

On the Possibility of Precursors of Earthquakes in VLF range observed by DEMETER Satellite

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Abstract

Very Low Frequency (VLF) disturbances in the ionospheric electric field observed by DEMETER satellite prior to three different earthquakes that occurred during the years 2008 – 2009 have been presented. The electromagnetic wave data has been analyzed for few days before the earthquake with special attention to the variation in spectral characteristics and non-linear effects using the statistical and wavelet based techniques. Results indicate that the earthquake preparation process may disturb the ionospheric plasma and these disturbances can reach the non-linear stage leading to the strong variations in the electromagnetic field and plasma parameters. The enhancement in statistical parameters shows the coherent structure and intermittent phenomenon which is the signature of turbulence. The characteristic features of VLF disturbances have further been studied using the wavelet and bispectral analysis tools which provide useful information on the plasma turbulence.

Keywords: DEMETER, Earthquake, Phenomena of Intermittence, Coherent Structure.

1. Introduction

Over the seismic regions observations of anomalous plasma changes and electromagnetic emissions related with ionosphere indicate the existence of severe processes which control the state of circumterrestrial plasma for periods from several hours to several days (Boskova et al., 1994; He et al., 2009; Zhao et al., 2008). During the incidence of any strong earthquake, electric field may be generated within the upper atmosphere due to seismo-ionospheric coupling (Hayakawa, 1999; Hayakawa et al., 2004; Pulinets et al., 2004). Underground gas discharges carry submicron aerosols with them which influence near Earth's conductivity and generate extraneous electric field. Satellite observations show the presence of seismo-electromagnetic emissions in the frequency ranging from Ultra Low Frequency (ULF) – Very Low Frequency (VLF) in the seismically active zones prior to the commencement of any large earthquake (Fuzinawa and Takahashi, 1995; Karakelian et al., 2000; Nagao et al., 2002).

The influence of dynamic processes in the lower atmosphere and on the ionosphere was substantiated by satellite observations of electric field perturbations and plasma density fluctuations above earthquake development regions (Sorokin, 2007; Sorokin and Hayakawa, 2013). The mechanisms that could perturb the ionospheric plasma during the preparation of earthquakes are related to the redistribution of charges at the surface of the Earth, the emission of radioactive gas (radon) and the upward propagation of acoustic gravity waves (*e.g.* Pulinets and Boyarchuk 2004, and references therein). The time difference between the disturbances at

1 the ionospheric level and at the height of GOES-2 satellite seems to indicate that the earthquake
 2 causes two distinct types of electromagnetic disturbance; an electromagnetic wave in the
 3 ionosphere and density increase in the Es layer (Parrot, 1989). These disturbances in the
 4 ionospheric plasma can reach the nonlinear stage leading to the phenomena of intermittence,
 5 which is considered as a sign of turbulence .Onishi et al. (2011) suggested a method to process
 6 and analyze plasma wave data for find the earthquake precursor. Kinney et al. (1995)
 7 investigated the phenomenon of magneto-hydrodynamic turbulence using numerical simulations
 8 and found that influence of the coherent magnetic vortices is responsible for this behavior. The
 9 intermittence is characterized by the shape of the power spectrum and the high-order spectral
 10 analysis (McComb 1990; Frisch 1995). Hussain (1983) defined the Coherent Structures (CS) in
 11 turbulent variables (velocity, momentum, density, temperature, transport of mass and heat) that
 12 have high self-correlation. These structures are strongly associated with energy dissipation of the
 13 turbulent flows and are also a source of non-linearity at least in some scales.

14 The present paper deals with the analysis of phenomenon of intermittent and coherent
 15 structure in the ionospheric plasma inVLF range registered by DEMETER (Detection of
 16 Electromagnetic EmissionTransmitted to Earthquake Region) micro-satellite. The DEMETER
 17 satellite flew close to the epicenter of the earthquakes many times and operated in burst mode.
 18 Similar work has been done by Walker et al. (2013)whousing ULF wave data showedthe
 19 occurrence of pearl pulsation resulting from the passage of atmospheric gravity waves generated
 20 during the earthquake preparation process.The waveforms registered in this mode can be
 21 analyzed using wavelet, bispectral and statistical methods to detect the ULF-VLF disturbances in
 22 the ionospheric plasma. The geomagnetic conditions (Dst< 50nT) were quiet during a period of
 23 two week prior to the earthquakes indicating that the VLF disturbances observed were less likely
 24 of magnetospheric origin due the geomagnetic disturbances.

26 **2. Theoretical Framework**

27 Fourier analysis is inappropriate for the analysis of turbulence in the plasma (Farge,
 28 1996); therefore we have used wavelet transform technique. The usefulness of wavelet to detect
 29 the turbulence was underlined by Farge (1992) in the context of coherent structures. The main
 30 advantage of wavelet transform is that it preserves the information about the local features
 31 (*e.g.*singularities) of the signal and allows reconstruction of the signal over a given range of
 32 scales. This property is of importance in the study of turbulence, which often shows coherent
 33 structures that are apparently related to non-linear processes. More discussion about wavelet
 34 transform and its applications to turbulence analysis can be found in number of books and review
 35 articles (*e. g.*Farge et al., 1996; Mallat 1998; Wernik, 2002, 2005). A more detailed description
 36 of methods used in this work can be found in Błęcki et al. (2007, 2009, and 2012).

38 **2.1 Wavelet Power and Global Wavelet Spectrum Analysis**

39 Wavelet-based analyses involve the use of the Continuous Wavelet Transform (CWT)
 40 (Torrence and Compo, 1998) of VLF transients $f(t)$ sampled at time steps δ_t . For all
 41 timeindexes n CWT can be calculated as:

$$Wf(x, s) = \sum_{k=0}^{N-1} \hat{f}_k \bar{\psi}_s(s\omega_k) e^{i\omega_k n \delta t} \dots \dots \dots (1)$$

1 Where $(\hat{\quad})$ the Fourier indicates transform, k the frequency index, ψ is the Morlet
 2 mother wavelet function and $\omega_k = \pm \frac{2\pi k}{N\delta t}$ the angular frequency. For convenience, the scales are
 3 written as $s_j = 2\delta_j 2^{j\delta_j}, j = 0, 1, \dots, j$.

4
 5 The scale averaged wavelet power spectrum (WPS) is used to examine fluctuations in
 6 signal power over a range of scales. It is obtained by averaging the local wavelet coefficients
 7 along the N -vertical cuts of the time axis for a range of scales from s_1 to s_2 (Markovic and Koch,
 8 2005):

$$\bar{W}_n^2 = \frac{\delta_j \delta_t}{C_\delta} \sum_{j=j_1}^{j=j_2} \frac{|Wf(x, s)|^2}{s_j} \dots \dots \dots (2)$$

9
 10 Where C_δ is a scale independent characteristics of the basic function used (Torrence and
 11 Compo, 1998). The scale-averaged wavelet power can be viewed as a time series of the average
 12 variance in a certain band of scales. The average of the wavelet power over all local wavelet
 13 spectra along the time axis is the global wavelet power spectrum (Torrence and Compo, 1998):

$$\bar{W}^2(s) = \frac{1}{N} \sum_{n=0}^{N-1} |Wf(x, s)|^2 \dots \dots \dots (3)$$

14
 15 The wavelet power spectrum and the global wavelet spectrum provide the main
 16 fluctuations in power of VLF signals at different scales and over a range of scales at different
 17 times.

18 2.2 Bi-spectral analysis

19 The fundamental process involves in the development of plasma turbulence and coherent
 20 structure is the wave-wave interaction. The classical bi-spectrum is defined as $B(\omega_1, \omega_2) =$
 21 $\langle X(\omega_1), X(\omega_2) X^*(\omega_1, \omega_2) \rangle$ which measures the degree of phase coupling between the frequency
 22 components of observed signal such that $\omega_3 = \omega_1 + \omega_2$ where $\omega_3 < \omega_{Nyquist}$. The angle
 23 brackets denote an ensemble average. It is an indication of quadratic coupling of the modes of the
 24 system (Kim and Powers, 1978). The bi-coherence is the normalized amplitude of the bi-
 25 spectrum,
 26

$$b^2(\omega_1, \omega_2) = \frac{|B(\omega_1, \omega_2)|^2}{\langle |X(\omega_1)| |X(\omega_2)| |X^*(\omega_1 + \omega_2)| \rangle^2} \dots \dots \dots (4)$$

27
 28 and takes a value $b^2 \in \{0, 1\}$. The bi-coherence has maxima at frequency pairs which are phase
 29 related as $\phi(\omega_1, \omega_2) = \phi(\omega_1) + \phi(\omega_2) - \phi(\omega_1 + \omega_2) + \phi_0$ and is zero in the presence of
 30 randomly phased Gaussian noise.

31 Kim and Powers (1978) first time used this method for the study of plasma process. It
 32 allows the nonlinear interaction between the wave modes by computing the bispectrum of the
 33 signal. It provides information about the phase coherence. A quantitative measure of the phase
 34 coherency can be obtained using the incoherence spectrum. The first use of bi-spectral analysis
 35 for space plasma was given by Tanaka et al. (1987). Bi-spectrum analysis was applied in the

1 study of nonlinear processes in the magnetospheric cusp and ionospheric electromagnetic
2 turbulence analysis in ULF range (Błecki et al., 2007, 2012).

3 **2.3 Statistical Analysis**

4 The Probability Density Functions (PDFs) have been suggested useful to understand the
5 phenomena that occur in any turbulent system involving hydrodynamic (Ramos et al., 2001;
6 Bolzan et al., 2002) or magneto-hydrodynamic flows (Burloga and Vinas, 2004; Bolzan et al.,
7 2005). These functions indicate whether the phenomenon has random character with PDF that is
8 close to Gaussian or it is intermittent and asymmetric indicating turbulence in the system.
9 These useful parameters to study turbulence are skewness and kurtosis. The skewness (s) is the
10 third moment of the measured physical value normalized by the variance given as
11

$$s = \frac{\langle (x - X)^3 \rangle}{\langle (x - X)^2 \rangle^{\frac{3}{2}}} \dots \dots \dots (5)$$

12
13 Where x is the measured value (in our case, the electric field intensity) and X denotes its
14 mean value. The skewness reveals information about the asymmetry of the PDF. Positive
15 skewness indicates that the PDF has a longer tail for $x - X > 0$ than for $x - X < 0$. Hence, a positive
16 skewness means that the variable x is more likely to take on large positive values than large
17 negative values.

18 The s has been used to investigate the transport asymmetry in hydrodynamic turbulence
19 specifically in Convective Boundary Layer (CBL) in atmosphere (Wyngaard and Weil, 1991). In
20 recent years, there are many works demonstrating the importance of the study of the skewness
21 parameter in magnetohydrodynamic turbulence data obtained in laboratory (Antonov et al.,
22 2000) and experimental sites (Burlaga et al., 2002). The kurtosis (or flatness) is the measure of
23 the intermittency. It is defined as the fourth momentum of the measured physical value
24 normalized by the variance
25

$$K = \frac{\langle (x - X)^4 \rangle}{\langle (x - X)^2 \rangle^2} \dots \dots \dots (6)$$

26
27 A PDF with longer tails will have a larger kurtosis than a PDF with narrower tails. A time
28 series with most measurements clustered around the mean has low kurtosis, a time series
29 dominated by intermittent extreme events has high kurtosis.

30 **3. Results and Discussion**

31 For the analysis of coherent structure and phenomena of intermittence VLF signals have
32 been taken from three different orbits of DEMETER satellite during the day time associated with
33 three earthquakes. The characteristics of these earthquakes are given in Table-1.

34 First we have taken the earthquake that occurred on September 26, 2008, at 18:46:19 UT
35 in Carlsberg Ridge Region. It had its epicenter at 3.06° N and 65.43° E. Its depth was 10 km and
36 magnitude was $M=5.4$. The closest approach of DEMETER satellite to the epicenter was at
37 04:25:00 UT on September 17, 2008. The wavelet spectrogram of the electric field waveform in
38 the VLF frequency range up to 20 kHz during a burst mode between 04:33:36 and 05:30:308 UT
39 is presented in Fig. 1(a). Fig.1(b) represents the normalized Wavelet Power Spectrum (left

1 panel) using “Morlet” mother wavelet with its global wavelet power spectrum (right panel). The
2 x-axis denotes the current time and the y-axis denotes the frequencies or periods in the time
3 series; the gray scale bar represents the third dimension of the periodogram, and denotes the
4 energy associated with each frequency or period. It was found that there exists a high power
5 concentration near 0.3×10^{-3} Hz and 0.1×10^{-3} Hz frequency bands.

6 To test the statistical significance of the global wavelet power spectrum peaks a
7 theoretical red noise spectrum is defined. The AR (1) model is used to simulate this spectrum
8 with autocorrelation factor estimated directly from the signals. After defining the univariate lag-1
9 autoregressive model, the background spectrum for red noise is multiplied by the 95th percentile
10 value for χ^2 distribution to determine the 5% significance level (95% confidence level) of the
11 wavelet global spectrum (Torrence and Compo, 1998). The autocorrelation coefficient is
12 calculated as 0.84. It gives a peak at 0.1×10^{-3} Hz frequency band. It is probably due to
13 generation of highly intense electric field due to earthquake generation process.

14 The calculation of bispectrum (Fig. 1(c)) shows a strong interaction at 0 to 50 Hz band.
15 Fig. 1 (d) shows the example of the single spectrum. The slope of this is about -2.47 , which
16 corresponds to the Kolmogorov model of the turbulence.

17 The probability distribution (PDF) curve (Fig. 2a) along with the parameter of the
18 wave distribution as kurtosis and skewness (Fig. 2b) are presented in Fig. 2. It is found that PDF
19 curve shows distortion in relation to a Gaussian distribution. Wave distribution parameter shows
20 strong enhancement at the time of increase in wave activity. This shows the intermittent character of
21 the process.

22 Secondly, we analyzed the VLF signal observed during the earthquake that occurred at
23 Offshore Antofagasta, Chile, on January 09, 2009 with magnitude $M=5$. The closest approach of
24 satellite was at 2 January 2009 at 13:06:00. Waveform of VLF signal observed during the
25 earthquake is illustrated in Fig. 3 (a). Its wavelet power spectrum and global wavelet power
26 spectrum is given in Fig. 3(b). It shows high power concentration near 0.3×10^{-3} Hz frequency
27 band and also gives statistically significant peak at this level.

28 A clear picture of the turbulent cascade is seen in the lower part of bi-spectrum illustrated
29 in Fig. 3(c). The cascade of the energy appears in the figure as an elongated ‘red island’ parallel
30 to the horizontal frequency axis, from 800 Hz up to 4800 Hz. The energy from the lower
31 frequency was transferred to the higher frequency during the development of the
32 turbulence. Fig. 3 (d) shows a single spectrum and again the slope of 1.7 indicates the developed
33 Kolmogorov type of the turbulence.

34 Fig. 4 (a) shows the PDF and the distortion of this plot can be seen in relation to a
35 Gaussian distribution. The parameters of the distribution, as kurtosis and skewness are presented
36 in Fig. 4 (b). Strong enhancements of these moments were seen at the time of the increase in
37 wave activity.

38 As the third example, we have analyzed the waveform of electric field observed by
39 DEMETER satellite (orbit 24664) on 1 February 2009 at 01:39:00 related to 18 February 2009 at
40 18:28 hrs UT over the Kepulauan (N3.839N, 126.4S), Talud, Indonesia, during earthquake

1 (M=5.2) and is shown in Fig. 5 (a). The Spectrogram shown in Fig.5 (b) indicates emissions in
2 the VLF range upto 20 kHz which appears to be tweeks or short duration whistlers. The straight
3 line around 19.2 kHz seems to be the signal from VTX3 transmitter located at South
4 Vijaynarayanam, India.

5 Fig. 5 (b) presents the normalized wavelet power spectrum with its global wavelet power
6 spectrum of observed VLF signal. It is found that there exists a high wavelet power concentration
7 near the frequency range from 0.15 up to 0.03 Hz showing the clear picture of turbulence. That is
8 the region where whistlers are particularly enhanced up to highest frequency. It also shows
9 transfer of energy from the lower frequency to higher frequency range during the development of
10 turbulence. The combination of both positive and negative peaks into a single broad peak
11 represents the non-stationary present near the higher frequency bands, which can be attributed to
12 various plasmasphere effect generated due to earthquake generation process although these
13 signals (tweeks or short whistlers) would have been generated by strong lightning. Plasma waves
14 that can lead to efficient gyroresonant wave particle interactions with energetic electrons include
15 whistler mode chorus waves, plasmaspheric hiss, lightning-generated whistlers, magnetosonic
16 waves and electromagnetic ion cyclotron waves.

17 The bispectrum for this disturbance is illustrated in Fig. 5(c). It indicates a strong
18 significant 3-wave interaction that is clearly seen in the frequency range 2694 Hz-3456 Hz.
19 Fig.5d) shows the example of the single spectrum with a slope of about 1.68, which corresponds
20 to the Kolmogorov model of the turbulence.

21 The probability distribution function curve along with the parameters of the wave
22 distribution as kurtosis and skewness are presented in Fig. (6), which show strong enhancement
23 of these moments at the time of increased wave activity.

24 **4. Summary**

25 In this work detailed analysis of electromagnetic wave data observed by the DEMETER
26 satellite prior to the three different earthquakes has been presented. Wavelet and Bispectral
27 techniques based analysis shows many significant effect in the ionosphere few days before the
28 earthquake. Large value of kurtosis shows the higher level of intermittence in the VLF signal
29 before earthquake. It is possible to conjecture that the sources of this intermittence are the
30 coherent structures. Large values of the kurtosis have also been estimated in strongly non-
31 Gaussian probability density functions which are consistent with earlier studies (Hussian, 1983;
32 Kinney and Mc William, 1995; Ramos, 2001; Bolzan, 2002, 2005 Hnat et al., 2003). For the
33 better understanding of this behavior skewness parameter has been estimated. In general, the
34 kurtosis and skewness parameters have shown the same behavior according to results of Burgala
35 and Forman, (2002). The enhanced value of the skewness is due to the generation of coherent
36 structure in the transients. The high energy at the large scales of the VLF turbulence due to the
37 earthquake preparation process indicated the generation of coherent structures in the VLF
38 signal. The coherent VLF signals propagating in the terrestrial magnetosphere can trigger diffuse
39 and structured emission bursts. As a result of trapping, the gradient becomes sufficiently steep;
40 then broad band wave growth takes place as predicted by established theories (Nunn, 1997;
41 Nunn et al., 2005). The resulting pitch angle diffusion of particles produces a more stable
42 configuration in which the steep gradient is reduced towards that corresponding to the marginally
43 stable distribution (Kennel, 1996; Artemyev et al., 2013). For initially positive gradients in the

1 energetic electron distribution function with respect to parallel velocity, wave growth takes place
2 above the frequency of the causative coherent signal. The theory predicts that the bandwidth of
3 emissions triggered in this way should rapidly increase with time and may reach maximum
4 widths of several hundred Hz (Matthews, 1985).

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