

# Wavevector anisotropy of plasma turbulence at ion kinetic scales: Solar wind observations and hybrid simulations

H. Comișel<sup>1,2</sup>, Y. Narita<sup>3,4</sup>, and U. Motschmann<sup>1,5</sup>

<sup>1</sup>Institut für Theoretische Physik, Technische Universität Braunschweig, Mendelssohnstr. 3, D-38106, Germany

<sup>2</sup>Institute for Space Sciences, Atomiștilor 409, P.O. Box MG-23, Bucharest-Măgurele RO-77125, Romania

<sup>3</sup>Space Research Institute, Austrian Academy of Sciences, Schmiedlstr. 6, A-8042 Graz, Austria

<sup>4</sup>Institut für Geophysik und extraterrestrische Physik, Technische Universität Braunschweig, Mendelssohnstr. 3, D-38106, Germany

<sup>5</sup>Deutsches Zentrum für Luft- und Raumfahrt, Institut für Planetenforschung, Rutherfordstr. 2, D-12489 Berlin, Germany

*Correspondence to:* H. Comișel (h.comisel@tu-braunschweig.de)

**Abstract.** Wavevector anisotropy of ion-scale plasma turbulence is studied at various values of ion beta. Two complementary methods are used. One is multi-point measurements of magnetic field in the near-Earth solar wind as provided by the Cluster spacecraft mission, and the other is hybrid numerical simulation of two-dimensional plasma turbulence. The both methods demonstrate **that the wavevector anisotropy is reduced with increasing values of ion beta**. Furthermore, the numerical simulation study shows the existence of a scaling law between ion beta and the wavevector anisotropy **of the fluctuating magnetic field that is controlled by the thermal or hybrid particle in cell simulation noise. Likewise, there is a weak evidence that the power-law scaling can be extended to the turbulent fluctuating cascade.** This fact can be used to construct a diagnostic tool to determine or to constrain ion beta using multi-point magnetic field measurements in space.

## 1 Introduction

**Wavevector anisotropy appears in collisionless plasma turbulence whenever a large-scale magnetic field is present.** Anisotropy is characterized by extension or elongation of the energy spectrum in the direction parallel or perpendicular to the large-scale field. Examples of wavevector anisotropy can be found in near-Earth solar wind (e.g., Matthaeus et al., 1990; Chen et al., 2012), astrophysical systems such as diffusion of galactic cosmic ray (Bieber et al., 1994, 1996; Ahlers, 2014) and magnetic field decay process in the neutron star crust (Cumming et al., 2004), as well as in laboratory plasmas (Howes et al., 2012; Drake et al., 2013). **All these studies conclude that plasma**

turbulence is primarily anisotropic such that the energy spectrum is extended preferentially  
 20 in the perpendicular direction to the mean magnetic field. That is, the wavevector anisotropy  
 corresponds to  $k_{\perp} \gg k_{\parallel}$  at a given spectral energy, where  $k_{\perp}$  and  $k_{\parallel}$  denote the wavevector  
 components perpendicular and parallel to the mean magnetic field, respectively. The perpen-  
 dicular extension of the spectrum indicates that the spectral energy transfer or cascade is  
 anisotropic accordingly. There are spacecraft observations of the parallel extension of the en-  
 25 ergy spectrum, but only under limited conditions, e.g., high-speed solar wind streams (Dasso  
 et al., 2005) or shock-upstream region (Narita and Glassmeier, 2010). Development in wavevec-  
 tor anisotropy leads to a structure formation while the plasma evolves into a turbulent state, which is  
 markedly different from fluid turbulence. Evidence of anisotropy in plasma turbulence has also  
 been presented in numerical simulations using different schemes for plasma dynamics on vari-  
 30 ous spatial scales from the magnetohydrodynamic (MHD) regime to the ion kinetic regime, and  
 down to electron kinetic regime. Most of numerical simulation studies show the perpendicular  
 extension of the spectrum on those scales: magnetohydrodynamic turbulence (Shebalin et al.,  
 1983; Matthaeus et al., 1996; Matthaeus and Gosh, 1999), ion-kinetic turbulence (Valentini  
 et al., 2010; Verscharen et al., 2012; Comișel et al., 2013), gyrokinetic treatment (Howes et al.,  
 35 2011), and whistler turbulence on electron scales (Saito et al., 2008). Furthermore, particle-in-  
 cell simulations show the wavevector anisotropy of whistler turbulence at electron-scale wave-  
 lengths decreases with increasing electron beta (Gary et al., 2010; Saito et al., 2010; Saito and  
 Gary, 2012; Chang et al., 2013), which motivates our study here.

Here we propose a scenario that ion beta is **one of the control parameters** such that wavevector  
 40 anisotropy in the ion kinetic regime is reduced with increasing values of ion beta.

**Ion beta  $\beta_i$  is defined here as**

$$\beta_i = \frac{2\mu_0 n_i k_B T_i}{B_0^2} \quad (1)$$

where the symbols denote  $\mu_0$  the permeability of free space,  $n_i$  the ion number density,  $k_B$  the  
 Boltzmann constant,  $T_i$  the ion temperature, and  $B_0$  the mean magnetic field magnitude. By  
 45 extending the method and the result obtained in Narita et al. (2014) (hereafter NCM14), we find a  
 transition in the wavevector anisotropy as a function of ion beta. While the qualitative picture of ion  
 beta dependence is demonstrated in NCM14, this manuscript presents a more systematic survey of  
 ion beta dependence both in spacecraft measurements and direct numerical simulations. Our goal is  
 to search for a scaling law of the wavevector anisotropy as a function of ion beta. Since waves and  
 50 instabilities in plasmas are known to be dependent on the value of ion beta, it is natural to assume  
 the existence of mapping or relation between ion beta and anisotropy. Interestingly, such an idea will  
 lead us to determine or constrain ion beta using magnetic field measurements only.

The wavevector anisotropy can be quantitatively measured by employing the anisotropy index  
 that compares two covariance quantities, one between the wavevector spectrum and the parallel  
 55 components of the wavevector and the other between the spectrum and the perpendicular components

of the wavevector. The anisotropy index  $A$  is defined after Shebalin et al. (1983) as

$$A = \frac{\sum_{\mathbf{k}} k_{\perp}^2 E(k_{\perp}, k_{\parallel})}{\sum_{\mathbf{k}} k_{\parallel}^2 E(k_{\perp}, k_{\parallel})}, \quad (2)$$

where  $k_{\parallel}$  and  $k_{\perp}$  denote the wavevector components parallel and perpendicular to the large-scale magnetic field, respectively, and  $E(k_{\perp}, k_{\parallel})$  the wavevector spectrum. According to this relation, a spectrum is regarded isotropic if the index is unity. Larger values of the index implies that the fluctuation energy is extended to the axis of perpendicular wavevector, while smaller values of the index implies the spectral extension along the axis of parallel wavevector. This index is used as the analysis tool in this work, and we take two independent and complementary approaches to determine the wavevector anisotropy. One is solar wind observations using four-point magnetic field and the other is numerical simulations.

## 2 Methods

### 2.1 Multi-spacecraft measurements

Four-point magnetic field data sampled by Cluster fluxgate magnetometer in the near-Earth solar wind (Balogh et al., 2001) are used to determine the wavevector spectra. Three time intervals are added to that used in the previous analysis in NCM14: February 9, 2002, 0210–0240 UT; February 12, 2002, 1415–1445 UT; March 18, 2002, 2130–2200 UT. The intervals are taken from the solar wind intervals of Cluster measurements under the conditions of nearly regular tetrahedral formation with the minimum inter-spacecraft distance. The magnetic field magnitude, the ion density, the ion bulk speed, and the ion beta of the three time intervals are listed in Tab. 1. Ion data are obtained from the ion spectrometry on board Cluster (Rème et al., 2001). With the three added intervals and that used in NCM14, the wavevector spectra are determined at seven different values of ion beta in the range 0.58 to 3.66 in the solar wind.

The spectral estimator MSR (Multi-point Signal Resonator) (Narita et al., 2011) is used extensively in the data analysis. The MSR technique is a high-resolution spectral estimator in the wavevector domain using four-point magnetic field data. The method assumes only a set of plane waves, and no additional assumption is needed such as wave modes or Taylor’s frozen-in flow hypothesis. Time series of four-point magnetic field data are first transformed into frequencies using the Fast Fourier Transform algorithm. Cross spectral density matrix is then constructed, which is a 12-by-12 matrix, consisting of three components of magnetic field and four spatial points, in the frequency domain. This matrix is reduced into a 3-by-3 matrix by projecting onto the wavevector domain. The matrix projection is a combination of the minimum variance projection with the MUSIC (Multiple Signal Classification) algorithm (Schmidt, 1986). To complete the projection method, the eigenvalue decomposition of the cross spectral density matrix (Choi et al., 1993) is incorporated.

The wavevector spectra are determined in the following steps. First, the energy spectra for the magnetic field fluctuations are evaluated in the four-dimensional Fourier domain spanned by the frequencies and the wavevectors. Second, the spectra are integrated over the frequencies extended to negative values of frequencies to guarantee the frame-invariance of the wavevector dependence after the frequency integration. Third, the spectra are further reduced into the two-dimensional wavevector domain spanned by the parallel components of wavevector and the perpendicular one by integrating over the directions around the large-scale magnetic field and then summing over the positive and negative components of the parallel wavevector. **The reduction was made through the integration over the frequencies  $\omega$  and then over the azimuthal angles  $\phi$  around the mean magnetic field.**

$$E^{(2D)}(k_{\perp}, k_{\parallel}) = \int d\phi \int d\omega E^{(4D)}(k_{\perp}, k_{\parallel}, \phi, \omega). \quad (3)$$

**The perpendicular components of wavevectors therefore represent the magnitude, not in any specific direction.** The two-dimensional wavevector spectra for the three time intervals are displayed in Fig. 1 using the normalization to the proton inertial length by multiplying  $\frac{V_A}{\Omega_p}$  (here  $V_A$  denotes the Alfvén speed and  $\Omega_p$  the proton cyclotron frequency). Except for the case at ion beta 3.66, the measured wavevector spectra are determined at the wavevector components up to  $\frac{kV_A}{\Omega_p} = 2.5$ . At ion beta 3.66, the spectrum was determined up to  $\frac{kV_A}{\Omega_p} = 1.7$ .

The wavevector anisotropy is then evaluated in the reduced spectra using the method of anisotropy index (Eq. 2). The lower limit and the higher limit of the wavenumbers are set to  $\frac{kV_A}{\Omega_p} = 0.3$  and  $\frac{kV_A}{\Omega_p} = 2.5$ , respectively, in the computation of anisotropy index. The lower limit is determined such that the pump waves set in the simulation work (see below) are not counted as anisotropy (the pump waves have the wavenumbers up to  $\frac{kV_A}{\Omega_p} = 0.2$ ). The upper limit is determined such that all intervals (except for ion beta 3.66) have the same range in the wavenumbers. At ion beta 3.66, we use the upper limit  $\frac{kV_A}{\Omega_p} = 1.7$ . For error estimate of the anisotropy index, these wavenumbers are varied by 10% and this effect is transmitted to computation of the index. The anisotropy profile as a function of ion beta is displayed in **Fig. 6** in the following section.

## 2.2 Direct numerical simulation

Direct numerical simulation serves as an independent and complementary approach of the anisotropy study. The wavevector anisotropy is studied under six different conditions of ion beta (0.05, 0.1, 0.2, 0.5, 1.0, and 2.0) covering nearly two orders of magnitude. Following the procedure in the observational approach, the wavevector spectra are determined from the numerical simulation of plasma turbulence, and the anisotropy is evaluated using the spectra. However, due to the computational load, we have to limit ourselves to the two-dimensional space spanned by the parallel and the perpendicular directions to the large-scale magnetic field. In this setup, eddies which are intrinsic to fluid-mechanical or gas-dynamic nature of plasmas are suppressed due the lack of the degree of freedom around the large-scale magnetic field. In other words, though the spatial dimensions are

two, this numerical setup is advantageous in studying the wave dynamics of plasmas in detail without being influenced by eddies.

Turbulence is produced in the simulation box by solving dynamics of plasma and fields in a step-by-step fashion. We use the hybrid plasma code AIKEF (Adaptive Ion Kinetic Electron Fluid). This code solves a set of Newtonian equation of motion for ions as super-particles under the Coulomb and Lorenz forces together with the Maxwell equations. Electrons are treated as a massless charge-neutralizing fluid (see details on the code in Müller et al. (2011)). In contrast to the analytic approach of solving plasma dynamics, the simulation approach does not require any a-priori knowledge on the statistical property such as a Gaussian distribution of the fluctuating fields nor assumption of the wave modes. The hybrid plasma treatment is suitable particularly for resolving ion kinetic effects as far as electron gyro-motion can be neglected, i.e., on the spatial scales between the electron and ion gyroradii (cf. 10-100 km in the solar wind). A disadvantage is that the strong electrostatic effects due to charge localization cannot develop due to the massless electron fluid, as the electrostatic field is immediately canceled out by electrons. However, the charge localization can safely be neglected in our purpose of anisotropy study here.

Implementation of the AIKEF code to our simulation study follows that presented by Verscharen et al. (2012); Comișel et al. (2013) and NCM14. The simulation box has the size  $250 \times 250$  proton inertial lengths, and is spanned by the parallel to the large-scale magnetic field  $\mathbf{B}_0$  (the  $z$ -direction) and the perpendicular direction (the  $y$ -direction). The large-scale field is constant in space and time. The spatial configuration is two-dimensional, but the vectorial quantities are treated as three-dimensional, e.g., fluctuating magnetic field, electric field, particle velocities. The  $x$ -direction is pointing out of the simulation plane. Plasma is modeled as the electron-proton plasma.

As the initial condition, a superposition of one-thousand Alfvén waves with random initial phases are set to the system. These pump waves are limited to the wavevectors in the range  $\frac{k_{\min} V_A}{\Omega_p} = 0.05$  and  $\frac{k_{\max} V_A}{\Omega_p} = 0.2$ , in which the fluid picture of plasma is valid. Wavevectors are randomly and isotropically chosen. The amplitude of the pump waves follows Kolmogorov’s inertial-range scaling for fluid turbulence, that is, the spectral energy density is set to proportional to  $|\mathbf{k}|^{-5/3}$ . In addition, the total fluctuation amplitude is set to 1 % of the large-scale magnetic field,  $\frac{\delta B}{B_0} = 0.01$ . To generate the magnetohydrodynamic Alfvén waves, the plasma velocity is set to correlated to the pump wave magnetic field at the initial time. The periodic boundaries are set to the simulation. No fluctuation energy is given externally into the system, neither the fluid-scale range ( $\frac{k V_A}{\Omega_p} < 1$ ) nor the kinetic range ( $\frac{k V_A}{\Omega_p} > 1$ ) during the simulation. Thus, fluctuations (or waves) in the ion-kinetic domain evolve as a consequence of large-scale Alfvén waves.

The simulation box is divided into  $1024 \times 1024$  computational cells. The number of super-particles  $N$  per cell are spanning in the range from  $N = 400$  to  $N = 1600$  per cell while the ion beta values are in the range between 0.05 and 2.0. We note that the higher value of ion beta one uses in the simulation, the more particles need to be put into the simulation box. Otherwise many particles may

leave the computation cell due to their thermal mobility in the high-beta plasma. This process will eventually lead to a failure in solving the equations of the electromagnetic field when the so-called vacuum cell is formed in which no ion is stored instantaneously. To carry out a successful run at the value of ion beta 2.0, a large number of super-particles is set,  $N = 1600$ , which is a highly-demanding computation.

The wavevector spectra are determined at 2000 ion gyroperiods ( $t\Omega_p = 2000$ ) **or even at later times**, by extending the iterations in the simulation run (cf. anisotropy study in NCM14 uses the evolution time at  $t\Omega_p = 1000$ ) **or by introducing new runs**. This represents a **long** simulation run for plasma turbulence using AIKEF code, and the fluctuations reach a quasi-stationary stage at which the fluctuation amplitude, the spectrum, and the anisotropy do not evolve substantially any more. The spectra are obtained by applying the Fast Fourier Transform algorithm to the spatial distribution of magnetic field fluctuations (Fig. 2). **New runs have been additionally carried out in order to evaluate the range of variation of the anisotropy in respect with some simulation parameters e.g. grid resolution or the number of super-particles. The perpendicular wavevector components in the two-dimensional spectra for the simulation data are chosen in the direction perpendicular to the mean magnetic field within the simulation plane.** Again, the anisotropy index is computed at each value of ion beta using Eq. (2). **In the next section, the anisotropy-time evolution is shown in Figs. 3, 4, and 5, while the anisotropy-beta relation is displayed in Fig. 6 and Fig. 7.**

## 180 3 Results and discussion

### 3.1 Two-dimensional spectra

The added solar wind intervals of the Cluster measurements show a diversity (including both similarities and differences) in the contour shapes of the wavevector spectra. First of all, all the three cases exhibit the anisotropy that the spectrum is extended primarily in the direction perpendicular to the large-scale magnetic field. That is, the spectral decay in the wavevector domain is flatter along the perpendicular wavevector axis, while it is steeper along the parallel wavevector axis. Closer look at the spectra yields the following detailed structures. in the case of ion beta 1.05 (left panel in Fig. 1), the contours of the spectrum are nearly diagonal, connecting a larger value of the parallel component of wavevector to a **larger** value of the perpendicular one. In the case of ion beta 1.18, the spectral extension appears not only in the perpendicular direction but also in oblique direction (about  $60^\circ$  from the direction of the large-scale magnetic field). While these two cases show the monotonous spectral decay toward larger values of the wavevector components, the spectrum in the case of ion beta 3.66 exhibits a formation of the secondary peak along the perpendicular wavevector axis at about  $\frac{k_\perp V_A}{\Omega_p} = 0.8$  and the third peak in the oblique direction at about  $(\frac{k_\perp V_A}{\Omega_p}, \frac{k_\parallel V_A}{\Omega_p}) = (1.6, 1.2)$ .

195 The spectral decay in the wavevector domain is rather flat in the third case.

The wavevector spectra obtained from the numerical simulations provide another kind of diversity in the contour shapes. Again, the primary extension of the spectrum appears along the perpendicular wavevector direction (the spectral decay is flatter in the perpendicular direction, and steeper in the parallel direction). Still, the secondary peak is formed along the parallel wavevector axis (e.g.,  $1 < \frac{k_{\parallel} V_A}{\Omega_p} < 2$  at ion beta 0.2,  $2 < \frac{k_{\parallel} V_A}{\Omega_p} < 3.5$  at ion beta 1.0). It is also interesting to note that the contour shape at smaller values of the perpendicular wavevector component exhibits a similarity in that there is a hump or a spectral extension, e.g., the transitions from  $(\frac{k_{\perp} V_A}{\Omega_p}, \frac{k_{\parallel} V_A}{\Omega_p}) = (0.5, 2.8)$  at ion beta 0.05 to  $(\frac{k_{\perp} V_A}{\Omega_p}, \frac{k_{\parallel} V_A}{\Omega_p}) = (0.5, 4.0)$  at ion beta 0.2, and further to  $(\frac{k_{\perp} V_A}{\Omega_p}, \frac{k_{\parallel} V_A}{\Omega_p}) = (0.5, 5.0)$  at ion beta 0.5. In contrast, at larger values of the perpendicular wavevector component, the spectral contours do not vary across the parallel wavevector component.

### 3.2 Anisotropy evolution

The anisotropy index was initially determined from simulation in the wavenumber range  $0.3 < \frac{k V_A}{\Omega_p} < 6$ . The obtained anisotropy is plotted as a function of time in Fig. 3. After the sudden increase at the earliest time, the anisotropy index reaches a saturation level in less than 15 ion gyroperiods without crossing the evolution curves at the other values of ion beta throughout the simulation runs until 2000 ion gyroperiods. The exception is the case at the smallest value of ion beta ( $\beta_i = 0.05$ ) that the anisotropy index increases and peaks at about 500 ion gyroperiods, and then decreases. The beta dependence that the anisotropy is reduced with increasing ion beta can be seen even in the early evolution phase around a few ion gyroperiods. Furthermore, the anisotropy index at ion beta 0.05 turns back at later times ( $t\Omega_p > 1500$ ). Could be this peculiar evolution due to the thermal fluctuations, see e.g. Yoon et al. (2014), or due to the numerical noise of the hybrid PIC simulation, see e.g. Jenkins and Lee (2007), is a question we cannot answer in this paper. Nevertheless, there is no connection with the initial Alfvénic excitation imposed at the start of the simulation.

We found that the fast saturation of the anisotropy at the initial time is a consequence of the contribution of high wavenumber terms of the power spectrum. By using a low-pass filter in the fluctuating magnetic field, the quick saturation of the anisotropy at the initial time is removed. The higher the ion beta value is, the lower the cutoff wavenumber ( $k_{\text{cut}}$ ) has to be employed. The effect of filtering the fluctuating magnetic field spectrum is demonstrated in Fig. 4 for ion beta 0.05, 0.1, 0.2, and in Fig. 5 for ion beta 0.5, 1, and 2. The cutoff wavenumber was  $k_{\text{cut}} \frac{V_A}{\Omega_p} = 3$  and  $k_{\text{cut}} \frac{V_A}{\Omega_p} = 1$ , respectively.

The anisotropy index starts to increase abruptly and attends a peak value around  $t\Omega_p \approx 500$  at ion beta 0.05 while for ion beta 0.1 and 0.2 the growing is slow and the crests are at  $t\Omega_p \approx 1000$  and  $t\Omega_p \approx 1700$ , respectively. At larger ion beta values, the anisotropy index evolves smoothly and extends to a saturation level after time 500 ion gyroperiods. The anisotropy index

is decreasing with the increasing of ion beta as in the previous evaluation excepting ion beta 0.5.

The reduced anisotropy with increasing beta was already pointed out from the particle-in-cell simulations (Chang et al., 2013) for whistler turbulence on electron kinetic scales. Our result confirms this tendency even on the ion kinetic scales. We note however that the peaks of the anisotropy at the low ion beta values evolve different than those from Chang et al. (2013). In our plots (Fig. 4), the peak is achieved quicker at lower ion beta values, while in the PIC simulation, a reversed dependence with electron beta is observed.

### 3.3 Search for anisotropy scaling

The experimental anisotropy index is plotted as a function of ion beta at once with the latter values obtained from simulation (filtered data) in Fig. 6. The evaluated anisotropy index from Cluster measurements is about 1.8 (at ion beta 1.05), 2.3 (at ion beta 1.18), and 2.1 (at ion beta 3.66). These values are plotted in Fig. 6 together with that already obtained in NCM14 (5.7 at ion beta 0.58, 3.3 at ion beta 0.76, 2.3 at ion beta 1.66, and 2.3 at ion beta 2.53). The results from simulation were obtained by averaging the time dependent anisotropies shown in Fig. 4 and Fig. 5 as 6.9 (at ion beta 0.05), 3.2 (at ion beta 0.1), 1.9 (at ion beta 0.2), 1.5 (at ion beta 0.5), 1.9 (at ion beta 1.0), and 1.7 (at ion beta 2.0).

Both the spacecraft measurements and the numerical simulations show that the anisotropy is stronger (i.e., the spectral decay is steeper along the parallel wavevector axis) when ion beta is below unity, and is moderate and asymptotes slowly to isotropy ( $A \rightarrow 1$ ) when ion beta is above unity. However, the quantitative picture of the beta-dependence is different between the observations and the simulations. In the spacecraft measurements in the solar wind, the anisotropy index falls down rather abruptly in the range of ion beta from 0.5 to 1, and then forms a plateau with only moderate decrease in the anisotropy index. Furthermore, the anisotropy index measured in the solar wind tends to be higher than that from the simulations. In the simulation, the anisotropy falls down steeper at low ion beta (at 0.05, 0.1 and 0.2) and then decreases smoothly at higher  $\beta_i$  (1, 2). This is similarly with the tendency observed in the solar wind if the shift in ion beta is disregarded.

The beta dependence of the anisotropy determined from the unfiltered data is given separately in Fig. 7. It clearly shows a monotonic descending trend that exhibits a power-law scaling in the form  $A \propto \beta_i^{-\alpha}$ . The slope in the scaling can be determined by the fitting procedure, and we obtain the empirical scaling

$$A = 2.035 \times \beta_i^{-0.295} \quad (4)$$

Eq. (4) represents with small deviations the anisotropy index controlled by the thermal or by the hybrid particle in cell (PIC) simulation noise. Dieckmann et al. (2004) studied the noise spectra of electrostatic waves in an unmagnetized electron plasma by particle in cell (PIC)

simulations. The purpose of their work was to find out the interplay between three categories of noise: thermal, numerical and PIC simulation noise. Their results show that at smaller wavenumbers the estimated numerical noise dominates the simulation noise, while at large values near the Nyquist wavenumber, the thermal noise becomes more effective. We repeated the simulations for short times ( $t\Omega_p < 200$ ) by varying the number of super-particles, and therefore, by changing the numerical noise amplitude, another set of anisotropy indices was determined. An additional anisotropy index extends our study at higher values of ion beta ( $\beta_i = 4$ ). This numerical experiment brings evidence that the anisotropy power-law scaling from Eq. (4) is linked to thermal rather than to numerical noise. The power-law dependence is added in Fig. 6. The anisotropy index of the simulated turbulent cascade follows the power-law in the limit of the error bars.

For the spacecraft measurements, two additional tests for possible relation with the wavevector anisotropy have been conducted: (1) effect of the electron-to-ion temperature ratio and (2) effect of the magnetic field magnitude. Fig. 8 shows the plot of the anisotropy as a function of the temperature ratio derived from the Cluster spacecraft measurements (for the test 1). Data set includes that used in our previous paper (NCM14). Due to large variation of anisotropy around the temperature ratio about 0.35, no clear trend or organization can be confirmed about the possible relationship between the anisotropy and the temperature ratio. However, the data point at the smallest anisotropy value at  $(T_e/T_i, A) \simeq (0.7, 1.8)$  could be a sign of the temperature-ratio dependence as indicated by Valentini et al. (2010).

Fig. 9 shows the plot of the anisotropy index as a function of the mean magnetic field magnitude derived from the Cluster data analysis (for the test 2). There is a weak tendency that the anisotropy is stronger with increasing magnetic field magnitude. In electron magnetohydrodynamics it is known that anisotropy depends on the strength of large-scale magnetic field (Dastgeer and Zank, 2003) as

$$A \propto B_0^2. \tag{5}$$

In their paper, the anisotropy quantity (the symbol  $R$  was used) is related to our definition of the anisotropy index by  $R = A^{-2}$ . This scaling is verified using our anisotropy measurements using Cluster spacecraft data. Comparison with the numerical simulation data is not possible here, since the magnetic field is normalized to unity and the thermal pressure is varied in the simulations. The measured slope shows the same tendency as that derived by Dastgeer and Zank (2003), but it is flatter than the scaling  $B_0^2$ . We interpret that the dependence of anisotropy on ion beta comes partly from the magnetic field magnitude and partly from the plasma thermal pressure.

## 300 4 Conclusions

**Our observational and computational studies extend the results of NCM14, providing additional evidence that the wavevector anisotropy of plasma turbulence at ion-scale wavelengths becomes weaker with increasing  $\beta_i$ .** This fact, however, should not be taken as surprising when considering that the role of magnetic field is weaker in high-beta plasmas. We observe the primary  
305 extension of the wavevector spectrum in the perpendicular direction to the large-scale magnetic field, which supports the notion of filamentation process in structure formation.

**Furthermore, our two-dimensional hybrid simulations show that a power-law scaling relation between the wavevector anisotropy and  $\beta_i$  could exist. The power-law function was found to describe accurately the anisotropy index driven by the thermal or hybrid PIC - simulation  
310 noise.** What determines the scaling slope  $\alpha \simeq 0.3$  will be a subject of theoretical **and computational** studies. It may be worth while to compare with the other observational fact that the variance anisotropy (which is a measure of compressibility in magnetic field fluctuations) also exhibits a power-law scaling to ion beta with the slope  $-0.56$  (Smith et al., 2006).

We point out that the **logic** in our work can be reversed, that is, the scaling law can be applied to  
315 measurements of magnetic field as a diagnostic tool of ion beta. At the current stage, such a method is applicable in two-dimensional plasmas. Still, the method can be used to constrain the value of ion beta in three-dimensional plasmas as well. An interesting extension would be an application to astrophysical systems. If such a scaling exists in the magnetohydrodynamic picture of plasmas, one may obtain or constrain the value of ion beta by analyzing photometry data of filament structures in  
320 interstellar media or astrophysical jets.

### Author contribution

H.C.: Simulation, analysis, writing. Y.N.: Observation, analysis, writing. U.M.: Coordination, interpretation.

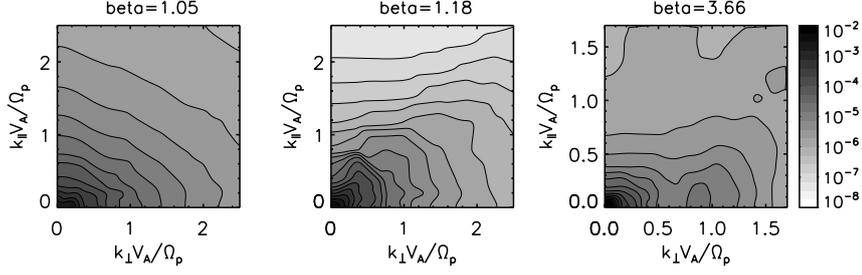
*Acknowledgements.* HC acknowledges Daniel Verscharen for comments and suggestions. This is financially  
325 supported by Collaborative Research Center 963, *Astrophysical Flow, Instabilities, and Turbulence* of the German Science Foundation and FP7 313038 STORM of European Commission. We also acknowledge North-German Supercomputing Alliance (Norddeutscher Verbund zur Förderung des Hoch- und Höchstleistungsrechnens - HLRN) for supporting direct numerical simulations.

## References

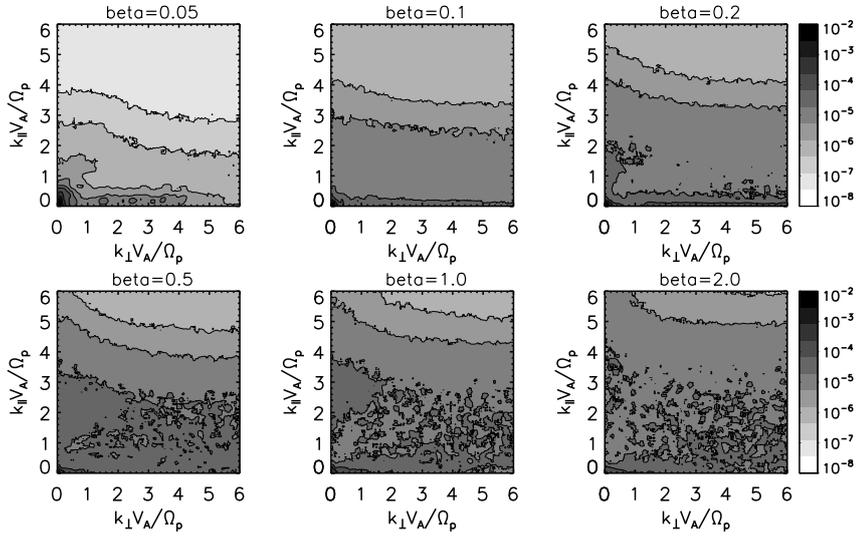
- 330 Ahlers, M.: Anomalous anisotropies of cosmic rays from turbulent magnetic fields, *Phys. Rev. Lett.*, 112, 021101, doi:10.1103/PhysRevLett.112.021101, 2014.
- Balogh, A., Carr, C. M., Acuña, M. H., Dunlop, M. W., Beek, T. J., Brown, P., Fornacon, K.-H., Georgescu, E., Glassmeier, K.-H., Harris, J., Musmann, G., Oddy, T., and Schwingenschuh, K.: The Cluster magnetic field investigation: overview of in-flight performance and initial results. *Ann. Geophys.*, 19, 1207-1217, 335 doi:10.5194/angeo-19-1207-2001, 2001.
- Bieber, J. W., Matthaeus, W. H., Smith, C. W., Wanner, W., Kallenrode, M.-B., and Wibberenz, G.: Proton and electron mean free paths: The Palmer consensus revisited, *Astrophys. J.*, 420, 294–306, doi:10.1086/173559, 1994.
- Bieber, J. W., Wanner, W., and Matthaeus, W. H.: Dominant two-dimensional solar wind turbulence with impli- 340 cations for cosmic ray transport, *J. Geophys. Res.*, 101, 2511–2522, doi:10.1029/95JA02588, 1996.
- Chang, O., Gary, S. P., and Wang, J.: Whistler turbulence at variable electron beta: Three-dimensional particle-in-cell simulations, *J. Geophys. Res. Space Phys.*, 118, 2824–2833, doi:10.1002/jgra.50365, 2013.
- Chen, C.H.K., Mallet, A., Schekochihin, A.A., Horbury, T.S., Wicks, R.T., and Bale S. D.: Three-dimensional structure of solar wind turbulence, *Astrophys. J.*, 758, 120, doi:10.1088/0004-637X/758/2/120, 2012.
- 345 Choi, J., Song, I., Kim, H.M.: On estimating the direction of arrival when the number of signal sources is unknown, *Signal Process*, 34, 193–205, doi:10.1016/0165-1684(93)90162-4, 1993.
- Comişel, H., Verscharen, D., Narita, Y., and Motschmann, U.: Spectral evolution of two-dimensional kinetic plasma turbulence in the wavenumber-frequency domain, *Phys. Plasmas*, 20, 090701, doi:10.1063/1.4820936, 2013.
- 350 Cumming, A., Arras, P., and Zweibel, E.: Magnetic field evolution in neutron star crusts due to the Hall effect and Ohmic decay, *Astrophys. J.*, 609, 999–1017, doi:10.1086/421324, 2004.
- Dasso, S., Milano, L. J., Matthaeus, W. H., and Smith, C. W.: Anisotropy in fast and slow solar wind fluctuations, *Astrophys. J.*, 635, L181–L184, doi:10.1086/499559, 2005.**
- Dastgeer, S., and Zank, G. P.: Anisotropic turbulence in two-dimensional electron magnetohydrodynamics, *Astrophys. J.*, 599, 715–722, doi:10.1086/379225, 2003.** 355
- Dieckmann, M. E., Ynnerman, A., Chapman, S. C., Rowlands, G., and Andersson, N.: Simulating thermal noise, *Physica Scripta*, 69, 456–460, doi:10.1238/Physica.Regular.069a00456, 2004.**
- Drake, D. J., Schroeder, J. W. R., Howes, G. G., Kletzing, C. A., Skiff, F., Carter, T. A., and Auerbach, D. W.: Alfvén wave collisions, the fundamental building block of plasma turbulence. IV. Laboratory experiment, 360 *Phys. Plasmas*, 20, 072901, doi:10.1063/1.4813242, 2013.
- Gary, S. P., Saito, S., and Narita, Y.: Whistler turbulence wavevector anisotropies: particle-in-cell simulations, *Astrophys. J.*, 716, 1332–1335, doi:10.1088/0004-637X/716/2/1332, 2010.
- Howes, G. G., Tenbarge, J. M., Dorland, W., Quataert, E., Schekochihin, A. A., Numata, R., and Tatsuno T.: Gyrokinetic simulations of solar wind turbulence from ion to electron scales, *Phys. Rev. Lett.*, 107, 035004, 365 doi:10.1103/PhysRevLett.109.255001, 2011.
- Howes, G. G., Drake, D. J., Nielson, K. D., Carter, T. A., Kletzing, C. A., and Skiff, F.: Toward astrophysical turbulence in the laboratory, *Phys. Rev. Lett.*, 109, 255001, doi:10.1103/PhysRevLett.109.255001, 2012.

- Jenkins, T. G., and Lee, W. W.: Fluctuations of discrete particle noise in gyrokinetic simulation of drift waves, Phys. Plasmas, 14, 032307, doi:10.1063/1.2710808, 2007.**
- 370 Matthaeus, W. H., Goldstein, M. L., and Roberts, D. A.: Evidence for the presence of quasi-two-dimensional nearly incompressible fluctuations in the solar wind, *J. Geophys. Res.*, 95, 20673–20683, doi:10.1029/JA095iA12p20673, 1990.
- Matthaeus, W. H., Gosh, S., Oughton, S., and Roberts, D. A.: Anisotropic three-dimensional MHD turbulence, *J. Geophys. Res.*, 101, 7619–7630, doi:10.1029/95JA03830, 1996.
- 375 Matthaeus, W. H. and Gosh, S.: Spectral decomposition of solar wind turbulence: Three-component model, *AIP Conf. Proc. The solar wind nine conference, 5-9 October 1998, Nantucket, Massachusetts*, 471, 519–522, doi:10.1063/1.58688, 1999.
- Müller, J., Simon, S., Motschmann, U., Schüle, J., Glassmeier, K.-H., and Pringle, G. J.: Adaptive hybrid model for space plasma simulations, *Comp. Phys. Comm.*, 182, 946–966, doi:10.1016/j.cpc.2010.12.033, 2011.
- 380 **Narita, Y. and Glassmeier, K.-H.: Anisotropy evolution of magnetic field fluctuation through the bow shock, Earth Planets Space, 62, e1–e4, doi:10.5047/eps.2010.02.001, 2010.**
- Narita, Y., Glassmeier, K.-H., Motschmann, U.: High-resolution wave number spectrum using multi-point measurements in space – the Multi-point Signal Resonator (MSR) technique, *Ann. Geophys.*, 29, 351–360, doi:10.5194/angeo-29-351-2011, 2011.
- 385 Narita, Y., Comişel, H., and Motschmann, U.: Spatial structure of ion-scale plasma turbulence, *Front. Phys.*, 2, 13, doi:10.3389/fphy.2014.00013, 014.
- Rème, H., Aoustin, C., Bosqued, M.M., Dandouras, I., Lavraud, B., Sauvaud, J.A., et al.: First multispacecraft ion measurements in and near the earth’s magnetosphere with the identical Cluster Ion Spectrometry (CIS) experiment, *Ann. Geophys.*, 19, 1303–1354, doi:10.5194/angeo-19-1303-2001, 2001.
- 390 **Saito, S., Gary, S. P., Li, H., and Narita, Y.: Whistler turbulence: Particle-in-cell simulations, Phys. Plasmas, 15, 102305, doi:10.1063/1.2997339, 2008.**
- Saito, S., Gary, S. P., and Narita, Y.: Wavenumber spectrum of whistler turbulence: Particle-in-cell simulation, *Phys. Plasmas*, 17, 122316, 2010.
- Saito, S. and Gary, S. P.: Beta dependence of electron heating in decaying whistler turbulence: Particle-**
- 395 **in-cell simulations, Phys. Plasmas, 19, 012312, doi:10.1063/1.3676155, 2012.**
- Schmidt, R.O.: Multiple emitter location and signal parameter estimation, *IEEE Trans. Ant. Prop.*, AP-34, 276–280, doi:10.1109/TAP.1986.1143830, 1986.
- Shebalin, J. V., Matthaeus, W. H., and Montgomery, D.: Anisotropy in MHD turbulence due to a mean magnetic field, *J. Plasma Phys.*, 29, 525–547, doi:10.1017/S0022377800000933, 1983.
- 400 Smith, C.W., Vasquez, B.J., and Hamilton, K.: Interplanetary magnetic fluctuation anisotropy in the inertial range, *J. Geophys. Res.*, 111, A09111, doi:10.1029/2006JA011651, 2006.
- Valentini, F., Califano, F., and Veltri, P.: Two-dimensional kinetic turbulence in the solar wind, *Phys. Rev. Lett.*, 104, 205002, doi:10.1103/PhysRevLett.104.205002, 2010.
- Verscharen, D., Marsch, E., Motschmann, U., and Müller, J.: Kinetic cascade beyond magnetohydrodynamics of solar wind turbulence in two-dimensional hybrid simulations, *Phys. Plasmas*, 19, 022305, doi:10.1063/1.3682960, 2012.
- 405

Yoon, P.H., Schlickeiser, R., and Kolberg, U.: Thermal fluctuation levels of magnetic and electric fields in unmagnetized plasma: The rigorous relativistic kinetic theory, *Phys. Plasmas*, 21, 032109, doi:10.1063/1.4868232, 2014.



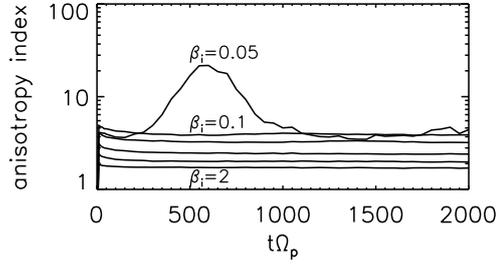
**Figure 1.** Magnetic energy spectra in the plane spanned by the perpendicular and parallel components of the wavevectors with respect to the large-scale magnetic field measured by Cluster spacecraft in the solar wind.



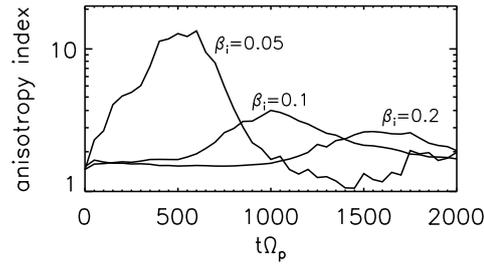
**Figure 2.** Magnetic energy spectra obtained by numerical simulation of two-dimensional ion-scale plasma turbulence at a late-stage time evolution (2000 ion gyroperiods). The panel styles are the same as that in Fig. 1

**Table 1.** Magnetic field magnitude  $B_0$ , ion number density  $n_i$ , flow speed  $V$ , ion beta, **and electron-to-ion temperature ratio** for ions of the solar wind data added to that in Narita et al. (2014). Items are sorted in ascending order of ion beta.

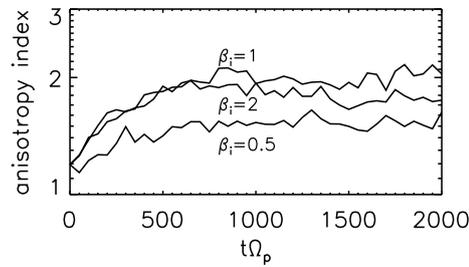
Date	Interval	$B_0$ nT	$n_i$ $\text{cm}^{-3}$	$V$ km/s	$\beta_i$ 1	$\frac{T_e}{T_i}$ 1
Feb. 9, 2002	0210–0240 UT	4.7	3.7	493.1	1.05	0.71
Feb. 12, 2002	1415–1445 UT	6.4	3.3	512.8	1.18	0.38
Mar. 18, 2002	2130–2200 UT	10.9	15.5	446.3	3.66	0.35



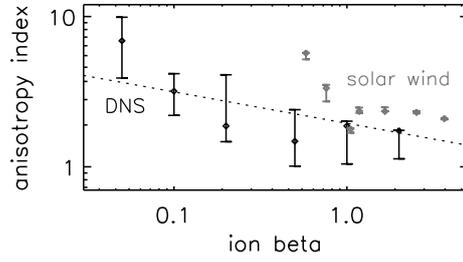
**Figure 3.** Anisotropy evolution for the data obtained by numerical simulation in a broad range of wavenumbers ( $0.3 < k < 6$ ) at the values of ion beta (from top to bottom)  $\beta_i = \{0.05, 0.1, 0.2, 0.5, 1, 2\}$ .



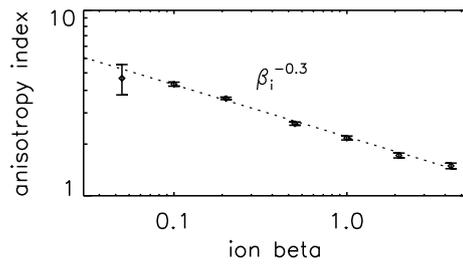
**Figure 4.** Anisotropy evolution for the data obtained by numerical simulation at the values of ion beta  $\beta_i = \{0.05, 0.1, 0.2\}$ .



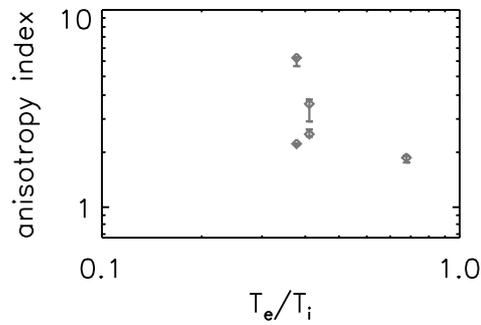
**Figure 5.** Anisotropy evolution for the data obtained by numerical simulation at the values of ion beta  $\beta_i = \{0.5, 1, 2\}$ .



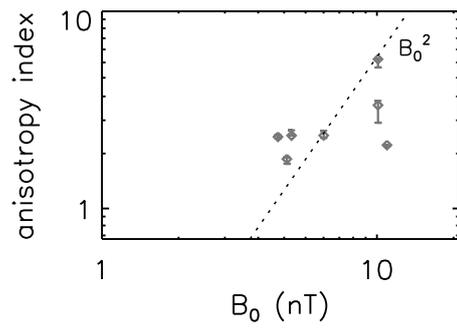
**Figure 6.** Ion beta dependence of anisotropy index obtained by the Cluster spacecraft measurements in the solar wind (in gray) and that by direct numerical simulation (DNS, in black) with the power-law fitting.



**Figure 7.** Ion beta dependence of anisotropy index from Fig. 3 and the power-law fitting.



**Figure 8.** Anisotropy index plotted as a function of electron-to-ion temperature ratio as measured by the Cluster spacecraft in the solar wind.



**Figure 9.** Anisotropy index plotted as a function of the mean magnetic field magnitude as measured by the Cluster spacecraft in the solar wind. The dotted line is a scaling law (Eq.,5) predicted by Dastgeer and Zank (2003) for electron magnetohydrodynamic turbulence.