1	Recent seismic activity at Cephalonia island (Greece): A				
2	study through candidate electromagnetic precursors in				
3	terms of nonlinear dynamics.				
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### 1 Abstract

2 The preparation process of two recent earthquakes (EQs) occurred in Cephalonia (Kefalonia) island, Greece, [(38.22° N, 20.53° E), 26 January 2014,  $M_w = 6.0$ , depth = 21 km], and 3 [(38.25° N, 20.39° E), 3 February 2014,  $M_w = 5.9$ , depth = 10 km], respectively, is studied in 4 terms of the critical dynamics revealed in observables of the involved non-linear processes. 5 Specifically, we show, by means of the method of critical fluctuations (MCF), that signatures 6 7 of critical, as well as tricritical, dynamics were embedded in the fracture-induced electromagnetic emissions (EME) recorded by two stations in locations near the epicenters of 8 these two EQs. It is worth noting that both, the MHz EME recorded by the telemetric stations 9 on the island of Cephalonia and the neighboring island of Zante (Zakynthos), reached 10 simultaneously critical condition a few days before the occurrence of each earthquake. The 11 critical characteristics embedded in the EME signals were further verified using the natural 12 time (NT) method. Moreover, we show, in terms of the NT method, that the foreshock 13 seismic activity also presented critical characteristics before each one of these events. 14 Importantly, the revealed critical process seems to be focused on the area corresponding to the 15 west Cephalonia zone, following the seismotectonic and hazard zoning of the Ionian Islands 16 area near Cephalonia. 17

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Keywords: Fracture-induced electromagnetic emissions; Earthquake dynamics; Criticality Tricriticality; Method of Critical Fluctuations; Natural Time Analysis; Seismotectonic Zone
 Partitioning.

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### 23 1. Introduction

The possible connection of the electromagnetic (EM) activity that is observed prior to significant earthquakes (EQs) with the corresponding EQ preparation processes, often referred to as seismo-electromagnetics, has been intensively investigated during the last years. Several possible EQ precursors have been suggested in the literature (Uyeda et al., 2009a; Cicerone et al., 2009; Hayakawa, 2013a, 2013b; Varotsos 2005; Varotsos et al., 2011b). The possible relation of the field observed fracture-induced electromagnetic emissions (EME) in the frequency bands of MHz and kHz, associated with shallow EQs with magnitude 6 or larger

that occurred in land or near coast, has been examined in a series of publications in order to 1 2 contribute to a better understanding of the underlying processes (e.g., Eftaxias et al., 2001, 2004, 2008, 2013; Kapiris et al., 2004; Karamanos et al., 2006; Papadimitriou et al., 2008; 3 Contoyiannis et al., 2005, 2013, 2015; Eftaxias and Potirakis, 2013; Potirakis et al., 2011, 4 2012a, 2012b, 2012c, 2013, 2015; Minadakis et al., 2012a, 2012b), while a four-stage model 5 6 for the preparation of an EQ by means of its observable EM activity has been recently put forward (Eftaxias and Potirakis, 2013, and references therein; Contoyiannis et al., 2015, and 7 8 references therein). In summary, the proposed four stages of the last part of EQ preparation process and the associated, appropriately identified, EM observables, specifically EM time 9 series excerpts for which specific features have been identified using appropriate time series 10 analysis methods, appear in the following order (Donner et al., 2015, and references therein): 11 1st stage: valid MHz anomaly; 2nd stage: kHz anomaly exhibiting tri-critical characteristics; 12 3rd stage: strong avalanche-like kHz anomaly; 4th stage: electromagnetic quiescence. It is 13 noted that, according to the aforementioned four-stage model, the pre-EQ MHz EME is 14 considered to be emitted during the fracture of the part of the Earth's crust that is 15 characterized by high heterogeneity. During this phase the fracture is non-directional and 16 spans over a large area that surrounds the family of large high-strength entities distributed 17 along the fault sustaining the system. Note that for an EO of magnitude ~6 the corresponding 18 fracture process extends to a radius of ~120km (Bowman et al., 1998). 19

Two strong shallow EQs occurred recently in western Greece (see Fig. 1). On 26 January 20 2014 (13:55:43 UT) an  $M_w = 6.0$  EQ, hereafter also referred to as "EQ1", occurred on the 21 island of Cephalonia (Kefalonia), with epicenter at (38.22° N, 20.53° E) and depth of ~16km. 22 The second significant EQ,  $M_w = 5.9$ , hereafter also referred to as "EQ2", occurred on the 23 same island on 3 February 2014 (03:08:45 UT), with epicenter at (38.25° N, 20.40° E) and 24 depth of ~11km. Various studies of the two earthquakes have already been published 25 indicating their seismotectonic importance (Karastathis et al., 2014; Valkaniotis et al., 2014; 26 Papadopoulos et al., 2014; Ganas et al., 2015; Sakkas and Lagios, 2015; Merryman Boncori et 27 al., 2015) as they were located on two different active faults that belong to the same seismic 28 source zone. 29

Two pairs of MHz EM signals were recorded, with a sampling rate of 1 sample/s, prior to each one of the above mentioned significant shallow EQs; one pair of simultaneous signals

was recorded by two different stations prior to each one of them. On 24 January 2014, two 1 days before the  $M_{\rm w} = 6.0$  Cephalonia EQ (EQ1), two telemetric stations of our EM signal 2 monitoring network (see Fig. 1), the station of Cephalonia, located on the same island (38.18° 3 N, 20.59° E), and the station of Zante (Zakynthos), located on a neighboring island belonging 4 to the same (Ionian) island complex (37.77° N, 20.74° E), simultaneously recorded the first 5 pair of aforementioned signals. The same picture was repeated for the second significant 6 Cephalonia EQ,  $M_w = 5.9$  (EQ2). Specifically, both the Cephalonia and the Zante stations 7 simultaneously recorded the second pair of aforementioned signals on 28 January 2014, six 8 9 days prior to the specific EQ. Note that it has been repeatedly made clear that all the pre-EQ EME signals, which have been observed by our monitoring network, have been recorded only 10 prior to strong shallow EQs, that have taken place on land (or near the coast-line); this fact, in 11 combination to the recently proposed fractal geo-antenna model (Eftaxias et al., 2004; 12 Eftaxias and Potirakis, 2013), explains why they succeed to be transmitted on air. This model 13 gives a good reason for the increased possibility of detection of such EM radiation, since a 14 fractal geo-antenna emits significantly increased power, compared to the power that would be 15 radiated by the same source, if a dipole antenna model was considered. It should also be noted 16 that, none of the recordings of the other monitoring stations of our network (except from the 17 18 ones of Cephalonia and Zante) presented critical characteristics before these two specific EQs.

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<Figure 1 should be placed around here>

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The analysis of the specific EM time series, using the method of critical fluctuations (MCF) 22 23 (Contoyiannis and Diakonos, 2000; Contoyiannis et al., 2002, 2013), revealed critical features, implying that the possibly related underlying geophysical process was at critical 24 25 state before the occurrence of each one of the EQs of interest. The critical characteristics embedded in the specific time series were further verified by means of the natural time (NT) 26 27 method (Varotsos et al., 2011a, 2011b, Potirakis et al., 2013, 2015). The presence of the "critical point" during which any two active parts of the system are highly correlated, 28 29 theoretically even at arbitrarily long distances, in other words when "everything depends on 30 everything else", is consistent with the view that the EQ preparation process during the period that the MHz EME are emitted is a spatially extensive process. Note that this process
 corresponds to the first stage of the aforementioned four-stage model.

Moreover, we analyzed the foreshock seismic activity using the NT method; the obtained results indicate that seismicity also presented critical characteristics before each one of the two important events. This result implies that the observed EM anomaly and the associated foreshock seismic activity might be considered as "two sides of the same coin". Last but not least, one day before the occurrence of EQ2, and five days after the corresponding critical EME signal, tricritical characteristics were revealed in the EME recorded by the Cephalonia station.

10 The remainder of this manuscript is organized as follows: A brief introduction to the MCF 11 and the NT analysis methods is provided in Section 2. The analysis of the EME recordings 12 according to these two methods is presented in Section 3. Section 4 presents the results 13 obtained by the analysis of the foreshock seismic activity using the NT method, while Section 14 5 concludes the manuscript by summarizing and discussing the findings.

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# 2. Critical Dynamics Analysis Methods

17 Criticality has early been suggested as an EQ precursory sign (Chelidze, 1982; Chelidze and Kolesnikov, 1982; Chelidze et al., 2006; Rundle et al., 2012; Wanliss et al., 2015). Critical 18 19 phenomena have been proposed as the likely framework to study the origins of EQ related EM fluctuations, suggesting that the theory of phase transitions and critical phenomena may 20 21 be useful in gaining insight to the mechanism of their complex dynamics (Bowman et al., 1998; Contoyiannis et al., 2004a, 2005, 2015; Varotsos et al., 2011a, 2011b). One possible 22 reason for the appropriateness of this model may be the way in which correlations spread 23 thought a disordered medium/ system comprised of subunits. From a qualitative / intuitive 24 perspective, according to the specific approach, initially single isolated activated parts emerge 25 26 in the system which, then, progressively grow and proliferate, leading to cooperative effects. 27 Local interactions evolve to long-range correlations, eventually extending along the entire system. A key point in the study of dynamical systems that develop critical phenomena is the 28 identification of the "critical epoch" during which the "short-range" correlations evolve into 29 "long-range" ones. Therefore, the theory of phase transitions and critical phenomena seem to 30 be appropriate for the study of dynamical complex systems in which local interactions evolve 31

(1)

to long-range correlations, such as the disordered Earth's crust during the preparation of an
 EQ. Note that for an EQ of magnitude ~6 the corresponding fracture process extends to a

3 radius of ~120km (Bowman et al., 1998).

It is worth noting that key characteristics of a critical point in a phase transition of the second 4 5 order are the existence of highly correlated fluctuations and scale invariance in the statistical 6 properties. By means of experiments on systems presenting this kind of criticality as well as 7 by appropriately designed numerical experiments, it has been confirmed that right at the "critical point" the subunits are highly correlated even at arbitrarily large "distance". At the 8 critical state self-similar structures appear both in time and space. This fact is quantitatively 9 manifested by power law expressions describing the distributions of spatial or temporal 10 quantities associated with the aforementioned self-similar structures (Stanley, 1987, 1999). 11

The time series analysis methods employed in this paper for the evaluation of the MHz EME recordings and the seismicity around the Cephalonia island in terms of critical dynamics are briefly presented in the following. Specifically, the method of critical fluctuations (MCF) is described in Sub-Section 2.1, while the natural time (NT) method is described in Sub-Section 2.2.

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# 2.1 Method of critical fluctuations (MCF)

19 In the direction of comprehending the dynamics of a system undergoing a continuous phase transition at critical state, the method of critical fluctuations (MCF) has been proposed for the 20 21 analysis of critical fluctuations in the systems' observables (Contoviannis and Diakonos, 2000; Contoyiannis et al., 2002). The dynamics of various dynamical systems have been 22 successfully analyzed by MCF; these include thermal (e.g., 3D Ising) (Contoyiannis et al., 23 2002), geophysical (Contoviannis and Eftaxias 2008; Contoviannis et al., 2004a, 2010, 2015), 24 biological (electro-cardiac signals) (Contoyiannis et al., 2004b; Contoyiannis et al., 2013) and 25 economic systems (Ozun et al., 2014). 26

It has been shown (Contoyiannis and Diakonos, 2000) that the dynamics of the order parameter fluctuations  $\phi$  at the critical state for a second-order phase transition can be theoretically formulated by the non-linear intermittent map:

$$\phi_{n+1} = \phi_n + u \phi_n^{z},$$

1 where  $\phi_n$  is the scaled order parameter value at the time interval n; u denotes an effective 2 positive coupling parameter describing the non-linear self-interaction of the order parameter; 3 z stands for a characteristic exponent associated with the isothermal exponent  $\delta$  for critical 4 systems at thermal equilibrium ( $z = \delta + 1$ ). The marginal fixed-point of the above map is the 5 zero point, as expected from critical phenomena theory.

6 However, it has been shown that in order to quantitatively study a real (or numerical) 7 dynamical system one has to add an unavoidable "noise" term,  $\varepsilon_n$ , to Eq. (1), which is 8 produced by all stochastic processes (Contoyiannis and Diakonos, 2007). Note that, from the 9 intermittency mathematical framework point of view, the "noise" term denotes ergodicity in 10 the available phase space. In this respect, the map of Eq. (1), for positive values of the order 11 parameter, becomes:

$$\phi_{n+1} = \left| \phi_n + u \phi_n^{z} + \varepsilon_n \right|. \tag{2}$$

Based on the map of Eq. (2), MCF has been introduced as a method capable of identifying 13 whether a system is in critical state of intermittent type by analyzing time-series 14 15 corresponding to an observable of the specific system. In a few words, MCF is based on the property of maps of intermittent-type, like the ones of Eqs. (1) and (2), that the distribution of 16 properly defined laminar lengths (waiting times) l follow a power-law  $P(l) \sim l^{-p_l}$  (Schuster, 17 1998), where the exponent  $p_l$  is  $p_l = 1 + \frac{1}{\delta}$  (Contoyiannis et al., 2002). However, the 18 19 distribution of waiting times for a real data time series which is not characterized by critical dynamics follows an exponential decay, rather than a power-law one (Contoyiannis et al., 20 21 2004a), due to stochastic noise and finite size effects. Therefore, the dynamics of a real time series can be estimated by fitting the distribution of waiting times (laminar lengths) to a 22 function  $\rho(l)$  combining both power-law and exponential decay (Contoviannis and Diakonos, 23 2007): 24

$$\rho(l) \sim l^{-p_2} e^{-lp_3} \,. \tag{3}$$

The values of the two exponents  $p_2$  and  $p_3$ , which result after fitting laminar lengths distribution in a log-log scale diagram, reveal the underlying dynamics. Exact critical state calls for  $p_3 = 0$ ; in such a case it is  $p_2 = p_1 > 1$ . As a result, in order for a real system to be 1 considered to be at critical state, both criticality conditions  $p_2 > 1$  and  $p_3 \approx 0$  have to be 2 satisfied.

Note that the choice of the function  $\rho(l)$  of Eq. (3), which combines both power-law and exponential decay, to model the distribution of waiting times was deliberately made in order to include both these fundamentally different behaviors, i.e., the critical dynamics (Contoyiannis et al., 2002) and the complete absence of specific dynamics (stochastic processes) (Contoyiannis et al., 2004b), respectively. Of course, the specific function also models intermediate behaviors (Contoyiannis and Diakonos, 2007).

9 In applying the MCF the corresponding factors of  $\rho(l)$  appear to be competitive: any increase of the  $p_2$  exponent value corresponds to a  $p_3$  exponent value reduction and vice versa. 10 However, this is expected because, for example, any increase of the value of  $p_3$  exponent 11 signifies the departure from critical dynamics and thus the reduction of  $p_2$  exponent value. 12 What is interesting to us is to apply MCF analysis to observe this competition in the case of 13 pre-earthquake EME time-series and see whether the obtained exponent values are consistent 14 15 with those of MCF analyzes performed on other time-series with large statistics which are considered as references for the application of our method. This competition can be observed 16 even within the critical windows as shown in Figs. 2d and 3d. 17

Moreover, a special dynamics case is the one known as "tricritical crossover dynamics". In 18 statistical physics, a tricritical point is a point in the phase diagram of a system at which the 19 two basic kinds of phase transition, that is the first order transition and the second order 20 transition, meet (Huang, 1987). A characteristic property of the area around this point is the 21 co-existence of three phases, specifically, the high symmetry phase, the low symmetry phase, 22 and an intermediate "mixing state". A passage through this area, around the tricritical point, 23 from the second order phase transition to the first order phase transition through the 24 25 intermediate mixing state constitutes a tricritical crossover (Huang, 1987).

26 The specific dynamics is proved to be expressed by the map (Contoyiannis et al., 2015):

27 
$$m_{n+1} = \left| m_n - u m_n^{-z} + \varepsilon_n \right|, \tag{4}$$

where *m* stands for the order parameter. This map differs from the critical map of Eq. (2) in the sigh of the parameter u and exponent z. Note that for reasons of unified formulation we use for these parameters the same notation as in the critical map of Eq. (2). At the level of MCF analysis this dynamics is expressed by the estimated values for the two characteristic exponents  $p_2, p_3$  values, that satisfy *the tricriticality condition*  $p_2 < 1, p_3 \approx 0$ . These values have been characterized in (Contoyiannis and Diakonos, 2007) as a signature of tricritical behavior.

Note that in order for a time-series to be possible to be analyzed by the MCF, it should at least
present cumulative stationarity. Therefore, a cumulative stationarity test is always performed
before applying the MCF method; examples can be found in already published articles (e.g.,
Contoyiannis et al., 2004a, 2005, 2010; Contoyiannis and Eftaxias, 2008; Potirakis et al.,
2015). More details on the application of MCF can be found in several published articles
(e.g., Contoyiannis et al. 2002, 2013, 2015), as well as in Section 3 where its application on
the MHz EM variations is presented.

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### 2.2 Natural time method (NT)

The natural time method was originally proposed for the analysis for a point process like DC 15 16 or ultra-low frequency (≤1 Hz) SES (Varotsos et al., 2002; Varotsos, 2005), and has been shown to be optimal for enhancing the signals in the time-frequency space (Abe et al., 2005). 17 The transformation of a time-series of "events" from the conventional time domain to natural 18 time domain is performed by ignoring the time-stamp of each event and retaining only their 19 normalized order (index) of occurrence. Explicitly, in a time series of N successive events, 20 the natural time,  $\chi_k$ , of the  $k^{th}$  event is the index of occurrence of this event normalized, by 21 dividing by the total number of the considered events,  $\chi_k = k/N$ . On the other hand, the 22 "energy",  $Q_k$ , of each,  $k^{th}$ , event is preserved. We note that the quantity  $Q_k$  represents 23 different physical quantities for various time series: for EQ time series it has been assigned to 24 a seismic energy released (e.g., seismic moment) (Varotsos et al., 2005; Uyeda et al., 2009b), 25 and for SES signals that are of dichotomous nature it corresponds to SES pulse duration 26 (Varotsos, 2005), while for MHz electromagnetic emission signals that are of non-27 dichotomous nature, it has been attributed to the energy of fracto-electromagnetic emission 28 events as defined in Potirakis et al. (2013). The transformed time series ( $\chi_k, Q_k$ ) is then 29

studied through the normalized power spectrum  $\Pi(\varpi) = \left|\sum_{k=1}^{N} p_k \exp(j\varpi\chi_k)\right|^2$ , where  $\varpi$  is the natural angular frequency,  $\varpi = 2\pi\varphi$ , with  $\varphi$  standing for the frequency in natural time, termed "natural frequency", and  $p_k = Q_k / \sum_{n=1}^{N} Q_n$  corresponds to the  $k^{th}$  event's normalized energy. Note that, the term "natural frequency" should not be confused with the rate at which a system oscillates when it is not driven by an external force; it defines an analysis domain dual to the natural time domain, in the framework of Fourier–Stieltjes transform (Varotsos et al., 2011b).

The study of  $\Pi(\varpi)$  at  $\varpi$  close to zero reveals the dynamic evolution of the time series under 8 analysis. This is because all the moments of the distribution of  $p_k$  can be estimated from 9  $\Pi(\sigma)$  at  $\sigma \to 0$  (Varotsos et al., 2011a). Aiming to that, by the Taylor expansion 10  $\Pi(\varpi) = 1 - \kappa_1 \varpi^2 + \kappa_2 \varpi^4 + \dots, \quad \text{the quantity} \quad \kappa_1$ is defined, 11 where  $\kappa_1 = \sum_{k=1}^{N} p_k \chi_k^2 - \left(\sum_{k=1}^{N} p_k \chi_k\right)^2$ , i.e., the variance of  $\chi_k$  weighted for  $p_k$  characterizing the 12 dispersion of the most significant events within the "rescaled" interval (0,1]. Moreover, the 13 time,  $S_{nt}$ , is defined in natural (Varotsos et 14 entropy al., 2006) as  $S_{nt} = \sum_{k=1}^{N} p_k \chi_k \ln \chi_k - \left(\sum_{k=1}^{N} p_k \chi_k\right) \ln \left(\sum_{k=1}^{N} p_k \chi_k\right) \text{ and corresponds (Varotsos et al., 2006, Varotsos)}$ 15 2011b) to the value at q = 1 of the derivative of the fluctuation function  $F(q) = \langle \chi^q \rangle - \langle \chi \rangle^q$ 16 with respect to q (while  $\kappa_1$  corresponds to F(q) for q=2). It is a dynamic entropy 17 depending on the sequential order of events (Varotsos et al., 2006). The entropy,  $S_{nt-}$ , 18 obtained upon considering (Varotsos et al., 2006) the time reversal T, i.e.,  $Tp_m = p_{N-m+1}$ , is 19 20 also considered.

A system is considered to approach criticality when the parameter  $\kappa_1$  converges to the value  $\kappa_1 = 0.070$  and at the same time both the entropy in natural time and the entropy under time reversal satisfy the condition  $S_{nt}, S_{nt-} < S_u = (\ln 2/2) - 1/4$  (Sarlis et al., 2011), where  $S_u$ stands for the entropy of a "uniform" distribution in natural time (Varotsos et al., 2006).

- 1 In the special case of natural time analysis of foreshock seismicity (Varotsos et al., 2001,
- 2 2005,2006; Sarlis et al., 2008), the seismicity is considered to be in a true critical state, a "true
- 3 coincidence" is achieved, when three additional conditions are satisfied: (i) The "average"
- 4 distance  $\langle D \rangle$  between the curves of normalized power spectra  $\Pi(\sigma)$  of the evolving
- 5 seismicity and the theoretical estimation of  $\Pi(\varpi)$ ,

6 
$$\Pi_{critical}(\varpi) = (18/5\varpi^2) - (6\cos \varpi/5\varpi^2) - (12\sin \varpi/5\varpi^3), \ \Pi_{critical}(\varpi) \approx 1 - \kappa_1 \varpi^2, \text{ for}$$

7  $\kappa_1 = 0.070$  should be smaller than  $10^{-2}$ , i.e.,  $\langle D \rangle = \langle |\Pi(\sigma) - \Pi_{critical}(\sigma)| \rangle < 10^{-2}$ ; (ii) the

8 parameter  $\kappa_1$  should approach the value  $\kappa_1 = 0.070$  "by descending from above" (Varotsos et 9 al., 2001); (iii) Since the underlying process is expected to be self-similar, the time of the true 10 coincidence should not vary upon changing (within reasonable limits) either the magnitude 11 threshold,  $M_{thres}$ , or the area, used in the calculation.

It should be finally clarified that in the case of seismicity analysis, the temporal evolution of 12 the parameters  $\kappa_1$ ,  $S_{nt}$ ,  $S_{nt-}$ , and  $\langle D \rangle$  is studied as new events that exceed the magnitude 13 threshold  $M_{thres}$  are progressively included in the analysis. Specifically, as soon as one more 14 event is included, first the time series  $(\chi_k, Q_k)$  is rescaled in the natural time domain, since 15 each time the  $k^{th}$  event corresponds to a natural time  $\chi_k = k/N$ , where N is the 16 progressively increasing (by each new event inclusion) total number of the considered 17 18 successive events; then all the parameters involved in the natural time analysis are calculated for this new time series; this process continues until the time of occurrence of the main event. 19

More details on the application of NT on MHz EME as well as on foreshock seismicity can be found in already published articles (Potirakis et al. 2013, 2015), as well as in Sections 3 and 4, where its application on the MHz EM variations and foreshock seismicity is presented, respectively.

Note that in the case of NT analysis of foreshock seismicity, the introduction of magnitude threshold,  $M_{thres}$ , excludes some of the weaker EQ events (with magnitude below this threshold) from the NT analysis. On one hand, this is necessary in order to exclude events for which the recorded magnitude is not considered reliable; depending on the installed seismographic network characteristics, a specific magnitude threshold is usually defined to assure data completeness. On the other hand, the use of various magnitude thresholds,  $M_{thres}$ , offers a means of more accurate determination of the time when criticality is reached. In some cases, it happens that more than one time-points may satisfy the rest of NT critical state conditions, however the time of the true coincidence is finally selected by the last condition that "true coincidence should not vary upon changing (within reasonable limits) either the magnitude threshold,  $M_{thres}$ , or the area, used in the calculation."

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# 3. Electromagnetic Emissions Analysis Results

Part of the MHz recordings of the Cephalonia station associated with the  $M_w = 6.0$  EQ (EQ1) is shown in Fig. 2a. This was recorded in day of year 24, that is ~2 days before the occurrence of EQ1. This stationary time series excerpt, having a total length of 2.8 h (10,000 samples) starting at 24 Jan. 2014 (12:46:40 UT), was analyzed by the MCF method and was identified to be a "critical window" (CW). CWs are time intervals of the MHz EME signals presenting features analogous to the critical point of a second order phase transition (Contoyiannis et al., 2005).

The main steps of the MCF analysis (e.g., Contoviannis et al., 2013, 2015) on the specific 15 16 time series are shown in Fig. 2b- Fig. 2d. First, a distribution of the amplitude values of the analyzed signal was obtained from which, using the method of turning points (Pingel et al., 17 1999), a fixed-point, that is the start of laminar regions,  $\phi_o$  of about 700 mV was determined. 18 Fig. 2c portrays the obtained distribution of laminar lengths for the end point  $\phi_l = 655 mV$ , 19 that is the distribution of waiting times, referred to as laminar lengths l, between the fixed-20 point  $\phi_o$  and the end point  $\phi_l$ , as well as the fitted function  $f(l) \propto l^{-p_2} e^{-p_3 l}$  with the 21 corresponding exponents  $p_2 = 1.35$ ,  $p_3 = 0.000$  with  $R^2 = 0.999$ . Note that the distribution 22 of laminar lengths is directly fitted to the specific model using the Levenberg-Marquardt 23 algorithm, while the fitting criterion is the chi-square minimization. The fitting is not done in 24 log-log space; the axes of Fig. 2c are logarithmic for the easier depiction of the distribution of 25 laminar lengths. Finally, Fig. 2d shows the obtained plot of the  $p_2$ ,  $p_3$  exponents vs.  $\phi_l$ . From 26 Fig. 2d it is apparent that the criticality conditions,  $p_2 > 1$  and  $p_3 \approx 0$ , are satisfied for a wide 27 range of end points  $\phi_i$ , revealing the power-law decay feature of the time series that proves 28

that the system is characterized by intermittent dynamics; in other words, the MHz time series 1 2 excerpt of Fig. 2a is indeed a CW. 3 4 <Figure 2 should be placed around here> 5 6 Part of the MHz recordings of the Zante station associated with EQ1 is shown in Fig. 3a. This 7 was also recorded in day of year 24, that is ~2 days before the occurrence of Cephalonia EQ1. This stationary time series excerpt, having a total length of 2.8 h (10,000 samples) starting at 8 9 24 Jan. 2014 (12:46:40 UT), was also analyzed by the MCF method and was identified to be a "critical window" (CW). 10 The application of the MCF analysis on the specific time series (cf. Fig. 3), revealed that the 11 criticality conditions,  $p_2 > 1$  and  $p_3 \approx 0$ , are satisfied for a wide range of end points  $\phi_l$ , for 12 this signal too. In other words, this signal has also embedded the power-law decay feature that 13 indicates intermittent dynamics, rendering it a CW.. 14 <Figure 3 should be placed around here> 15 16 After the  $M_w = 6.0$  (EQ1), ~ a week later, the second,  $M_w = 5.9$  (EQ2), occurred on the 17 same island with a focal area a few km further than the first one. Six days earlier, both the 18 Cephalonia and Zante stations simultaneously recorded MHz EME. Specifically, a stationary 19 time series excerpt, having a total length of 3.3 h (12,000 samples) starting at 28 Jan. 2014 20 21 (05:33:20 UT), from Caphalonia station and a stationary time series excerpt, having a total 22 length of 5 h (18,000 samples) starting at 28 Jan. 2014 (03:53:20 UT), from Zante station were analyzed by the MCF method and both of them were identified to be CWs. Note that the 23 Cephalonia CW was emitted within the time frame in which the Zante CW was emitted. Figs 24 4 & 5 show the results of the corresponding analyses. 25 26 <Figure 4 should be placed around here> 27

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In summary, we conclude that, according to the MCF analysis method, both stations recorded 3 4 MHz signals that simultaneously presented critical state features two days before the first main event and six days before the second main event. In order to verify this finding, we 5 6 proceeded to the analysis of all the corresponding MHz signals by means of the NT analysis method, according to the way of application proposed in Potirakis et al. (2013). According to 7 the specific procedure for the application of the NT method on the MHz signals, we 8 performed an exhaustive search seeking for at least one amplitude threshold value (applied 9 over the total length of the analyzed signal), for which the corresponding fracto-EME events 10 11 satisfy the natural time method criticality conditions. The idea is that if the MCF gives valid information, and as a consequence the analyzed time series excerpt is indeed in critical 12 condition, then there should be at least one threshold value for which the NT criticality 13 conditions (cf. Sec. 2.2) are satisfied. Indeed, as apparent from Fig. 6, all four signals satisfy 14 15 the criticality conditions according to the NT method for at least one of the considered threshold values, therefore the results obtained by the MCF method are successfully verified. 16

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20 On 2 February 2014, i.e., one day before the occurrence of EQ2, MHz EME presenting tricritical characteristics was recorded by the Cephalonia station. This signal emerged five 21 22 days after the CWs that were identified in the simultaneously recorded, by the Cephalonia and Zante stations, MHz EME. The specific MHz time series excerpt from Cephalonia station, 23 having a total length of 7.5 h (27,000 samples) starting at 2 Feb. 2014 (07:46:40 UT), was 24 analyzed by means of the MCF method yielding the results shown in Fig. 7. As apparent from 25 the results, this signal satisfies the tricriticality conditions  $p_2 < 1, p_3 \approx 0$  (cf. Sec. 2.1) for a 26 wide range of end points  $\phi_l$ , revealing the intermediate "mixing state" between the second 27 order phase transition to the first order phase transition. Unfortunately, during the time that 28 the Cephalonia station recorded trictitical MHz signal, the Zante station was out of order; 29 actually, it was out of order for several hours during the specific day. 30

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4 It has been recently found (Contoviannis et al., 2015) that such a behavior is identified in the 5 kHz EME which usually emerge near the end of the MHz EME when the environment in which the EQ preparation process evolves changes from heterogeneous to less heterogeneous, 6 and before the emergence of the strong avalanche-like kHz EME which have been attributed 7 8 to the fracture of the asperities sustaining the fault. Actually, this has been proposed as the second stage of the four-stage model for the preparation of an EQ by means of its observable 9 EM activity (Eftaxias and Potirakis, 2013, and references therein; Contoviannis et al., 2015, 10 and references therein; Donner et al., 2015). The identification of tricritical behavior in MHz 11 12 EME is a quite important finding, indicating that the tricritical behavior, attributed to the second stage of the aforementioned four-stage model, can be identified either in kHz or in 13 14 MHz EME, leading thus to a revision the specific four-stage model in order to include this case too. 15

As a conclusion, after the first stage of the EQ preparation process where MHz EME with 16 critical features are emitted, a second stage follows where MHz or kHz or both MHz and kHz 17 EME with trictitical features are emitted. As already mentioned (cf. Sec. 2.1), in terms of 18 statistical physics the trictitical behavior is an intermediate dynamical state which is 19 20 developed in region of the phase diagram of a system around the trictitical point, which can 21 be approached either from the edge of the first order phase transition (characterizing the 22 strong avalanche-like kHz EME attributed to the third stage of the four-stage model) or from the edge of the second order phase transition (characterizing the critical MHz EME attributed 23 24 to the first stage of the four-stage model). Therefore, although it is expected that the trictitical behavior will be rarely observed, as it has already been discussed in (Contoyiannis et al., 25 26 2015), it can be found either in MHz time series, following the emission of a critical MHz EME, or in kHz time series preceding the emission of avalanche-like kHz EME. 27

## 4. Foreshock Seismic Activity Analysis Results

As already mentioned in Potirakis et al. (2013, 2015): "seismicity and pre-fracture EMEs 2 3 should be two sides of the same coin concerning the EQ generation process. If the MHz EMEs and the corresponding foreshock seismic sequence are observable manifestations of the 4 5 same complex system at critical state, both should be possible to be described as a critical phenomenon by means of the natural time method." Therefore, we also proceeded to the 6 examination of the corresponding foreshock seismic activity around Cephalonia before each 7 one of the significant EQs of interest in order to verify this suggestion. However, we did not 8 apply the NT method on concentric circles around the epicenter of each EQ, as in Potirakis et 9 al. (2013, 2015), but instead we decided to study seismicity within areas determined 10 according to seismotectonic and earthquake hazard criteria. 11

Following the detailed study presented in Vamvakaris et al. (2016), we incorporated the 12 seismic zones proposed there for our area of study. Thus, as it is presented in Fig. 8, we 13 defined five separate seismic zones, based on the criteria explored in Vamyakaris et al. (2016) 14 15 and the seismic zonation proposed by them. Since the study area, comprises the most seismically active zone in Greece, assigned also the highest value on the Earthquake Building 16 17 Code for the country, a large number of source, stress and strain studies have been used in their study to establish such definition of zoning. Hence, it was found well justified to follow 18 their zone definition. In Fig. 8, from east to west and north to south, one can identify the 19 zones of Akarnania (area no. 1), Lefkada island (area no. 2), east Cephalonia island (area no. 20 3), west Cephalonia island (area no. 4), and Zante island (area no. 5), respectively, covering 21 the area of the Ionian Sea near Cephalonia island. 22

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Before we proceed to the NT analysis of seismicity, the seismic activity prior to EQ1, as well as between EQ1 and EQ2 is briefly discussed in relation to the above mentioned seismic zones. Earthquake parametric data have been retrieved from the National Observatory of Athens on-line catalogue (<u>http://www.gein.noa.gr/en/seismicity/earthquake-catalogs</u>), while for all the presented maps and calculations the local magnitude ( $M_L$ ), as provided by the

specific earthquake catalog, is used. The foreshock seismic activity before EQ1 for the whole 1 investigated area of the Ionian Sea region from 13 December 2013 up to the time of 2 occurrence of the main event is shown in the map of Fig. 9a. As it can be easily observed 3 from this map, there was a high seismic activity mainly focused on two specific zones: west 4 Cephalonia and Zante. Notably, an EQ of  $M_L = 4.7$  occurred in Zante on 11/01/20145 04:12:58, indicated by the black arrow in Fig. 9a. No EQs were recorded in Akarnania, while 6 very few events were recorded in Lefkada and east Cephalonia. The events which occurred in 7 west Cephalonia are also shown in a separate map in Fig. 9b for later reference. 8

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12 Applying the natural time analysis on seismic data (cf. Sec. 2.2), the evolution of the time series  $(\chi_k, Q_k)$  was studied for the foreshock seismicity prior to EQ1, where  $Q_k$  is in this 13 case the seismic energy released during the  $k^{th}$  event. The seismic moment,  $M_0$ , as 14 15 proportional to the seismic energy, is usually considered (Varotsos et al., 2005; Uyeda et al., 2009b; Potirakis et al., 2013,2015). Our calculations were based on the seismic moment  $M_0$ 16 (in dyn.cm) resulting from the corresponding  $M_L$  as (Varotsos et al., 2005; Potirakis et al., 17 2013, 2015),  $M_0 = 10^{0.99M_L+11.8}$ . First, we performed an NT analysis on the seismicity activity 18 of the whole investigated Ionian Sea region during the period from 13/12/2013 00:00:00 to 19 20 26/01/2014 13:55:44 UT, i.e., just after the occurrence of EQ1, for different magnitude thresholds,  $M_{thres}$ , for which all earthquakes having  $M_L > M_{thres}$  were included in the analysis. 21 Note that, only  $M_{thres} \ge 2$  was considered in order to assure data completeness (Chouliaras et 22 23 al., 2013a, 2013b).

For all the considered threshold values, the result was the same: no indication of criticality was identified (see for example Fig. 10a). Since, as we have already mentioned, the whole investigated area was mainly dominated by the seismic activity in west Cephalonia and the seismic activity in Zante, while an EQ of  $M_L = 4.7$  occurred in Zante, we decided to start the NT analysis after the occurrence of the specific Zante EQ, in order to exclude from our analysis possible foreshock activity related to the specific event. As a result, we performed

NT analysis for the time period 11/01/2014 04:13:00 (just after the  $M_L = 4.7$  Zante EQ) to 1 26/01/2014 13:55:44 UT, for different magnitude thresholds in three successively enclosed 2 areas: namely, the whole investigated area of Ionian Islands region, both Cephalonia (east and 3 west) zones combined, and the zone of west Cephalonia. Representative examples of these 4 5 analyses are depicted in Fig. 10b – Fig. 10d. The analysis over the whole investigated area of the Ionian Islands region indicates that seismicity reaches criticality on 19 and 20 of January, 6 7 while the two other progressively narrower areas indicate that the criticality conditions according to NT method are satisfied on 19 and 22 of January. These results imply that 8 9 seismicity was also in critical condition a few days prior to the occurrence of the first studied significant Cephalonia EQ (EQ1). Actually, in the specific case, the critical condition of 10 11 seismicity was reached before, but quite close, to the emission of the corresponding MHz signals for which critical behavior was identified (cf. Sec. 3). Note that a very recent analysis 12 13 on the foreshock seismic activity before EQ1, in terms of a combination of multiresolution 14 wavelets and NT analysis, which was performed on concentric areas of 50 km and 30 km radii around the epicenter of EQ1, also found that NT analysis criticality requirements are met 15 a few days before EQ1 (at approximately 20 January) (Vallianatos et al., 2015). 16

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Before the application of the NT method to the seismic activity prior to EQ2, one should first study the time evolution of the activity between the two significant events of interest, in order to minimize if possible the influence of the first EQ aftershock sequence on the NT analysis. Our first observation about the EQs which occurred during the specific time period was that, all but one had epicenters in west Cephalonia. Only one  $M_L = 2.3$  EQ occurred in Zante, at  $(37.79^{\circ} \text{ N}, 21.00^{\circ} \text{ E})$  on 28 January 2014 02:08:27 UT.

Fig. 11a shows all the events that were recorded in the whole investigated area of the Ionian Islands region vs. time from just after EQ1 ( $M_w = 6.0$ ) up to the time of EQ2 ( $M_w = 5.9$ ), including EQ2. As it can be seen, if one considers that both significant EQs of interest were main events, it is quite difficult to separate the seismic activity of the specific time period into aftershocks of the first EQ and foreshocks of the second one. However, we observe that up to

a specific time point, there is a rapid decrease of the running mean magnitude of the recorded 1 2 EQs, while after that the long range (75 events) running mean value seems to be almost constant over time with the short range (25 events) one varying around it. We arbitrarily set 3 the 29 January 00:00:00 UT as the time point after which the recorded seismicity is no longer 4 dominated by the aftershocks of EO1; this by no means implies that the aftershock sequence 5 6 of the EQ1 stops after that date. It should also be underlined that changing this, arbitrarily selected, date within reasonable limits, does not significantly changes the results of our 7 8 corresponding NT analysis which are presented next. On the other hand, a significant shift of this limit towards EQ1, i.e., to earlier dates, results to severe changes indicating the 9 domination of the recorded seismicity by the aftershock sequence of EQ1. Accordingly, the 10 considered as foreshock seismic activity before EQ2, i.e., from 29/01/2014 00:00 UT up to 11 the time of occurrence of EQ2, is presented in the map of Fig. 11b for west Cephalonia and 12 analyzed in the following. 13

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Next, we applied the NT method on the seismicity of west Cephalonia for the time period 17 from 29/01/2014 00:00:00 to 03/02/2014 03:08:47 UT. Note that we also applied the NT 18 method on the whole investigated area of the Ionian Islands region, obtaining practically the 19 same results. As we have already mentioned, only one  $M_L = 2.3$  EQ occurred outside the 20 west Cephalonia zone, so, on the one hand for magnitude threshold values  $M_{thres} \ge 2.3$  this 21 event was excluded, while, on the other hand, even for lower threshold values 22  $(2 \le M_{thres} < 2.3)$  its inclusion does not change the results significantly. Fig. 12 shows the NT 23 analysis results for some threshold values proving that seismicity reaches criticality on 1 or 2 24 February 2014, that is one or two days before the occurrence of the second significant EQ of 25 interest ( $M_w = 5.9$ ). Actually, in the specific case, the critical condition of seismicity was 26 reached after, but quite close, to the emission of the corresponding MHz signals for which 27 critical behavior was identified (cf. Sec. 3). 28

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# 5. Discussion - Conclusions

Based on the methods of critical fluctuations and natural time, we have shown that the
fracture-induced MHz EME recorded by two stations in our network prior to two recent
significant EQs occurred in Cephalonia present criticality characteristics, implying that they
emerge from a system in critical state.

8 There are two key points that render these observations unique in the up to now research on9 the pre-EQ EME:

(i) The Cephalonia station is known for being insensitive to EQ preparation processes
happening outside of the wider area of Cephalonia island, as well as to EQ preparation
processes leading to low magnitude EQs within the area of Cephalonia island. Note that the
only signal that has been previously recorded refers to the M=6 EQ that occurred on the
specific island in 2007 (Contoyiannis et al., 2010).

(ii) Prior to each one of the studied significant EQs, two MHz EME time series presenting 15 16 critical characteristics were recorded simultaneously in two different stations very close to the focal areas, while no other station of our network (cf. Fig. 1) recorded such signals prior to the 17 18 specific EOs. This indicates that the revealed criticality was not associated with a global phenomenon, such as critical variations in the Ionosphere, but was rather local to the area of 19 20 the Ionian Islands region, enhancing the hypothesis that these EME were associated with the EQ preparation process taking place prior to the two significant EQs. This feature, combined 21 22 with the above mentioned sensitivity of the Cephalonia station only to significant EQs occurring on the specific island, could have been considered as an indication of the location of 23 the impending EQs. 24

EME, as a phenomenon rooted in the damage process, should be an indicator of memory effects. Laboratory studies verify that: during cyclic loading, the level of EME increases significantly when the stress exceeds the maximum previously reached stress level (Kaizer effect). The existence of Kaizer effect predicts the EM silence during the aftershock period (Eftaxias et al., 2013; Eftaxias and Potirakis, 2013, and references therein). Thus, the appearance of the second EM anomaly may reveal that the corresponding preparation of
 fracture process has been organized in a new barrier.

3 We note that, according to the view that seismicity and pre-EQ EM emissions should be "two sides of the same coin" concerning the earthquake generation process, the corresponding 4 5 foreshock seismic activity, as another manifestation of the same complex system, should be at 6 critical state as well, before the occurrence of a main event. We have shown that this really happens for both significant EQs we studied. Importantly, the revealed critical process seems 7 to be focused on an area corresponding to the west Cephalonia zone, one of the parts 8 according to the seismotectonic and hazard zone partitioning of the wider area of the Ionian 9 Islands. 10

To be more detailed, the foreshock seismicity associated with the first  $(M_w = 6.0)$  EQ 11 reached critical condition a few days before the occurrence of the main event. Specifically, it 12 came to critical condition before, but quite close, to the emission of the corresponding MHz 13 signals for which critical behavior was identified. The seismicity that was considered as 14 foreshock of the second  $(M_w = 5.9)$  EQ also reached criticality few days before the 15 16 occurrence of the main event. In contrary to the first EQ case, it came to criticality after, but quite close, to the emission of the corresponding MHz signals for which critical behavior was 17 identified. 18

One more outcome of our study was the identification of tricritical crossover dynamics in the 19 MHz emissions recorded just before the occurrence of the second significant EQ of interest 20  $(M_w = 5.9)$  at the Cephalonia station. Note that, unfortunately, the Zante station was out of 21 order for several hours during the specific day, including the time window during which the 22 tricritical features were identified in the Cephalonia recordings. As a result, we could not 23 cross check whether tricritical signals simultaneously also reached Zante. This is considered a 24 quite important finding, since it verifies a theoretically expected situation, namely the 25 approach of the intermediate dynamical state of tricritical crossover, either from the first or 26 from the second order phase transition state. In terms of pre-EO EME, this leads to a revision 27 of the four-stage model for the preparation of an EQ by means of its observable EM activity. 28 29 Namely, after the first stage of the EQ preparation process where MHz EME with critical features are emitted, a second stage follows where MHz or kHz or both MHz and kHz EME 30 31 with trictitical features are emitted. Specifically, the trictitical crossover dynamics can be

identified either in MHz time series, following the emission of a critical MHz EME, or in kHz 1 time series preceding the emission of avalanche-like kHz EME. In summary, the proposed 2 four stages of the last part of EQ preparation process and the associated, appropriately 3 identified, EM observables appear in the following order: 1st stage: valid MHz anomaly; 2nd 4 stage: MHz or kHz or MHz and kHz anomaly exhibiting tri-critical characteristics; 3rd stage: 5 6 strong avalanche-like kHz anomaly; 4th stage: electromagnetic quiescence. Note that the specific four-stage model is a suggestion that seems to be verified by the up to now available 7 8 MHz-kHz observation data and corresponding time-series analyzes, while a rebuttal has not yet appeared in the literature. However, the understanding of the physical processes involved 9 10 in the preparation of an EQ and their relation to various available observables is an open scientific issue. Much effort still remains to be paid before one can claim clear understanding 11 of EQ preparation processes and associated possible precursors. 12

As it has been repeatedly pointed out in previous works (e.g., Eftaxias et al., 2013; Eftaxias 13 and Potirakis, 2013, and references therein), our view is that such observations and the 14 associated analyses offer valuable information for the comprehension of the Earth system 15 16 processes that take place prior to the occurrence of a significant EQ. As it is known, a large number of other precursory phenomena are also observed, both by ground and satellite 17 18 stations, prior to significant EQs. Only a combined evaluation of our observations with other well documented precursory phenomena could possibly render our observations useful for a 19 20 reliable short-term forecast solution. Unfortunately, in the cases of the Cephalonia EQs under study this requirement was not fulfilled. To the best of our knowledge, only one paper 21 22 reporting the emergence of VLF seismic-ionospheric disturbances four days before the first Cephalonia EQ (Skeberis et al., 2015) has been published up to now. It is very important that 23 24 the specific disturbances, which also correspond to a spatially extensive process as happens with the MHz EME, were recorded during the same time window with the here presented 25 MHz critical signals. However, more precursory phenomena could have been investigated if 26 appropriate observation data were available. For example, if ground-based magnetic 27 observatories in the area of Greece had available magnetometer data for the time period of 28 interest, EQ-related ULF magnetic field variations, either of lithospheric or ionospheric 29 origin, which are also a result of spatially extensive processes and in other cases have been 30 31 shown to present critical characteristics prior to EQ occurrence (Hayakawa et al., 2015), could 32 also be investigated.

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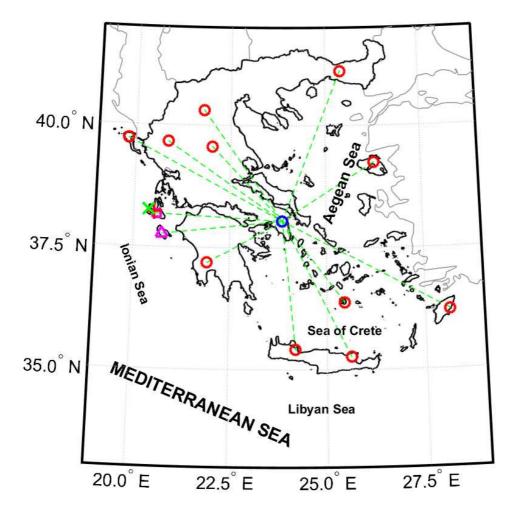
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# 2 **Figures**

## 3



5 Figure 1. Map with distribution of stations of the telemetric network that monitors electromagnetic variations in the MHz and kHz bands in Greece, which were operating during 6 7 the time period of interest. The locations of the Cephalonia and Zante stations are marked by the magenta square and triangle, respectively, while the rest of the remote stations are denoted 8 9 by red circles and the central data recording server by a blue circle. The epicenters of the two significant EQs of interest are also marked, the first (EQ1,  $M_w = 6.0$ ) by a red cross and the 10 second (EQ2,  $M_w = 5.9$ ) by a green X mark. (For interpretation of the references to colors, 11 12 the reader is referred to the online version of this paper.)

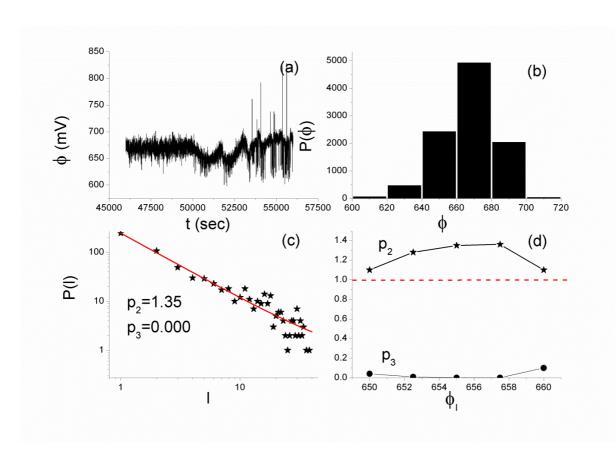
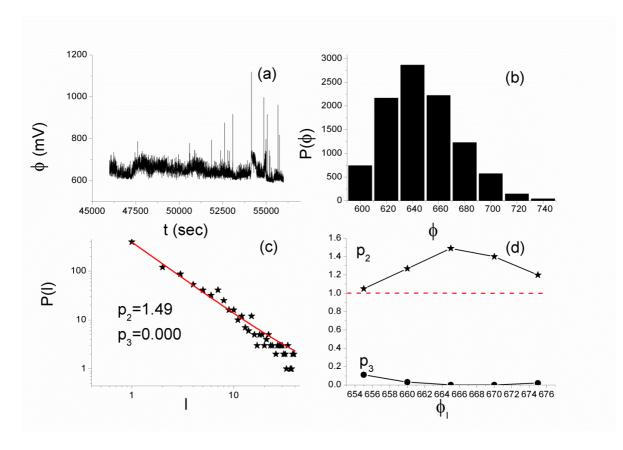
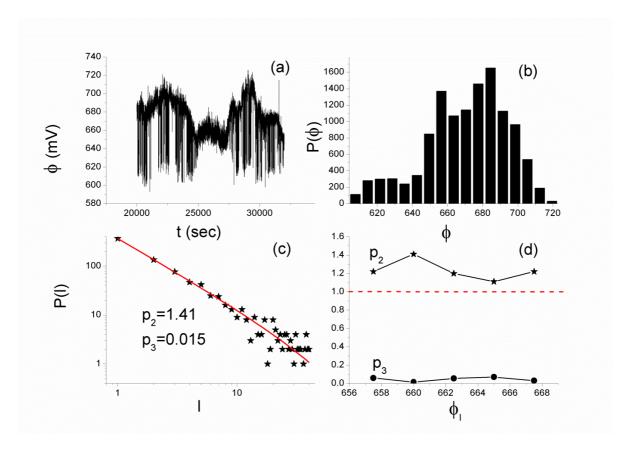


Figure 2. (a) The 10,000 samples long critical window of the MHz EME that was recorded before the Cephalonia  $M_w = 6.0$  EQ at the Cephalonia station. (b) Amplitude distribution of the signal of Fig. 2a. (c) Distribution of laminar lengths for the end point  $\phi_l = 655mV$ , as a representative example of the involved fitting. The solid line corresponds to the fitted function (cf. to text in Sec. 2.1) with the values of the corresponding exponents  $p_2$ ,  $p_3$  also noted. (d) The obtained exponents  $p_2$ ,  $p_3$  vs. different values of the end of laminar region  $\phi_l$ . The horizontal dashed line indicates the critical limit ( $p_2 = 1$ ).

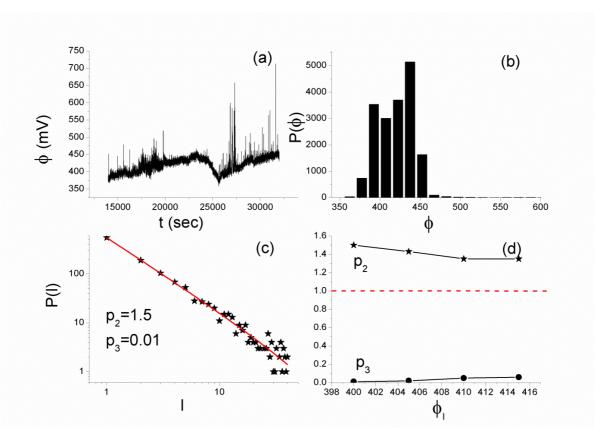


**Figure 3.** (a) The 10,000 samples long critical window of the MHz EME that was recorded prior to the Cephalonia  $M_w = 6.0$  EQ at the Zante station, while (b), (c), and (d) are similar to the corresponding parts of Fig. 2. From 3b, a fixed-point (start of laminar regions),  $\phi_o$  of about 600 mV results, while in Fig. 3c, the distribution of laminar lengths is given for the end point  $\phi_l = 665mV$  for which the exponents  $p_2 = 1.49$ ,  $p_3 = 0.000$  with  $R^2 = 0.999$  were obtained.



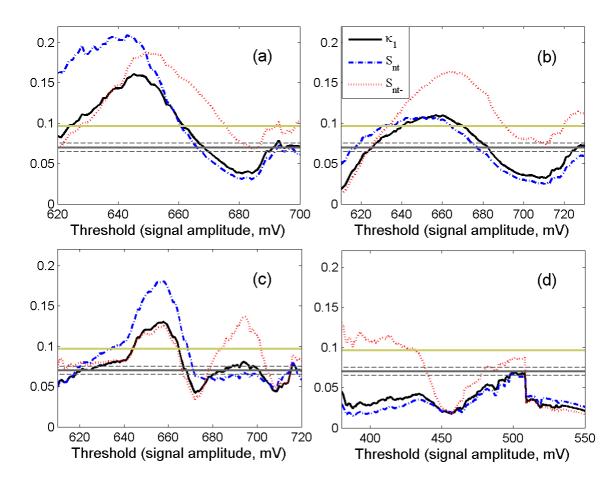
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Figure 4. (a) The 12,000 samples long critical window of the MHz EME that was recorded before the Cephalonia  $M_w = 5.9$  EQ at the Cephalonia station, while (b), (c), and (d) are similar to the corresponding parts of Fig. 2. In Fig. 4c, the distribution of laminar lengths is given for the end point  $\phi_i = 660 mV$ .



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Figure 5. (a) The 18,000 samples long critical window of the MHz EME that was recorded before the Cephalonia  $M_w = 5.9$  EQ at the Zante station; (b), (c), and (d) are similar to the corresponding parts of Fig. 2. In Fig. 5c, the distribution of laminar lengths corresponds to the end point  $\phi_l = 400 mV$ .



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Figure 6. Natural time analysis results obtained for the MHz EME signals shown in: (a) Fig. 2 2a, recorded at Cephalonia station prior to EQ1, (b) Fig. 3a, recorded at Zante station prior to 3 4 EQ1, (c) Fig. 4a, recorded at Cephalonia station prior to EQ2, and (d) Fig. 5a, recorded at Zante station prior to EQ2. The quantities  $\kappa_1$  (solid curve),  $S_{nt}$  (dash-dot curve), and  $S_{nt-}$ 5 (dot curve) vs. amplitude threshold for each MHz signal are shown. The entropy limit of 6  $S_{\mu}$  ( $\approx 0.0966$ ), the value 0.070 and a region of  $\pm 0.005$  around it are denoted by the 7 horizontal solid light green, solid grey and the grey dashed lines, respectively. (For 8 interpretation of the references to colors, the reader is referred to the online version of this 9 paper.) 10

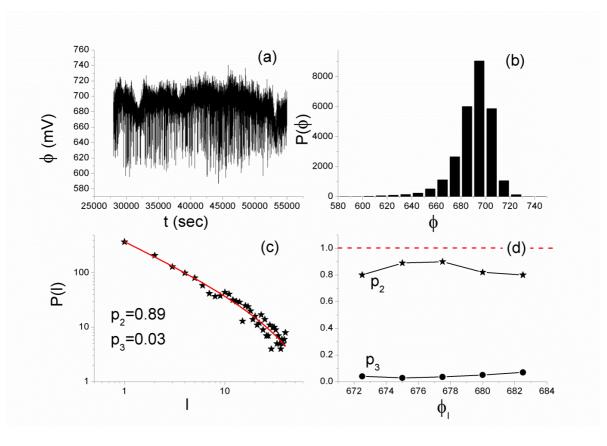


Figure 7. (a) The 27,000 samples long tricritical excerpt of the MHz EME that was recorded before the Cephalonia  $M_w = 5.9$  EQ at the Cephalonia station; (b), (c), and (d) are similar to the corresponding parts of Fig. 2. In Fig. 7c, the distribution of laminar lengths corresponds to the end point  $\phi_l = 675mV$ .

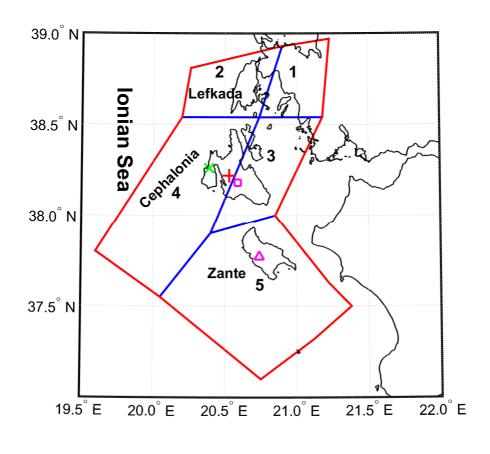
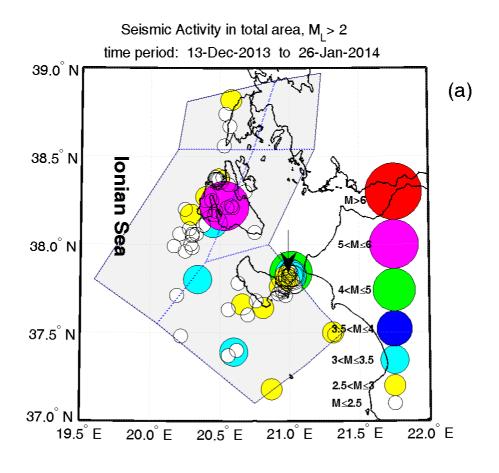


Figure 8. Seismic zonation in the Ionian Islands area. The locations of the Cephalonia and
Zante stations, as well as the epicenters of the two significant EQs of interest are marked,
using the same signs presented in Fig. 1.



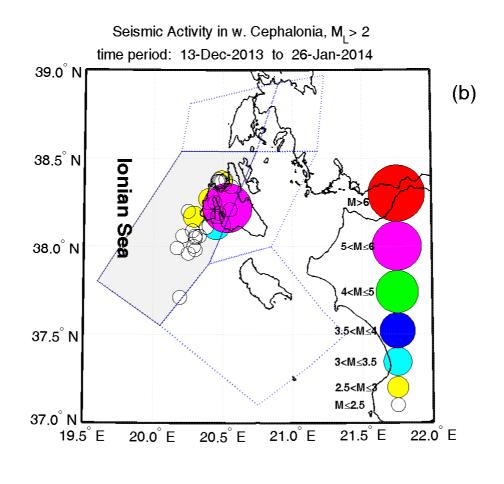


Figure 9. Foreshock seismic activity (M<sub>L</sub>) before EQ1: (a) for the whole investigated area of
the Ionian Sea region; (b) for west Cephalonia. (For interpretation of the references to colors,
the reader is referred to the online version of this paper.)

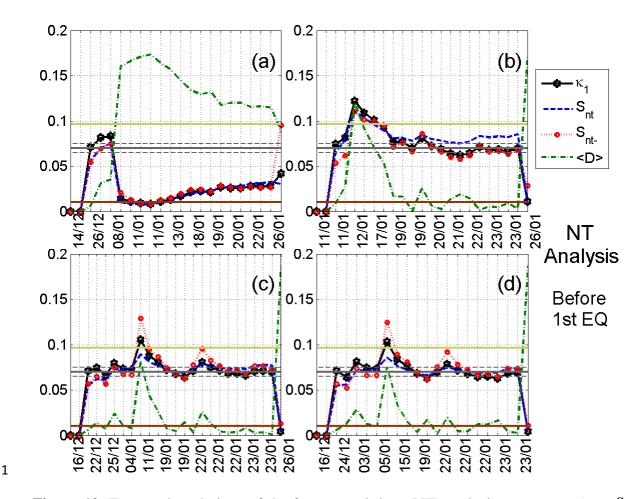


Figure 10. Temporal evolutions of the four natural time (NT) analysis parameters ( $\kappa_1$ ,  $S_{nt}$ , 2  $S_{nt-}$ , and  $\langle D \rangle$ ) for the foreshock seismic activity recorded prior to EQ1: (a) for the activity of 3 the whole investigated area of the Ionian Sea for  $M_L$  threshold 2.5, during the period from 4 5 13/12/2013 00:00:00 to 26/01/2014 13:55:44 UT (just after the occurrence of EQ1); (b) for 6 the activity of the whole investigated area of the Ionian Sea for  $M_L$  threshold 2.3, during the period from 11/01/2014 04:13:00 (just after the  $M_L = 4.7$  occurred in Zante) to 26/01/2014 7 13:55:44 UT; (c) for the activity of both Cephalonia (east and west) zones combined for  $M_L$ 8 9 threshold 2.1, during the period from 13/12/2013 00:00:00 to 26/01/2014 13:55:44 UT;. (d) for the activity of the west Cephalonia for  $M_L$  threshold 2.1, during the period from 10 13/12/2013 00:00:00 to 26/01/2014 13:55:44 UT. Note that the events employed depend on 11 the considered threshold. Moreover, the time (x-) axis is not linear in terms of the 12 conventional date of occurrence of the events, since the employed events appear equally 13 14 spaced relative to x-axis, as the natural time representation demands, although they are not

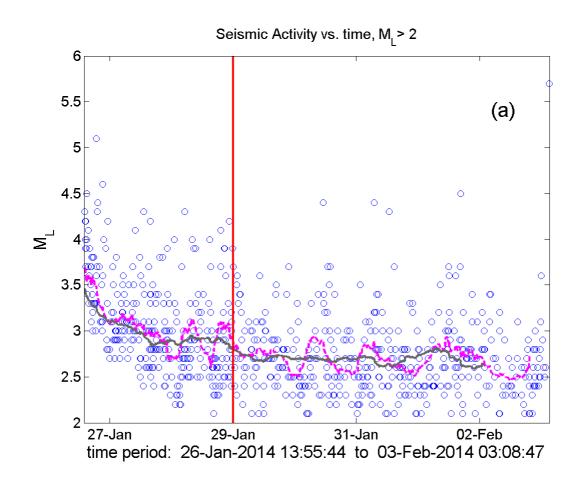
2 dashed lines, denote the same quantities as in Fig. 6, while the horizontal solid brown line

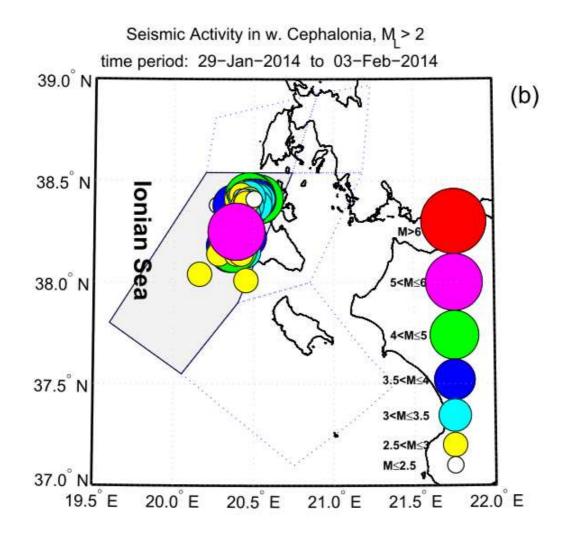
equally spaced in conventional time. The horizontal solid light green, solid grey and the grey

- 3 denotes the  $10^{-2}$  limit for  $\langle D \rangle$ . (For interpretation of the references to colors, the reader is
- 4 referred to the online version of this paper.)



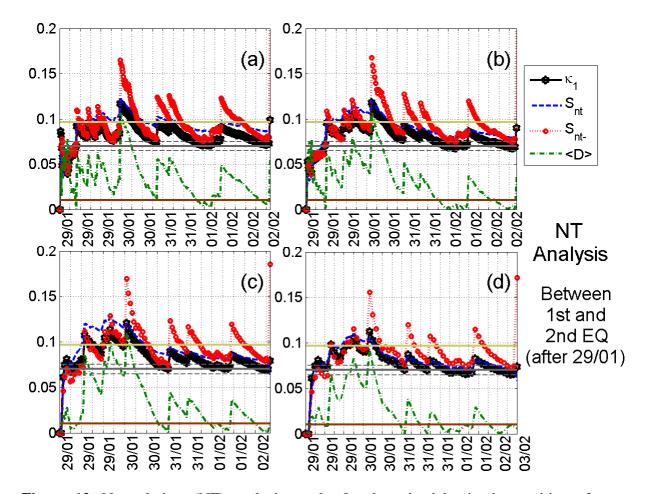






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Figure 11. (a) Seismic activity from the time immediately after EQ1 ( $M_w = 6.0$ ) up to the 2 time of EQ2 ( $M_w = 5.9$ ) for the whole investigated area of the Ionian Sea. The moving 3 4 averages of the recorded earthquake local magnitudes vs. time for calculation windows of 25 5 and 75 successive events are shown by the dashed magenta and solid grey curve, respectively. The vertical solid red line denotes the time point 29 January 00:00:00 UT. (b) The considered 6 as foreshock seismic activity before EQ2 (from 29/01/2014 00:00 UT up to the time of 7 8 occurrence of EQ2) for west Cephalonia. All presented magnitudes are local magnitudes  $(M_L)$ .(For interpretation of the references to colors, the reader is referred to the online 9 version of this paper.) 10



2 Figure 12. Natural time (NT) analysis results for the seismicity in the partition of west Cephalonia during the time period from 29/01/2014 00:00:00 to 03/02/2014 03:08:47 UT 3 (between EQ1,  $M_w = 6.0$ , and EQ2,  $M_w = 5.9$ ): (a)-(d) Temporal evolutions of the four 4 natural time analysis parameters ( $\kappa_1$ ,  $S_{nt}$ ,  $S_{nt-}$ , and  $\langle D \rangle$ ) for the different  $M_L$  thresholds 2.2, 5 6 2.6, 2.8, and 3.0, respectively. Note that the events employed depend on the considered 7 threshold. Moreover, the time (x-) axis is not linear in terms of the conventional date of 8 occurrence of the events, since the employed events appear equally spaced relative to x-axis, as the natural time representation demands, although they are not equally spaced in 9 conventional time. The horizontal solid light green, solid grey and the grey dashed lines, 10 denote the same quantities as in Fig. 6, while the horizontal solid brown line denotes the  $10^{-2}$ 11 limit for  $\langle D \rangle$ . (For interpretation of the references to colors, the reader is referred to the 12 online version of this paper.) 13