

1 **Inferring origin of mercury inclusions in quartz by**
2 **multifractal analysis**

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15

1 **Abstract**

2 In order to refine our understanding how fluid inclusions were trapped in the host minerals,
3 we non-destructively observed mercury inclusions (liquid Hg⁰) in quartz samples using X-ray
4 computed tomography (CT) technique. The X-ray CT apparatus can observe internal
5 structures of the samples and give cross-sectional images from the transmission of the X-rays
6 through the samples. From the cross-sectional images, we obtained three-dimensional spatial
7 distributions of mercury inclusions, and quantitatively analyzed them using fractal and
8 multifractal methods. Although the samples were from different geological settings, the
9 resultant fractal dimensions were 1.70 and 1.71 for the San Benito and Itomuka samples,
10 respectively. The fractal dimensions were also close to those predicted by diffusion-limited
11 aggregation models and percolation theory, which are controlled by the irreversible kinetics.
12 Given the fractal dimension and its implied mechanism, we conclude that the
13 mercury-bearing fluids were not primary fluid inclusions, but migrated into the pre-existing
14 cracks of quartz crystals by diffusion processes.

15

16 **1 Introduction**

17 Fluid inclusions in minerals record important clues to past geologic processes that the host
18 minerals were subjected to. We can obtain information on physical and chemical factors, such
19 as the pressure, temperature, density and composition of the fluids, from the fluid inclusions
20 in minerals (e.g., Takeuchi, 1975; Roedder, 1984). However, studying fluid inclusions poses
21 many difficulties for precise analysis because of the high mobility and evaporation involved.
22 Therefore, non-destructive analytical methods, such as micro-Raman spectroscopy (Burke,

1 2001; Frezzotti et al., 2012), synchrotron-radiation X-ray fluorescence (SR-XRF) (Schmidt
2 and Rickers, 2003; Tsuchiyama et al., 2009) and proton-induced X-ray emission (PIXE)
3 (Kurosawa et al., 2003), have been developed for the chemical analysis of individual fluid
4 inclusions in minerals. It is also necessary to observe the spatial distribution of different fluid
5 inclusion populations within a mineral grain to establish their paragenetic succession relative
6 to the mineral formation (i.e., primary, secondary and pseudo-secondary inclusions) (e.g.,
7 Takeuchi, 1975; Roedder, 1984). X-ray computed tomography (CT) is a non-destructive
8 analytical method, and is one of the effective methods for observing the spatial distribution of
9 fluid inclusions.

10 The X-ray CT technique, which was originally developed to obtain cross-sectional images,
11 has recently been applied to minerals and rocks, and has opened up a new approach for
12 resolving their internal and three-dimensional structures (Martínez et al., 2010). Although the
13 internal structures of rocks are generally heterogeneous and complex, they have been
14 successfully characterized using fractal geometry (Pasadas et al., 2009; Martínez et al., 2010).
15 In particular, fractal and multifractal analysis, described by Mandelbrot (1982) and Takayasu
16 (1986), was developed for the quantitative analysis of the patterns of irregular shapes and
17 complicated phenomena. Fractal and multifractal analysis has been used in several
18 geochemical studies to understand structures observed in sediments and soils, such as pore
19 shapes and water distribution (Bird et al., 2006; Pasadas et al., 2009; Ferreiro and Vázquez,
20 2010; Martínez et al., 2010; Xie et al., 2010).

21 Here we observed mercury inclusions (liquid Hg^0) in quartz by the X-ray CT, and
22 quantitatively analyzed their three-dimensional distribution using fractal and multifractal

1 methods in order to elucidate how the mercury inclusions were trapped in the host rocks. The
2 mercury ores in this study were formed by hydrothermal activities, and mercury precipitated
3 from the hydrothermal fluids in the late stage of ore forming processes (Peabody and Einaudy,
4 1992; Dunning et al., 2005). Quantitative analyses of spatial distribution of mercury
5 inclusions should give an insight into the detailed physical behaviour of mercury during their
6 migration and precipitation.

7

8 **2 Materials and Methods**

9 X-ray CT can be used for non-destructively analyzing the internal structures of materials.
10 Therefore, it is suitable for samples, including fluids, that disappear if a destructive method is
11 used. We examined a quartz crystal from San Benito, California, USA and another from
12 Itomuka, Hokkaido, Japan (sized 2 cm × 5 cm × 7 cm and 4 cm × 5 cm × 6 cm, respectively).
13 In these mines, native mercury (liquid Hg⁰) occurs as droplets in the quartz crystals, formed
14 by hydrothermal fluids associated with Neogene/Quaternary volcanic activities (Sugimoto et
15 al., 1972; Harada and Haritani, 1984; Peabody and Einaudy, 1992; Dunning et al., 2005). The
16 samples examined are idiomorphic and polycrystalline quartz, and contain visible clusters
17 and/or films of 1–2 mm mercury inclusions.

18 We used a microfocus X-ray CT system (SMX-225CT; Shimazu Corp., Kyoto, Japan), which
19 can distinguish fluid inclusion of mercury (13.59 g/cm³) from the quartz matrix (2.65 g/cm³)
20 based on the difference between their densities. This apparatus forms cross-sectional images
21 by the transmission of the X-ray through the samples. The nominal resolution of the
22 cross-section thickness and the interval between the cross-sections were 0.120 mm and 0.073

1 mm, respectively. The cross-sectional images were composed of a 512×512 pixel array that
2 corresponded to an area of $9.88 \times 9.88 \text{ cm}^2$ (therefore, one pixel corresponds to an area of
3 $0.193 \times 0.193 \text{ mm}^2$). One of the cross-sectional images is shown in Fig. 1. The grey circle in
4 Fig. 1a represents the field of view for the X-ray CT system, and the irregular region marked
5 in the center of the field of view represents the quartz. The region outside of the quartz is air,
6 containing no solid matter. The white dots represent mercury inclusions in the quartz.

7 The sequenced cross-sectional images were reconstructed and incorporated onto the images
8 of each quartz crystal using the Image Processing and Analysis software in Java-ImageJ
9 (Rasband, 1997–2011; Abramoff et al., 2004). Areas of mercury inclusions in the quartz were
10 extracted from the images using threshold filtering. The spatial distributions of mercury
11 inclusions were analyzed by fractal and multifractal theory.

12

13 **3 Fractal and Multifractal Analysis**

14 Fractal and multifractal behavior is common in nature, and often the spatial distributions of
15 mercury inclusions have fractal and multifractal properties. Because a fractal typically has a
16 self-similar structure and scale-free properties, the degree of distribution of the inclusions
17 follows a power law in the form

$$18 \quad N(r) \propto r^{-D}, \quad (1)$$

19 where $N(r)$ is the number of boxes in a box-counting technique, r is the scale and D is the
20 capacity (fractal) dimension. The capacity dimension, D , which is generally estimated using
21 the box-counting technique, is defined by the relationship between the scaling properties of

1 the distribution by covering it with boxes of size, r , and counting the number of boxes
2 containing $N(r)$, as follows:

$$3 \quad D = -\lim_{r \rightarrow 0} \frac{\log N(r)}{\log r}. \quad (2)$$

4 Then, the capacity dimension can be approximately determined as the negative slope of
5 $\log N(r)$ versus $\log r$. Although the capacity dimension is a fundamental and quantitative
6 parameter of the fractal, the dimension cannot completely describe complex and
7 heterogeneous structures. Therefore, we applied multifractal theory to analyze the spatial
8 distribution of the mercury inclusions, as described below.

9 Multifractal theory can be characterized on the basis of the generalized dimensions of the q -th
10 order moment of a distribution, D_q . The generalized dimensions, D_q , can be defined by the
11 function

$$12 \quad D_q = \lim_{r \rightarrow 0} \frac{\log \sum_i P_i(r)^q}{(q-1) \log r}, \quad (3)$$

13 where $P_i(r)$ is the probability of being in the i -th box, and is defined as the measure of the i -th
14 box of its size r , when the measure of the whole space is normalized to 1 (Takayasu, 1986).

15 The generalized dimension, D_q , can be rewritten with the singularity exponent, α , and the
16 generalized fractal dimension, $f(\alpha)$, as the equation

$$17 \quad D_q = \frac{q\alpha(q) - f(\alpha(q))}{(q-1)}. \quad (4)$$

18 When we can obtain the generalized dimension, D_q , from experiments, then the singularity
19 exponent, α , and the generalized fractal dimension, $f(\alpha)$, can be estimated using the following
20 relationships

1
$$\alpha(q) = \frac{d}{dq}(q-1)D_q \tag{5}$$

2 and

3
$$f(\alpha(q)) = q\alpha(q) - (q-1)D_q . \tag{6}$$

4 The singularity exponent, α , is characterized by scaling in the local region, and quantifies the
5 degree of regularity in the region. The sub-sets with a local scaling exponent of α form the
6 fractal distribution, and its fractal dimension can be viewed as the generalized fractal
7 dimension, $f(\alpha)$. Multifractal theory allows the characterization of complex phenomena in a
8 fully quantitative manner for both temporal and spatial variations.

9

10 **4 Results and Discussion**

11 The mercury inclusions in the host quartz crystals seemed to be clustered, and were ramified
12 peripherally and randomly so that the clusters resembled dendritic structures. There was no
13 natural scale length in the structures at scales much larger than the particle size, and a
14 self-similar structure was formed. We analyzed the mercury inclusion clusters in the quartz
15 samples using the above equations in order to understand how the inclusions were
16 incorporated into the quartz samples. We estimated the relationship between the logarithm of
17 the number of objects, $\log N(r)$, and the logarithm of the box-size, $\log r$, using the
18 box-counting technique (Fig. 2). The capacity dimensions, D , were found to be 1.70 and 1.71
19 for the San Benito and Itomuka samples, respectively, from the slopes of the relationships
20 shown in Fig. 2. The capacity dimensions generally indicate major cluster shapes, for example
21 lines and surfaces have the values $D = 1$ and $D = 2$, respectively. The obtained dimensions

1 show that the mercury inclusion clusters found in the quartz samples were more complex than
2 lines but were not entirely surfaces. Several studies have been performed using fractal
3 geometry, which is controlled by the irreversible kinetic processes such as diffusion,
4 aggregation and percolation (e.g., Zheng et al., 1998; Hunt and Ewing, 2009).

5 The San Benito and Itomuka mercury deposits occurred in hydrothermally altered rocks,
6 which would have been formed by repeated hydrothermal activity in the Neogene/Quaternary
7 age (Dunning et al., 2005; Sugimoto et al., 1972), and it is difficult to distinguish whether the
8 mercury inclusions were primary, secondary or pseudo-secondary inclusions. However, as
9 spatial distributions of mercury inclusions have fractal geometry, the mercury inclusions
10 could not be trapped in growing crystal faces but controlled by diffusive processes. This result
11 suggests that the fluids of mercury inclusions would be captured into quartz after its
12 crystallization process. Hence the mercury-bearing fluids were not the primary fluid
13 inclusions, but were trapped in cracks that already existed in the quartz samples.

14 In this condition, the fractal dimension of the mercury inclusion could be constrained by the
15 available pores, pore alteration by metamorphism, and migration of mercury into the pore.
16 These processes have been described by the diffusion-limited aggregation (DLA) and
17 percolation mechanism, which involves Brownian particle motion (e.g., Witten and Sander,
18 1983; Meakin, 1985; Zheng et al., 1998; Stauffer, 1979; Hunt and Ewing, 2009). Based on the
19 DLA models, the fractal dimension, D , is predicted to be equal to $(d^2 + 1)/(d + 1)$, where d is
20 the spatial dimensionality (Muthukumar, 1983). For a two- and three-dimensional system D
21 should be $5/3$ (1.66) and $5/2$ (2.5), respectively. Also, percolation theory shows that the fractal
22 dimensions are 1.89 and 2.54 in two- and three-dimensional systems, respectively. Estimated

1 fractal dimensions of the mercury inclusions in our quartz samples from San Benito and
2 Itomuka are similar to these obtained by DLA models and percolation theory for
3 two-dimensional system. The mercury inclusion could be constrained by DLA models or
4 percolation mechanism, which could lead to different structures. The DLA models and
5 percolation mechanism result in dendritic and percolation structures, respectively (e.g., Zheng
6 et al., 1998; Hunt and Ewing, 2009). Consequently, mercury inclusions were ramified like a
7 dendritic structure, but not like a loop structure as illustrated in Fig. 3. Therefore, we assume
8 that the mercury inclusions could migrate into the quartz by the DLA models rather than by
9 percolation mechanism.

10 Multifractal analysis allowed us to examine the complex signature of the mercury inclusion
11 clusters more quantitatively. We obtained three sets of multifractal parameters, α , $f(\alpha)$ and D_q .
12 Figure 4 shows multifractal spectra in which the generalized fractal dimensions, $f(\alpha)$, are
13 plotted against the singularity exponent, α . Multifractal spectra generally show parabolic
14 curves that are concave downwards, and the curve maxima occur at $q = 0$, at which point $f(\alpha)$
15 in the San Benito and Itomuka samples corresponded to the capacity dimensions of 1.70 and
16 1.71, respectively. The parabola of both samples had similar curves, but the width of the
17 parabola was larger for the San Benito sample than for the Itomuka sample. The San Benito
18 sample had more fractal structure patterns than the Itomuka sample, because wider curves
19 reflect more heterogeneous structures in the mercury inclusion distributions.

20 Figure 4 shows the difference between the fractal dimension distributions in the samples, and
21 the relationships between the generalized dimensions, D_q , and the order moments, q . In
22 general, D_q dimensions increase with decreasing q moments. In Fig. 5, the curves for both

1 quartz samples are similar. The q moments are interpreted as a parameter of the inclusion
2 distribution probability densities, i.e., low- q implies a low distribution density and high- q
3 implies a high density. The D_q dimensions at low- q moments in the samples were 2.3–2.7,
4 indicating that the distribution of surface and spatial structures were scarce. However, the D_q
5 dimensions at high- q moments were 1.0–1.3, indicating that linear configurations were
6 common. This implies that mercury-bearing fluids migrated linearly into the quartz sample
7 cracks and then expanded to form surface plane and spatial figurations.

8

9 **5 Conclusions**

10 We analyzed mercury inclusions in quartz samples using in situ X-ray computed tomography
11 (CT). The X-ray CT apparatus allows the internal structures of the quartz samples to be
12 analyzed non-destructively, and therefore, mercury inclusions are to be retained in the quartz
13 throughout the experiment. We performed X-ray CT measurements on two quartz samples,
14 one from San Benito, California, USA and one from Itomuka, Hokkaido, Japan, both of
15 which contain visible mercury inclusions. We obtained the spatial distributions of the mercury
16 inclusions based on sequenced X-ray cross-sectional images. Spatial distributions can be
17 explored quantitatively using fractal and multifractal theory. We showed the fractal
18 dimensions, α - $f(\alpha)$, multifractal spectra and the relationship between q and D_q . The mercury
19 inclusions trapped in the quartz samples had similar distribution signatures, even though the
20 quartz samples were from different geological settings. In addition, the fractal dimensions
21 were close to those obtained by diffusion-limited aggregation DLA models and percolation
22 theory for two-dimensional system. The similar mercury inclusion signatures correspond to

1 the samples being formed during a process of diffusion into pre-existing cracks in the quartz.
2 After the formation of crystalline quartz, the mercury-bearing fluids probably migrated into
3 pre-existing cracks in the quartz crystals, and native mercury was lodged in the cavities.

4

5 **Author contributions**

6 T.S. designed the study, T.M. performed the X-ray CT measurements and T.E. prepared
7 samples. T.M. and T.E. gave technical supports and conceptual advice, and T.S. prepared the
8 manuscript with contributions from all co-authors.

9

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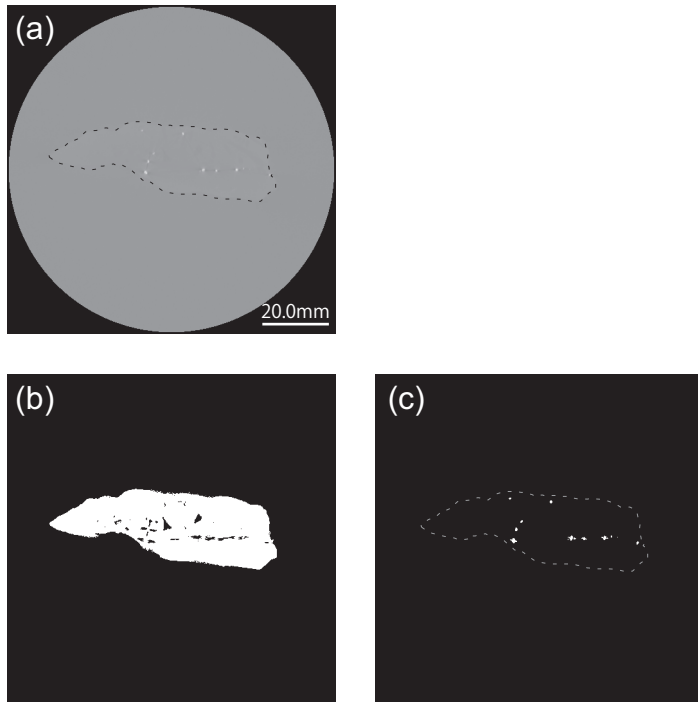
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1

2 **Fig. 1.** Two-dimensional (2-D) slice image of the San Benito quartz sample obtained with a
3 microfocus X-ray CT system. **(a)** Original grayscale image. The circular dark gray region is
4 the measurable area, the irregular central region is the quartz sample, and the white points are
5 mercury inclusions; **(b)** Binarized image of the quartz crystal; and **(c)** Binarized image of the
6 mercury inclusions. The quartz area is edged with a dotted line.

7

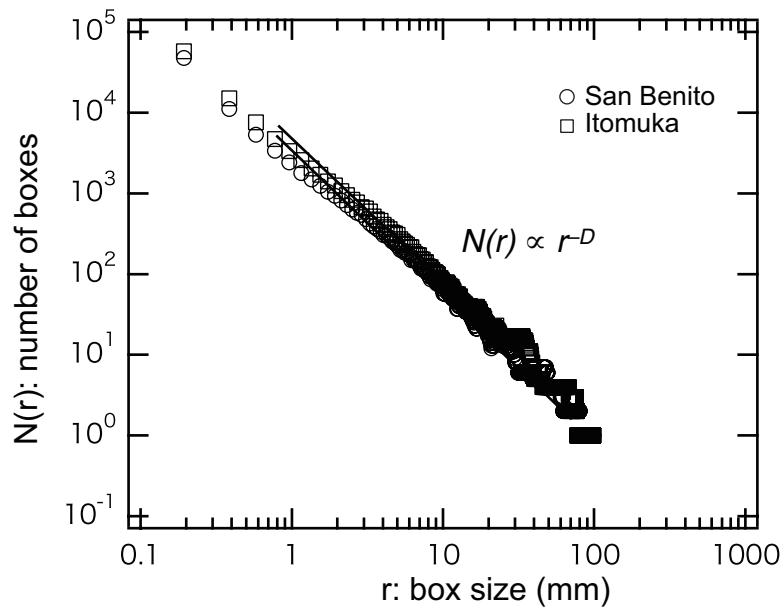
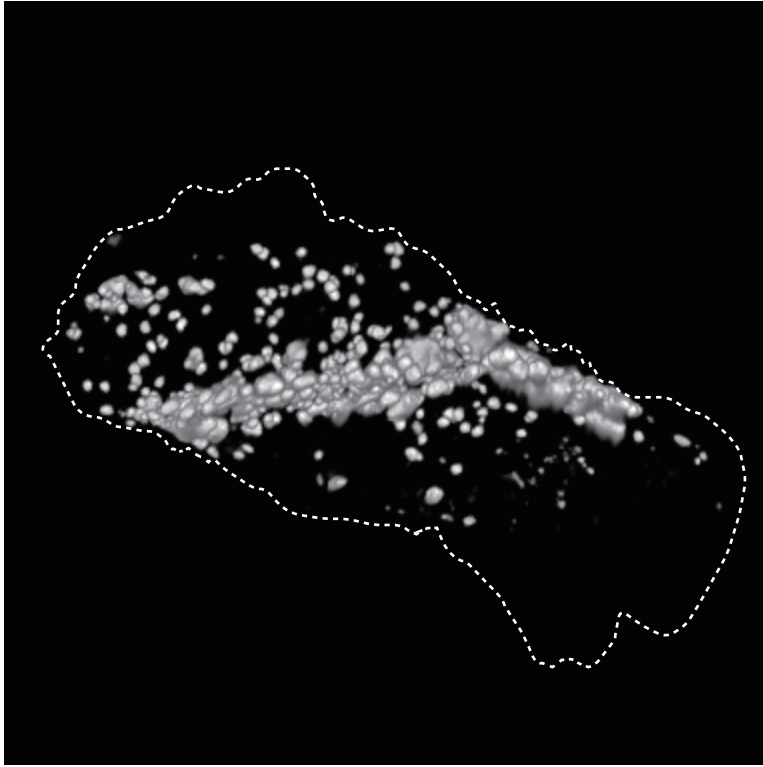


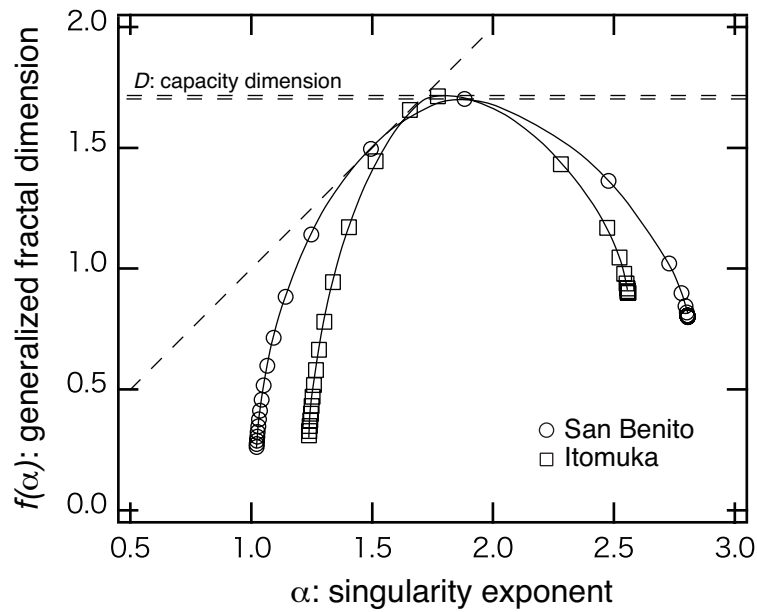
Fig. 2. Box counting plot for mercury inclusions in the San Benito and Itomuka quartz samples. To obtain the capacity dimensions, D , only the middle portions of the sequence, from four times the length of the minimal box size to 9/10 of the length of the maximal box size, were analysed to avoid edge effects.



1

2 **Fig. 3.** Distribution image of the mercury inclusions in the San Benito quartz sample obtained
3 with a micro-focus X-ray CT system. Mercury inclusions are lighten and the quartz area is
4 edged with a dotted line.

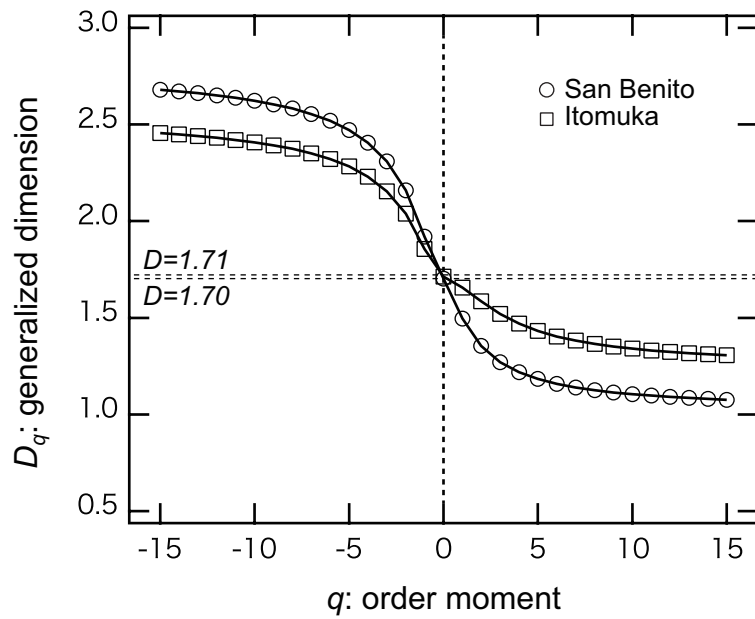
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1

2 **Fig. 4.** Multifractal spectrum for the mercury inclusions in the San Benito and Itomuka quartz
 3 samples. The spectrum is the shape of a downward concave parabola. A wide opening
 4 parabola indicates heterogeneous distribution structures in the mercury inclusions. The
 5 opening size of parabola in the San Benito sample is larger than that of Itomuka sample,
 6 suggesting that mercury inclusions in the San Benito distribute the more heterogeneous than
 7 those in Itomuka.

8



1

2 **Fig. 5.** Generalized dimension, D_q , versus the order moment, q , from $q = -15$ to $q = +15$ for
 3 the mercury inclusion distribution in the San Benito and Itomuka quartz samples. The q
 4 moment is a measure of the probability density, low- q is low-density and high- q is
 5 high-density. The generalized dimension, D_q , indicates the geometrical shape of the mercury
 6 inclusion at the q -density.

7