in the ground, it is 14 not pertinent to the geometrical description of the spatiotemporal patterns of induced 15 seismicity. The proposed model is equivalent to the static stress model for tectonic 16 foreshocks generated by the Non-Critical Precursory Accelerating Seismicity Theory. 17 This study hence verifies the explanatory power of this theory outside of its original 18 scope and provides an alternative physical approach to poroelasticity for the 19 modelling of induced seismicity. The applicability of the proposed geometrical 20 approach is illustrated for the case of the 2006, Basel, Enhanced Geothermal System 21 stimulation experiment. Applicability to more problematic cases where the stress field 22 may be spatially heterogeneous is also discussed.

23

#### 24 **1 Introduction**

Induced seismicity is a growing concern for the energy-sector industry relying on fluid injection in the deep parts of the Earth's crust (Ellsworth, 2013; Mignan et al., 2015). At the same time, fluid injection sites provide natural laboratories to study the impact of increased fluid pressure on earthquake generation (Majer et al., 2007). Induced seismicity is characterised by two empirical laws, namely (*i*) a linear relationship between the fluid mass m(t) injected up to time *t* and the cumulative

1 number of induced earthquakes N(t) and (ii) a parabolic induced seismicity spatial envelope radius  $r \propto \sqrt[n]{m(t)}$  with n a positive integer (Shapiro and Dinske, 2009). 2 3 These two simple descriptive laws can be derived from the differential equations of 4 poroelasticity (Biot, 1941) under various assumptions (Shapiro and Dinske, 2009). In 5 general however, the full description of the process requires complex numeric 6 modelling coupling fluid flow, heat transport and geomechanics (Rutqvist, 2011). 7 These models, numerically cumbersome, can become intractable because of the sheer 8 number of parameters (Miller, 2015). Attempts to additionally correct for the known 9 discrepancies between Biot's theory and rock experiments have led to a large variety of model assumptions (Berryman and Wang, 2001), indicating that poroelasticity 10 11 results are ambiguous.

12 I will demonstrate that a static stress model can explain the two empirical laws of 13 induced seismicity without requiring any concept of poroelasticity. The proposed 14 theoretical framework hence avoids the aforementioned shortcomings by suggesting 15 an origin of induced seismicity that does not involve fluid flow in a porous medium 16 (although fluid flow indeed occurs). Historically, a similar static stress model was 17 proposed for the tectonic regime under the Non-Critical Precursory Accelerating 18 Seismicity Theory (N-C PAST) (Mignan et al., 2007; Mignan, 2008; 2012). Its 19 application to induced seismicity data will allow a more fundamental investigation of 20 the relationship between static stress and earthquake generation. To test the model, I 21 will use data from the 2006 Basel Enhanced Geothermal System (EGS) stimulation 22 experiment including the flow rate of injected fluids (Häring et al., 2008) and the 23 relocated catalogue of induced seismicity (Kraft and Deichmann, 2014).

24

# 25 2 The Non-Critical Precursory Accelerating Seismicity Theory (N-C 26 PAST)

The N-C PAST has been proposed to explain the precursory seismicity patterns observed before large earthquakes from geometric operations in the spatiotemporal stress field generated by constant tectonic stress accumulation (Mignan et al., 2007; Mignan, 2008; 2012). In particular, it provides a physical algebraic expression of temporal power-laws without requiring local interactions between the elements of the system (Sammis and Sornette, 2002; Mignan, 2011). Therefore earthquakes are

1 considered passive (static) tracers of the stress accumulation process, in contrast with 2 active earthquake cascading in a critical process (hence the term "non-critical"). The 3 concept of self-organized criticality (Bak and Tang, 1989) is seldom used to explain 4 induced seismicity (Grasso and Sornette, 1998). Since there is no equivalent of a mainshock in induced seismicity, the criticality versus non-criticality debate has 5 6 limited meaning in that case. However, the underlying process of static stress changes 7 considered in the N-C PAST can be tested against the observed spatiotemporal 8 behaviour of induced seismicity.

9 The N-C PAST postulates that earthquake activity can be categorized in three regimes 10 – background, quiescence and activation – depending on the spatiotemporal stress 11 field  $\sigma(r,t)$ 

12 
$$\sigma(r,t) = \begin{cases} \sigma_0^* & , t < t_0 \\ \frac{h^n}{(r^2 + h^2)^{\frac{n}{2}}} (\sigma_0 + \dot{\tau}(t - t_0)) + \sigma_0^* & , t_0 \le t < t_f \end{cases}$$
(1)

defined from the boundary conditions  $\sigma(r \rightarrow +\infty, t) = \sigma_0^*$  and  $\sigma(r = 0, t) = \sigma_0 + \dot{\tau}t + \dot{\tau}t$ 13  $\sigma_0^*$ , with h the depth of the fault segment base, r the distance along the stress field 14 gradient from the fault's surface projection,  $\sigma_0 < 0$  the stress drop associated to a 15 hypothetical silent slip occurring at  $t_0$  at the base of the fault,  $\dot{\tau}$  the tectonic stress rate 16 on the fault,  $\sigma_0^*$  the crustal background stress, n = 3 the spatial diffusion exponent for 17 18 static stress and  $t_f$  the mainshock occurrence time (Mignan et al., 2007) (Fig. 1a). 19 Background, quiescence and activation regimes are strictly defined by the three event spatiotemporal densities  $\delta_{b0}$ ,  $\delta_{bm}$ , and  $\delta_{bp}$  for  $|\sigma| \leq \sigma_0^* \pm \Delta \sigma^*$ ,  $\sigma < \sigma_0^* - \Delta \sigma^*$  and  $\sigma > \sigma_0^*$ 20 +  $\Delta \sigma^*$ , respectively, with the boundary layer  $\pm \Delta \sigma^*$  the background stress amplitude 21 range (so-called N-C PAST Postulate). By definition,  $\delta_{bm} < \delta_{b0} < \delta_{bp}$  with each 22 23 seismicity regime so far assumed isotropic and homogeneous in space (i.e. role of the 24 fault network neglected). Correlation between earthquake productivity and static 25 stress changes is well established (King, 2007). The distinction of three unique 26 seismicity regimes with constant event density, the main assumption of the N-C 27 PAST, is discussed later on.

In the tectonic case, static stress changes are underloading due to hypothetical precursory silent slip on the fault at  $t_0$  followed by overloading due to hypothetical

1 asperities delaying rupture on the fault after  $t_p^*$  (Mignan, 2012). The three seismicity

2 regimes are then defined as solid spatiotemporal objects with envelopes

$$3 \quad \begin{cases} r_Q^*(t_0 \le t < t_m^*) = h \left[ \left( \frac{\dot{\tau}(t_m^* - t)}{\Delta \sigma^*} + 1 \right)^{2/n} - 1 \right]^{1/2} \\ r_A^*(t_p^* < t < t_f) = h \left[ \left( \frac{\dot{\tau}(t - t_p^*)}{\Delta \sigma^*} + 1 \right)^{2/n} - 1 \right]^{1/2} \end{cases}$$
(2)

by applying to Eq. (1) the boundary conditions  $\sigma(r_Q^*, t) = \sigma(0, t_m^*) = \sigma_0^* - \Delta \sigma^*$  and 4  $\sigma(r_A^*, t) = \sigma(0, t_p^*) = \sigma_0^* + \Delta \sigma^*$ , respectively. The parameters  $t_m^* = t_{mid} - \Delta \sigma^* / \dot{\tau}$  and  $t_p^*$ 5 =  $t_{mid} + \Delta \sigma^* / \dot{\tau}$  represent the times of quiescence disappearance and of activation 6 appearance, respectively, with  $\sigma(0, t_{mid}) = \sigma_0^*$ . The background seismicity regime is 7 defined by subtracting the quiescence and activation envelopes  $r_A^*(t)$  and  $r_Q^*(t)$  from a 8 larger constant envelope  $r_{max} \ge \max(r_A^*, r_O^*)$  to avoid truncating the quiescence and 9 activation solids (Fig. 1b). While trivial along  $\vec{r}$ , concepts of geometric modelling 10 may be required to represent these seismicity solids in three-dimensional Euclidian 11 12 space (Gallier, 1999) in which the vector  $\vec{r}$  may change direction in space (Mignan, 13 2008; 2011). The non-stationary background seismicity rate  $\mu(t)$  is then defined in the 14 volume of maximum extent  $r_{max}$  by

16 with k a geometric parameter and d the spatial dimension. For the tectonic case in 17 which  $r_{max} \gg h$ , the volume is assumed to be a cylinder with  $k = \pi$ , d = 2 and  $\delta$  the 18 density of epicentres in space (Fig. 1c). It should be noted that taking  $r_{max}$  very large 19 relative to  $\max(r_A^*, r_Q^*)$  tends to mask the non-stationary seismicity pattern to be 20 investigated. As a consequence it is preferable in practice to use  $r_{max} = \max(r_A^*, r_Q^*)$ . 21 Finally, the cumulative number of events N(t) is defined as

22 
$$N(t) = \int_0^{t_f} \mu(t) dt$$
 (4)

23 which represents a power-law time-to-failure equation of the form

$$24 N(t) \propto t + t^{\frac{d}{n}+1} (5)$$

the first term representing the linear background seismicity and the second term the quiescence or activation power-law behaviour observed prior to some large mainshocks (Fig. 1d) (see the review by Sammis and Sornette, 2002 for different physical processes yielding a temporal power-law).

5

### 6 3 Application of the N-C PAST static stress model to induced seismicity

7 In the case of an EGS stimulation, the stress source is the fluid injected at depth with8 overpressure

9 
$$P(t, r = 0) = K \frac{\Delta V(t, \Delta t)}{V_0}$$
 (6)

10 where K is the bulk modulus,  $\Delta V$  the volume change per time unit and  $V_0$  the 11 infinitesimal volume subjected to pressure effect per time unit at the borehole located 12 at r = 0. The injected volume V(t) is determined from the flow rate profile Q(t), as

13 
$$V(t) = \int_{t_0}^{t} Q(t) dt$$
 (7)

14 with  $t_0$  the starting time of the injection. The volume change rate is then defined as

15 
$$\Delta V(t,\Delta t) = \frac{V(t) - V(t - \Delta t)}{\Delta t}$$
(8)

16 with  $\Delta t$  a time increment.

17 In the EGS case,  $r \cong h$  with *h* the borehole depth and induced seismicity defined as 18 hypocentres. The spatiotemporal stress field  $\sigma(r,t)$  becomes

19 
$$\sigma(r,t) = \begin{cases} \sigma_0^* & , t < t_0 \\ \frac{r_0^n}{(r+r_0)^n} P(t,r=0) + \sigma_0^* & , t \ge t_0 \end{cases}$$
(9)

with *r* the distance along the stress field gradient from the borehole, n = 3 the spatial diffusion exponent for static stress and  $r_0 \rightarrow 0$  the infinitesimal radius of volume  $V_0 = kr_0^{d}/t_0$ ,  $t_0 = 1$  being the time unit. The parameter  $r_0$  is incidental and disappears in the induced seismicity case (see below). Activation represents the case when fluids are injected and quiescence when fluids are ejected (bleed-off), or in terms of stress field variations, when the pressure change by fluid injection is positive or negative, respectively. It follows that

$$\begin{cases} r_A^*(t|\Delta V \ge 0) = \left(\frac{r_0^{n-d}}{k} \frac{Kt_0}{\Delta \sigma^*} \Delta V(t)\right)^{1/n} - r_0 \\ r_Q^*(t|\Delta V < 0) = \left(-\frac{r_0^{n-d}}{k} \frac{Kt_0}{\Delta \sigma^*} \Delta V(t)\right)^{1/n} - r_0 \end{cases}$$
(10)

2 which suggests that the spatiotemporal shape of the induced seismicity envelope depends on the *n*th-root of the flow rate profile Q(t) (with n = 3 in the static stress 3 case). This parabolic relationship is similar to the generalized form  $r(t) \propto m(t)^{1/d}$ 4 5 derived from nonlinear poroelasticity in a heterogeneous medium where m is the 6 cumulative mass of injected fluid and d the spatial dimension (Shapiro and Dinske, 7 2009). The main difference between the two physical approaches is in the underlying 8 stress field, which is here static and in poroelasticity, dynamic and related to the 9 displacement gradient of the fluid mass (Rudnicki, 1986). As a side note, it is trivial to derive Eq. (10) from Eq. (9) while numerous assumptions are necessary to obtain the 10 parabolic form  $m(t)^{1/d}$  in nonlinear poroelasticity (Shapiro and Dinske, 2009). 11

12 The induced seismicity rate  $\mu(t)$  is then defined by Eq. (3) but with  $r^*$  from Eq. (10), k13 =  $4\pi/3$  and d = 3, assuming a spherical spatial volume (i.e. isotropic stress field). For 14 the activation phase (i.e. stimulation period), it follows that

15 
$$N(t) \propto \Delta V(t)^{\frac{a}{n}+1}$$
 (11)

16 or

17 
$$N(t) \propto V(t)^{\frac{d}{n}}$$
 (12)

18 The induced seismicity case d = n = 3 confirms the linear relationship between 19 cumulative injected volume and cumulative number of induced earthquakes  $N(t) \propto$ 20 V(t) previously derived from poroelasticity (e.g., Shapiro and Dinske, 2009). In 21 contrast with poroelasticity, this second law is a direct consequence of the first. The d22 = n condition also yields the simplified form of Eq. (10)

23 
$$\begin{cases} r_A^*(t|\Delta V \ge 0) \approx \left(\frac{3}{4\pi} \frac{Kt_0}{\Delta\sigma^*} \Delta V(t)\right)^{1/3} \\ r_Q^*(t|\Delta V < 0) \approx \left(-\frac{3}{4\pi} \frac{Kt_0}{\Delta\sigma^*} \Delta V(t)\right)^{1/3} \end{cases}$$
(13)

24 where the one free parameter is the normalized background stress amplitude range 25  $\Delta \widehat{\sigma}^* = \Delta \sigma^* / (Kt_0).$ 

#### **4** Application to the 2006 Basel EGS induced seismicity sequence

2 Figure 2 shows the flow rate Q(t) of injected fluids during the 2006 Basel EGS 3 stimulation experiment (Häring et al., 2008) and the spatiotemporal distribution of 4 relocated induced seismicity (Kraft and Deichmann, 2014) above completeness 5 magnitude  $M_c = 0.8$ . The injection started at 18:00 on 2 December 2006 (t<sub>0</sub>) and stopped at 11:33 on 8 December 2006 ( $t_1$ ) after which the well was bled-off ( $\Delta V < 0$ ) 6 (Fig. 2a). The N-C PAST thus predicts an activation envelope  $r_A^*$  for  $t_0 \le t < t_1$  and a 7 quiescence envelope  $r_Q^*$  for  $t \ge t_1$  (Eq. 13). The activation and quiescence envelopes 8 are fitted to the Basel data using  $\Delta \widehat{\sigma^*} \in [10^{-3}, 10^{-1}]$  day<sup>-1</sup> (light curves) and  $\Delta t = 1/4$ 9 day. The results are shown in Figure 2b. The value  $\Delta \widehat{\sigma}^* = 0.007 \text{ day}^{-1}$  (dark curves) 10 provides the best fit to the data, defined from the best score  $S = (w_A + w_O)/2$  with  $w_A$ 11 and  $w_Q$  the ratio of events of distance  $r \le r_A^*$  and  $r \ge r_Q^*$  in the injection and bleeding-12 13 1/4} day, which indicates that the results remain stable for lower time increments. 14

I evaluate  $\delta_{b0} = 10^{-10}$  event/m<sup>3</sup>/day by counting all earthquakes declared in the 15 national Swiss catalogue (ECOS-09<sup>1</sup>) and located within 10 km of the borehole of 16 17 coordinates (7.594°E; 47.586°N) and depth 4.36 km. It means that ~1 tectonic 18 earthquake is expected in average in the space-time window considered. Due to the low tectonic activity in the area, I approximate  $\delta_{b0} = \delta_{bm} = 0$  event/m<sup>3</sup>/day (i.e., total 19 20 quiescence). The theory shows a good agreement with the observations with 97% of the seismicity below  $r_A^*$  during the injection phase (red points in Fig. 2b) and 98% of 21 the seismicity above  $r_0^*$  during the bleeding-off phase (orange to yellow points). 22

23 The density of events above  $r_Q^*$  is however not  $\delta_{b0}$  but an equation of the form

24 
$$\delta_b(t \ge t_1) = \delta_{bp} \exp\left(-\frac{t-t_1}{\tau}\right)$$
 (14)

which represents the temporal diffusion of induced seismicity with  $\tau$  the average time constant (e.g., Mignan, 2015). Diffusion from density  $\delta_{bp}$  to  $\delta_{b0}$  was originally not considered in the N-C PAST as any potential diffusion after an activated foreshock sequence would be shadowed by the effects of the subsequent mainshock. Here on the contrary, diffusion dominates in the post-injection phase. Eq. (14) represents a relaxation process from the overloading state to the background state. The results here

<sup>1</sup> http://hitseddb.ethz.ch:8080/ecos09/

1 suggest that only the events declared as background (grey points) and quiescence 2 events (blue points) are outliers. The observed variations in *r* below  $r_A^*$  and above  $r_Q^*$ 3 are not explained by the model, which only predicts the behaviour of the activation 4 and quiescence fronts. The second-order variations may be due to anisotropic effects 5 and for  $t > t(\max(r_A^*))$  to additional spatial diffusion effects.

6 Figure 3 shows the 6-hour rate of induced seismicity  $\mu(t)$  and the cumulative number 7 of induced events N(t), observed and predicted. With  $\delta_{b0} = \delta_{bm} = 0$  and taking into 8 account induced seismicity temporal diffusion, the rate of induced seismicity becomes

9 
$$\mu(t) = \max\left(\frac{4\pi}{3}\delta_{bp}.\Delta t.r^{*}(t)^{3},\frac{4\pi}{3}\delta_{bp}.\Delta t.r^{*}(t-S_{t})^{3}\exp\left(-\frac{t-S_{t}}{\tau}\right)\right)$$
 (15)

10 where  $\delta_{bp} = 4.68 \ 10^{-7}$  event/m<sup>3</sup>/day (production parameter) and  $\tau = 1.18$  day (diffusion 11 parameter) are obtained by maximum-likelihood estimation (MLE), set  $S_t = \{\Delta t, ..., i\Delta t, ...\}$  and

13 
$$r^*(t) = \begin{cases} 0 & , t < t_0 \\ r_A^*(t) & , t_0 \le t < t_1 \\ 0 & , t \ge t_1 \end{cases}$$
 (16)

14 Eq. (15) infers that induced seismicity is fully explained by overloading, in agreement 15 with the observation of no causal relationships between events in the Basel sequence 16 (Langenbruch et al., 2011). The predicted rate (Eq. 15) and predicted cumulative 17 number of events (Eq. 4) fit the data well, as shown in Figures 3a and 3b, 18 respectively. The role of temporal diffusion is observed after  $t_1$ - $\Delta t$  and is the only 19 contributor to induced seismicity after  $t_1$ . Of three functional forms tested to describe 20 diffusion (exponential, stretched exponential and power law), the exponential (Eq. 14) 21 was verified to be the best model for the Basel case (following the formalism and tests 22 proposed by Clauset et al. (2009); see also Mignan (2015; 2016) for the tectonic 23 aftershock case).

24

#### 25 **5 Discussion**

The two descriptive laws of induced seismicity (one, linear relationship between fluid volume injected and cumulative number of events; and two, parabolic spatial envelope) had been previously obtained by considering the differential equations of poroelasticity (Biot, 1941; Rudnicki, 1986) under a number of assumptions (Shapiro

1 and Dinske, 2009). The algebraic expressions derived in the present study from 2 geometric operations on a static stress field reflect a lower description length of the 3 physical process (Kolmogorov, 1965) since all of Biot's theory is bypassed although 4 similar characteristics of induced seismicity are modelled at the end (Fig. 4). Although the commonly used parabolic expression  $r(t) = \sqrt{4\pi Dt}$  with D the 5 6 hydraulic diffusivity and t the time since the injection start (Shapiro et al., 1997) is 7 relatively simple to derived from linear poroelasticity, it generally badly describes the 8 early stage of the injection. This led to the addition of an arbitrary non-zero starting 9 time  $t_0$  in previous works (e.g., Shapiro et al., 2006), including the Basel case (Shapiro 10 and Dinske, 2009), and finally to the consideration of nonlinear poroelasticity (ibid.). 11 The proposal in the present article of a more parsimonious and transparent approach 12 obviously does not mean that it is superior to poroelasticity. It should simply be seen 13 as a new alternative to induced seismicity modelling that is worth exploring in more 14 detail.

The simplicity of the geometrical approach might *a priori* only appear applicable to 15 16 homogeneous cases, such as the 2006 Basel EGS stimulation example. In fact, the 17 approach could be applied to more problematic datasets that involve anisotropy and 18 other heterogeneities. The most common example of anisotropy is the case of induced 19 seismicity being spatially guided by a fault structure, such as during the 2004/2005 20 German Deep Drilling Site (KTB) injection (Shapiro et al., 2006) or the 2013 St 21 Gallen, Switzerland, stimulation (Edwards et al., 2015). Figure 5 illustrates how such 22 heterogeneity can be implemented in the geometrical approach, by adding the 23 historical static stress field that is associated with an active tectonic fault. It should be 24 noted that this idea was first suggested in Mignan (2011) to explain the observed 25 variability in tectonic precursory seismicity patterns. In the example of Figure 5, a 26 fault is located between 1.5 < r < 3.0 away from the borehole (r = 0). If a mainshock 27 occurred on that fault in the distant past, it would have created an overloading field (i.e.,  $\sigma > \sigma_0^* + \Delta \sigma^*$ ). Over time, this static stress field would have been "planed" to the 28 threshold  $\sigma_0^* + \Delta \sigma^*$  by temporal diffusion (represented by aftershocks, i.e., Eq. 14). 29 This would yield a permanent "ghost" of that historical static stress field. It follows 30 31 that during fluid injection there would then be two clusters of induced seismicity, one 32 spherical, centred on the borehole and a second, elongated, following the fault 33 structure (Fig. 5).

#### 2 6 Conclusions

3 I have demonstrated that the two principal induced seismicity descriptive laws can be explained from geometric operations in a static stress field without requiring any 4 5 concept derived from poroelasticity. I have showed that the controlling parameter is 6 then the normalized background stress amplitude range  $\Delta \widehat{\sigma}^*$ , which questions the usefulness of permeability and diffusivity parameters in induced seismicity analyses 7 8 and might explain why these parameters remain elusive (Miller, 2015). In that view, 9 permeability could depend on the "external loading configuration" instead of on the 10 material itself, as recently proposed in the case of the static friction coefficient (Ben-11 David and Fineberg, 2013). Testing of the model on other induced seismicity sequences will determine if  $\Delta \widehat{\sigma}^*$  is itself universal, region-specific or related to the 12 static stress memory of the crust, hence if  $\Delta \hat{\sigma}^*$  depends or not on the tectonic loading 13 14 configuration at EGS natural laboratory sites. Similar questions apply to the 15 earthquake production parameter  $\delta_{bp}$  and if the two parameters are independent or 16 correlated.

17 The main assumption of the N-C PAST is to consider three unique seismicity regimes 18 (quiescence, background and activation) defined by the event productions  $\delta_{bm} < \delta_{b0} <$ 19  $\delta_{bp}$ . There are two possible physical alternatives to justify this choice: (1) it represents 20 the fundamental behaviour of the Earth crust, which would hence act as a capacitor, 21 with strain energy storage and  $\delta_{bp}$  analogues to electrical energy storage and 22 capacitance, respectively (a parallel between tectonic aftershocks and a discharging 23 Leyden jar is for instance made in Mignan, 2016); (2) the proposed step function is a 24 simplification of the true stress-production profile, which remains unknown and is so 25 far best characterized by three regimes (e.g., King, 2007). Both alternatives allow 26 defining spatiotemporal solids over which geometric operations yield algebraic 27 expressions of the induced seismicity behaviour.

28

#### 29 Acknowledgements

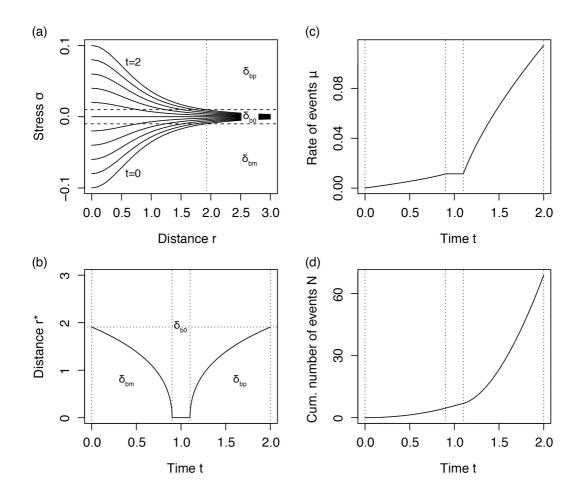
- 1 I thank two anonymous reviewers for their comments. The work leading to this article
- 2 was funded by the Swiss Competence Center for Energy Research Supply of
- 3 Electricity (SCCER-SoE).

#### 1 References

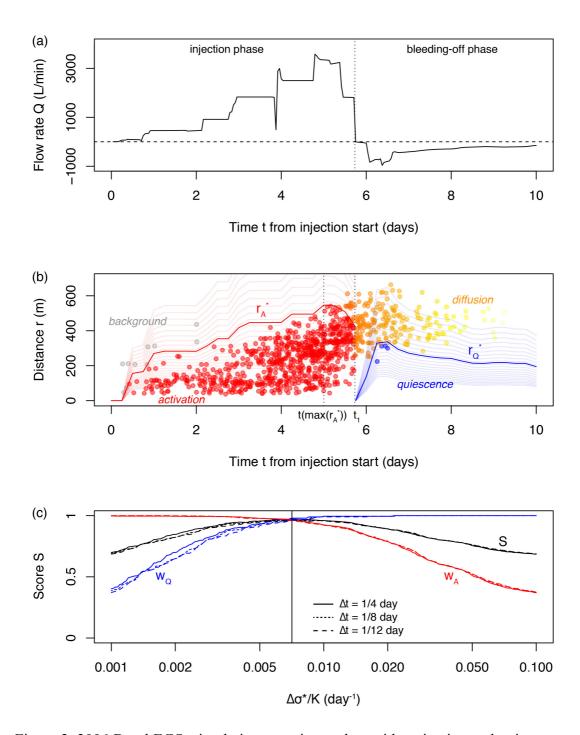
- 2 Bak, P., and Tang, C.: Earthquakes as a Self-Organized Critical Phenomenon, J.
- 3 Geophys. Res., 94, 15635-15637, 1989
- 4 Ben-David, O., and Fineberg, J.: Static Friction Coefficient Is Not a Material
- 5 Constant, Phys. Rev. Lett., 106, 254301, doi: 10.1103/PhysRevLett.106.254301, 2011
- 6 Berryman, J. G., and Wang, H. F.: Dispersion in poroelastic systems, Phys. Rev. E,
- 7 64, 011303, doi: 10.1103/PhysRevE.64.011303, 2001
- Biot, M. A.: General Theory of Three Dimensional Consolidation, J. Appl. Phys., 12,
  155-164, doi: 10.1063/1.1712886, 1941
- 10 Clauset, A., Shalizi, C. R., and Newman, M. E. J.: Power-Law Distributions in
- 11 Empirical Data, SIAM Review, 51, 661-703, doi: 10.1137/070710111, 2009
- 12 Edwards, B., Kraft, T., Cauzzi, C., Kästli, P., and Wiemer, S.: Seismic monitoring and
- 13 analysis of deep geothermal projects in St Gallen and Basel, Switzerland, Geophys. J.
- 14 Int., 201, 1022-1039, doi: 10.1093/gji/ggv059, 2015
- 15 Ellsworth, W. L.: Injection-induced Earthquakes, Science, 341, doi:
  16 10.1126/science.1225942, 2013
- Gallier, J.: Curves and surfaces in geometric modeling, theory and algorithms,Morgan Kaufmann, 491 pp., 1999
- Grasso, J.-R., and Sornette, D.: Testing self-organized criticality by induced
  seismicity, J. Geophys. Res., 103, 29965-29987, 1998
- 21 Häring, M. O., Schanz, U., Ladner, F., and Dyer, B. C.: Characterisation of the Basel
- 22 1 enhanced geothermal system, Geothermics, 37, 469-495, doi:
- 23 10.1016/j.geothermics.2008.06.002, 2008
- 24 King, G. C. P.: Fault interaction, Earthquake Stress Changes, and the Evolution of
- 25 Seismicity, Treatise on Geophysics 4, Earthquake Seismology, G Schubert (Ed.),
- 26 Elsevier, Amsterdam, 225-255, 2007
- 27 Kolmogorov, A. N.: Three approaches to the quantitative definition of information,
- 28 Problemy Peredachi Informatsii, 1, 3-11, 1965

- 1 Kraft, T., and Deichmann, N.: High-precision relocation and focal mechanism of the
- 2 injection-induced seismicity at the Basel EGS, Geothermics, 52, 59-73, doi:
- 3 10.1016/j.geothermics.2014.05.014, 2014
- 4 Langenbruch, C., Dinske, C., and Shapiro, S. A.: Inter event times of fluid induced
- 5 earthquakes suggest their Poisson nature, Geophys. Res. Lett., 38, L21302, doi:
- 6 10.1029/2011GL049474, 2011
- 7 Majer, E. L., Baria, R., Stark, M., Oates, S., Bommer, J., Smith, B., and Asanuma, H.:
- 8 Induced seismicity associated with Enhanced Geothermal Systems, Geothermics, 36,
- 9 185-222, doi: 10.1016/j.geothermics.2007.03.003, 2007
- Mignan, A., King, G. C. P., and Bowman, D.: A mathematical formulation of
  accelerating moment release based on the stress accumulation model, J. Geophys.
  Res., 112, B07308, doi: 10.1029/2006JB004671, 2007
- Mignan, A.: Non-Critical Precursory Accelerating Seismicity Theory (NC PAST) and
  limits of the power-law fit methodology, Tectonophysics, 452, 42-50, doi:
  10.1016/j.tecto.2008.02.010, 2008
- Mignan, A.: Retrospective on the Accelerating Seismic Release (ASR) hypothesis:
  Controversy and new horizons, Tectonophysics, 505, 1-16, doi:
  10.1016/j.tecto.2011.03.010, 2011
- Mignan, A.: Seismicity precursors to large earthquakes unified in a stress
  accumulation framework, Geophys. Res. Lett., 39, L21308, doi:
  10.1029/2012GL053946, 2012
- Mignan, A., Landtwing, D., Kästli, P., Mena, B. and Wiemer, S.: Induced seismicity
  risk analysis of the 2006 Basel, Switzerland, Enhanced Geothermal System project:
  Influence of uncertainties on risk mitigation, Geothermics, 53, 133-146, doi:
  10.1016/j.geothermics.2014.05.007, 2015
- 26 Mignan, A.: Modeling aftershocks as a stretched exponential relaxation, Geophys.
- 27 Res. Lett., 42, doi: 10.1002/2015GL066232, 2015
- 28 Mignan, A.: Revisiting the 1894 Omori aftershock dataset with the stretched 29 exponential function, Seismol. Res. Lett., in press, 2016

- 1 Miller, S. A.: Modeling enhanced geothermal systems and the essential nature of
- 2 large-scale changes in permeability at the onset of slip, Geofluids, 15, 338-349, doi:
- 3 10.1111/gfl.12108, 2015
- 4 Rudnicki, J. W.: Fluid mass sources and point forces in linear elastic diffusive solids,
- 5 Mechanics of Materials, 5, 383-393, 1986
- 6 Rutqvist, J.: Status of the TOUGH-FLAC simulator and recent applications related to
- 7 coupled fluid flow and crustal deformations, Computers & Geosciences, 37, 739-750,
- 8 doi: 10.1016/j.cageo.2010.08.006, 2011
- 9 Sammis, C. G., and Sornette, D.: Positive feedback, memory, and the predictability of
- 10 earthquakes, PNAS, 99, 2501-2508, doi: 10.1073/pnas.012580999, 2002
- 11 Shapiro, S. A., Huenges, E., and Borm, G.: Estimating the crust permeability from
- 12 fluid-injection-induced seismic emission at the KTB site, Geophys. J. Int., 131, F15-
- 13 F18, 1997
- 14 Shapiro, S. A., Kummerow, J., Dinske, C., Asch, G., Rothert, E., Erzinger, J.,
- 15 Kumpel, H.-J., and Kind, R.: Fluid induced seismicity guided by a continental fault:
- 16 Injection experiment of 2004/2005 at the German Deep Drilling Site (KTB),
- 17 Geophys. Res. Lett., 33, L01309, doi: 10.1029/2005GL024659, 2006
- 18 Shapiro, S. A., and Dinske, C.: Scaling of seismicity induced by nonlinear fluid-rock
- 19 interaction, J. Geophys. Res., 114, B09307, doi: 10.1029/2008JB006145, 2009



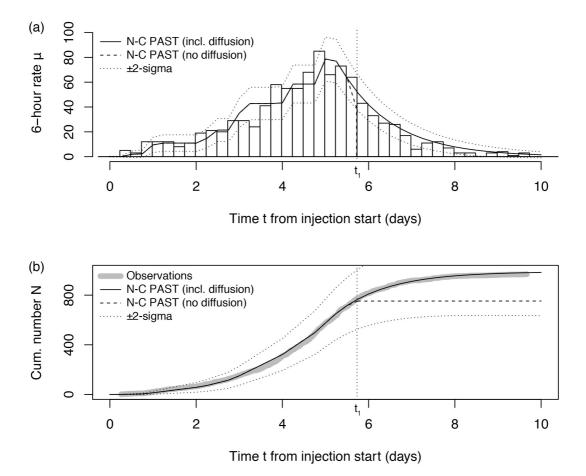
2 Figure 1. Seismicity spatiotemporal behaviour described by the N-C PAST static 3 stress model (tectonic case (Mignan, 2012)): (a) Spatiotemporal evolution of the stress 4 field  $\sigma(r,t)$  generated by constant stress accumulation  $\dot{\tau}$  on a fault located at r = 0 (Eq. 5 1). Background, quiescence and activation seismicity regimes are described by densities of events  $\delta_{b0}$ ,  $\delta_{bm}$ , and  $\delta_{bp}$  for  $|\sigma| \leq \sigma_0^* \pm \Delta \sigma^*$ ,  $\sigma < \sigma_0^* - \Delta \sigma^*$  and  $\sigma > \sigma_0^* + \Delta \sigma^*$ 6  $\Delta \sigma^*$ , respectively; (b) Temporal evolution of quiescence and activation envelopes  $r^*(t)$ 7 with  $\sigma(r^*) = \sigma_0^* \pm \Delta \sigma^*$  (Eq. 2); (c) Rate of events  $\mu(t)$  in a disc of constant radius 8 9  $max(r^*)$  (Eq. 3); (d) Cumulative number of events N(t) (Eq. 4) of power-law form (Eq. 5). With  $t_0 = 0$ ,  $t_{mid} = 1$ ,  $t_f = 2$ , h = 1,  $\dot{\tau} = 0.1$ ,  $\sigma_0^* = 0$ ,  $\Delta \sigma^* = 10^{-2}$ ,  $\delta_{bm} = 0.001$ ,  $\delta_{b0}$ 10 11 = 0.1,  $\delta_{bp}$  = 1, n = 3, k =  $\pi$ , d = 2,  $\Delta t$  = 0.01.



2 Figure 2. 2006 Basel EGS stimulation experiment data with activation and quiescence 3 envelope fits: (a) Flow rate Q(t) (digitized from Häring et al., 2008); (b) 4 Spatiotemporal distribution of relocated induced seismicity (Kraft and Deichmann, 5 2014) with r the distance from the borehole. The activation and quiescence envelopes  $r_A^*(t)$  and  $r_Q^*(t)$  are defined from Eq. (13) with parameters  $\Delta \hat{\sigma}^* = 0.007 \text{ day}^{-1}$  (dark 6 curves) and  $\Delta t = 1/4$  day. The light curves represent the range  $\Delta \sigma^* \in [10^{-3}, 10^{-1}]$  day<sup>-1</sup> 7 8 in 0.1 increments in the  $log_{10}$  scale. Points represent the induced earthquakes, which colour indicates how they are declared; (c) Score  $S = (w_A + w_Q)/2$  with  $w_A$  and  $w_Q$  the 9

1 ratio of events of distance  $r \le r_A^*$  and  $r \ge r_Q^*$  in the injection and bleeding-off phases, 2 respectively. The vertical line represents  $\Delta \widehat{\sigma}^* = 0.007 \text{ day}^{-1}$ .

3



4

5 Figure 3. Induced seismicity production time series, observed and predicted: (a) 6 Histogram of the observed 6-hour induced seismicity rate  $\mu(t)$  with fit based on Eq. 7 (15) with MLE parameters  $\delta_{bp} = 4.68 \ 10^{-7} \text{ event/m}^3/\text{day}$  (production parameter) and  $\tau$ 8 = 1.18 day (diffusion parameter); (b) Cumulative number of induced earthquakes N(t)9 with fit based on Eq. (4) with  $\mu(t)$  of Eq. (15).

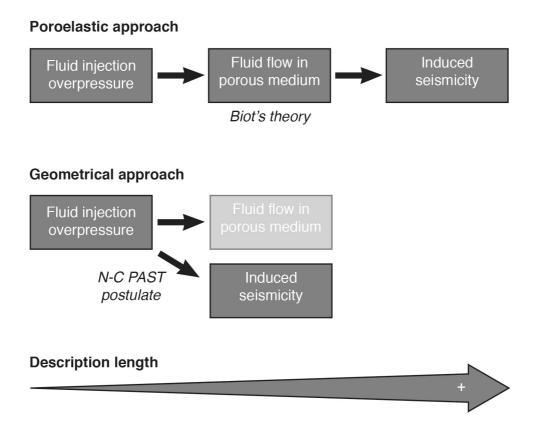
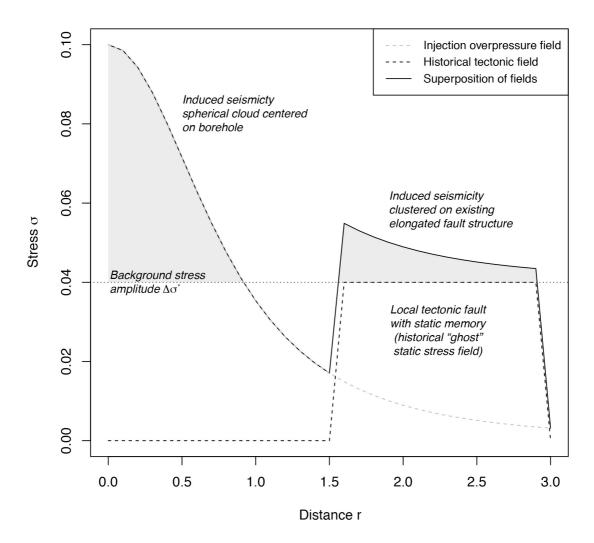


Figure 4. Description length defined as the count of physical steps required to
describe induced seismicity, in poroelasticity and in the newly proposed geometrical
approach. In the latter, Biot's theory is entirely bypassed.



1

Figure 5. Sketch on how anisotropy and other types of heterogeneities can be implemented in the geometrical approach by adding a historical tectonic static stress field (ad-hoc parameter values used for sake of simplicity). Here a past overloading field ( $\sigma > \sigma_0^* + \Delta \sigma^*$ ) on a nearby fault would have been "planed" to the threshold  $\sigma_0^*$  $+ \Delta \sigma^*$  by temporal diffusion (Eq. 14) leaving only a "ghost" of that historical static stress field (for the homogeneous case, see Fig. 1a).